

APPENDIX 1.1

(Referred to in Paragraph 1.4)

STATEMENT SHOWING THE POSITION REGARDING THE FUNCTIONS DEVOLVED TO THE URBAN LOCAL BODIES.

Sr. No.	Function envisaged to be devolved as per the Constitution	Envisaged to be devolved as per Goa Municipalities Act, 1968	Actually devolved
1	Urban planning including town planning	Yes	No
2	Regulation of land use and construction of buildings	Yes	No
3	Planning for economic and social development	No	No
4	Roads and bridges	Yes	Only internal roads are maintained by Municipal Councils.
5	Water supply for domestic, industrial and commercial purposes	No	No
6	Public health, sanitation, conservancy and solid waste management	No	Only solid waste management
7	Fire Service	No	No
8	Urban forestry protection of environment and promotion of environment and promotion of ecological aspects.	Yes	No
9	Safeguarding the interest of the weaker sections of society, including the handicapped and the mentally retarded	No	No
10	Slum improvement and upgrading	Yes	Yes
11	Urban poverty alleviation	No	Yes
12	Provision of Urban amenities and facilities such as park, gardens, playgrounds	Yes	Yes
13	Provision of Cultural, education and aesthetic aspects	No	No
14	Burial and burial grounds, cremation and cremation grounds and electric crematorium	Yes	Yes
15	Cattle pounds and prevention of cruelty to animals	Yes	Yes
16	Vital statistics including registration of births and deaths	Yes	Yes
17	Public amenities including street lighting, bus-stop, public conveniences	Yes	Yes
18	Regulation of slaughterhouse and tanneries	Yes	Yes

APPENDIX 1.2

(Referred to in Paragraph 1.5.2)

STATEMENT SHOWING THE RECOMMENDATIONS MADE BY SECOND STATE FINANCE COMMISSION FOR DEVOLUTION OF CORE FUNCTIONS AND CONSULTATIVE FUNCTIONS TO URBAN LOCAL BODIES.

Sr. No.	Function	Amount ₹ in crore
A	Core functions	
1	Planning for economic and social development.	27.96
2	Urban forestry, protection of environment and promotion of ecological aspects.	343.97
3	Safeguarding the interest of the weaker sections of society, including the handicapped and mentally retarded	9.97
4	Slum improvement and upgradation	144.35
5	Urban Poverty Alleviation	20.89
6	Provision of public amenities and facilities such as parks, gardens, playgrounds	88.41
7	Provision of cultural, education and aesthetic aspects	7.99
8	Cattle pounds and prevention of cruelty to animals	4.79
9	Public amenities including street lighting, parking lots, bus stops and public conveyances	2.32
	TOTAL	650.65
B	Consultative functions	
1	Planning for economic and social development.	2.09
2	Water Supply for domestic, industrial and commercial purposes	534.15
3	Public Health, Sanitation, conservancy land solid waste management	39.22
4	Safeguarding the interest of the weaker sections of society, including the handicapped and mentally retarded	170.18
5	Provision of cultural, education and aesthetic aspects	45.73
6	Cattle pounds and prevention of cruelty to animals	0.44
7	Public amenities including street lighting, parking lots, bus stops and public conveyances	26.35
	TOTAL	818.16

Source: Report of the Second State Finance Commission

APPENDIX 1.3

(Referred to in Paragraph 1.8.2)

STATEMENT SHOWING THE ARREARS OF REVENUE AS OF MARCH 2012 IN RESPECT OF URBAN LOCAL BODIES

(₹ in lakh)

Sl. No.	Name of Municipal Council	Opening balance as on 01.04.2011	Demand including interest during the year 2011-12	Total	Collection during the year 2011-12	Balance due as on 31.3.2012
1	CCP	444.86	1058.68	1503.54	775.42	728.12
2	Margao	423.19	427.49	850.68	342.41	508.27
3	Mormugao	157.43	188.35	345.78	157.65	188.13
4	Mapusa	234.41	266.97	501.38	220.97	280.41
5	Ponda	66.84	95.78	162.62	65.47	97.15
6	Bicholim	59.75	63.50	123.25	39.59	83.66
7	Cuncoim	58.28	37.66	95.94	14.44	81.50
8	Canacona	17.08	32.33	49.41	27.37	22.04
9	Curchorem-Cacora	63.38	32.89	96.27	15.39	80.88
10	Quepem	26.28	23.20	49.48	20.35	29.13
11	Sanguem	10.06	3.95	14.01	1.63	12.38
12	Pernem	8.47	10.40	18.87	5.91	12.96
13	Valpoi	4.07	5.85	9.92	4.93	4.99
14	Sanquelim	66.36	49.89	116.25	23.38	92.87
	Total	1640.46	2296.94	3937.40	1714.91	2222.49

