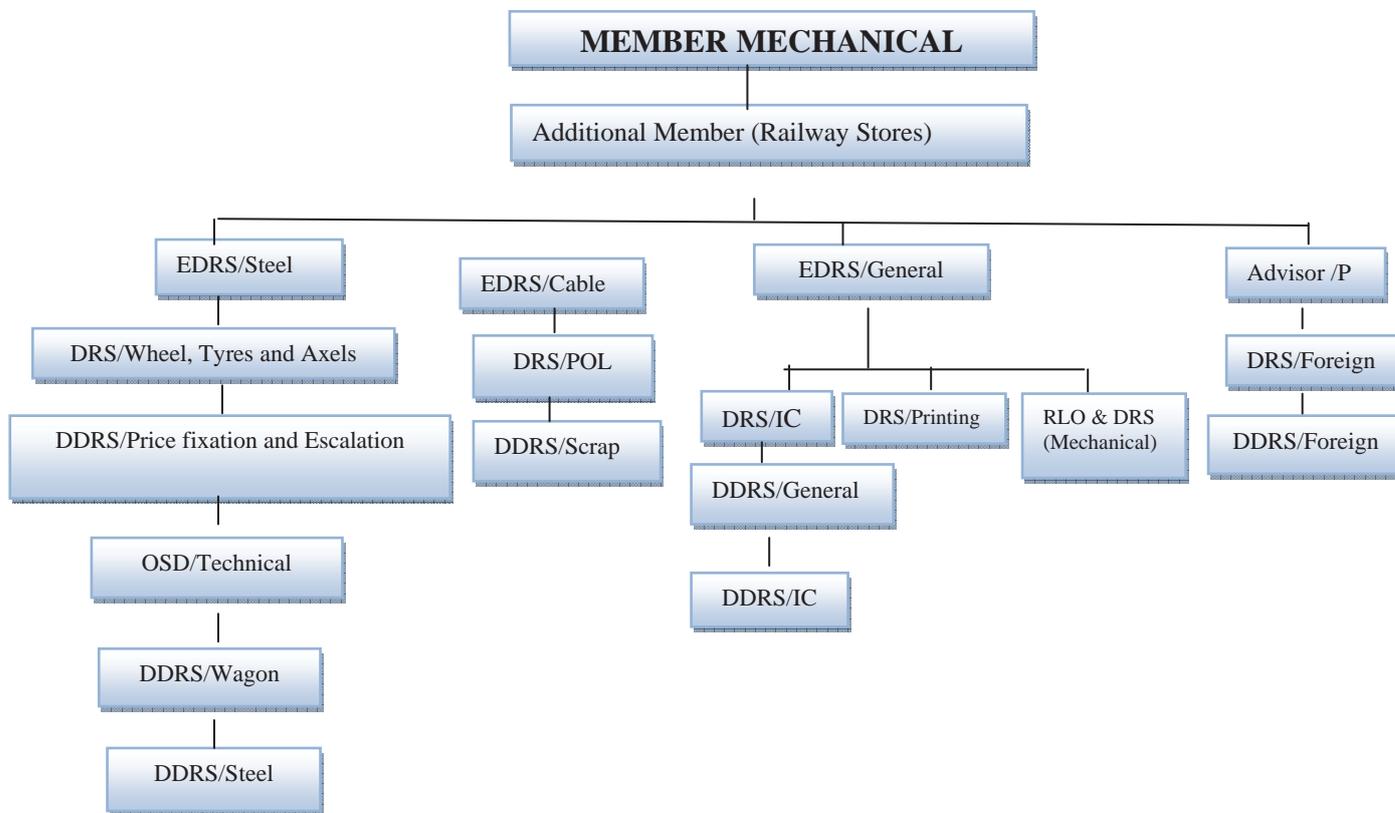
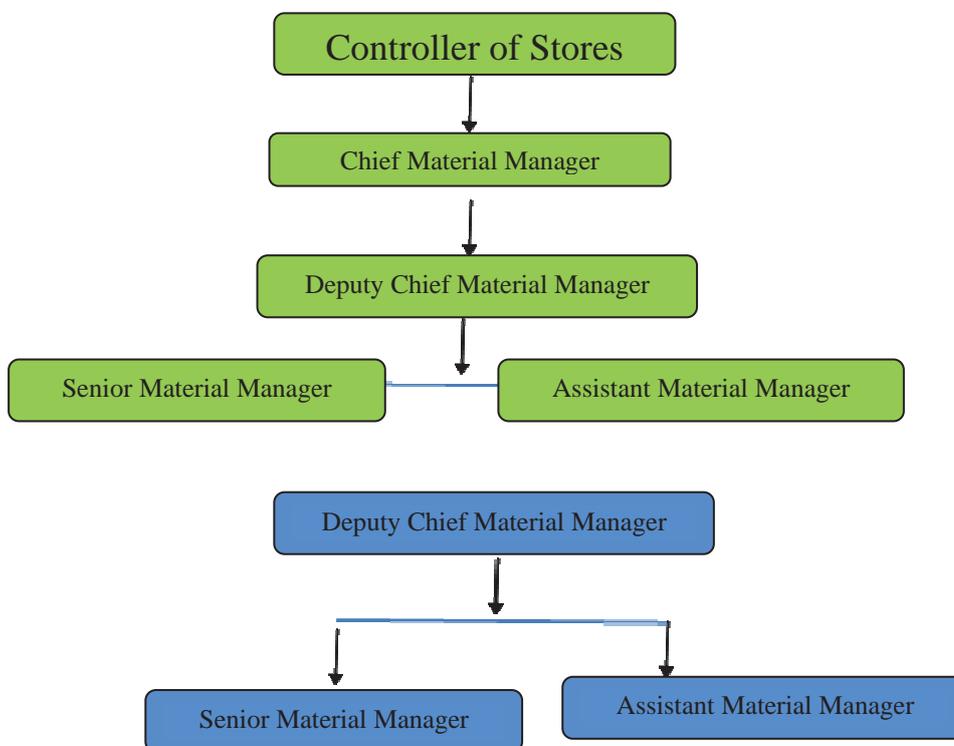


