

Appendix 1.1

Part-A: Structure and Form of Government Accounts *(Reference: Paragraph 1.1 Page 1)*

Structure of Government Accounts: The accounts of the State Government are kept in three parts (i) Consolidated Fund, (ii) Contingency Fund and (iii) Public Account.

Part I: Consolidated Fund

All revenues received by the State Government, all loans raised by issue of treasury bills, internal and external loans and all moneys received by the Government in repayment of loans shall form one consolidated fund entitled 'The Consolidated Fund of State' established under Article 266(1) of the Constitution of India.

Part II: Contingency Fund

Contingency Fund of State established under Article 267(2) of the Constitution is in the nature of an imprest placed at the disposal of the Governor to enable him to make advances to meet urgent unforeseen expenditure, pending authorisation by the Legislature. Approval of the Legislature for such expenditure and for withdrawal of an equivalent amount from the Consolidated Fund is subsequently obtained, whereupon the advances from the Contingency Fund are recouped to the Fund.

Part III: Public Account

Receipts and disbursements in respect of certain transactions such as small savings, provident funds, reserve funds, deposits, suspense, remittances etc which do not form part of the Consolidated Fund, are kept in the Public Account set up under Article 266(2) of the Constitution and are not subject to vote by the State legislature.

PART-B
Layout of Finance Accounts
(Reference: Paragraph 1.1; Page 1)

Statement	Lay Out
Statement No.1	Presents the summary of transactions of the State Government –receipts and expenditure, revenue and capital, public debt receipts and disbursements etc in the Consolidated Fund, Contingency Fund and Public Account of the State.
Statement No.2	Contains the summarized statement of capital outlay showing progressive expenditure to the end of 2006-07.
Statement No.3	Gives financial results of irrigation works, their revenue receipts, working expenses and maintenance charges, capital outlay, net profit or loss, etc.
Statement No.4	Indicates the summary of debt position of the State which includes borrowing from intern debt, Government of India, other obligations and servicing of debt.
Statement No. 5	Gives the summary of loans and advances given by the State Government during the year repayments made, recoveries in arrears etc.
Statement No.6	Gives the summary of guarantees given by the Government for repayment of loans etc. raised by the statutory corporations, local bodies and other institutions.
Statement No.7	Gives the summary of cash balances and investments made out of such balances.
Statement No.8	Depicts the summary of balances under Consolidated Fund, Contingency Fund and Public Account as on 31 March 2007
Statement No.9	Shows the revenue and expenditure under different heads for the year 2006-07 as a percentage of total revenue/ expenditure
Statement No.10	Indicates the distribution between the charged and voted expenditure incurred during the year.
Statement No.11	Indicates the detailed account of revenue receipts by minor heads.
Statement No.12	Provides accounts of revenue expenditure by minor heads under non-plan and plan separately and capital expenditure by major head wise.
Statement No.13	depicts the detailed capital expenditure incurred during and to the end of 2006-07.
Statement No.14	Shows the details of investment of the State Government in statutory corporations, Government companies, other joint stock companies, co-operative banks and societies etc up to the end of 2006-07.
Statement No.15	Depicts the capital and other expenditure to the end of 2006-07 and the principal sources from which the funds were provided for that expenditure.
Statement No.16	Gives the detailed account of receipts disbursements and balances under heads of account relating to Debt, Contingency Fund and Public Account.
Statement No.17	Presents detailed account of debt and other interest bearing obligations of the Government of Uttar Pradesh.
Statement No.18	Provides the detailed account of loans and advances given by the Government of Uttar Pradesh, the amount of loan repaid during the year, the balance as on 31 March 2007.
Statement No.19	Gives the details of earmarked balances of reserve funds.

Part-C
List of Terms Used in the Chapter I and basis of their Calculation
(Reference: Paragraph 1.2 Page 3)

Terms	Basis of calculation
Buoyancy of a parameter	Rate of Growth of the parameter/ GSDP Growth
Buoyancy of a parameter (X) With respect to another parameter (Y)	Rate of Growth of parameter (X)/ Rate of Growth of parameter (Y)
Rate of Growth (ROG)	$[(\text{Current year Amount} / \text{Previous year Amount}) - 1] * 100$
Development Expenditure	Social Services + Economic Services
Average interest paid by the State	$\text{Interest payment} / [(\text{Amount of previous year's Fiscal Liabilities} + \text{Current year's Fiscal Liabilities}) / 2] * 100$
Interest spread	GSDP growth – Weighted Interest Rate
Quantum spread	Debt stock * Interest spread
Interest received as per cent to Loans Outstanding	$\text{Interest Received} [(\text{Opening balance} + \text{Closing balance of Loans and Advances}) / 2] * 100$
Revenue Deficit	Revenue Receipt – Revenue Expenditure
Fiscal Deficit	Revenue Expenditure + Capital Expenditure + Net Loans and Advances – Revenue Receipts – Miscellaneous Capital Receipts
Primary Deficit	Fiscal Deficit – Interest payments
Balance from Current Revenue (BCR)	Revenue Receipts minus all Plan grants and Non-plan Revenue Expenditure excluding expenditure recorded under the major head 2048 – Appropriation for reduction of Avoidance of debt

Appendix – 1.2
(Reference: Paragraphs: 1.2 and 1.7; page 3 and 20)

Summarised Financial Position of the Government of Uttar Pradesh as on
31 March 2007

(Rupees in crore)