Source: Information furnished by CCP/MCs

APPENDIX 1.4

(Referred to in Paragraph 1.8.3)

STATEMENT SHOWING THE YEAR-WISE BREAK-UP OF PENDING UCs IN RESPECT OF URBAN LOCAL BODIES AS OF JUNE 2012

(₹ in lakh)

Year	UCs due as on 01.07.2011		UCs received during 01.07.2011 to 30.06.2012		UCs due as on 30.06.2012	
	Nos.	Amount	Nos.	Amount	Nos.	Amount
1995-96	2	6.13	0	0	2	6.13
1996-97	2	2.84	0	0	2	2.84
1997-98	12	139.07	0	0	12	139.07
1998-99	13	142.24	0	0	13	142.24
1999-00	7	121.87	0	0	7	121.87
2000-01	25	212.76	0	0	25	212.76
2001-02	28	108.63	0	0	28	108.63
2002-03	61	1199.52	0	0	61	1199.52
2003-04	65	915.00	0	0	65	915.00
2004-05	53	791.17	0	0	53	791.17
2005-06	73	2450.96	0	0	73	2450.96
2006-07	82	2768.49	0	0	82	2768.49
2007-08	98	3176.06	0	0	98	3176.06
2008-09	96	3748.88	4	30.75	92	3718.13
2009-10	118	5041.75	6	150.76	112	4890.99
2010-11	129	6000.53	0	0	129	6000.53
Total	864	26825.90	10	181.51	854	26644.39

(Source: Director of Accounts)

APPENDIX 2.1

(Referred to in Paragraph 2.1.2)

STATEMENT SHOWING LAPSES OBSERVED IN LEASING OUT OF PREMISES BY MUNICIPAL AUTHORITIES.

Sl. No	Municipal Authority	Irregularity	Arrears/ loss as on 31.03.2012 (₹ in lakh)	Lessee
1.	Corporation of the City of Panaji	Non-revision of lease rent	96.20 *	Various leases
2.	Corporation of the City of Panaji	Outstanding lease rent arrears -	98.36 *	Various leases
3.	Margao Municipal Council	Non-execution of lease agreement	29.90	Hindustan Petroleum Corp. Ltd., and Bharath Petroleum Corp. Ltd.
4.	Margao Municipal Council	Outstanding lease rent arrears	49.79	Various leases
5.	Mormugao Municipal Council	Outstanding lease rent arrears	549.56	Various leases
6.	Mapusa Municipal Council	Non-revision of lease rent	68.30	Various leases
7.	Mapusa Municipal Council	Outstanding lease rent arrears	49.50	Various leases
8.	Mapusa Municipal Council	Non-renewal of lease agreements	Details not available	Various leases
9.	Bicholim Municipal Council	Outstanding lease rent arrears	71.99	Various leases
10.	Cuncolim Municipal Council	Outstanding lease rent arrears	3.01	Various leases
11.	Canacona Municipal Council	Non-recovery of lease rent	7.21	Government Taluka Library, Canacona
12.	Canacona Municipal Council	Non-revision of lease rent	5.44	Various leases
13.	Canacona Municipal Council	Outstanding lease rent arrears	4.16	Various leases
14.	Curcholem-Cacora Municipal Council	Outstanding lease rent arrears	23.18	Various leases
15.	Quepem Municipal Council	Non-revision of lease rent	15.33	Various leases
16.	Quepem Municipal Council	Outstanding lease rent arrears	58.59	Various leases
17.	Sanguem Municipal Council	Outstanding lease rent arrears	12.12	Various leases
18.	Pernem Municipal Council	Outstanding lease rent arrears	9.47	Various leases
19.	Pernem Municipal Council	Non-renewal of lease agreements	Details not available	Various leases
20.	Valpoi Municipal Council	Outstanding lease rent arrears	2.74	Various leases
21.	Valpoi Municipal Council	Non-renewal of lease agreement	Details not available	Various leases
22.	Sanquelim Municipal Council	Outstanding lease rent arrears	24.78	Various leases
Total			1179.63	