Appendix 1 (Ref Para 2.1)
ORGANISATION CHART OF STORES DIRECTORATE



Railway Board Level



Zonal Railway Level

Divisional Level

ED - Executive Director, D – Director, DD – Deputy Director, OSD – Officer on Special Duty, RS - Railway Stores, P – Procurement , IC – Inventory Control, POL – Petroleum Oil and Lubricant , RLO – Regional Liaison Officer.

Appendix I (Ref Para 2.1)

Functions of different branches of Stores Directorate

Unit	Department	Functions
Railway Board Level	Executive Director Railway Stores (Steel)	Policy and procedure for indenting and procurement of steel, disposal of overstock and surplus of steel etc.
	Director, Railway Stores (Wheel, Tyres and Axels)	Planning of requirements of Wheels, Tyres and Axles etc.
	Deputy Director, Railway Stores (PF & EC)	Coordination work between commercial, Stores Directorates, wagon builders, RDSO, traffic, mechanical and commercial Directorate.
	Executive Director Railway Stores (Cable)	Finalisation of tender and contract relating to Railway Signaling Cable, Elastomeric Cable for Electric Locos etc.
	Director, Railway Stores (POL)	Procurement of Primary HSD, SKO, LDO, Furnace Oil etc. Oils
	Executive Director Railway Stores (G)	All policy matters pertaining to Stores Code correction, COS Conference, Complaint cases of Railways & PSUs etc.
	Director, Railway Stores (Printing)	All matters relating to Printing Presses of Indian Railways.
	Director Railway Stores (M)/RLO	Work related with coordination, monitoring and logical implementation of MMIS and IREPS over Zonal Railways.
	Advisor, Railway Stores (Procurement)	Procurement of locos from BHEL, Manpower planning and establishment matter of stores department.
	Director Railway Stores (Foreign)	Procurement of Wheels, Axles, locomotives and wheel sets etc.
Zonal Railway Level	Controller of Stores (COS)	Planning, procurement and distribution of stores, monitoring availability and utilization of stores by the user departments.
	Chief Material Manager and Deputy Chief Material Manager	Support COS in discharging his functions and responsibilities, implementation of policies and guidelines of Railway Board, inventory control etc.
Divisional Level	Deputy Chief Material Manager, Senior Material Manager and Assistant Materials Managers	Distribution of stores, monitoring inventory and utilization of stores, disposal of surplus/overstock/Non-moving stores etc.