As on 31.3.2006	Liabilities		As on 31-3-2007
74451.96	Internal Debt		82046.26
28325.44	Market Loans bearing interest	31067.19	
42.76	Market loans not bearing interest	(-) 480.05	
(-)10.78	Loans from LIC	(-) 17.70	
9590.48	Loans from other Institutions	9141.36	
36534.75	Special Securities issued	42366.15	
(-)30.69	Ways and Means Advance from RBI	(-) 30.69	
23758.57	Loans and Advances from Central Government		21963.69
9.96	Pre 1984-85 Loans	9.96	
145.39	Non-Plan Loans	138.13	
23298.91	Loans for State Plan Schemes	21522.46	
1.26	Loans for Central Plan Schemes	1.16	
301.62	Loans for Centrally sponsored Plan Schemes	290.55	
1.43	Ways and Means Advances from Central Government	1.43	
17230.42	Small Savings, provident funds, etc.		19963.11
14163.38	Deposit		15957.53
19263.46	Reserve Funds		22225.39
-----	Deposit with Reserve Bank		-
148867.79	Total		162155.98
As on 31 March 2006	Assets		As on 31 March 2007
58221.13	Gross Capital Outlay on Fixed Assets		72205.26
13174.81	Investments in shares of companies Corporation, etc.	16592.19	
45046.32	Capital Outlays	55613.07	
8159.58	Loans and advances		8691.49
3125.89	Loans for Power Projects	3160.89	
5178.08	Other Development Loans	5639.97	
(-)144.39	Loans to Government Servants & Miscellaneous loans	(-) 109.37	
776.02	Contingency Fund		140.80
45.20	Reserve Fund Investments		45.19
6.63	Advance		7.03
(-)652.47	Suspense and Miscellaneous Balances		(-)2931.31
485.32	Remittance balances		78.57
8494.69	Cash		15487.88
1.80	Cash in Treasuries and Local Remittances	6.34	
148.74	Deposit with Reserve Bank	6.86	
13.77	Departmental Cash Balances	15.27	
0.34	Permanent Advances	0.34	
8330.04	Cash Balance Investments	15459.07	
73331.69	Deficit on Government Accounts		68431.07
1267.99	(i) Revenue Deficit of the Current Year	(-) 4900.62	
72063.70	(ii) Accumulated deficit	73331.69	
148867.79	Total		162155.98

Appendix -1.3
(Reference: Paragraph 1.2; page 3)
Abstract of Receipts and Disbursements for the year 2006-07
(Rupees in crore)

Receipts			Disbursements				
2005-06	Section A- Revenue	2006-07	2005-06		Non-plan	Plan	Total 2006-07
45349.15	I-Revenue Receipts	60599.52	46617.14	I-Revenue Expenditure	46000.42	9698.48	55698.90
18858.10	Tax-Revenue	22997.97	20919.68	General Services	24180.50	118.82	24299.32
2930.32	Non-Tax Revenue	6532.64	15609.70	Social Services	11879.62	7368.44	19248.06
18202.93	State's Share of Union Taxes	23218.31	8789.89	Education, Sports, Art and Culture	8139.26	2565.18	10704.44
2026.50	Non-Plan Grants	2693.80	2595.61	Health and Family Welfare	1971.89	848.27	2820.16
1916.24	Grants for State Plan Scheme	2837.57	753.34	Water supply, Sanitation, Housing and Urban Development	141.16	1047.21	1188.37
1415.06	Grants for Central and Centrally Sponsored Scheme	2319.23	24.32	Information and Broadcasting	24.94	2.32	27.26
			1467.27	Welfare of Scheduled Caste, Scheduled Tribes and Other Backward Classes	580.83	921.22	1502.05
			130.58	Labour and Labour Welfare	148.61	371.81	520.42
			1818.53	Social Welfare and Nutrition	842.57	1612.43	2455.00
			30.16	Others	30.36	-	30.36
			7755.84	Economic Services	7198.05	2211.22	9409.27
			1480.40	Agriculture and Allied Activities	1247.59	601.13	1848.72
			2259.42	Rural Development	814.43	1159.81	1974.24
			49.50	Special Area Programme	25.00	58.50	83.50
			1390.73	Irrigation and Flood Control	1648.56	271.16	1919.72
			290.74	Industry and Minerals	155.61	76.82	232.43
			1401.05	Energy	1858.93	10.87	1869.80
			764.53	Transport	1333.59	1.48	1335.07
			7.60	Science, Technology and Environment	3.64	19.02	22.66
			111.87	General Economic Services	110.70	12.43	123.13
			2331.92	Grants-in-aid Contribution	2742.25	-	2742.25
1267.99	II-Revenue Deficit carried over to Section-B			II-Revenue surplus carried over to Section-B			4900.62
46617.14	Total	60599.52	46617.14	Total			60599.52
	Section -B-Others						
95.16	III-Opening Cash Balance including Permanent Advances and Cash Balance Investment	8494.69		III-Opening Overdraft from RBI			
	IV-Miscellaneous Capital Receipts		8711.23	IV-Capital Outlay	671.64	13312.49	13984.13
			313.54	General Services	298.31	355.50	653.81
			1158.83	Social Services	37.72	2142.11	2179.83
			322.12	Education, Sport, Art and Culture	12.50	284.83	297.33
			471.82	Health and Family Welfare	14.47	1467.20	1481.67
			267.17	Water supply, Sanitation, Housing and Urban Development	9.82	309.22	319.04
			8.92	Information and Broadcasting	-	-	-

