

Appendix-1.1

(Reference: Paragraph 1.1, & 1.2; Page 1 & 3)

Part-A Structure and Form of Government Accounts

Structure of Government Accounts

The accounts of the State Government are kept in three parts (i) Consolidated Fund, (ii) Contingency Fund and (iii) Public Account.

Part-I: Consolidated Fund

All revenues received by the State Government, all loans raised by issue of treasury bills, internal and external loans and all moneys received by the Government in repayment of loans shall form one consolidated fund entitled 'The Consolidated Fund of State' established under Article 266(1) of the Constitution of India.

Part-II: Contingency Fund

Contingency Fund of State established under Article 267(2) of the Constitution is in the nature of an imprest placed at the disposal of the Governor to enable him to make advances to meet urgent unforeseen expenditure, pending authorisation by Legislature. Approval of the Legislature for such expenditure and for withdrawal of an equivalent amount from the Consolidated Fund is subsequently obtained, whereupon the advances from the Contingency Fund are recouped to the Fund.

Part-III: Public Account

Receipts and disbursements in respect of certain transaction such as small savings, provident funds, reserve funds, deposit, suspense, remittances, etc., which do not form part of the Consolidated Fund, are kept in the Public Account set up under Article 266(2) of the Constitution and are not subject to vote by the State Legislature.

Part-B Layout of Finance Accounts

Statement No. 1	Presents the summary of transactions of the State Government-receipts and expenditure, revenue and capital, public debt receipts and disbursements etc. in the Consolidated Fund, Contingency Fund and Public Account of the State.
Statement No. 2	Contains the summarised statement of capital outlay showing progressive expenditure to the end of 2006-2007.
Statement No.3	Gives financial results of irrigation works, their revenue receipts, working expenses and maintenance charges, capital outlay, net profit or loss, etc.
Statement No. 4	Indicates the summary of debt position of the State, which includes borrowings from internal debt, Government of India, other obligations and servicing of debt.
Statement No. 5	Gives the summary of loans and advances given by the State Government during the year, repayments made, recoveries in the arrears, etc.
Statement No. 6	Gives the summary of guarantees given by the Government for repayment of loans etc. raised by the statutory corporations, local bodies and other institutions.
Statement No. 7	Gives the summary of cash balances and investments made out of such balances.
Statement No. 8	Depicts the summary of balances under Consolidated Fund, Contingency Fund and Public Account as on 31 March 2007.
Statement No. 9	Shows the revenue and expenditure under different heads for the year 2006-2007 as a percentage of total revenue/expenditure.
Statement No. 10	Indicates the distribution between the charged and voted expenditure incurred during the year.
Statement No. 11	Indicates the detailed account of revenue receipts by minor heads.
Statement No. 12	Provides accounts of revenue expenditure by minor heads under non-plan, and plan separately and capital expenditure by major head-wise.
Statement No. 13	Depicts the detailed capital expenditure incurred during and to the end of 2006-2007.
Statement No. 14	Shows the details of investment of the State Government in statutory corporations, Government companies, other joint stock companies, cooperative banks and societies etc. up to the end of 2006-2007.
Statement No. 15	Depicts the capital and other expenditure to end of the 2006-2007 and the principal sources from which the funds were provided for that expenditure.
Statement No. 16	Gives the detailed account of receipts, disbursements and balances under heads of account relating to Debt, Contingency Fund and Public Account.
Statement No. 17	Presents the detailed account of debt and other interest bearing obligations of the Government of Uttarakhand.
Statement No. 18	Provides the detailed account of loans and advances given by the Government of Uttarakhand, the amount of loans repaid during the year, the balances as on 31 March 2007.
Statement No. 19	Gives the details of earmarked balances of reserve funds.

Part-C List of terms used in Chapter-I and basis for their calculation

Terms	Basis for calculation
Buoyancy of a parameter	Rate of Growth of the parameter/GSDP Growth
Buoyancy of a parameter (X) with respect to another parameter (Y)	Rate of Growth of the parameter (X)/Rate of Growth of the parameter (Y)
Rate of Growth (ROG)	$[(\text{Current year Amount}/\text{Previous year Amount})-1]*100$
Development Expenditure	Social Services + Economic Services
Interest spread	GSDP growth – Weighted Interest Rates
Weighted Interest Rate (Average interest paid by the State)	$\text{Interest Payment}/[(\text{Amount of previous year's Fiscal Liabilities} + \text{Current year's Fiscal Liabilities})/2]*100$
Quantum spread	Debt stock *Interest spread
Interest received as <i>per cent</i> to Loans outstanding	$\text{Interest Received} [(\text{Opening balance} + \text{Closing balance of Loans and Advances})/2]* 100$
Revenue Deficit	Revenue Receipt – Revenue Expenditure
Fiscal Deficit	Revenue Expenditure + Capital Expenditure + Net Loans and Advances – Revenue Receipts –Miscellaneous Capital Receipts
Primary Deficit	Fiscal Deficit – Interest Payments
Balance from Current Revenue (BCR)	Revenue Receipts <u>minus</u> all Plan grants and Non-Plan Revenue Expenditure excluding expenditure recorded under the major head 2048-Appropriation for Reduction or Avoidance of Debt

Appendix-1.2

(Reference: Paragraph 1.2, 1.6.1; page 3 & 20)

**Summarised financial position of the Government of Uttarakhand as on
31 March 2007**

(Rupees in crore)

As on 31 March 2006	Liabilities	As on 31 March 2007	As on 31 March 2006	Assets	As on 31 March 2007
9094.05	Internal Debt (Excluding Overdrafts from RBI)	10093.71	4070.95	Gross Capital Outlay on Fixed Assets	5770.22
3948.41	Market Loans bearing interest	4267.29	668.71	Investments in shares of Companies, Corporations etc.	761.61
0.35	Market Loans not bearing interest	0.31	3402.24	Other Capital Outlays	5008.61
1.50	Loans from the LIC of India	1.50	482.79	Loans and advances	565.68
1036.91	Loans from other Institutions	1137.91	9.56	Loans for Special Area Programmes	9.54
4076.19	Special Securities issued to NSS fund	4656.01	473.23	Other Development Loans	556.14
30.69	Ways and Means Advances from RBI	30.69	-	Contingency Fund
468.27	Loans and Advances from the Central Government	459.46	793.38	Suspense & Miscellaneous Balances	1284.89
21.60	Pre 1984-85 Loans	17.62	324.60	Remittance	290.07
59.27	Non-Plan Loans	66.08	669.17	Cash	789.54
362.88	Loans for State Plan Schemes	346.11	(-)52.89	Cash in Treasuries and Local Remittances	(-) 17.77
0.06	Loans for Central Plan Schemes	0.06	156.96	Deposits with Reserve Bank	92.04
24.46	Loans for Centrally Sponsored Plan Schemes	29.59	(-)1.59	Departmental Cash Balances	(-) 1.88
-	Ways and Means Advances from Central Government	-	(-)0.88	Permanent Advances	(-) 0.87
1112.91	Small Savings, Provident Funds, etc.	1201.32	117.57	Cash Balance Investments	133.02
967.17	Deposits & Advances	1141.64	450.00	Investment of Earmarked funds	585.00
-	Remittances	--	5852.68	Deficit on Government Accounts	4956.27
-	Suspense and Miscellaneous Balances	--	73.95	Revenue Surplus of the Current Period	896.41
521.66	Reserve Funds	722.28	5778.73	Accumulated Revenue Deficit	5767.78
29.51	Contingency Fund	38.26	-	Appropriation to Contingency Fund	85.00
-		-	-	Miscellaneous Government Cash Account	0.10
12193.57	Total	13656.67	12193.57	Total	13656.67

Appendix-1.3

(Reference: Paragraph 1.2; page 3)

Abstract of Receipts and Disbursements for the year 2006-2007

(Rupees in crore)

Receipts					Disbursements					
2005-2006		2006-2007			2005-06	2006-07				
1	2	3	4	5	6	7	Non-plan	Plan	Total	11
Section-A: Revenue										
5537.02		I Revenue Receipts		7373.22	5610.97	I Revenue Expenditure	4900.17	1576.64	...	6476.81
	1784.69	Tax revenue	2513.78		2026.97	<i>General Services</i>	2375.86	1.53	2377.39	
	650.09	Non-tax revenue	646.82		2255.70	<i>Social Services</i>	1560.67	894.26	2454.93	
	1009.82	State's share of Union taxes	1131.83		1254.80	Education, Sports, Art and Culture	1087.11	326.99	1414.10	
	1120.34	Non-Plan grants	1450.65		278.32	Health and Family Welfare	175.23	90.01	265.24	
	819.77	Grants for State Plan Scheme	1445.40		387.54	Water Supply, Sanitation, Housing and Urban Development	54.31	281.02	335.33	
	152.31	Grants for Central and Centrally sponsored Plan schemes	184.74		12.83	Information and Broadcasting	13.75	1.97	15.72	
					111.63	Welfare of Scheduled Castes, Scheduled Tribes and other Backward Classes	46.36	48.20	94.56	
					27.27	Labour and Labour Welfare	19.14	10.57	29.71	
					173.11	Social Welfare and Nutrition	151.13	133.65	284.78	
					10.20	Others	13.64	1.85	15.49	
					1211.66	Economic Services	692.45	680.76	1373.21	
					508.02	Agriculture and Allied Activities	301.85	303.84	605.69	
					264.82	Rural Development	75.18	210.45	285.63	
					...	Special Area Programmes	--	--	--	
					167.98	Irrigation and Flood Control	154.70	5.14	159.84	
					26.86	Industry and Minerals	11.37	17.78	29.15	
					94.52	Energy	1.57	38.81	40.38	
					75.44	Transport	136.02	27.37	163.39	
					17.00	Science, Technology and Environment	--	13.41	13.41	
					57.02	General Economic Services	11.76	63.96	75.72	
					116.64	Grants-in-aid/Contribution	271.19	0.09	271.28	
73.95		II Revenue deficit carried over to Section B				- II Revenue surplus carried over to Section B				896.41
5610.97		Total		7373.22	5610.97	Total				7373.22
Section-B: Capital										
286.18		III Opening Cash balance including permanent advances and Cash Balance Investment Accounts etc.,		669.17		- III Opening Overdraft from RBI				
-		IV Miscellaneous capital receipts			1705.43	IV Capital Outlay	97.48	1601.78		1699.26
					187.27	<i>General Services</i>	84.00	88.83	172.83	
					208.03	<i>Social Services</i>	1.43	370.69	372.12	
					64.03	Education, Sports, Art and Culture	--	129.11	129.11	
					73.40	Health and Family Welfare	0.17	146.10	146.27	
					14.64	Water Supply, Sanitation, Housing and Urban Development	1.26	23.34	24.60	
					45.62	Welfare of Scheduled Castes, Scheduled Tribes and other Backward Classes	--	66.84	66.84	
					6.77	Social Welfare and Nutrition	--	1.20	1.20	
					3.57	Other Social Services	--	4.10	4.10	
					1310.13	<i>Economic Services</i>	12.05	1142.26	1154.31	