Appendix – II (Ref Para 2.3)

Statement showing the selection of sample size for Test Audit					
Sl. No.	Description	Size	Total	Sample size selected	Per cent of Sample size selected
1	Workshops- (Mechanical and S&T)	50 percent subject to a maximum of two workshops	50	29	58.00
2	Sheds (EMU/ MEMU/ DEMU Car Sheds)	One Diesel Loco Shed and one Electrical Loco Shed subject to maximum of two sheds. (If any Zonal Railway is having two or more Diesel Loco Sheds and no Electrical Loco sheds, two Diesel Loco sheds is selected or vice versa.)	87	32	36.78
3	Coaching Depot and Wagon Depots	Two Major Depots – one Coaching depot and one Wagon depot. If there was no wagon depot, two major coaching depots selected.	201	69	34.33
4	Independent Production Units and Production units associated with Zonal Railways	100 per cent	6	6	100

Appendix – II (Ref Para 2.3)								
Statement showing the details of selection of sample size for Test Audit								
Sl. No.	Zonal Railway	Workshops-(Mechanical and S&T) 50 per cent		Sheds (EMU/MEMU/ DEMU Car Sheds)		Coaching and Wagon Depots		Producti on Units (100%)
		Total Nos.	Selected	Total Nos.	Selected	Total Nos.	Selected	
1	CR	3	2 CR Workshop/ MTN & Loco Workshop/PL	10	2 DLS/ Pune & ELS/KYN	26	8 CD WB,BSL,DD,DR, & WD /VDLR, BSL, Wadi, DD	
2	ER	4	2 Mechanical Workshop /KPA &LLH	4	2 DSL/ UDL & ELS / HWH	5	2 CD /TPKR & WD/ UDL	
3	ECR	1	1 Mechanical Workshop/ SPJ	5	2 DLS /MGS & ELS/ MGS	23	7 CD/RJQ,PNBC,MFP, DBG&WCC/MGS,G HZ,NRPA	
4	ECoR	1	1 CR Workshop/ MCS	3	2 DLS/VSKP &ELS/ VSKP	7	3 CD/Puri, BBS &WD/VSKP	
5	NR	5	2 C&W –JUDW & Signal Shop/ GZB	6	2 DSL/TKD &ELS/ LDH	13	4 CD/ LKO,JAT &WD/UMB,TKD	
6	NCR	1	1 WD/JHS	5	2 DSL/JHS &ELS/ CNB	16	5 CD/ ALD,CNB,AGC &WD/CNB,CAR	
7	NER	3	2 1.S&T Workshop/ GKC 2.Mech. Workshop/ IZN	2	2 DSL / GD DSL/ IZN	13	2 1. CD/GKP 2. CD/LJN	
8	NFR	2	2 Mechanical workshops NBQ &DBRT	4	2 DLS/NGC &DLS/ SGUJ	12	4 CD / NJP, GHY & WD /NJP,NGC	
9	NWR	5	2 Carriage Workshop/ Aii &Signal Workshop /Aii	3	2 DSL/ ABR &DSL/ BGKI	5	3 CD/ JP, AII &WD/MD	
10	SR	6	2 Carriage and Wagon Workshop /PER&Loco Workshop/PER	7	2 DSL/ GOC & ELS/ED	27	9 CD/BBQ,GSN,TVC, NCJ,ALLP&WD/ TNP, JTJ,HOM IPN	

Distribution and Utilisation of Safety Items in Indian Railways

11	SCR	4	2 Mechanical and Electrical /Workshop LGD& Mechanical and General/ Workshop GTPL	10	2 DLS /KZJ & ELS/ LGD	17	5 CD/ SC, HYB, TPTY & WD / RDM , GY	
12	SER	2	2 Mechanical Workshop KGP & S&T Workshop KGP	7	2 DLS / BNDM &ELS /TATA	13	4 CD/ SRC, HTE &WD/BNDM, BKSC	
13	SECR	2	1 W R S / R	3	2 DLS/ R &ELS/ BIA	9	3 CD/ BSP, DRUG &WD/ BIA	
14	SWR	2	2 CRS /UBL & CRS/MYS	2	2 DLS /UBL & DLS /KJM	9	3 CD-SBC, UBL &WD/HPT	
15	WR	6	2 C,L&W /DHD &CR workshop/ PL	11	2 ELS/ BRCY& DLS/ RTM	2	2 CD/BCT & GS/SBI	
16	WCR	2	2 CRWS / BPL & WRS /Kota	4	2 DLS/ ET ELS/ TKD	4	2 WD/ NKJ & CD/JBP	
17	MR/ Kolkata	1	1					
18	CLW/ Chittaran jan							1
19	DMW/ Patiala							1
20	DLW/ Varanasi							1
21	ICF/ Perambu r							1
22	RCF/ RBL and Kapurtha la							2
		Total	50	29	87	32	177	59