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			78.80	Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	-	34.82	34.82
			5.34	Social Welfare and Nutrition	0.36	5.51	5.87
			4.66	Others	0.57	40.53	41.10
			7238.86	Economic Services	335.61	10814.88	11150.49
			231.55	Agriculture and Allied Activities	(-) 423.84	272.32	(-) 151.52
			663.19	Rural Development	-	505.25	505.25
			546.30	Special Area Programmes	141.21	577.72	718.93
			1641.27	Irrigation and Flood Control	(-) 0.08	2373.21	2373.13
			760.08	Energy	280.75	2803.00	3083.75
			166.18	Industry and Minerals	(-) 1.75	15.64	13.89
			3187.00	Transport	339.32	4217.98	4557.30
			----	Science Technology and Environment Services	-	-	-
			43.29	General Economic Services	-	49.76	49.76
2005-06	Receipts	2006-07	2005-06	Disbursements			2006-07
585.05	V-Recoveries of Loans and Advances	355.64	683.84	V-Loans and Advances Disbursed			887.55
25.89	From Industry and Minerals	179.18	112.18	For Industry and Minerals		339.31	
334.53	From Power Project	-	89.91	For Power Projects		35.00	
33.12	From Government Servants	30.56	10.40	To Government Servants		64.85	
191.51	From Others	145.90	471.35	To Others		448.39	
-----	VI-Revenue Surplus brought down	4900.62	1267.99	VI-Revenue Deficit brought down			
14257.46	VII-Public Debt Receipts	11711.50	5252.34	VII-Repayment of Public Debt			5912.08
13931.20	Internal Debt other than WMA and Overdrafts	11332.02	4045.12	Internal Debt other than WMA and Overdrafts		3737.72	
-----	Net Transactions under WMA (RBI)	-	25.48	Net Transactions under WMA (RBI)		-	
326.26	Loans and Advances from GOI other than WMA	379.48	1181.74	Loans and Advances from GOI		2174.36	
-----	WMA from GOI		-	WMA (GOI)		-	
-----	VIII-Appropriation to Contingency Fund	-	----	VIII-Appropriation to Contingency Fund			
298.52	IX-Amount transferred to Contingency Fund	644.79	182.77	IX-Expenditure from Contingency Fund			9.57
52528.51	X-Public Account Receipts	63075.25	43171.84	X-Public Accounts Disbursements			52901.28
3605.37	Small Savings, Provident Funds etc.	4857.91	1645.29	Small Savings, Provident Funds etc.		2125.22	
3181.42	Reserve Funds	3073.80	19.10	Reserve Funds		111.87	
20951.61	Suspense and Miscellaneous	27430.54	20195.05	Suspense and Miscellaneous		25151.70	
8240.72	Remittances	12463.56	8100.96	Remittances		12056.81	
16549.39	Deposits and Advances	15249.44	13210.80	Deposits and Advances		13455.68	
	XI-Closing Overdraft from RBI		8494.69	XI-Cash Balance at end			15487.88
			0.68	Cash in Treasuries and Local Remittances		0.01	
			148.74	Deposits with Reserve Bank		6.86	
			14.11	Departmental Cash Balances including Permanent Advances		15.61	
			8330.04	Cash Balance Investments		15459.07	
			1.12	Remittance in Transit		6.33	
67764.70	Total	89182.49	67764.70	Total			89182.49

Appendix -1.4
(Reference: Paragraph 1.2; page 3)

Sources and Application of funds for the year 2006-07

(Rupees in crore)

2005-06	Sources		2006-07
45349.15	Revenue Receipts		60599.52
585.05	Recoveries of Loans and Advances		355.64
9005.11	Increase in Public debt		5799.42
2007.61	- Market loans bearing interest	2741.75	
(-)0.31	- Market loans not bearing interest	(-) 522.81	
(-)7.22	- Loans from LIC	(-) 6.92	
7885.98	- Loans from other institutions	5382.28	
(-)25.48	- Ways and Means Advances (RBI)	----	
(-)855.48	Loans and Advances from Central Government.	(-) 1794.88	
(-)509.81	- Pre 1984-85 loans	----	
(-)897.67	- Non-Plan loans	(-) 7.26	
545.65	- Loans for State Plan Scheme	(-) 1776.45	
(-)0.11	- Loans for Central Plan Scheme	(-) 0.10	
(-)6.42	- Loans for Centrally Sponsored Plan Scheme	(-) 11.06	
12.88	- Ways and Means Advances from GOI	----	
9356.68	Net receipts from Public Account		10173.97
1960.08	- Increase in Small Savings	2732.69	
3338.59	- Increase in Deposits & Advances	1793.76	
3161.73	- Increase in Reserve Funds	2961.93	
756.52	- Net effect of Suspense and Miscellaneous transactions	2278.84	
139.76	- Net effect of Remittance transactions	406.75	
115.75	Net effect of Contingency Fund transactions		635.22
64411.74	Total		77563.77
2005-06	Application		2006-07
46617.14	Revenue Expenditure		55698.90
683.84	Lending for developing and other purposes		887.55
8711.23	Capital Expenditure		13984.13
-----	Net effect of Contingency Fund transactions		-----
8399.53	Increase in closing cash balance		6993.19
64411.74	Total		77563.77

1. The abridged accounts in the foregoing statements have to be read with comments and explanations in the Finance Accounts.
2. Government accounts being mainly on cash basis, the deficit on Government account, as shown in Statement-I indicates the position on cash basis, as opposed to accrual basis in commercial accounting. Consequently, items payable or receivable or items like depreciation or variation in stock figures etc. do not figure in accounts.
3. Suspense and miscellaneous balances include cheques issued but not paid, payments made on behalf of the State and other pending settlement etc.