*as on 31.03.2011

APPENDIX 2.2

(Referred to in Paragraph 2.1.10)

**STATEMENT SHOWING SHORT REMITTANCE OF REVENUE COLLECTION OF
PERNEM MUNICIPAL COUNCIL TO BANK ACCOUNT.**

(Amount in ₹)

Date	Amount deposited into GSCB as per Cash Book	Amount deposited as per the Pass Book	Short Remittance
2009-10			
16.04.09	7853	0	7853
30.04.09	5533	0	5533
04.05.09	17917	12917	5000
08.05.09	14133	12129	2004
18.05.09	4046	0	4046
10.09.09	1125	0	1125
19.10.09	775	885	-110
19.11.09	14051	0	14051
22.12.09	8510	4510	4000
28.01.10	4848	0	4848
22.03.10	5489	0	5489
23.03.10	3195	0	3195
25.03.10	4545	0	4545
26.03.10	768	0	768
29.03.10	686	0	686
30.03.10	8909	0	8909
31.03.10	12287	4911	7376
2010-11			
13.07.10	1612	0	1612
04.08.10	5246	2700	2546
25.11.10	3000	0	3000
09.12.10	7268	4263	3005
25.01.11	764	0	764
22.02.11	18309	0	18309
11.03.11	6230	2730	3500
30.03.11	1470	9	1461
2011-12			
02.06.11	1400	0	1400
23.06.11	3511	5283	-1772
24.06.11	5210	0	5210
12.09.11	3938	2170	1768
16.12.11	1753	3000	-1247
26.12.11	4753	3000	1753
27.02.12	9053	4065	4988
30.03.12	47273	0	47273
Total	235460	62572	172888

APPENDIX 3.1*(Referred to in Paragraph 3.4.1)***FUNCTIONS AND RESPONSIBILITIES OF VILLAGE PANCHAYATS**

Sl. No.	Main Functions	Sub functions
I	General Functions	(1)Preparation of annual plans for the development of the Panchayat area. (2)Preparation of annual budget. (3)Providing relief in natural calamities. (4)Removal of encroachments on public properties. (5)Organizing voluntary labour and contribution for community works. (6)Maintenance of essential statistics of the village. (7)Demolition of unauthorised construction.
II	Agriculture, including agricultural extension	(1)Development of waste lands. (2)Development and maintenance of grazing lands and preventing their unauthorized alienation and use.
III	Animal Husbandry, Dairying and Poultry	(1)Promotion of dairy farming, poultry and piggery. (2)Grass land development.
IV	Fisheries	(1)Development of fisheries in the villages.
V	Social and Farm Forestry, minor Forest Produce Fuel and Fodder	(1)Planting and preservation of trees on the sides of roads and other public lands under its control. (2)Fuel plantation and fodder development. (3)Promotion of farm forestry. (4)Development of Social forestry.
VI	Khadi, Village and Cottage Industries	(1)Promotion of rural and cottage industries. (2)Organization of conferences, seminars and training programmes, agricultural and industrial exhibitions for the benefit of the rural areas.
VII	Rural Housing	(1)Distribution of house sites within Village Panchayat limits. (2)Maintenance of records relating to the house, sites and other private and public properties.
VIII	Drinking water	(1)Construction, repairs and maintenance of drinking water well, tanks and ponds. (2)Prevention and control of water pollution. (3)Maintenance of rural water supply schemes.
IX	Roads, buildings, culverts, bridges, ferries, waterways and other means of communication	(1)Construction and maintenance of village roads, drains and culverts. (2)Maintenance of buildings under its control or transferred to it by the Government or any public authority.
X	Rural electrification	Providing for and maintenance of lighting of public streets and other places.
XI	Non-conventional energy source	(1)Promotion and Development of non-conventional energy schemes. (2)Maintenance of community non-conventional energy devices, including bio-gas plants. (3)Promotion of approved chulhas & other efficient energy devices.