Statement showing number of Heads/ items categorised as safety items as per Railway Board vis-a-vis Zonal Railways/Railway Production Units.

Zonal Railways/ RPU	No. of Heads/ No. of items categorised as per Railway Board directive	No. of Heads/ No. of items categorised by Zonal Railway/ RPU	Audit Observations.
CR	9/468	7/791	As against 85 items under the category Locomotive Diesel prescribed by Railway Board Central Railway has categorised 158 items.
ER	9/468	6/696	As against 35 items under the category Coaching Stock prescribed by Railway Board Eastern Railway has categorised 380 items.
ECR	9/468	7/586	As against 85 items under the category Locomotive Diesel and 100 items under the category Locomotive Electric prescribed by Railway Board, East Central Railway has categorised 204 & 211 items respectively.
ECoR	9/468	7/784	As against 85 items under the category Locomotive Diesel and 35 items under the category Coaching Stock prescribed by Railway Board, East Coast Railway has categorised 274 & 346 items respectively.
NR	9/468	7/533	As against 85 items under the category Locomotive Diesel and 25 items under the category Track prescribed by Railway Board, Northern Railway has categorised 137 & 102 items respectively.
NCR	9/468	04/832	As compared to 468 items under nine heads categorised by Railway Board, NCR has categorised almost double number i.e. 832 items under four heads.
NER	9/468	6/197	Number of Safety items categorised by NER are less than half of the items categorised by Railway Board.
NFR	9/468	6/595	As against 85 items under the category Locomotive Diesel prescribed by Railway Board Northeast Frontier Railway has categorised 359 items.
NWR	9/468	6/521	As against 85 items under the category Locomotive Diesel and 35 items under the category Coaching Stock prescribed by Railway Board, North Western Railway has categorised 276 & 140 items respectively.
SR	9/468	5/794	Headwise Categorization is totally different from the Heads prescribed by Railway Board.
SCR	9/468	5/303	As against 35 items under the category Coaching Stock and 14 items under the category Freight Stock prescribed by Railway Board, South Central Railway has categorised 96 & 99 items respectively.
SER	9/468	7/677	As against 86 items under the category Locomotive Electrical and 14 items under the category Freight Stock prescribed by Railway Board, SER has categorised 324 & 130 items respectively.
SECR	9/468	8/833	As against 86 items under the category

Distribution and Utilisation of Safety Items in Indian Railways

			Locomotive Electrical and 14 items under the category Freight Stock prescribed by Railway Board, SECR has categorised 222 & 220 items respectively.
SWR	9/468	6/234	As against 14 items under the category Freight Stock prescribed by Railway Board, SWR has categorised 40 items.
WR	9/468	10/660	As against 86 items under the category Locomotive Electrical and 14 items under the category Freight Stock prescribed by Railway Board, WR has categorised 222 & 107 items respectively
WCR	9/468	9/295	Though categorisation under nine heads has been done correctly , however, the numbers of items categorised are inconsistent with total number of items categorised by Railway Board.
Metro	9/468		No categorisation of Safety Items was introduced in Metro Railways.
CLW	9/468	01/122	Being a Loco Production Unit, the categorisation appears to be in line with Railway Board's categorisation.
DMW	9/468	01/35	The categorisation appears to be in line with Railway Board's categorisations.
DLW	9/468	01/289	Being a Loco Production Unit, the categorisation appears to be in line with Railway Board's categorisation.
ICF	9/468	02/333	Being a Coach Production Units, the categorisation appears to be in line with Railway Board's categorisations.
RCF, KXH	9/468	01/34	Being a Coach Production Unit, the categorisation appears to be in line with Railway Board's categorisation.
RCF, RBL	9/468	01/18	Being a new Coach Production Units with limited activities, the categorisation appears to be in line with Railway Board's categorisations.