Appendix -1.5
(Reference: Paragraph 1.2 & 1.3; page 3 and 6)

Time Series Data on the Finances of Uttar Pradesh

(Rupees in crore)

	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07
Part A. Receipts						
I. Revenue Receipts	25598	27821	31638	37617	45349	60600
(i) Tax Revenue	10330(40)	12767(46)	13601(43)	15693(42)	18858(42)	22998 (38)
Sales Tax/Trade Tax	6163(59)	7124(56)	7684(56)	8888(57)	11285(60)	13279 (58)
State Excise	1961(19)	2555(20)	2472(18)	2686(17)	3089(16)	3551 (15)
Taxes on vehicles	503(5)	619(5)	677(5)	776(5)	965(5)	1018 (4)
Stamps and Registration fees	1429(14)	2079(16)	2296(17)	2682(17)	2997(16)	4514 (20)
Land Revenue	73(1)	64(1)	118(1)	102(1)	109(1)	188 (1)
Other Taxes	201(2)	326(2)	354(3)	559(3)	413(2)	448 (2)
(ii) Non- Tax Revenue	1787(7)	1913(7)	2282(7)	2720(7)	2930(6)	6533 (11)
(iii) State's share in union taxes	10189(40)	10832(39)	13273(42)	15055(40)	18203(40)	23218 (38)
(iv) Grants in aid from GOI	3292(13)	2309(8)	2482(8)	4149(11)	5358(12)	7851 (13)
2. Miscellaneous Capital Receipts	-----	---	-----	-----	-----	-----
3. Total Revenue and Non Debt Capital Receipts (1+2)	25598	27821	31638	37617	45349	60600
4. Recoveries of Loans and Advances	366	219	12498	278	585	356
5. Public Debt Receipts	11383	12390	22110	17463	14257	11711
Internal Debt (Excluding Ways and Means Advances and Overdrafts)	7936(70)	9282(75)	19029(86)	14597(84)	13931(98)	11332 (97)
Net Transactions under Ways and Means Advances and Overdraft	690(6)	---	---	25(0)	---	---
Loans and Advances from Government of India	2757(24)	3108(25)	3081(14)	2841(16)	326(2)	379 (3)
6. Total Receipts in the Consolidated Fund (3+4+5)	37347	40430	66246	55358	60191	72667
7. Contingency Fund Receipts	81	---	52	76	299	645
8. Public Account Receipts	36965	37327	46875	45213	52529	63075
9. Total Receipts of the State (6+7+8)	74393	77757	113173	100647	113019	136387
Part B. Expenditure						
10. Revenue Expenditure	31793(89)	32939(88)	50221(83)	44610(88)	46617(83)	55699 (79)
Plan	3561(11)	3575(11)	3276(7)	5098(11)	6445(14)	9699 (17)
Non Plan	28232(89)	29364(89)	46945(93)	39512(89)	40172(86)	46000 (83)
General Services (incl. Interest payments)	16178(51)	15583(47)	19540(39)	22761(51)	20919(45)	24300 (44)
Economic Services	5350(17)	5883(18)	18970(38)	6976(16)	7756(17)	9409 (17)
Social Services	9337(29)	10308(31)	10354(21)	13090(29)	15610(33)	19248 (35)
Grants in aid and contributions	928(3)	1165(4)	1357(3)	1783(4)	2332(5)	2742(5)
11. Capital Expenditure	3556(10)	3794(10)	9320(15)	5653(11)	8711(16)	13984 (20)
Plan	2671(75)	3361(89)	3437(37)	4618(82)	8172(94)	13312 (95)
Non Plan	885(25)	433(11)	5883(63)	1035(18)	539(6)	672 (5)
General Services	128(4)	330(9)	224(2)	255(4)	313(4)	654 (5)
Economic Services	3217(90)	3176(84)	8767(94)	4902(87)	7239(83)	11150 (80)
Social Services	211(6)	288(7)	329(4)	496(9)	1159(13)	2180 (15)
12. Disbursement of Loans and Advances	526(1)	804(2)	1242(2)	629(1)	684(1)	888 (1)
13. Total (10+11+12)	35875	37537	60783	50892	56012	70571
14. Repayments of Public Debt	2942	6226	8988	9157	5252	5912
Internal Debt (excluding Ways and Means Advances and Overdrafts)	1509(51)	1811(29)	2300(26)	2903(32)	4045(7)	3738 (63)
Net Transactions Under Ways and Mean. Advances and Overdraft	---	777(12)	---	---	25(0)	---
Loans and Advances from Government of India	1433(49)	3638(59)	6688(74)	6254(68)	1182(23)	2174 (37)
15. Appropriation to Contingency Fund	-----	---	---	-----	-----	-----
16. Total Disbursement out of Consolidated Fund (13+14+15)	38817	43763	69771	60049	61264	76483
17. Contingency Fund disbursements	10	69	85	43	183	10
18 Public Account Disbursements	35397	34359	43480	40262	43172	52901
19. Total Disbursement by the State (16+17+18)	74224	78191	113336	100354	104619	129394

Part C. Deficits						
20. Revenue Deficit (-)/ Surplus (+) (1-10)	(-) 6195	(-) 5118	(-) 18583	(-) 6993	(-) 1268	(+) 4901
21. Fiscal Deficit (3+4-13)	9911	9497	16647	12997	10078	9615
22. Primary Deficit (-)/ Surplus (+) (21-23)	(-) 1691	(-) 2437	(-) 6523	(-) 1124	(-) 980	(+) 862
Part D. Other data						
23. Interest Payments (included in Revenue expenditure)	8220	7060	10124	11873	9098	10477
24. Arrears of Revenue (percentage Tax & Non- Tax Rev. Receipt)	6589(54)	5891(40)¹	6376(40)	7922(43)	8772(40)	14935(51)
25. Financial Assistance to local bodies etc.	2039	759	2835	3540	4960	8034
26. Ways and Means Advances and Overdrafts (days)	179	203	247	256	41	Nil
27. Interest on Way and Means & Advance / Overdraft	26	33	44	49	5	Nil
28. Gross State Domestic Product (GSDP)	190407	205395	224859	242282	273785²	311709³
29. Outstanding Debt (year end)	92982	102485	119240	131401	148868	162156
30. Outstanding Guarantees (year end)	6997	6270	3601	10354	8433	11056
31. Maximum Amount Guaranteed (year end)	11013	10840	10549	22770	15073	12235
32. Number of incomplete projects	89	27	24	35	27	58
33. Capital blocked in incomplete projects	8053	2073	2336	5804	3551	5407
34. Revenue Buoyancy of Own Taxes	(-)1.731	2.802	0.903	1.848	1.960	(-)2.275