Sl. No.	Main Functions	Sub functions
XII	Poverty alleviation programmes	(1) Promotion of public awareness and participation in poverty alleviation programmes for fuller employment and creation of productive assets, etc. (2) Selection of beneficiaries under various programmes. (3) Participation in effective implementation and monitoring.
XIII	Education including Primary Schools	(1) Promotion of public awareness and participation in primary education. (2) Ensuring full enrolment and attendance in primary schools.
XIV	Adult and non-formal education	Promotion of adult literacy.
XV	Libraries	Village libraries and reading rooms.
XVI	Cultural activities	Promotion of social and cultural activities.
XVII	Markets and fairs	Regulation and fairs (including cattle fairs) and festivals.
XVIII	Rural sanitation	(1) Maintenance of general sanitation. (2) Cleaning of public roads, drains, tanks, wells and other public places. (3) Maintenance and regulation of burning and burial grounds. (4) Construction and maintenance of public latrines. (5) Disposal of unclaimed corpses and carcasses. (6) Management and control of washing and bathing ghats.
XIX	Public Health and Family Welfare	(1) Implementation of Family Welfare Programmes. (2) Prevention and remedial measures against epidemics. (3) Regulation of sale of meat, fish and other perishable food articles. (4) Participation in programmes of human and animal vaccination. (5) Licensing of eating and entertainment establishments. (6) Destruction of stray dogs. (7) Regulation of offensive and dangerous trades. (8) Regulation of curing, tanning and dyeing of skins & hides.
XX	Women and child development	(1) Participation in the implementation of women and child welfare programme. (2) Promotion of schools, health and nutrition programmes.
XXI	Social welfare, including welfare of the handicapped and mentally retarded	(1) Participation in the implementation of the social welfare programme, including welfare of the handicapped, mentally retarded and destitute. (2) Monitoring of old age and widows pension schemes.
XXII	Welfare of the Weaker Section and in particular the Scheduled Castes and Scheduled Tribes	(1) Promotion of public awareness with regard to Welfare of Scheduled Castes, Scheduled Tribes & other weaker sections. (2) Participation in the implementation of the specific programmes for the welfare of the weaker sections.
XXIII	Maintenance of community assets	(1) Maintenance of community assets. (2) Preservation and Maintenance of other community assets.

Sl. No.	Main Functions	Sub functions
XXIV	Construction and maintenance of cattle sheds, ponds and cart stands	--
XXV	Construction and maintenance of slaughter houses	--
XXVI	Maintenance of Public Parks, playgrounds etc.	--
XXVII	Regulation of manure pits in public places	--
XXVIII	Establishment and control of Shandies	--
XXIX	Such other functions as may be entrusted	--

APPENDIX 3.2

(Referred to in Paragraph 3.4.1)

FUNCTIONS AND RESPONSIBILITIES OF ZILLA PANCHAYATS

Sl. No.	Main Functions	Sub functions
I	General functions	Overall supervision, co-ordination and integration of development schemes at District levels and preparing the plan for the development of the District.
II	Agriculture (including Agricultural Extension) and Horticulture	(1) Establishment and maintenance of godown. (2) Management of agricultural and horticultural extensions and training centres. (3) Training of farmers.
III	Land improvement and soil conservation	Planning and implementation of land improvement and soil conservation programmes entrusted by the Government.
IV	Minor Irrigation, Water Management and Watershed Development	(1) Providing for the timely and equitable distribution and full use of water under irrigation schemes under the control of the Zilla Panchayat. (2) Development of ground water resources. (3) Supervision over the minor irrigation works undertaken by Taluka Panchayat.
V	Animal Husbandry, Dairying and Poultry	(1) Supervision over the Village Veterinary Hospitals, first-aid Centres and Mobile Veterinary dispensaries; (2) Training for farmers of dairy farming, Poultry and Piggery.
VI	Fisheries	(1) Development of fisheries in irrigation works vested in the Zilla Panchayat; (2) Promotion of inland, brackish water and marine fish culture.
VII	Khadi, Village and Cottage Industries	(1) Establishment and Management of Training-cum-Production Centre; (2) Organisation of marketing facilities for products of cottage and village industries.
VIII	Small-Scale industries including Food Processing Industries	Educating youth for establishment of Small-Scale Industries.
IX	Rural Housing	Guidance to the Taluka Panchayat for Promotion of Rural Housing Programme.
X	Drinking Water	Guidance for promotion of drinking water and rural sanitation to Taluka Panchayat and Village Panchayat.
XI	Minor forest produce and Fuel and Fodder	Guidance for the management of minor forest produce of the forest raised community lands.
XII	Roads, Buildings, Bridges, Ferries, Waterways and other means of communication	(1) Construction and maintenance of District roads and culverts, causeways and bridges (excluding State Highways and Village roads); (2) Construction of administrative and other buildings connected with the requirements of the Zilla Panchayat; (3) Supervision over the works undertaken by Village Panchayat and Taluka Panchayat as regards the construction of roads.