Audit Report for the year ended 31 March 2007

Receipts					Disbursements					
2005-2006		2006-2007			2005-06				2006-07	
1	2	3	4	5	6	7	Non-plan	Plan	Total	11
1	2	3	4	5	6	7	8	9	10	11
					77.42	Agriculture and Allied Activities	12.05	31.58	43.63	
					31.77	Other Rural Development Programmes	--	72.35	72.35	
					225.36	Irrigation and Flood Control	--	305.83	305.83	
					176.50	Industry and Minerals	--	(-77.12)	(-77.12)	
					542.50	Transport	--	598.95	598.95	
					210.34	Power Project	--	158.94	158.94	
					46.24	General Economic Services	--	51.73	51.73	
36.37		V Recoveries of Loans and Advances	...	19.50	135.22	V Loans and Advances disbursed	11.41	90.97	-	102.38
	5.71	From Government Servants	6.40		113.65	For Economic Services	--	86.97	86.97	
	30.66	From others	13.10		21.57	To others	11.41	4.00	15.41	
		VI Revenue surplus brought down		896.41	73.95	VI Revenue deficit	--	--		--
1756.65		VII Public Debt Receipts		1228.22	247.10	VII Repayment of Public Debt				237.39
	1748.79	Internal Debt other than Ways and Means Advances and Overdrafts	1208.13		181.50	Internal Debt other than Ways and Means Advances and Overdrafts	208.48		208.48	
	-	Net transactions under Ways and Means Advances (RBI)	--		34.77	Net transactions under Ways and Means Advances	--			
	7.86	Loans and Advances from Central Govt. other than Ways and Means Advances	20.09		17.95	Repayments of Loans and Advances to Central Government.	28.91		28.91	
	-	Ways and Means Advances (GOI)	--	--	12.88	Ways and Means Advances (GOI)	--			
	-	VIII Appropriation to Contingency Fund	--	--	--	VIII Appropriation to Contingency Fund	--	--	--	--
16.14		IX Amount transferred to Contingency Fund		34.20	44.80	IX Expenditure from Contingency Fund				25.45
19696.88		X Public Account Receipts		11233.44	18916.55	X Public Account Disbursements				11226.92
	320.77	Small Savings and Provident Funds	329.87		220.64	Small Savings and Provident Funds			241.46	
	256.48	Reserve Funds	222.60		112.79	Reserve Funds			21.97	
	14239.43	Suspense and Miscellaneous	5574.06		13681.50	Suspense and Miscellaneous			6065.58	
	2680.67	Remittances	2903.09		2898.02	Remittances			2868.56	
	2199.53	Deposits and Advances	2203.82		2003.60	Deposits and Advances			2029.35	
				...	669.17	XI Cash Balance at end				789.54
					(-) 52.89	Cash in Treasuries and Local Remittances			(-) 17.77	
					156.96	Deposits with Reserve Bank			92.04	
					(-) 2.47	Departmental Cash Balances including Permanent Advances			(-) 2.75	
					117.57	Cash Balance Investments			133.02	
					450.00	Investment of Earmarked funds			585.00	
21792.22		Total		14080.94	21792.22	Total			...	14080.94

Explanatory Notes for Appendices 1.2 and 1.3

- The abridged account in the foregoing statements has to be read with comments and explanations in Finance Accounts.
- Government accounts being mainly on cash basis, the deficit on Government account, as shown in Appendix 1 indicates the position on cash basis, as opposed to accrual basis in commercial accounting. Consequently, items payable or receivable or items like depreciation or variation in stock figures etc., do not figure in the accounts.
- Suspense and Miscellaneous balances include cheques issued but not paid, payments made on behalf of the State and others pending settlement, etc.
- There was an unreconciled difference of Rs. 83.82 crore (credit) between the figures reflected in the accounts and that intimated by the RBI under "Deposits with Reserve Bank". A net difference of Rs 83.82 crore (credit) were awaiting reconciliation (March 2007).

Appendix-1.4

(Reference: Paragraph 1.2; page 3)

Sources and Application of Funds

(Rupees in crore)

2005-2006			Source	2006-07	
5537.02		1	Revenue Receipts		7373.22
36.37		2	Recoveries of Loans and Advances		19.50
1509.54		3	Increase in Public Debt* other than overdraft		990.83
	403.61		Market Loans bearing interest	318.87	
	(-)0.04		Market Loans not bearing interest	(-) 0.04	
	-		Loans from LIC	
	145.44		Loans from other institutions	101.00	
	1018.28		Special Securities issued	579.82	
	(-)34.77		Ways and Means advances from RBI	
	(-)5.95		Pre 1984-85 loans	(-)3.98	
	(-)0.68		Non-Plan loans	6.81	
	(-)6.79		Loans for State Plan Schemes	(-)16.77	
	(-)0.02		Loans for Central Plan Schemes	(-)0.01	
	3.34		Loans for Centrally Sponsored Plan Schemes	5.13	
	(-)12.88		Ways and Means Advances from GOI	
780.35		4	Net receipts from Public account		6.52
	100.14		Increase in Small Savings	88.41	
	195.94		Increase in Deposits & Advances	174.48	
	143.69		Increase in Reserve Funds	200.62	
	557.93		Net effect of Suspense and Miscellaneous transactions	(-)491.52	
	(-)217.35		Net effect of Remittance transactions	34.53	
		5	Net effect in closing cash balance		...
		6	Net effect of Contingency Transactions		8.75
7863.28			Total		8398.82
2005-06			Application		
5610.97		1	Revenue expenditure		6476.81
135.22		2	Lending for development and other purposes		102.38
1705.43		3	Capital Expenditure		1699.26
-		4	Appropriation to Contingency Fund	
28.66		5	Net effect of Contingency Transactions	
382.99		6	Net effect in closing cash balance		120.37
0.01		7	Miscellaneous Government Cash Account	
7863.28			Total		8398.82

* Includes Ways and Means Advances taken from Reserve Bank of India/Government of India

Appendix-1.5

(Reference: Paragraph 1.1.2 & 1.6.1; page 3 & 20)

Time Series Data on State Government Finances

(Rupees in crore)

	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07
1	2	3	4	5	6	7
Part A. Receipts						
I. Revenue Receipts	2608	3216	3600	4086	5537	7373
(i) Tax Revenue	971 (37)	1017(32)	1226(34)	1444(35)	1785(32)	2513(34)
Sales Tax/Trade Tax	486 (50)	549(54)	662(54)	793(55)	1014(57)	1361(54)
State Excise	232 (24)	246(24)	273(22)	292(20)	293(16)	373(15)
Taxes on vehicles	67 (7)	72(7)	86(7)	99(7)	115(6)	141(6)
Stamps and Registration fees	89 (9)	123(12)	169(14)	208(14)	333(19)	546(21)
Land Revenue	3 (1)	3(1)	13(1)	8(1)	9(1)	15(1)
Other Taxes	94 (9)	24(2)	23(2)	44(3)	21(1)	77(3)
(ii) Non- Tax Revenue	162 (6)	375(12)	370(10)	548(14)	650(12)	647(9)
(iii) State's share in union taxes	151 (6)	374(12)	435(12)	520(13)	1010(18)	1132(15)
(iv) Grants-in-aid from GOI	1324(51)	1450(45)	1569(44)	1574(38)	2092(38)	3081(42)
2. Miscellaneous Capital Receipts				-		
3. Total Revenue and Non Debt Capital Receipts (1+2)	2608	3216	3600	4086	5537	7373
4. Recoveries of Loans and Advances	4	3	23	87	36	20
5. Public Debt Receipts	775	1834	3063	1587	1757	1228
Internal Debt (Excluding Ways and Means Advances and Overdrafts)	567 (73)	1583(86)	2777(91)	1405(89)	1749(99)	1208(98)
Net Transactions under Ways and Means Advances and Overdraft	85 (11)	--	--	35(2)	--	--
Loans and Advances from Government of India	123 (16)	251(14)	286(9)	147(9)	8(1)	20(2)
6. Total Receipts in the Consolidated Fund (3+4+5)	3387	5053	6686	5760	7330	8621
7. Contingency Fund Receipts	30		55	24	16	34
8. Public Account Receipts	5131	6574	7499	8525	11029¹	11234
9. Total Receipts of the State (6+7+8)	8548	11627	14240	14309	18375¹	19889
Part B. Expenditure / Disbursement						
10. Revenue Expenditure	2938	3675	4360	5036	5611	6477
Plan	485 (17)	967(26)	1050(24)	1138(23)	1420(25)	1577(24)
Non Plan	2453(83)	2708(74)	3310(76)	3898(77)	4191(75)	4900(76)
General Services (including Interest payments)	1062 (36)	1187(32)	1461(33)	1901(38)	2027(36)	2378(37)
Economic Services	692 (24)	951(26)	1003(23)	1090(22)	1212(22)	1373(21)
Social Services	1120 (38)	1468(40)	1693(39)	1904(38)	2256(40)	2455(38)
Grants-in-aid and contributions	64 (2)	69(2)	203(5)	141(3)	116(2)	271(4)
11. Capital Expenditure	208	339	533	1136	1705	1699
Plan	101 (49)	129(38)	518(97)	1075(95)	1657(97)	1602(94)
Non Plan	107 (51)	210(62)	15(3)	61(5)	48(3)	97(6)
General Services	30 (14)	51(15)	58(11)	147(13)	187(11)	173(10)
Economic Services	151 (73)	223(66)	352(66)	826(73)	1310(77)	1154(68)

¹ Differs from the figures of Audit Report 2005-06, on account of proforma correction

	Social Services	27(13)	65(19)	123(23)	163(14)	208(12)	372(22)
12.	Disbursement of Loans and Advances	78	96	135	181	135	102
13.	Total (10+11+12)	3224	4110	5028	6353	7451	8278
14.	Repayments of Public Debt	78	823	1176	22	247	237
	Internal Debt (excluding Ways and Means Advances and Overdrafts)	1 (1)	3(1)	3(1)	-	181(73)	208(88)
	Net Transactions under Ways and Means advances and Overdraft	-	85(10)	-	-	35(14)	...
	Loans and Advances from GOI	77(99)	735(89)	1173(99)	22(100)	31(13)	29(12)
15.	Appropriation to Contingency Fund	30	-	55	-		-
16.	Total Disbursement out of Consolidated Fund (13+14+15)	3332	4933	6259	6375	7698	8515
17.	Contingency Fund disbursements	11	1	20	16	45	25
18.	Public Account disbursements	5480	6311	8121	7847	18917	11227
19.	Total Disbursement by the State (16+17+18)	8823	11245	14400	14238	26660	19767
Part C. Deficits							
20.	Revenue Deficit / Surplus (1-10)	330	459	760	950	74	(+)896
21.	Fiscal Deficit (3+4-13)	612	891	1405	2180	1878	885
22.	Primary Deficit / Surplus (21-23)	105	338	808	1364	1070	(+)79
Part D. Other Data							
23.	Interest Payments (included in revenue expenditure)	507	553	597	816	808	964
24.	Arrears of Revenue (Percentage of tax & Non-tax Revenue Receipt)	NA	185	NA	NA	313(9)	284(9)
25.	Financial Assistance to local bodies etc.	64	69	203	141	117	271
26.	Ways and Means Advances and Overdrafts (days)	145	150	56	200	54	114
27.	Interest on Ways and Means Advances/Overdraft	1.39	0.94	0.51	0.81	0.62	1.24
28.	Gross State Domestic Product (GSDP)²	16011	18675	20668	22765	25776	29881
29.	Outstanding Debt (year end)	4634	6003	8030	9910	11714	13034
30.	Outstanding Guarantees (year end)	--	--	743	1345	1345	1716
31.	Maximum amount Guaranteed (year end)	--	--	743	760	1345	1723
32.	Number of incomplete projects	--	94	492	578	848*	169
33.	Capital blocked in incomplete projects	--	737	1517	1737	1749*	271

Note: Figures in brackets represent percentages.