¹ Includes Trade Tax and Entertainment Tax only. Figures of other were not available.

² Figure of GSDP for 2005-06 is quick

³ Figure of GSDP for 2006-07 is advance.

Appendix 1.6
(Reference: Paragraph 1.2.2; Page 5)
Outcome Indicators of the States' Own Fiscal Correction Path

(Rs. in crore)

Items	2003-04 Actual	2004-05 Pre-Actual	2005-06 B.E.	2005-06	2006-07	2007-08	2008-09	2009-10	2005-10 Total
1	2	3	4	5	6	7	8	9	10
A. STATE REVENUE ACCOUNT									
1. Own Tax Revenue	13601.22	15684.50	18290.58	19780.00	22640.00	25913.52	29660.36	33948.95	131942.83
2. Own Non-Tax Revenue	2282.08	2718.86	2247.19	2247.19	2641.90	2782.47	2931.74	3090.27	13693.57
3. Own Tax + Non-Tax Revenue(1+2)	15883.30	18403.36	20537.77	22027.19	25281.90	28695.99	32592.10	37039.22	145636.40
4. Share in Central Taxes & Duties	13272.97	14724.65	17046.23	18306.23	20167.93	23141.59	26612.77	30671.38	118899.90
5. Plan Grants	1912.47	3721.82	3848.46	3712.91	4046.84	4414.17	4818.22	5262.79	22254.83
6. Non -Plan Grants	569.22	317.12	1235.15	2019.45	3101.49	3232.02	3375.57	3533.47	15262.00
7. Total Central Transfer (4 to 6)	15754.66	18763.59	22129.84	24038.59	27316.26	30787.78	34806.56	39467.54	156416.73
8. Total Revenue (3+7)	31637.96	37166.95	42667.61	46065.78	52598.16	59483.77	67398.66	76506.76	302053.13
9. Plan Expenditure	3275.97	5016.97	7090.44	5641.91	6283.03	6996.64	7791.35	8676.71	35389.64
10. Non -Plan Expenditure	34667.75	39612.59	40980.64	44021.08	47972.97	53599.48	58408.66	64170.77	268172.96
11. Salary Expenditure	12444.82	13679.64	16012.45	15380.20	16303.01	17281.19	18318.06	19417.14	86699.59
12. Pension	2900.10	3591.28	4149.58	4727.53	5021.87	5336.60	5673.30	6033.50	26792.80
13. Interest Payments	10123.60	11865.24	10792.08	10092.00	11299.00	12383.00	13630.00	14888.00	62292.00
14. Subsidies -General	105.89	117.43	129.17	129.17	142.09	156.30	171.93	189.12	788.61
15. Subsidies-Power	935.00	1001.80	1287.00	1251.80	1501.80	1700.00	1700.00	1700.00	7853.60
16. Total Revenue Expenditure (9+10)	37943.72	44629.56	48071.08	49662.99	54256.00	60596.12	66200.01	72847.48	303562.60
17. Salary + Interest+ Pension (11+12+13)	25468.52	29136.16	30954.11	30199.71	32623.88	35000.79	37621.36	40338.64	175784.39
18. As% of Revenue Receipts (17/8)	80.50	78.39	72.55	65.56	62.02	58.84	55.82	52.73	58.20
19. Revenue Surplus/Deficit (8-16)	-6305.76	-7462.60	-5403.47	-3597.21	-1657.84	-1112.35	1198.65	3659.28	-1509.47
20. Outstanding Debts	106407.04	119926.10	129154.91	132311.50	144056.28	155781.36	166610.87	177228.00	775988.01
B. CONSOLIDATED REVENUE ACCOUNT									
1. Power Sector loss/profit net of actual subsidy transfer	1083.00	2108.00	2186.00	2186.00	2152.00	1776.00	1208.00	418.00	7740.00
2. Increase in debtors during the year in power utility accounts [Increase(-)]	1070.00	1180.00	1170.00	1170.00	1031.00	854.00	617.00	309.00	3981.00
3. Interest payments on off budget borrowing and SPV borrowings made by PSU/SPUs outside budget	521.77	517.26	531.12	531.12	594.18	743.00	827.14	862.77	3558.21
4. Total (1 to 3)	2674.77	3805.26	3887.12	3887.12	3777.18	3373.00	2652.14	1589.77	15279.21
5. Consolidated Revenue Deficit (A19+B4)	8980.53	11267.87	9290.59	7484.33	5435.02	4485.35	1453.49	-2069.51	16788.68
C. CONSOLIDATED DEBT									
1. Outstanding Debts & Liabilities	107490.04	122034.10	131340.91	134497.50	146208.28	157557.36	167818.87	177646.00	783728.01
2. Total Outstanding guarantee of which (a) guarantee on account off budgeted borrowing and SPV borrowing	10354.32	11154.32	11954.32	11954.32	12754.32	13544.32	14354.32	15154.32	67771.60
D. CAPITAL ACCOUNT:									
1. Capital Outlay	3448.52	5652.10	7897.91	9154.47	9769.92	10278.31	11675.73	13905.41	54783.84
2. Disbursement of Loans and Advances	1242.37	681.98	592.71	710.52	714.68	771.85	833.60	900.29	3930.94
3. Recovery of Loans and Advances	220.36	277.63	361.51	1076.80	397.66	437.43	481.17	529.29	2922.35
4. Other Capital Receipts	38540.58	37688.77	24464.98	26492.19	21818.14	21631.10	21277.25	20834.14	112052.82
E. GROSS FISCAL DEFICIT (GFD)	10776.29	13519.06	13532.58	12385.40	11744.78	11725.08	10829.91	10617.13	57301.90
1. GSDP (Rs. Crore) at current prices	217673.14	229413.80	256943.46	256943.46	287776.67	322309.87	360987.06	404305.50	1632322.56
2. Actual/Assumed Nominal Growth Rate (%)	---	---	---	12.00	12.00	12.00	12.00	12.00	---
3. Total Expenditure	42414.25	50686.01	56200.19	58451.18	64342.94	71208.85	78228.17	87123.89	359355.03