XIII	Non-Conventional, Energy sources	Promotion and development of non-conventional energy sources.
XIV	Poverty Alleviation Programmes	Supervision over the implementation of poverty alleviation programmes in the Village Panchayat.
XV	Education including primary schools	(1) Promotion of educational activities in the District including the establishment and maintenance of Higher Secondary Schools; (2) Establishment and maintenance of Ashram School and orphanages. (3) Survey and evaluation of education activities; (4) Construction and maintenance of Higher Secondary Schools.
XVI	Technical training and Vocational Education	Encouraging and assisting rural vocational training.
XVII	Adult and Non-formal Education	Supervision over the implementation of programmes of Adult Literacy and Non-formal Education Programme.
XVIII	Health and Family Welfare	(1) Management of Hospitals and dispensaries excluding those under the management of Government or any local authority; (2) Supervision over the implementation of maternity and Child Health programme; (3) Supervision over the implementation of family welfare programme; (4) Supervision over the implementation of immunisation and vaccination programme.
XIX	Woman and Child Development	(1) Supervision over the promotion of programme relating to development of Women and Children; (2) Supervision over the promotion of school health and nutrition programme; (3) Supervision over the promotion of participation of voluntary organisation in Women and Child Development Programmes.
XX	Welfare of the weaker sections and in particular of handicapped and mentally retarded	Promotion of Social Welfare Programme including Welfare of handicapped, mentally retarded and destitutes.
XXI	Welfare of the weaker sections and in particular of the Scheduled Castes and Scheduled Tribes	Supervision and management of hostels in the District, distribution of grants, loans and subsidies to individuals and other Schemes for the welfare of Scheduled Castes, Scheduled Tribes and Backward Classes.
XXII	Maintenance of Community assets	Supervision and guidance over the community assets maintained by Taluka Panchayat and Village Panchayat.
XXIII	Cultural activities	Promotion of social and cultural activities.
XXIV	Rural electrification	Supervision over electrification by Taluka Panchayat and Village Panchayat.
XXV	Libraries	Supervision over the construction of libraries by Taluka Panchayat and Village Panchayat.
XXVI	Such other functions as may be entrusted	--

APPENDIX 3.3*(Referred to in Paragraph 3.4.2)***STATEMENT SHOWING THE RECOMMENDATIONS MADE BY SECOND STATE FINANCE COMMISSION FOR DEVOLUTION OF CORE FUNCTIONS AND CONSULTATIVE FUNCTIONS TO VILLAGE PANCHAYATS AND ZILLA PANCHAYATS.**