* inclusive of ongoing projects.

² GSDP figures for 2001-2007 have been intimated by the State Government.

Appendix-1.6

(Reference: Paragraph 1.2.1.3; page 5)

(Rupees in crore)

STATES OWN FISCAL CORRECTION PATH (APPENDIX-7 OF ANNUAL FINANCE STATEMENT, GOVERNMENT OF UTTARAKHAND)					
(A) STATE REVENUE ACCOUNT	2005-06	2006-07	2007-08	2008-09	2009-10
1. Own Tax Revenue	1784.69	2350.57	2745.43	3129.79	3583.57
2. Own Non-Tax Revenue	650.10	628.54	883.36	846.03	913.72
3. Total Own Revenue of the State	2434.79	2979.11	3628.79	3975.82	4497.29
4. Central Transfers	1009.82	1131.73	1245.09	1296.07	1493.67
5. Grants-in-aid Plan	972.08	1445.36	2748.14	3471.60	3847.05
6. Grants-in-aid Non Plan	1120.34	1287.13	1394.47	1222.26	1061.56
7. Total Central Transfers	3102.24	3864.22	5387.70	5989.93	6402.28
8. Total Revenue Receipts	5537.02	6843.33	9016.49	9965.76	10899.56
9. Plan Expenditure	1396.31	1582.16	2319.15	2516.28	2793.07
10. Non Plan Expenditure	4214.66	4919.33	5733.43	6575.88	7170.54
11. Salary Expenditure	1906.58	2099.78	2580.02	3315.80	3578.97
12. Pension Payments	453.05	523.19	599.97	619.17	712.05
13. Interest Payments	807.53	1012.34	1150.42	1238.26	1389.60
14. Subsidies (General)	--	--	--	--	--
15. Subsidies (Power)	--	--	--	--	--
16. Revenue Expenditure	5610.97	6485.28	8072.58	9092.16	9963.61
17. Salaries + Pension + Interest	3167.16	3635.31	4330.41	5173.23	5680.62
18. %age to RR	57.20	53.12	48.03	51.91	52.12
19. Revenue Deficit / Revenue Surplus	73.95	-358.05	-943.91	-873.60	-935.96
(B) CONSOLIDATED REVENUE ACCOUNT					
1. Energy Sector Profit / Loss (Excluding Grants)	--	--	--	--	--
2. Increase in debtors during the year	--	--	--	--	--
3. Off Budget Borrowings & Interest on SPV Borrowings	--	--	--	--	--
4. (Total 1 to 3)	--	--	--	--	--
5. Consolidated Revenue Surplus / Deficit (A19-B4)	73.95	(-358.05)	(-943.91)	(-873.60)	(-935.96)
(C) CONSOLIDATED DEBT					
1. Balance debt and liabilities*	11036.99	12623.84	14474.44	15843.43	17452.75
2. Total balance guarantees	1353.81	1712.44	1627.38	1790.12	1969.13
(a) Guarantees for Budgeted & SPV debt	--	--	--	--	--
(D) CAPITAL ACCOUNT					
1. Capital Outlay	1705.43	2253.99	2377.37	1864.66	2051.13
2. Loans and Advances	135.22	100.94	183.12	350.00	483.67
3. Recovery of Loans and Advances	36.37	119.33	156.36	139.49	209.01
4. Other Capital receipts	1976.89	1476.47	1695.74	1201.57	1389.82
(E) FISCAL DEFICIT					
	(-1878.23)	(-1877.55)	(-1460.21)	(-1201.57)	(-1389.82)
GSDP	25776.41	29881.12	34548.42	40031.83	46899.12
Actual / Assumed normal growth (Percentage)	13.23	15.92	15.62	15.87	17.15

* Includes Provident Fund Balances

Appendix-2.1

(Reference: Paragraph 2.3.1; page 36)

Details of savings against provisions under various Grants/Charged Appropriations

(Rupees in crore)

Sl. No.	Grant/Appropriation No.	Name of Grant/Appropriation	Total provision	Expenditure	Saving
Revenue-Voted					
1.	1	Legislature	10.06	9.56	0.50
2.	3	Council of Ministers	33.45	33.06	0.39
3.	4	Judicial Administration	43.53	27.94	15.59
4.	5	Election	15.61	14.25	1.36
5.	6	Revenue & General Administration	288.43	198.06	90.37
6.	7	Finance, Tax, Planning, Secretarial & Miscellaneous Services	1085.06	970.65	114.41
7.	8	Excise	4.47	3.91	0.56
8.	10	Police & Jail	329.23	299.31	29.92
9.	11	Education, Sports & Youth Welfare & Culture	1559.40	1388.26	171.14
10.	12	Medical Health & Family Welfare	430.25	258.18	172.07
11.	13	Water Supply, Housing & Urban Development	723.66	300.31	423.35
12.	14	Information	17.60	15.64	1.96
13.	15	Welfare	212.20	188.36	23.84
14.	16	Labour & Employment	73.23	30.76	42.47
15.	17	Agriculture Works & Research	239.51	181.86	57.65
16.	18	Co-operative	25.32	17.36	7.96
17.	19	Rural Development	311.56	240.11	71.45
18.	20	Irrigation & Flood	202.24	173.15	29.09
19.	21	Energy	45.91	37.24	8.67
20.	22	Public Works	330.02	261.61	68.41
21.	23	Industries	89.12	45.91	43.21
22.	24	Transport	39.85	18.28	21.57
23.	25	Food	16.89	13.99	2.90
24.	26	Tourism	24.01	22.82	1.19
25.	27	Forest	337.89	253.99	83.90
26.	28	Animal Husbandry	66.51	46.87	19.64
27.	29	Horticulture Development	63.45	51.50	11.95
28.	30	Welfare of Scheduled Castes	468.75	220.93	247.82
29.	31	Welfare of Scheduled Tribes	75.83	51.89	23.94
Total			7163.04	5375.76	1787.28
Revenue-Charged					
30.	1	Legislature	0.70	0.34	0.36
31.	2	Governor	2.60	1.90	0.70
32.	4	Judicial Administration	12.46	7.12	5.34
33.	6	Revenue & General Administration	0.87	0.83	0.04
34.	7	Finance, Tax, Planning, Secretariat & Miscellaneous Services	1146.75	1099.94	46.81
35.	9	Public Service Commission	3.94	1.93	2.01
36.	22	Public Works	3.06	2.05	1.01
37.	29	Horticulture Development	0.27	0.26	0.01
Total			1170.65	1114.37	56.28

Sl. No.	Grant/Appropriation No.	Name of Grant/Appropriation	Total provision	Expenditure	Saving
Capital-Voted					
38.	4	Judicial Administration	18.00	17.94	0.06
39.	6	Revenue & General Administration	122.92	52.58	70.34
40.	7	Finance, Tax, Planning, Secretariat & Miscellaneous Services	199.45	72.50	126.95
41.	8	Excise	0.50	-	0.50
42.	10	Police & Jail	69.39	50.54	18.85
43.	11	Education, Sports, Youth Welfare & Culture	181.75	110.58	71.17
44.	12	Medical, Health & Family Welfare	159.69	140.64	19.05
45.	15	Welfare	21.65	5.40	16.25
46.	16	Labour & Employment	16.80	8.91	7.89
47.	18	Co-operative	23.00	8.92	14.08
48.	19	Rural Development	58.21	52.71	5.50
49.	21	Energy	482.87	208.97	273.90
50.	23	Industries	146.25	23.56	122.69
51.	24	Transport	124.48	56.96	67.52
52.	26	Tourism	73.35	46.90	26.45
53.	27	Forest	93.50	3.87	89.63
54.	28	Animal Husbandry	21.29	12.80	8.49
55.	30	Welfare of Scheduled Castes	385.92	183.50	202.42
56.	31	Welfare of Scheduled Tribes	91.19	52.92	38.27
Total			2290.21	1110.20	1180.01
Capital-Charged					
57.	9	Public Service Commission	0.50	0.03	0.47
Total			0.50	0.03	0.47
Grand Total			10624.40	7600.36	3024.04

Appendix-2.2

(Reference: Paragraph 2.3.1; page 36)

Excess Expenditure over Grants/Charged Appropriations

(Rupees in crore)

Sl. No.	Grant/Appropriation No.	Name of Grant/ Appropriation	Total provision	Expenditure	Excess
Capital-Voted					
1.	17	Agriculture Works & Research	4.57	8.72	4.15
2.	20	Irrigation & Flood	370.21	393.73	23.52
3.	22	Public Works	487.48	545.74	58.26
4.	25	Food	5.00	409.16	404.16
5.	29	Horticulture Development	4.00	*	**
Total			867.26	1357.35	490.09
Capital-Charged					
5.	7	Finance, Tax, Planning, Secretariat & Miscellaneous Services	469.20	915.03	445.83
Total			469.20	915.03	445.83
Grand Total			1336.46	2272.38	935.92

* Grant No. 29: Actual expenditure is Rs. 4,00,14,822;

** Excess is Rs.14,822

Appendix-2.3
(Reference: Paragraph 2.3.2; page 37)
Areas in which major savings occurred

(Rupees in crore)

Grant/Appropriation No.	Major Head	Areas of major savings	Savings
Revenue-Voted			
6	2029	Land Revenue	18.04
	2053	District Administration	9.13
	2245	Relief on Account of Natural Calamities	54.93
7	2052	Secretariat General Services	10.99
	2054	Treasury & Accounts Administration	3.03
	2071	Pension & other Retirements Benefits	114.11
	3604	Compensation & Assignments to Local Bodies & Panchayati Raj Institutions	62.28
12	2210	Medical & Public Health	141.69
	2211	Family Welfare	4.09
13	2215	Water Supply & Sanitation-Water Supply	66.00
	2217	Assistance to Local Bodies Corporations Urban Development Authorities, Town Development Board etc.,	343.62
30	2215	Water Supply & Sanitation	11.57
	2225	Welfare of Scheduled Castes, Scheduled Tribes & OBCs.	19.90
	2217	Urban Development	117.94
	3451	Secretariat-Economic Services	13.70
Revenue-Charged			
7	2049	Interest Payments	136.11
Capital-Voted			
6	4059	Capital Outlay on Public Works	70.97
7	4059	Capital Outlay on Public Works	26.98
	7610	Loans to Government Servant	3.02
12	4210	Capital Outlay on Medical & Public Health	26.19
	4211	Capital Outlay on Family Welfare	5.73
21	4801	Capital Outlay on Power Project	146.98
	6801	Loans for Power Projects	127.64
30	4210	Capital Outlay on Medical & Public Health	12.33
	4211	Capital Outlay on Family Welfare	43.43
	4225	Capital Outlay on Welfare of Scheduled Castes, Scheduled Tribes & OBCs.	7.05
	4801	Capital Outlay on Power Project	37.94
	6801	Loans for Power Projects	43.37
Capital-Charged			
7	6003	Internal Debt of the State Government	24.59
	6004	Loans & advances from the Central Government	4.70