Excluding Rs. 12277.40 crore (UPSEB write off amount)

Including salary (Grant-in-aid) for Teachers and Non-teaching staff of the Aided Educational Institutions
Rs. 5871.83 crore of Power Bonds.

Items	2003-04 Actual	2004-05 Pre-Actual	2005-06 B.E.	2005-06	2006-07	2007-08	2008-09	2009-10	2005-10 Total
1	2	3	4	5	6	7	8	9	10
1. Own Tax Revenue as %ge of GSDP	6.25%	6.84%	7.12%	7.70%	7.87%	8.04%	8.22%	8.40%	8.08%
2. Own Non-Tax Revenue as %ge of GSDP	1.05%	1.19%	0.87%	0.87%	0.92%	0.86%	0.81%	0.76%	0.84%
3. Own Tax + Non-Tax Revenue as %ge of GSDP	7.30%	8.02%	7.99%	8.57%	8.79%	8.90%	9.03%	9.16%	8.90%
4. Share in Central Taxes & Duties as %ge of GSDP	6.10%	6.42%	6.63%	7.12%	7.01%	7.18%	7.37%	7.59%	7.28%
5. Plan Grants as %ge of GSDP	0.88%	1.62%	1.50%	1.45%	1.41%	1.37%	1.33%	1.30%	1.36%
6. Non -Plan Grants as %ge of GSDP	0.26%	0.14%	0.48%	0.79%	1.08%	1.00%	0.93%	0.87%	0.93%
7. Total Central Transfer as %ge of GSDP	7.24%	8.18%	8.61%	9.36%	9.49%	9.55%	9.64%	9.76%	9.58%
8. Total Revenue Receipts as %ge of GSDP	14.53%	16.20%	16.61%	17.93%	18.28%	18.46%	18.67%	18.92%	18.50%
9. Plan Expenditure as %ge of GSDP	1.50%	2.19%	2.76%	2.20%	2.18%	2.17%	2.16%	2.15%	2.17%
10. Non -Plan Expenditure as %ge of GSDP	15.93%	17.27%	15.95%	17.13%	16.67%	16.63%	16.18%	15.87%	16.43%
11. Salary Expenditure as %ge of GSDP	5.72%	5.96%	6.23%	5.99%	5.67%	5.36%	5.07%	4.80%	5.21%
12. Pension as %ge of GSDP	1.33%	1.56%	1.61%	1.84%	1.75%	1.66%	1.57%	1.49%	1.64%
13. Interest Payments as %ge of GSDP	4.65%	5.17%	4.20%	3.93%	3.93%	3.84%	3.78%	3.68%	3.82%
14. Subsidies –General as %ge of GSDP	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%	0.05%
15. Subsidies-Power as %ge of GSDP	0.43%	0.44%	0.50%	0.49%	0.52%	0.53%	0.47%	0.42%	0.48%
16. Total Revenue Expenditure as %ge of GSDP	17.43%	19.45%	18.71%	19.33%	18.85%	18.80%	18.34%	18.02%	18.60%
17. Salary + Interest+ Pension as %ge of GSDP	11.70%	12.70%	12.05%	11.75%	11.34%	10.86%	10.42%	9.98%	10.77%
18. Revenue Surplus/Deficit as %ge of GSDP	-2.90%	-3.25%	-2.10%	-1.40%	-0.58%	-0.35%	0.33%	0.51%	-0.09%
19. Capital Outlay as %ge of GSDP	1.58%	2.46%	3.07%	3.56%	3.39%	3.19%	3.23%	3.44%	3.36%
20. Disbursement of Loans and Advances as %ge of GSDP	0.58%	0.30%	0.23%	0.28%	0.25%	0.24%	0.23%	0.22%	0.24%
21. Recovery of Loans and Advances as %ge of GSDP	0.10%	0.12%	0.14%	0.42%	0.14%	0.14%	0.13%	0.13%	0.18%
22. Other Capital Receipts as %ge of GSDP	17.71%	16.43%	9.52%	10.31%	7.58%	6.71%	5.89%	5.15%	6.86%
23. GFD as %ge of GSDP	4.95%	5.89%	5.27%	4.82%	4.08%	3.64%	3.00%	2.63%	3.51%
24. Outstanding Public Debt	48.9%	52.3%	50.3%	51.5%	50.1%	48.3%	46.2%	43.8%	%
25. Consolidated Outstanding Debt	49.4%	53.2%	51.1%	52.3%	50.8%	48.9%	46.5%	43.9%	48.0%