Sr. No.	Function	Amount ₹ in crore
	VILLAGE PANCHAYATS	
A	Core Functions	
1	General function	287.21
2	Agriculture including Agricultural extension	36.62
3	Animal Husbandry, Dairying and Poultry	33.93
4	Fisheries	1.41
5	Social and Farm Forestry, Minor Forestry Produce, Fuel and fodder	7.85
6	Khadi, village and Cottage industries	40.29
7	Roads, Buildings, culverts, bridges, ferries, waterways and other means of communication	1.66
8	Rural Electrification	16.58
9	Poverty alleviation programmes	32.86
10	Education including Primary Schools	34.81
11	Libraries	5.52
12	Public Health and Family Welfare	19.28
13	Women and Child Development	59.18
14	Social welfare including welfare of handicapped and mentally retarded	53.05
15	Welfare of weaker sections and in particular the SC and ST	9.67
16	Maintenance of Community Assets	27.63
17	Construction and maintenance of Cattle sheds, ponds, cart stands	00
18	Construction and maintenance of slaughter houses	00
19	Maintenance of public parks, playgrounds, etc	55.26
20	Regulation of manure pits in public places	00
21	Establishment and control of Shanties	00
	TOTAL	722.81
B	Consultative Functions	
1	Agriculture including Agricultural extension	5.53
2	Animal Husbandry, Dairying and Poultry	19.68
3	Fisheries	1.88
4	Social and Farm Forestry, Minor Forestry Produce, Fuel and fodder	4.06
5	Khadi, village and Cottage industries	1.66
6	Rural Housing	2.76
7	Drinking Water	245.03
8	Roads, Buildings, culverts, bridges, ferries, waterways and other means of communication	595.38
9	Rural Electrification	32.77
10	Poverty alleviation programmes	11.47

11	Education including Primary Schools	48.67
12	Adult and non-formal education	2.55
13	Rural sanitation	15.46
14	Public Health and Family Welfare	125.14
15	Women and Child Development	1.86
16	Social welfare including welfare of handicapped and mentally retarded	9.61
17	Welfare of weaker sections and in particular the SC and ST	7.52
	Total	1131.03
Sr. No.	Function	Amount ₹ in crore
A	ZILLA PANCHAYATS	
	Core Functions	
1	General	58.87
2	Land improvement and soil conservation	140.79
3	Animal Husbandry, Dairying and Poultry	10.45
4	Fisheries	4.02
5	Minor Forestry Produce, Fuel and fodder	9.21
6	Poverty alleviation programmes	6.46
7	Education including Primary Schools	16.31
8	Public Health and Family Welfare	15.17
9	Women and Child Development	20.75
10	Libraries	2.48
	TOTAL	284.51
B	Consultative Functions	
1	Agriculture including Agricultural extension	21.39
2	Land improvement and soil conservation	5.77
3	Animal Husbandry, Dairying and Poultry	0.66
4	Khadi, village and Cottage industries	3.01
5	Small Scale Industries including Food Processing Industries	0.12
6	Drinking Water	0.01
7	Roads, Buildings, culverts, bridges, ferries, waterways and other means of communication	124.34
8	Education including Primary Schools	17.39
9	Health and Family Welfare	0.99
10	Women and Child Development	245.89
11	Rural Electrification	40.04
	TOTAL	459.61

Source: Report of the Second State Finance Commission

APPENDIX 3.4

(Referred to in Paragraph 3.8)

STATEMENT SHOWING THE YEAR-WISE BREAK-UP OF PENDING UCs IN RESPECT OF ZPS AND VPS AS OF JUNE 2012

(₹ in lakh)

Year	UCs due as on 01.07.2011		UCs received during 01.07.2011 to 30.06.2012		UCs due as on 30.06.2012	
	Nos.	Amount	Nos.	Amount	Nos.	Amount
Upto 1991-92	895	35.21	0	0.00	895	35.21
1992-93	66	1.86	0	0.00	66	1.86
1993-94	46	4.69	0	0.00	46	4.69
1994-95	15	4.20	0	0.00	15	4.20
1995-96	60	7.82	0	0.00	60	7.82
1996-97	40	9.74	0	0.00	40	9.74
1997-98	77	22.95	0	0.00	77	22.95
1998-99	38	34.37	0	0.00	38	34.37
1999-00	33	142.61	0	0.00	33	142.61
2000-01	78	236.56	4	90.00	74	146.56
2001-02	71	373.61	4	123.00	67	250.61
2002-03	134	288.05	1	42.00	133	246.05
2003-04	205	560.87	4	50.00	201	510.87
2004-05	139	1066.99	0	0.00	139	1066.99
2005-06	127	1402.50	0	0.00	127	1402.50
2006-07	145	1350.86	0	0.00	145	1350.86
2007-08	124	1205.90	0	0.00	124	1205.90
2008-09	196	2070.78	2	6.04	194	2064.74
2009-10	221	2222.29	17	116.94	204	2105.35
2010-11	266	2544.45	0	0.00	266	2544.45
Total	2976	13586.31	32	427.98	2944	13158.33

Source: Director of Accounts