Appendix-2.4

(Reference: Paragraph 2.3.3; page 38)

Cases where entire provision remained unutilised (more than Rs. one crore)

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Major/Minor Head	Amount
Revenue Voted				
1.	4	Judicial Administration	2014/00/800/11	2.00
2.	7	Finance, Tax, Planning, Secretariat & Miscellaneous Services	2040/00/800/03	25.00
			2040/00/800/04	1.40
			2052/00/800/03	6.00
			2071/01/101/04	42.50
			2071/01/102/04	7.50
			2071/01/104/04	9.00
			2071/01/107/04	26.50
			2071/01/200/03	1.11
			3451/00/092/04	1.00
			3604/01/191/04	6.25
			3604/01/192/04	5.62
3.	11	Education, Sports, Youth Welfare & Culture	2202/03/103/06	1.02
			2202/03/103/10	1.90
4.	12	Medical, Health & Family Welfare	2210/01/800/10	1.25
			2210/03/110/20	1.16
			2210/06/800/08	1.00
5.	13	Water Supply, Housing & Urban Development	2217/03/001/08	3.00
			2217/03/191/01	17.00
			2217/03/191/97	10.00
			2217/03/800/01	90.24
			2217/03/800/03	2.00
			2217/03/800/04	4.00
6.	15	Welfare	2235/02/101/14	1.00
			2250/00/800/08	5.00
			2250/00/800/11	3.00
7.	17	Agriculture Works & Research	2401/00/114/03	5.00
8.	19	Rural Development	2515/00/101/01	1.99
			2515/00/101/11	3.00
9.	23	Industries	3425/60/004/09	5.00
10.	30	Welfare of Scheduled Castes	2210/06/800/01	2.80
			2215/01/101/01	4.50
			2217/03/191/01	5.00
			2217/03/191/03	47.63
			2217/03/800/01	65.31
			2225/01/800/06	5.00
			2225/01/800/07	2.00
			2225/01/800/13	5.00
			2225/01/800/14	2.00
			2230/01/103/02	1.48
			2401/00/800/97	4.61
			3451/00/092/01	8.10
			3451/00/092/03	5.60
11.	31	Welfare of Scheduled Tribes	2225/02/800/13	1.50
			2225/02/800/15	2.00
			2230/01/796/03	1.28
			3451/00/092/01	1.35
		Total		455.60

Capital Voted				
12.	7	Finance, Tax, Planning, Secretariat & Miscellaneous Services	4059/80/800/07	6.00
			4059/80/800/10	1.00
			6075/00/800/04	40.00
13.	11	Education, Sports, Youth Welfare & Culture	4202/03/102/07	2.00
			4202/03/102/11	5.00
			4202/04/800/01	4.40
14.	12	Medical, Health & Family Welfare	4210/01/001/03	5.00
			4210/01/110/10	2.00
			4210/01/110/16	1.00
			4210/03/105/05	8.20
15.	21	Energy	4801/05/190/01	36.90
			4801/05/190/03	5.00
			4801/05/190/05	53.91
			6801/01/190/97	15.54
			6801/05/190/01	5.00
16.	22	Public Works	5054/03/052/04	3.00
17.	23	Industries	4859/02/800/06	35.00
18.	29	Horticulture Development	4401/00/119/07	4.00
19.	30	Welfare of Scheduled Castes	4210/01/110/03	3.60
			4210/02/104/03	3.00
			4210/02/104/05	1.80
			4211/00/101/03	42.00
			4211/00/101/91	1.43
			4225/01/277/03	1.50
			4225/01/800/05	2.00
			4801/05/190/01	8.10
			4801/05/190/05	11.83
			6075/00/800/04	10.00
			6801/01/190/97	3.41
			6801/05/190/04	6.99
			6801/05/190/05	9.72
			6801/05/190/97	21.15
		Total		359.48
		Grand Total		815.08

Appendix-2.5

(Reference: Paragraph 2.3.4; page 38)

Cases where expenditure fell short by more than Rs. one crore each and also by more than 10 per cent of the total provision

Sl.No.	Grant/Appropriation Number	Name of Grant/ Appropriation	Savings (percentage of total provision)
Revenue-Voted			
1.	4	Judicial Administration	35.82
2.	6	Revenue & General Administration	31.33
3.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	10.54
4.	11	Education, Sports, Youth Welfare & Culture	10.97
5.	12	Medical , Health & Family Welfare	39.99
6.	13	Water Supply, Housing & Urban Development	58.50
7.	14	Information	11.16
8.	15	Welfare	11.23
9.	16	Labour & Employment	58.01
10.	17	Agriculture, Works & Research	24.07
11.	18	Co-operative	31.42
12.	19	Rural Development	22.93
13.	20	Irrigation and Flood	14.38
14.	21	Energy	18.88
15.	22	Public Works	20.73
16.	23	Industries	48.49
17.	24	Transport	54.13
18.	25	Food	17.19
19.	27	Forest	24.83
20.	28	Animal Husbandry	29.53
21.	29	Horticulture Development	18.84
22.	30	Welfare of Scheduled Castes	52.87
23.	31	Welfare of Scheduled Tribes	31.56
Revenue-Charged			
24.	4	Judicial Administration	42.87
25.	9	Public Service Commission	51.02
26.	22	Public Works	32.93
Capital-Voted			
27.	6	Revenue & General Administration	57.23
28.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	63.65
29.	10	Police & Jail	27.16
30.	11	Education, Sports, Youth Welfare & Culture	39.16
31.	12	Medical , Health & Family Welfare	11.93
32.	15	Welfare	75.06
33.	16	Labour & Employment	46.94
34.	18	Co-operative	61.20
35.	23	Industries	83.89
36.	27	Forest	95.86
37.	28	Animal Husbandry	39.90
38.	30	Welfare of Scheduled Castes	52.45
39.	31	Welfare of Scheduled Tribes	41.97

Appendix-2.6

(Reference: Paragraph 2.3.5; page 38)

Grants where supplementary provision obtained proved entirely unnecessary

(Rupees in crore)

Sl.No.	Grant/Appropriation No.	Name of Grant/Appropriation	Savings	Supplementary Provision obtained
Revenue-Voted				
1.	4	Judicial Administration	15.59	4.51
2.	6	Revenue & General Administration	90.37	63.91
3.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	114.41	109.02
4.	10	Police & Jail	29.92	1.81
5.	11	Education, Sports, Youth Welfare & Culture	171.13	97.15
6.	12	Medical, Health & Family Welfare	172.06	45.64
7.	13	Water Supply, Housing & Urban Development	423.35	94.54
8.	16	Labour & Employment	42.48	7.62
9.	17	Agriculture Works & Research	57.66	50.96
10.	18	Co-operative	7.96	3.61
11.	19	Rural Development	71.45	46.37
12.	20	Irrigation & Flood	29.09	3.37
13.	22	Public Works	68.41	20.56
14.	23	Industries	43.21	0.77
15.	24	Transport	21.57	0.44
16.	25	Food	2.90	0.31
17.	26	Tourism	1.20	0.22
18.	27	Forest	83.90	39.46
19.	28	Animal Husbandry	19.64	13.96
20.	29	Horticulture Development	11.95	2.62
21.	30	Welfare of Scheduled Castes	247.82	43.61
22.	31	Welfare of Scheduled Tribes	23.93	8.61
Capital-Voted				
23.	6	Revenue & General Administration	70.34	1.92
24.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	126.95	13.55
25.	10	Police & Jail	18.85	16.69
26.	11	Education, Sports, Youth Welfare & Culture	71.17	49.97
27.	15	Welfare	16.25	9.10
28.	18	Co-operative	14.08	8.00
29.	19	Rural Development	5.50	3.00
30.	21	Energy	273.90	4.96
31.	23	Industries	122.69	1.00
32.	24	Transport	67.52	62.73
33.	26	Tourism	26.45	15.50
34.	30	Welfare of Scheduled Castes	202.42	15.73
35.	31	Welfare of Scheduled Tribes	38.27	9.54

Revenue-Charged				
36.	1	Legislature	0.36	0.04
37.	2	Governor	0.70	0.06
38.	4	Judicial Administration	5.34	0.99
39.	7	Finance, Tax, Planning, Secretariat & Miscellaneous Services	46.81	3.50
Total			2857.60	875.35

Appendix-2.7

(Reference: Paragraph 2.3.6; page 38)

Details of persistent savings

(Percentage of savings)

Sl. No.	Grant Number	Description of the Grants	2002-03	2003-04	2004-05	2005-06	2006-07
Revenue-Voted							
1.	4	Judicial Administration	49	33	32	20	36
2.	5	Election	52	36	43	43	9
3.	6	Revenue & General Administration	20	20	20	32	31
4.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	50	26	39	30	11
5.	12	Medical, Health & Family Welfare	28	33	23	23	40
6.	13	Water Supply, Housing & Urban Development	41	23	19	36	59
7.	15	Welfare	44	33	34	17	11
8.	16	Labour & Employment	39	30	34	20	58
9.	18	Co-operative	38	14	26	54	31
10.	19	Rural Development	29	53	19	19	23
11.	21	Energy	40	61	35	54	19
12.	22	Public Works	20	25	21	19	21
13.	23	Industries	23	30	17	21	48
14.	24	Transport	64	19	42	38	54
15.	26	Tourism	60	17	13	25	5
16.	28	Animal Husbandry	28	10	14	15	30
Capital-Voted							
17.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	87	71	43	42	64
18.	11	Education , Sports, Youth Welfare & Culture	20	22	21	12	39
19.	15	Welfare	70	15	34	23	75
20.	23	Industries	36	55	28	31	84

Appendix-2.8

(Reference: Paragraph 2.3.7; Page 38)

Surrender of Funds

I. Details of major variations where savings were more than Rs. one crore and were either not fully surrendered or not surrendered at all

(Rupees in crore)

Sl. No	Grant/ Appropriation Number	Description of Grant/ Appropriation	Total saving	Amount surrendered	Amount not surrendered
Revenue-Voted					
1.	4	Judicial Administration	15.59	-	15.59
2.	6	Revenue & General Administration	90.37	8.83	81.54
3.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	114.41	10.40	104.01
4.	11	Education Sports, Youth Welfare & Culture	171.13	109.83	61.30
5.	12	Medical, Health & Family Welfare	172.06	30.16	141.90
6.	13	Water Supply, Housing & Urban Development	423.35	22.96	400.39
7.	15	Welfare	23.84	9.21	14.63
8.	16	Labour & Employment	42.48	19.69	22.79
9.	17	Agriculture Works & Research	57.66	53.64	4.02
10.	18	Co-operative	7.96	7.93	0.03
11.	19	Rural Development	71.45	52.03	19.42
12.	22	Public Works	68.41	49.50	18.91
13.	23	Industries	43.21	16.93	26.28
14.	24	Transport	21.57	21.52	0.05
15.	25	Food	2.90	2.55	0.35
16.	27	Forest	83.90	83.78	0.12
17.	28	Animal Husbandry	19.64	16.52	3.12
18.	29	Horticulture Development	11.95	11.90	0.05
19.	30	Welfare of Scheduled Castes	247.82	53.11	194.71
20.	31	Welfare of Scheduled Tribes	23.93	6.72	17.21
Total			1713.63	587.21	1126.42
Revenue-Charged					
21.	4	Judicial Administration	5.34	-	5.34
22.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	46.81	-	46.81
Total			52.15	--	52.15
Capital-Voted					
23.	6	Revenue & General Administration	70.34	0.57	69.77