Appendix -1.7
(Reference: Paragraph 1.5.5; page 19)
Delay in furnishing Utilisation Certificates

(Rs. in lakhs)

Sl. No.	Name of Department	Total U.C. due		For Grants outstanding		For Loan outstanding		Total outstanding	
		No. of U.C.	Amount	No. of U.Cs.	Amount	No. of U.C.	Amount	No. of U.Cs.	Amount
1.	Director Sports, U.P.	28	398.42	08	35.21	000	000	08	35.21
2.	Director, Culture, U.P.	16	263.37	000	000	000	000	000	000
3.	State Educational Research & Training	04	24.00	000	000	000	000	000	000
4.	Higher Education Section 4, Secretariat	000	4158.03	000	3684.64	000	000	000	3684.64
5.	Science & Technology	05	760.00	000	000	000	000	000	000
6.	Director, Bal Vikas & Pushtahar	02	9739.03	02	9739.03	000	000	02	9739.03
7.	Medical Education & Training	01	5.00	00	000	000	000	000	000
8.	Director, Literacy & Vaikalpik Shiksha	02	8.16	000	000	000	000	000	000
9.	Directorate of Madhyamik Shiksha	000	545.07	000	545.07	000	000	000	545.07
10.	Directorate of Basic Education	000	60938.69	000	60938.69	000	000	000	60938.69
11.	Basic Shiksha Parishad	53	601.94	000	000	000	000	000	000
12.	Technical Education	25	1654.33	08	430.13	000	000	08	430.13
13.	Nagar Vikas Anubhag 5	83	58775.35	72	25129.44	000	3508.17	72	28637.61
14.	Nagar Vikas Anubhag 9	628	5170.00	454	3356.80	000	000	454	3356.80
15.	SUDA	000	1774.78	000	1578.92	000	000	000	1578.92
16.	Nagar Vikas Anubhag 1	01	383.20	000	000	000	000	000	000
17.	Avas Avam Sahari Niyajan Anubhag 3	201	17051.00	199	16001.00	02	1050.00	201	17051.00
18.	Commissioner, RuralDevelopment	210	159730.57	000	000	000	000	000	000
19.	SGPGI	02	3799.99	000	000	000	000	000	000
20.	Minority Welfare	112	500.49	03	40.00	02	50.00	05	90.00
21.	Medical & Health Services	30	1136.69	02	108.30	000	000	02	108.30
22.	Homeopathy Department	01	2.00	000	000	000	000	000	000
23.	Family Welfare	12	121.47	000	000	000	000	000	000
24.	Chatrapati Sahuji Maharaj Medical University	25	8317.96	10	3190.33	000	000	10	3190.33
25.	State Backward Class Commission	01	89.00	000	000	000	000	000	000
26.	Viklang Kalyan	70	4178.54	000	000	000	000	000	000
27.	Director of Industries	49	22139.25	22	5493.70	04	838.84	26	6332.54
28.	Handicraft & Textiles	782	2340.27	344	1515.29	117	146.55	461	1661.84
29.	Rashtriya Ekikaran	90	8.15	41	2.55	000	000	41	2.55
30.	Co-operative Societies	14	260.61	000	000	04	127.82	04	127.82
31.	RamGanga Command Pariyojana	40	2179.65	000	000	000	000	000	000
32.	Chief Forest Conservator, UP	01	37.50	000	000	000	000	000	000
33.	U.P. Power Corporation	000	117710.00	000	000	000	000	000	000
34.	Director, Agriculture	04	240.70	01	0.7	000	000	01	0.70
35.	Directorate of Tourism	03	30.00	000	000	000	000	000	000
36.	Director of Fisheries	70	400.00	000	000	000	000	000	000
37.	Transport Commissioner	84	11.40	84	11.40	000	000	84	11.40
38.	Environment Department	02	1.98	000	000	000	000	000	000
39.	Commissioner, Sugarcane	84	446.58	000	000	000	000	000	000
40.	Non Conventional Energy	13	1035.16	13	1035.16	000	000	13	1035.16
41.	Commissioner Sugar	88	12608.97	88	108.97	000	000	88	108.97

42.	Director, Culture	16	263.37	000	000	000	000	000	000
43.	Commissioner, Milk Development	198	1590.69	128	1165.12	000	000	128	1165.12
44.	Udyan Avam Khadya Prasanskaran	05	157.02	000	000	000	000	000	000
45.	Animal Husbandry	02	131.00	000	000	000	000	000	000
46.	U.P. Khadi & Gramodyoga Board	13	2907.84	000	000	000	000	000	000
	Total	3070	504627.22	1479	134110.45	129	5721.38	1608	139831.83

Appendix -1.8
(Reference: Paragraph 1.5.6; page 19)

Non-Submission of Accounts

Sl. No.	Name of Department/ Under Section	Year for which account had not been furnished.
1.	Varanasi Jal Sansthan/ 20(1)	2004-05,2005-06 & 2006-07
2.	Lucknow Jal Sansthan/ 20(1)	2006-07
3.	Jhansi Jal Sansthan/ 20(1)	2003-04,2004-05,2005-06 & 2006-07
4.	Allahabad Jal Sansthan/ 20(1)	2000-01,2001-02,2002-03,2003-04,2004-05,2005-06 & 2006-07
5.	Agra Jal Sansthan/ 20(1)	2001-02,2002-03,2003-04,2004-05,2005-06 & 2006-07
6.	Kanpur Jal Sansthan/ 20(1)	2003-04,2004-05,2005-06& 2006-07
7	Chitrakoot Jal Sansthan/ 20(1)	2006-07
8	U.P. Khadi Avam Gramodyoga Board/ 19(3)	2004-05,2005-06 & 2006-07