Sl.No	Grant/ Appropriation Number	Description of Grant/ Appropriation	Total saving	Amount surrendered	Amount not surrendered
24.	7	Finance, Taxes, Planning, Secretariat & Miscellaneous Services	126.95	41.54	85.41
25.	11	Education Sports, Youth Welfare & Culture	71.17	48.36	22.81
26.	12	Medical, Health & Family Welfare	19.06	11.08	7.98
27.	15	Welfare	16.25	7.14	9.11
28.	16	Labour & Employment	7.89	-	7.89
29.	19	Rural Development	5.50	5.42	0.08
30.	23	Industries	122.69	21.05	101.64
31.	30	Welfare of Scheduled Castes	202.42	91.44	110.98
32.	31	Welfare of Scheduled Tribes	38.27	34.44	3.83
Total			680.54	261.04	419.50
Grand Total			2446.32	848.25	1598.07

II. Details showing surrender of funds more than available savings

(Rupees in crore)

Sl. No.	Number and Name of grants/Appropriations	Amount of savings	Amount surrendered
Revenue-Voted			
1.	3.Council of Ministers	0.39	0.62
2.	5.Election	1.37	1.79
3.	10. Police & Jail	29.92	35.21
4.	14. Information	1.96	1.98
5.	20. Irrigation & Flood	29.09	35.14
		62.73	74.74
Revenue-Charged			
6.	1. Legislature	0.36	0.37
7.	9.Public Service Commission	2.01	2.48
8.	22.Public Works	1.01	1.08
		3.38	3.93
Capital-Voted			
9.	10. Police & Jail	18.85	18.87
		18.85	18.87
		84.96	97.54

III. Details of surrender of funds inspite of overall excess expenditure

(Rupees in crore)

Sl. No.	Number and Name of grants	Amount of excess expenditure	Amount surrendered
Capital-Voted			
1.	20-Irrigation & Flood	23.52	89.06
2.	22-Public Works	58.26	8.49
3.	25.Food	404.16	1.09
		485.94	98.64

Appendix-2.9

(Reference: Paragraph 2.3.10; Page 40)

Expenditure without provision

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Major Head/Minor Head	Amount
1.	17	Agricultural Works & Research	4401/00/103/03	3.10
			4401/00/107/03	2.00
2.	20	Irrigation & Flood	2701/80/799/03	11.60
			2701/80/800/05	0.11
			4700/11/799/03	49.20
			4700/11/799/04	10.57
			4702/00/800/91	60.48
3.	22	Public Works	5054/03/799/03	37.46
			5054/03/799/04	27.90
4.	25	Food	4408/01/101/03	304.93
			4408/01/800/03	100.92
5.	29	Horticulture Development	4401/00/119/04	4.00
TOTAL				612.27

Appendix-2.10

(Reference: Paragraph 2.3.11; Page 40)

Grant-wise details of estimates and actual in respect of recoveries adjusted in the accounts in reduction of expenditure

(Rupees in crore)

Sl.No.	Grant No.	Description	Estimated Recoveries		Recoveries made		Total recoveries made
			Revenue	Capital	Revenue	Capital	
1.	17	Agricultural Works & Research	-	5.00	-	9.38	9.38
2.	18	Co-operative	-	-	-	0.73	0.73
3.	20	Irrigation & Flood	-	-	13.31	110.68	123.99
4.	22	Public Works	-	-	-	61.17	61.17
5.	23	Industries	-	-	-	100.01	100.01
6.	25	Food	-	824.00	-	393.47	393.47
7.	29	Horticulture Development	-	4.00	-	-	-
Total			-	833.00	13.31	675.44	688.75

Appendices-3.1.1

(Reference: Paragraph-3.1.8.3; page 50)

Allocation and utilisation of funds

(Rupees in Crore)

	2002-03	2003-04	2004-05	2005-06	2006-07
Component-wise analysis					
I. ARWSP (Normal)					
a. Release	33.14	16.40	13.84	44.31	51.53
b. Expenditure	14.85	13.73	20.73	31.41	38.08
c. Excess (-)/ Saving (+)	18.29	2.67	(-) 6.89	12.90	13.45
I (i) a. Operation & Maintenance					
a. Release	3.68	2.57	3.25	8.59	10.68
b. Expenditure	3.08	1.98	5.23	8.29	10.91
c. Excess (-)/ Saving (+)	0.60	0.59	(-)1.98	0.30	(-)0.23
I (i) b For SC/ ST habitations					
a. Release	11.60	5.74	4.84	15.51	18.04
b. Expenditure	7.68	6.74	10.58	16.24	24.45
c. Excess (-)/ Saving (+)	3.92	(-)1.00	(-)5.74	(-)0.73	(-)6.41
II. Sub-mission (Water quality)					
a. Release	0	3.56	4.44	9.85	5.03
b. Expenditure	0	0	0	0	0
c. Excess (-)/ Saving (+)	0	3.56	4.44	9.85	5.03
II. Sub-mission (Sustainability)					
a. Release	0	1.19	1.28	2.86	3.53
b. Expenditure	0	0	0	0	0
c. Excess (-)/ Saving (+)	0	1.19	1.28	2.86	3.53
III. Calamity Relief					
a. Release	0	0	3.08	0	5.50
b. Expenditure	0	0	1.27	1.80	3.59
c. Excess (-)/ Saving (+)	0	0	1.81	(-)1.80	1.91
IV (i) Swajaldhara					
a. Release	0	2.65	0	7.76	6.51
b. Expenditure	0	1.76	0	2.30	2.04
c. Excess (-)/ Saving (+)	0	0.89	0	5.46	4.47
IV (ii) IEC and HRD activities					
a. Release	1.31	0	0.18	0.83	2.13
b. Expenditure	0.19	0.032	0.08	0.08	0.26
c. Excess (-)/ Saving (+)	1.21	-0.032	0.10	0.75	1.87

Appendix-3.1.2

(Reference Paragraph-3.1.8.4; page 50)

Irregular investment in FDRs

(Rupees in Crore)

Sl. No.	Name of Division	Amount invested	Year	Period of investment	Interest earned
1	Nigam Headquarters	0.18	2002-03	46 Days	0.0012
2	Nigam Headquarters	0.20	2002-03	63 Days	0.0017
3	Nigam Headquarters	0.80	2002-03	60 Days	0.0066
5	Nigam Headquarters	1.00	2004-05	68 Days	0.0112
6	Nigam Headquarters	2.00	2004-05	78 Days	0.0256
7	Nigam Headquarters	2.00	2004-05	34 Days	0.0112
8	Nigam Headquarters	0.50	2004-05	152 Days	0.0125
9	Nigam Headquarters	2.00	2006-07	86 Days	0.0377
10	Project Div, Dehradun	0.14	2002-03	46 Days	0.0009
11	Project Div, Dehradun	0.10	2003-04	365 Days	0.0442
12	Project Div, Dehradun	0.20	2003-04	60 Days	0.0013
13	Project Div, Srinagar	0.20	2005-06	46 Days	0.0011
14	Project Div, Srinagar	0.10	2005-06	91 Days	0.0012
15	Project Div, Srinagar	0.10	2006-07	182 Days	0.0022
16	Project Div, Srinagar	0.10	2006-07	46 Days	0.0006
17	Project Div, Srinagar	0.30	2006-07	90 Days	0.0034
18	CD, Purodi, Dehradun	0.25	2005-06	16.5.05 to date (8/07)	-
19	CD, Purodi, Dehradun	0.25	2005-06	28.10.05 to date (8/07)	-
20	CD, Purodi, Dehradun	0.25	2005-06	272 Days	0.0060
21	CD, Purodi, Dehradun	0.20	2005-06	209 Days	0.0053
22	CD, Purodi, Dehradun	0.50	2005-06	325 Days	0.0090
23	CD, Purodi, Dehradun	0.30	2005-06	9 months	0.0125
24	CD, Purodi, Dehradun	0.50	2005-06	12 months	0.0278
25	CD, Purodi, Dehradun	0.50	2005-06	510 Days	0.0420
26	CD, Purodi, Dehradun	1.00	2006-07	207 Days	0.0288
Total		13.67			0.294

Appendix-3.2.1

(Reference Paragraph 3.2.9.2; page 74)

Details of year-wise meal provided

Uttarkashi:

Year	No. of PS/EGS	No. of enrolled students	Prescribed Quantity	Quantity actually cooked	No. of days less qty. given	Less Qty. provided (in kgs)*
2005-06	01	30	100 gms.	90 gms.	116	34.8
2005-06	01	67	100 gms.	i) 70 gms. ii) 90 gms.	46 100	92.46 67.00
Total	02	97	100 gms		262	194.26
2006-07	01	54	100 gms.	90 gms.	186	100.44
2006-07	01	35	100 gms.	90 gms.	202	70.7
Total	02	89	100 gms		388	171.14

Pauri:

Year	No. of PS/EGS	No. of enrolled students	Prescribed Quantity	Quantity given	No. of days less qty. given	Less Qty. given (in kgs)
2005-06	01	30	100 gms.	80 gms	190	114.00
2005-06	01	32	100 gms.	65 gms	59	66.08
Total	02	62	100 gms.		249	180.08
2006-07	01	31	100 gms.	90gms	165	51.15
2006-07	01	32	100 gms.	65 gms	232	259.84
Total	02	63	100gms.		397	310.99

Tehri:

Year	No. of PS/EGS	No. of enrolled students	Prescribed Quantity	Quantity given	No. of days less qty. given	Less Qty. given (in kgs.)
2004-05	01	60	100 gms.	80 gms	126	151.2
Total	01	60	100 gms.		126	151.2

Rudraprayag:

Year	No. of PS/EGS	No. of enrolled students	Prescribed Quantity	Quantity given	No. of days less qty. given	Less Qty. given (in kgs.)
2004-05	01	40	100 gms.	75 gms.	162	162.00
Total	01	40	100 gms.	75 gms.	162	162.00
2005-06	01	103	100 gms.	75 gms.	85	218.88
2005-06	01	20	100 gms.	85 gms.	238	71.40
2005-06	01	36	100 gms	85 gms.	214	115.56
Total	03	159	100 gms		537	405.84
2006-07	01	95	100 gms	85 gms.	84	119.70
2006-07	01	39	100 gms	80 gms.	118	92.04
2006-07	01	20	100 gms	85 gms.	241	72.30
2006-07	01	39	100 gms	77 gms.	25	22.43
2006-07	01	36	100 gms	85 gms.	111	59.94
Total	05	229	100 gms		579	366.41

Chamoli:

Year	No. of PS/EGS	No. of enrolled students	Prescribed Quantity	Quantity given	No. of days less qty. given	Less Qty. given (in kgs.)
2004-05	01	52	100 gms.	80 gms	126	114.00
2004-05	01	17	100 gms	88 gms	52	10.61
Total	02	69	100 gms.		178	124.61
2005-06	01	13	100 gms	80 gms	228	59.28
2005-06	01	16	100 gms	80 gms	14	04.48
2005-06	01	22	100 gms	61 gms	192	164.74
Total	03	51	100 gms.		434	228.50
2006-07	01	18	100 gms	80 gms	132	47.52
2006-07	01	16	100 gms	61 gms	231	144.14
2006-07	01	25	100 gms	62 gms	102	96.90
2006-07	01	14	100 gms	61 gms	117	63.88
2006-07	01	18	100 gms	61 gms	62	43.52
2006-07	01	64	100 gms	61 gms	196	489.22
2006-07	01	39	100 gms	70 gms	166	194.22
2006-07	01	43	100 gms	60 gms	166	285.52
2006-07	01	24	100 gms	61 gms	192	179.71
2006-07	01	15	100 gms	61 gms	192	112.32
2006-07	01	50	100 gms	61 gms	117	228.15
2006-07	01	79	100 gms	60 gms	142	448.72
2006-07	01	23	100 gms	61 gms	143	128.27
2006-07	01	15	100 gms	61 gms	172	100.62
2006-07	01	16	100 gms	61 gms	223	139.15
Total	15	459	100 gms		2353	2701.87

Dehradun :

Year	No. of PS/EGS	No. of enrolled students	Prescribed Quantity	Quantity given	No. of days less qty. given	Less Qty. given (in kgs.)
2006-07	01	54	100 gms	40 gms	116	375.84
				70 gms	60	97.20
2006-07	01	294	100 gms	50 gms	139	2043.30
2006-07	01	62	100 gms	50 gms	152	471.20
2006-07	01	100	100 gms	50 gms	42	210.00
Total	04	510	100 gms.		509	3197.54

* Enrolled students in each school x Qty. short provided x No. of days on which short provided

Appendix-3.2.2 (A)

(Reference: Paragraph 3.2.9.3; page 75)

Details of children deprived of the meals

Districts	2003-04			2004-05			2005-06			2006-07		
	No. of P.S/EGS	No. of enrolled students	No. of meals not provided*	No. of P.S/EGS	No. of enrolled students	No. of meals not provided*	No. of P.S/EGS	No. of enrolled students	No. of meals not provided*	No. of P.S/EGS	No. of enrolled students	No. of meals not provided*
Chamoli	3	116	4928	14	440	26553	12	354	14269	13	413	11207
Pauri	Nil	Nil	Nil	5	143	2971	6	154	6587	5	123	11664
Uttarkashi	10	578	25070	13	759	52676	13	813	41200	11	530	29443
Tehri	1	50	2350	3	207	7668	3	157	1450	4	213	3158
Rudraprayag	2	188	2495	11	501	13121	12	670	13857	16	745	20122
Dehradun	2	337	925	6	566	6805	7	639	23353	6	564	19292
Nainital	8	379	9373	16	1085	46504	17	1557	67746	14	1432	33670
Total	26	1648	45141	68	3701	156298	70	4344	168462	69	4020	128556

No. of meals not provided: No. of enrolled students of each PS/EGS x No. of days meal not served to the said PS/EGS.

Appendix-3.2.2 (B)

(Reference: Paragraph 3.2.9.3; page 75)

Year-wise detail of school days when meal was not served

District	Year			
	2003-04	2004-05	2005-06	2006-07
Chamoli	244	1284	712	494
Rudraprayag	23	477	335	649
Dehradun	08	109	379	308
Nainital	201	896	1023	347
Pauri	Nil	185	299	552
Uttarkashi	814	1349	1189	875
Tehri	47	130	46	104
Total	1337	4430	3983	3329

Appendix-3.2.3

(Reference: Paragraph 3.2.10.2; page 79)

Statement showing variation in enrolment figures

Name of the District	Year	State Project Office (Enrolled students)	District (Enrolled students)	School (Enrolled students of 20 test checked Schools of each District)
Chamoli	2002-03	41790	42570	651
	2003-04	44741	42740	658
	2004-05	53465	42080	718
	2005-06	41553	40962	885
	2006-07	41452	38975	645
Dehradun	2002-03	68334	69334	1479
	2003-04	69292	69702	1481
	2004-05	69702	71926	1596
	2005-06	69507	75119	1579
	2006-07	75584	78584	1511
Nainital	2002-03	59885	53218	1512
	2003-04	59785	73835	1573
	2004-05	73865	62945	1618
	2005-06	57920	65045	1642
	2006-07	62150	67603	1622
Pauri	2002-03	80860	72689	950
	2003-04	80858	70446	886
	2004-05	69428	69050	942
	2005-06	67614	66580	1024
	2006-07	64187	64167	1044
Rudra prayag	2002-03	28666	27507	915
	2003-04	27971	27044	903
	2004-05	26680	26660	912
	2005-06	27512	25111	878
	2006-07	25916	25697	796
Uttarkashi	2002-03	45205	45205	1302
	2003-04	34900	44050	1375
	2004-05	40577	40577	1404
	2005-06	38253	37603	1462
	2006-07	39184	38110	1248

Appendix -3.2.4

(Reference: Paragraph 3.2.11.1; page 82)

Details of year-wise meetings held

Name of District		Meetings prescribed in a year	Year				
			2002-03	2003-04	2004-05	2005-06	2006-07
Chamoli	District level	4	-	-	-	-	-
	Block level	36	-	-	-	-	-
Uttarkashi	District level	4	1	3	4	4	6
	Block level	24	-	12	12	16	24
Rudraprayag	District level	4	-	-	-	-	1
	Block level	12	-	-	-	8	8
Nainital	District level	4	-	-	-	-	-
	Block level	32	-	-	-	-	-
Dehradun	District level	4	-	-	1	1	1
	Block level	36	4	4	4	4	4
Pauri	District level	4	-	-	-	1	1
	Block level	60	-	-	-	-	-

Appendix-3.3.1

(Reference: Paragraph 3.3.8.1; page 87)

Statement regarding District-wise & Year-wise Fund released and Expenditure up to March 2007

(Rupees in crore)

Sl. No.	Name of District	2002-03		2003-04		2004-05		2005-06		2006-07	
		Fund released	Expenditure	Fund released	Expenditure	Fund released	Expenditure	Fund released	Expenditure	Fund released	Expenditure
1	2	3	4	5	6	7	8	9	10	11	12
1.	Almora	3.63	3.47	5.25	4.93	6.26	5.54	7.00	5.49	8.75	2.69
2.	Bageshwar	1.50	1.50	2.25	2.25	2.68	2.52	3.00	2.37	3.75	2.55
3.	Chamoli	2.10	2.10	3.00	3.00	3.61	3.57	4.00	3.68	5.00	3.79
4.	Champawat	1.05	1.05	1.50	1.49	1.86	1.68	2.00	1.58	2.50	1.71
5.	Dehradun	5.21	5.08	7.50	7.04	8.80	7.44	10.00	6.58	12.50	3.32
6.	Haridwar	4.71	4.54	6.75	6.45	7.92	7.28	9.00	7.10	11.25	6.75
7.	Nainital	2.61	2.61	3.75	3.56	4.53	4.01	5.00	3.56	6.25	3.96
8.	Pauri	4.23	4.17	6.00	5.71	7.92	6.37	8.00	6.78	10.00	4.56
9.	Pithoragarh	2.61	2.59	3.75	3.71	4.48	4.37	5.00	4.44	6.25	2.01
10.	R-prayag	1.05	0.99	1.50	1.30	1.79	1.45	2.00	1.33	2.50	0.97
11.	Tehri	3.14	3.10	4.50	4.47	5.26	4.85	6.00	4.18	7.50	2.79
12.	U-S-Nager	3.66	3.66	5.25	5.25	6.16	6.16	7.00	6.94	8.75	6.27
13.	Uttarkashi	1.57	1.57	2.25	2.25	2.63	2.63	3.00	2.57	3.75	2.00
Total		37.07	36.43	53.25	51.41	63.90	57.87	71.00	56.60	88.75	43.37

Source: Information collected from CRD, Pauri

Appendix-4.1

(Reference: Paragraph 4.1; page 99)

(a): Cases where the employees are suspected to be drawing salary from two Treasuries

GPF No.	Treasuries	Schedule No. & Date	Subscription amount
COEDU/13461	Dhumakot; Pauri	A 22020003 30/5/2007	1000
COEDUA/13827	Pauri; Narendranagar	A 22020512 25/5/2007	4000

(b): Incorrect posting of GPF account number thus leaving debits unbooked

Debit Voucher No.	Date	Treasury	Withdrawal amount	Remarks
B27000002	9/5/2007	Purola	100,000	Debit was sanctioned against correct GPF no. IRRIU/43006 but it was drawn against wrong GPF no. IRRIU/46006.
33	8/5/2007	Haldwani	25,000	Credits are received against correct GPF no. IRRIU/44738 but debit is drawn against wrong GPF no. IRRIU/44748.
20	9/5/2007	Roorkee	92,000	Credits are received against correct GPF no. IRRIU/30583 but debit is drawn against wrong GPF no. WU/30583.
12	5/5/2007	Pithoragarh	80,000	Credits are received against correct GPF no. IRRIU/67980 but debit is drawn against wrong GPF no. IRRIU/87980.

Appendix-4.2

(Reference: Paragraph 4.4; page 104)

Comparative statement of DI pipes with RCC NP2 pipes

Size	DI Pipes			RCC-NP2 Pipes		Difference (Rs.)
	Quantity (in meters)	Rate (Rs./ meter)	Amount (Rs.)	Rate (Rs./meter)	Amount (Rs.)	
150 mm	2989.00	755.97	2259594.33	100.76	330291.28	2193593.55
	289.00	914.50	264290.50			
	Total 3278.00		2523884.83			
200 mm	438.00	1268.65	555668.70	118.98	407744.46	2975142.75
	1914.00	947.09	1812730.26			
	1075.00	943.71	1014488.25			
	Total 3427.00		3382887.21			
250 mm	2968.00	1222.26	3627667.68	140.42	416766.56	3210901.12
300 mm	2869.00	1555.35	4462299.15	185.45	532056.05	3930243.10
350 mm	88.00	1933.38	170137.44	185.45	20443.28	149694.16
450 mm	482.50	2644.21	1275831.32	232.31	137068.60	1138762.72
500 mm	518.38	4876.13	2527688.26	284.08	189447.15	2338241.11
	Grand Total: 13631		17970395.89	365.46	2033817.38	15936578.51

Appendix-5.1

(Reference: Paragraph 5.7.1; page 123)

Details of financial position

(Rupees in crore)

Year	Budget (BE) demanded	Allotted budget		Total	Expenditure	Savings
		Against BE	Supplementary			
2002-03	N.A.	345.13	106.53	451.66	415.63	36.03
2003-04	534.53	463.87	5.41	469.28	435.32	33.96
2004-05	568.91	597.67	1.69	599.36	526.99	72.37
2005-06	612.27	577.37	47.87	625.24	549.25	75.99
2006-07	784.75	725.21	78.23	803.44	652.79	150.65

Appendix-5.2

(Reference: Paragraph 5.7.4.5; page 134)

District wise details of uninstalled computers

Year	Dehradun		Pauri		Pithoragrah		Tehri		Grand Total no. of computers
	No. of schools	No. of computers	No. of schools	No. of computers	No. of schools	No. of computers	No. of schools	No. of computers	
2003-04	-	-	1	4	-	-	-	-	4
2004-05	-	-	-	-	-	-	6	24	24
2005-06	6	24	8	32	7	28	7	28	112
2006-07	-	-	15	60	15	60	12	57	177
Total	6	24	24	96	22	88	25	109	317

Appendix-5.3

(Reference: Paragraph 5.7.4.6; page 134)

Details of irrelevant scholarships

Sl. No	Name of scholarship	Rate per month (Rupees)		(Rupees in thousand)			
		High School	Inter College	Budget Allotments	Utilization	Lapsed Amount	Per cent
1.	Freedom fighters children scholarship	15	25	500	0	500	100
2.	Sanskrit learning scholarship	10	16	250	66.20	183.80	73.52
3.	Integrated scholarship (A- Non-boarder B- Boarder)	I. A - 50 II. A - 30 III. A - 15	B - 100 B - 100	2208	1590.89	617.11	27.95
4.	Meritorious scholarship to the student of rural areas	10	16	603	456.52	146.48	24.29
5.	Central scholarship to Teachers' Children	-	50	201	0	201	100
6.	National Scholarship	-	60	3800	603.46	3196.54	84.12
7.	Additional scholarship in each high school	15	16	4023	3842.93	180.07	4.48
8.	Additional scholarship in secondary level education	15	40	253	235.30	17.70	7.00
9.	Sports scholarship	Annual (First Rs.500; Second Rs.400; and Third Rs.300)		1500	692.80	807.20	53.81
10.	Special scholarship to class 7-12	10	16	1550	1236.52	313.48	20.22

Appendix – 5.4

(Reference: Paragraph 5.7.7 (i); page139)

Details of district-wise inspection of schools/colleges

Name of district	Year	School/College approved for inspections	Total number of inspection carried and report submitted	IR in which action was taken
Chamoli	2002-03	24	11	Nil
	2003-04	30	10	Nil
	2004-05	28	13	Nil
	2005-06	26	11	Nil
	2006-07	35	12	Nil
Dehradun	2002-03	36	-	Nil
	2003-04	30	08	Nil
	2004-05	35	07	Nil
	2005-06	25	07	Nil
	2006-07	26	-	Nil
Haridwar	2002-03	09	04	Nil
	2003-04	08	03	Nil
	2004-05	10	03	Nil
	2005-06	10	04	Nil
	2006-07	10	06	Nil
Pauri	2002-03	35	15	Nil
	2003-04	28	07	Nil
	2004-05	33	13	Nil
	2005-06	30	09	Nil
	2006-07	(27)♥	27	Nil
Uttarkashi	2002-03	15	06	Nil
	2003-04	12	04	Nil
	2004-05	13	06	Nil
	2005-06	14	03	Nil
	2006-07	15	02	Nil
Rudraprayag	2002-03	14	05	Nil
	2003-04	13	06	Nil
	2004-05	15	06	Nil
	2005-06	12	03	Nil
	2006-07	(02)♥	02	Nil
Tehri	2002-03	35	13	Nil
	2003-04	32	14	Nil
	2004-05	36	17	Nil
	2005-06	33	14	Nil
	2006-07	(25)♥	25	Nil
Total	2002-03	168	54	Nil
	2003-04	153	52	Nil
	2004-05	170	65	Nil
	2005-06	150	51	Nil
	2006-07	140	74	Nil
Grand Total =		781	296	Nil

Source: Information provided by the AD (Garhwal), Pauri.

♥ Without approval of the AD (Region).

Appendix-7.1

(Reference: Paragraphs 7.1.3, 7.1.4, 7.1.5, 7.1.9, 7.1.10, 7.1.13 & 7.1.14; page 151, 154 & 155)

Statement showing particulars of up-to-date paid-up capital, budgetary outgo, loans given out of budget and loans outstanding as on 31 March 2007 in respect of Government companies and Statutory corporations.

(Figures in column 3(a) to 4(f) are Rupees in lakh)

Sl. No.	Sector & name of the company/corporation	Paid-up capital as at the end of the current year (Figures in bracket indicate share application money)					Equity/loans received out of Budget during the year		Other loans received during the year ¹	Loans ² outstanding at the close of 2006-2007			Debt equity ratio for 2006-07 (Previous year) 4 (f)/3(e)
		State Government	Central Government	Holding Companies	Others	Total	Equity	Loans		Government	Others	Total	
(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
A. Working Government Companies													
Industry													
1.	Trans Cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	-	-	162.80	0.44	163.24	-	-	-	-	275.00	275.00	1.68:1 (1.68:1)
2.	Uttar Pradesh Digitals Limited (Subsidiary of Kuamon Mandal Vikas Nigam Limited)	-	-	35.20	-	35.20	-	358.58	-	280.00	448.16	728.16	20.69:1 (43.02:1)
3.	Uttarakhand Chay Vikas Nigam Limited Formerly Northern Electrical Equipment Industries Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	5000.00	-	1750.00	-	6750.00	5000.00	-	-	-	-	-	- (-)
Sector wise total		5000.00	-	1948.00	0.44	6948.44	5000.00	358.58	-	280.00	723.16	1003.16	0.14:1 (9.01:1)
Electronics													
4.	Uttar Pradesh Hill Electronics Corporation Limited	894.53	-	-	-	894.53	-	-	-	-	-	-	- (-)
Sector wise total		894.53	-	-	-	894.53	-	-	-	-	-	-	- (-)
Area Development													
5.	Kumaon Mandal Vikas Nigam limited	1466.88	-	-	-	1466.88	-	-	-	-	-	-	- (1.11:1)
6.	Garhwal Mandal Vikas Nigam Limited	679.50	-	-	-	679.50	-	-	-	428.23	-	428.23	0.63:1 (1.85:1)

¹ Includes bonds, debentures, inter-corporate deposits etc.

² Loans outstanding at the close of 2006-07 represents long terms loans only.

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(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	Sector wise total	2146.38	-	-	-	2146.38	-	-	-	428.23	-	428.23	0.20:1 (1.34:1)
	Development of Economically Weaker Section												
7.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	20.00	-	30.00	-	50.00	-	-	-	127.31	-	127.31	2.55:1 (2.55:1)
8.	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	22.00	-	28.00	-	50.00	-	-	-	-	-	-	- (-)
9.	Uttaranchal Bahuudeshia Vitta Evam Vikas Nigam Limited	1062.50	339.14	-	-	1401.64	422.00	-	35.93	-	39.59	39.59	0.03:1 (0.77:1)
	Sector wise total	1104.50	339.14	58.00	-	1501.64	422.00	-	35.93	127.31	39.59	166.90	0.11:1 (0.81:1)
	Sugar												
10.	Kichha Sugar Company Limited	1753.58	-	-	45.06	1798.64	-	81.79	-	1678.79	-	1678.79	0.93:1 (0.96:1)
11.	Doiwala Sugar Company Limited	600.00	-	-	-	600.00	-	-	-	3059.01	375.00	3434.01	5.72:1 (5.72:1)
	Sector wise total	2353.58	-	-	45.06	2398.64	-	81.79	-	4737.80	375.00	5112.80	2.13:1 (2.17:1)
	Power												
12.	Uttarakhand Power Corporation Limited	500.00	-	-	-	500.00	-	1301.56	5985.09	20659.05	32289.92	52948.97	105.90:1 (95.34:1)
13.	Uttaranchal Jal Vidyut Nigam Limited	36378.63 (8481.27)	-	-	-	36378.63 (8481.27)	7850.90	-	23214.38	883.00	104984.50	105867.50	2.91:1 (3.28:1)
14.	Power Transmission Corporation of Uttaranchal Limited	6572.00 (892.00)	-	-	-	6572.00 (892.00)	3148.00	7834.88	3393.52	14878.00	12117.00	26995.00	4.11:1 (6.55:1)
	Sector wise total	43450.63 (9373.27)				43450.63 (9373.27)	10998.90	9136.44	32592.99	36420.05	149391.42	185811.47	4.28:1 (5.24:1)
	Financing												
15.	State Industrial Development Corporation of Uttaranchal Limited	2850.00	-	-	-	2850.00	150.00	-	-	600.00	-	600.00	0.21:1 (0.62:1)
	Sector wise total	2850.00	-	-	-	2850.00	150.00			600.00		600.00	0.21:1 (0.62:1)
	Miscellaneous												
16.	Uttarakhand Purv Sainik Kalyan Udham Limited	5.00 (95.00)	-	-	-	5.00 (95.00)	-	-	-	-	-	-	-

Appendices

(1)	(2)	3(a)	3(b)	3(c)	3(d)	3(e)	4(a)	4(b)	4(c)	4(d)	4(e)	4(f)	5
	Sector wise total	5.00 (95.00)				5.00 (95.00)	-	-	-	-	-	-	-
	Total – A (All sector wise Working Government companies)	57804.62 (9468.27)	339.14	2006.00	45.50	60195.26 (9468.27)	16570.90	9576.81	32628.92	42593.39	150529.17	193122.56	3.21:1 (4.28:1)
	B. Working Statutory Corporation												
	Transport												
1.	Uttarakhand Parivahan Nigam	6800.00	924.00	-	-	7724.00	2924.00	193.00	890.45	618.00	1350.00	1968.00	0.25:1 (0.18:1)
	Peya Jal												
2.	Uttarakhand Peya Jal Sansadhan Vikas Evam Nirman Nigam	-	-	-	-	-	-	-	-	-	-	-	-
	Total –B (Working Statutory Corporation)	6800.00	924.00	-	-	7724.00	2924.00	193.00	890.45	618.00	1350.00	1968.00	0.25:1 (0.18:1)
	Grand Total - (A+ B)	64604.62 (9468.27)	1263.14	2006.00	45.50	67919.26 (9468.27)	19494.90	9769.81	33519.37	43211.39	151879.17	195090.56	2.87:1 (3.82:1)
	C. Non Working Government Companies												
	Industry												
1.	UPAI Limited	15.00	-	-	2.01	17.01	-	-	-	-	-	-	-
	Sector wise total	15.00	-	-	2.01	17.01	-	-	-	-	-	-	(-)
	Electronics												
2.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	-	-	9.34	8.97	18.31	-	-	-	-	-	-	-
3.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	-	-	1.67	1.60	3.27	-	-	-	-	-	-	-
4.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation limited)	-	-	0.79	-	0.79	-	-	-	-	-	-	-
	Sector wise total	-	-	11.80	10.57	22.37	-	-	-	-	-	-	(-)
	Grand total (C)	15.00	-	11.80	12.58	39.38	-	-	-	-	-	-	(-)
	Grand Total (A+B+C)	64619.62 (9468.27)	1263.14	2017.80	58.08	67958.64 (9468.27)	19494.90	9769.81	33519.37	43211.39	151879.17	195090.56	2.87:1 (3.82:1)

Note: No Company/Corporation has finalised its Accounts for 2006-07. Figures are provisional and as given by the Companies/Corporations.

Appendix-7.2

(Reference: Paragraphs 7.1.6, 7.1.7, 7.1.8, 7.1.9, 7.1.10, 7.1.11, 7.1.15 & 7.1.16; page 153, 154 & 155)

Summarised financial results of Government companies and statutory corporations for the latest year for which accounts were finalised

(Figures in column 7 to 12 and 15 are Rupees in lakh)

Sl. No	Sector and name of company/ corporation	Name of Department	Date of Incorporation	Period of accounts	Year in which accounts finalised	Net Profit/ loss(-)	Net impact of comments	Paid-up capital	Accumulated profit /loss(-)	Capital employed (A)	Total Return on capital-employed	Percent age of total return on capital employed	Arrears of accounts in terms of years	Turnover	Manpower (Numbers of employees)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
A. Working Government Companies															
	Industry														
1.	Trans Cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	29.11.1973	1999-2000	2002-03	(-) 84.27	-	163.24	(-) 579.65	289.65	(-) 38.32	-	7	279.52	23
2.	Uttar Pradesh Digitals Limited (Subsidiary of Kuamon Mandal Vikas Nigam Limited)	Hill Development	08.03.1978	1996-97	1997-98	(-) 118.69	-	35.20	(-) 694.54	35.26	(-) 57.60	-	10	28.55	27
3.	Uttarakhand Chay Vikas Nigam Limited Formerly Northern Electrical Equipment Industries Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	29.01.1974	1998-99	2006-07	(-) 3.46	-	0.70	(-) 3.57	1.99	(-) 3.46	-	8	0.99	
	Sector wise total					(-) 206.42		199.14	(-) 1277.76	326.90	(-) 99.38	-		309.06	

Appendices

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	Electronics														
4.	Uttar Pradesh Hill Electronics Corporation Limited	Hill Development	26.06.1985	1993-94	1997-98	(-) 21.41	-	794.03	(-) 68.10	447.27	(-)21.41		13	175.01	121
	Sector wise total					(-) 21.41		794.03	(-) 68.10	447.27	(-) 21.41			175.01	
	Area Development														
5.	Kumaon Mandal Vikas Nigam Limited	Hill Development	30.03.1971	2000-01	2006-07	(-) 69.93	(+) 22.24	1341.87	(-) 195.04	1967.87	41.04	2.09	6	5265.29	651
6.	Garhwal Mandal Vikas Nigam Limited	Hill Development	01.03.1976	1997-98	2006-07	(+) 68.23	(+) 14.62	511.50	(-) 566.94	1849.44	235.44	12.73	9	4394.49	821
	Sector wise total					(-) 1.70	36.86	1853.37	(-) 761.98	3817.31	276.48	7.24		9659.78	
	Development Of Economically Weaker Section														
7.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	Hill Development	30.06.1975	1989-90	2001-02	(-) 13.24	4.26	50.00	(-) 58.81	32.53	(-)13.24	-	17	27.74	27
8.	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	Hill Development	30.06.1975	1986-87	2002-03	(-) 1.64	-	49.00	(-) 4.48	45.93	(-)1.64	-	20	9.95	32
9.	Uttaranchal Bahuudeshia Vitta Evam Vikas Nigam Limited	Social Welfare	25.10.2001	2002-03	2006-07	(+) 62.44	-	5.00	76.44	1243.66	86.60	6.96	3	-	
	Sector wise total					47.56	4.26	104.00	13.15	1322.12	71.72	5.42		37.69	
	Sugar														
10.	Kichha Sugar Company Limited	Sugar and Cane Development	17.02.1972	2005-06	2006-07	(-) 294.02	(+) 546.00	1798.64	(-) 2497.05	5736.45	6.20	15.45	1	7003.19	

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(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
11.	Doiwala Sugar Company Limited	Sugar and Cane Development	19.12.2001	2003-04	2006-07	(-) 79.76	-	600.00	(-) 2197.65	3790.47	262.23	6.92	3	3138.86	
	Sector wise total					(-)373.78	546.00	2398.64	(-) 4694.70	9526.92	268.43	2.82		10142.05	
	Power														
12.	Uttarakhand Power Corporation Limited	Urja	12.02.2001	2003-04	2006-07	(-)4945.42	(+)393.31	500.00	(-) 9021.84	91950.40	11305.29	12.29	3	96666.26	5694
13.	Uttaranchal Jal Vidyut Nigam Limited	Urja	12.02.2001	2002-03	2006-07	(-)2621.09		500.00	(-) 2985.96	94245.72	8162.65	8.66	4	13601.72	
14.	Power Transmission Corporation of Uttarakhand Ltd. ¹	Urja	31.05.2004	-	-	-	-	-	-	-	-	-	3	-	706
	Sector wise total					(-)7566.51	393.31	1000.00	(-)12007.80	186196.12	19467.94	10.46		110267.98	
	Financing														
15.	State Industrial Development Corporation of Uttarakhand Limited		18.07.2002	2005-06	2006-07	(+)1626.75	-	2850.00	2083.30	6569.27	1633.97	24.87	1	-	27
	Sector wise total					1626.75		2850.00	2083.30	6569.27	1633.97	24.87		-	
	Miscellaneous														
16.	Uttarakhand Purv Sainik Kalyan Udham Limited		01-03-2004	2004-05	2006-07	(+) 29.55		5.00	29.55	127.42	29.78	23.37	2	930.46	-
	Sector wise total					29.55		5.00	29.55	127.42	29.78	23.37		930.46	
	Total (A-Working Government companies)					(-)6465.96	980.43	9204.18	(-)16684.34	208333.33	21627.53	10.38		131522.03	
B. Working Statutory Corporation															
	Transport														
1.	Uttarakhand Parivahan Nigam	Transport	27.10.2003	2003-04	2006-07	(-) 1120.26		300.00	(-) 1120.26	(-)8039.32	1202.76	-	3		
	Sector wise total					(-) 1120.26		300.00	(-) 1120.26	(-)8039.32	1202.76	-	-	-	
2.	Uttarakhand Peya Jal Sansthan Vikas Nirman Nigam ¹	Peya Jal	07.11.2002										5		
	Total -B (Working Statutory Corporation)					(-) 1120.26	-	300.00	(-) 1120.26	(-)8039.32	1202.76	-			

¹ First account not received

Appendices

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
	Total (A+B)					(-) 7586.22	980.43	9504.18	(-) 17804.60	200294.01	22830.29	11.40		131522.03	
C. Non Working companies															
	Industry														
1.	UPAI Limited ²	Agriculture	20.04.1977	1988-89	1999-00	(-) 0.48	-	17.01	(-) 5.25	10.30	(-)0.48	-	*	Nil	-
	Sector wise total					(-) 0.48		17.01	(-) 5.25	10.30	(-) 0.48	-			
	Electronics														
2.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Hill Development	27.04.1987	1989-90	1990-91	(-) 1.61	-	18.31	(-) 1.61	12.35	(-)1.61	-	17	0.07	14
3.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited) ³	Hill Development	10.08.1987										20		-
4.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited) ³	Hill Development	18.07.1989										18		-
	Sector wise total					(-) 1.61	-	18.31	(-) 1.61	12.35	(-)1.61	-		0.07	
	Grand total C					(-) 2.09		35.32	(-) 6.86	22.65	(-)2.09	-		0.07	
	Grand total (A+B+C)					(-) 7588.31	980.43	9539.50	(-) 17811.46	200316.66	22828.20	11.40		131522.10	-

Note: Capital employed represents net fixed assets (including capital work-in-progress) plus working capital

² The company at Sl. No. C 1 is under liquidation since 31-03-1991.

³ First account not received.

Appendix-7.3

(Reference: Paragraph 7.1.5 and 7.1.14; page 151 & 155)

Statement showing subsidy received, guarantees received, waiver of dues, loans on which moratorium allowed and loans converted into equity during the year and subsidy receivable and guarantees outstanding at the end of March 2007

(Figures in columns 3(a) to 7 are Rupees in lakh)

Sl No.	Name of the Public Sector Undertaking	Subsidy received during the year ⁴				Guarantees received during the year and outstanding at the end of the year ⁵					Waiver of dues during the year				Loans on which moratorium allowed	Loans converted into equity during the year
		Central Government	State Government	Others	Total	Cash credit from banks	Loans From other sources	Letters of credit opened by banks in respect of imports	Payment obligation under agreement with foreign consultants or contractors	Total	Loans repayment written off	Interest waived	Penal interest waived	Total		
(1)	(2)	3(a)	3(b)	3(c)	3(d)	4(a)	4(b)	4(c)	4(d)	4(e)	5(a)	5(b)	5(c)	5(d)	(6)	(7)
Working Government Companies																
1	Uttaranchal Bahuudeshia Vitta Evam Vikas Nigam Limited	700.00	381.60	-	1081.60	-	(712.30)	-	-	(712.30)	-	-	-	-	-	-
2	Kichha Sugar Company Limited	-	-	-	-	(3461.06)	-	-	-	(3461.06)	-	-	-	-	-	-
3	Uttarakhand Power Corporation Ltd.	-	200.00 ⁶	-	200.00	-	(41242.36)	-	-	(41242.36)	-	-	-	-	-	-
4	Uttaranchal Jal Vidyut Nigam Limited	-	-	-	-	-	120000.00 (120000.00)	-	-	120000.00 (120000.00)	-	-	-	-	-	-
5	State Industrial Development Corporation of Uttaranchal Limited	-	1085.95 ³	-	1085.95	-	-	-	-	-	-	-	-	-	-	-
	Total	700.00	1667.55	-	2367.55	(3461.06)	120000.00 (161954.66)	-	-	120000.00 (165415.72)	-	-	-	-	-	-

⁴ Subsidy includes subsidy receivable at the end of year which is shown in brackets

⁵ Figures in bracket indicate guarantees outstanding at the end of the year

⁶ Grants

Appendix-7.4

(Reference: Paragraph 7.1.22; page 157)

Statement showing the department-wise outstanding Inspection Reports (IRs)

Sl. No.	Name of Department	No. of PSUs	No. of outstanding IRs	No. of outstanding paragraphs	Year from which paragraphs outstanding
1.	Sugar & Cane Development	2	18	55	1992-93
2.	Industries & Industrial Development	3	9	18	1991-92
3.	Electronics	1	7	34	1998-99
4.	Development of Economically Weaker Section	3	15	52	1985-86
5.	Area Development	2	18	94	1984-85
6.	Power	3	487	1756	1987-88
7.	Finance	1	2	20	2005-06
8.	Transport	1	1	7	2005-06
	Total	16	557	2036	

Appendix-7.5

(Reference: Paragraph 7.1.22; page157)

Statement showing department wise draft paragraphs/reviews replies to which are awaited

Sl. No.	Name of Department	No. of draft paragraphs	Number of reviews	Period of issue
1.	Energy	2	--	May & July 2007
2.	Finance	3	--	May & July 2007
3.	Tourism		1	July 2007
	Total	5	1	

Appendix-7.6

(Reference: Paragraph 7.1.25; page 158)

Statement showing paid- up capital, investment and summarised working results of 619-B Company as per their latest finalised accounts

(Rupees in lakh)

Sl. No.	Name of Company	Status (working / Non working)	Year of account	Paid-up capital	Equity by				Loans by			Grants by			Total investment by way of equity, loans and grants				Profit (+) / Loss (-)	Accumulated profit (+)/ Accumulated loss (-)
					State Government	State Government companies	Central Government and their companies	Others	State Government	State Government companies	Central Government and their companies	State Government	State Government companies	Central Government and their companies	State Government	State Government companies	Central Government and their companies	Others		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)
1.	Uttaranchal Seeds & Tarai Development Corporation Limited.	Working	2005-06	408.33	170.00 (41.63)	60.00 (14.70)	84.00 (20.57)	94.33 (23.10)	145.00	-	-	58.48	-	1035.66	373.48	60.00	1119.66	94.33	(+226.85)	(+ 991.34)

Note: Figure in bracket indicates percentage to paid up capital.