Appendix – 1.9
(Reference: Paragraph 1.6; page 19)

Department-wise/ year-wise break-up of the cases in which final action was pending at the end of September 2007

(Figures in bracket are Rupees in lakh)

Name of the department	Upto 5 years	5 to 10 years	10 to 15 years	15 to 20 years	20 to 25 years	25 years to more	Total
Agriculture	3 (8.91)	---	---	2 (0.34)	---	---	5 (9.25)
Animal Husbandry	---	2 (3.46)	6 (1.19)	7 (1.89)	---	1 (0.02)	16 (6.56)
Co-operative	1 (1.98)	1 (1.68)	1 (0.17)	1 (0.44)	---	---	4 (4.27)
Education	---	1 (5.00)	---	---	---	---	1 (5.00)
Finance	---	---	---	1 (0.67)	---	---	1 (0.67)
Fisheries	---	---	4 (0.88)	2 (1.33)	2 (1.00)	---	8 (3.21)
Food and Supplies	1 (3.06)	---	1 (0.30)	4 (22.10)	3 (3.32)	---	9 (28.78)
Irrigation	---	1 (1.60)	---	---	---	---	1 (1.60)
Judiciary	1 (4.44)	---	---	---	1 (0.66)	---	2 (5.10)
Land Acquisition	---	---	---	---	---	3 (331.44)	3 (331.44)
Medical & Health	---	1 (1.53)	4 (4.82)	9 (9.16)	4 (3.96)	---	18 (19.47)
Police	---	---	1 (1.21)	---	1 (0.60)	3 (2.94)	5 (4.75)
PAC	---	1 (47.48)	---	1 (0.51)	---	---	2 (47.99)
PWD	5 (34.18)	1 (0.98)	---	---	---	---	6 (35.16)
Revenue	---	---	---	---	3 (1.86)	---	3 (1.86)
Rural Development	---	---	3 (1.65)	3 (0.65)	2 (0.26)	4 (1.28)	12 (3.84)
Social Welfare	---	---	---	1 (0.25)	---	2 (0.70)	3 (0.95)
Technical Education	---	1 (11.59)	---	---	---	---	1 (11.59)
Weight and Measurement	---	---	---	1 (1.01)	---	---	1 (1.01)
Total	11 (52.57)	9 (73.32)	20 (10.22)	32 (38.35)	16 (11.66)	13 (336.38)	101 (522.50)

Appendix-1.10
(Reference: Paragraph 1.7.1; page 21)
Position of arrears in preparation of Pro forma Accounts

Sl. No.	Name of Department	Number of undertakings	Name of undertakings	Year from which accounts are due	Remarks
1	Food and Civil Supplies Department	1	Scheme for public distribution system of food grains	2006-07	Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under finalization.
2	Animal Husbandry Department	1	Consolidated Accounts of Government Live Stock and Agriculture Farms	2005-06	Accounts finalised upto 2004-05.
3	Irrigation Department	7	Irrigation Workshop Division, Meerut	-----	Accounts finalised upto 2005-06. Accounts of the year 2006-07 are under audit.
			Irrigation Workshop Division, Jhansi	-----	Accounts finalised upto 2006-07.
			Irrigation Workshop Division, Bareilly	-----	Accounts finalised upto 2006-07.
			Irrigation Workshop Division, Kanpur	-----	Accounts finalised upto 2006-07.
			Irrigation Workshop Division, Allahabad	2006-07	Accounts finalised upto 2005-06.
			Irrigation Workshop Division, Gorakhpur	2006-07	Accounts prepared since 1987-88 without details about opening balances. Accouns finalized upto 2005-06.
			Erection Division, Faizabad	1985-86	Accounts not prepared since inception
4	Samaj Kalyan Department	1	Criminal Tribes Settlement Tailoring Factory (Kanpur)	1980-81
5	Health Department	1	State Pharmacy of Ayurvedic and Unani medicines	1988-89
	Total	11			

Appendix-1.11

(Reference: Paragraph 1.7.1; page 21)

Investment made by the Government in departmental commercial undertakings

Sl. No.	Name of Department	Number of undertakings	Name of undertakings	Investments as per last Accounts (Rs. in lakh)	Remarks
1	Food and Civil Supplies Department	1	Scheme for public distribution system of food grains	53675.48	Accounts finalised upto 2003-04. Accounts of the year 2004-05 and 2005-06 are under finalization.
2	Animal Husbandry Department	1	Consolidated Accounts of Government Live Stock and Agriculture Farms	702.48	Accounts finalised upto 2004-05.
3	Irrigation Department	7	Irrigation Workshop Division, Meerut	22.81	Accounts finalised upto 2005-06. Accounts of the year 2006-07 are under audit.
			Irrigation Workshop Division, Jhansi	44.19	Accounts finalised upto 2006-07.
			Irrigation Workshop Division, Bareilly	171.42	Accounts finalised upto 2006-07.
			Irrigation Workshop Division, Kanpur	14.94	Accounts finalised upto 2006-07.
			Irrigation Workshop Division, Allahabad	178.32	Accounts finalised upto 2005-06.
			Irrigation Workshop Division, Gorakhpur	143.98	Accounts prepared since 1987-88 without details about opening balances. Accounts finalized upto 2005-06.
			Erection Division, Faizabad	00.00	Accounts not prepared since inception
4	Samaj Kalyan Department	1	Criminal Tribes Settlement Tailoring Factory (Kanpur)	3.67
5	Health Department	1	State Pharmacy of Ayurvedic and Unani medicines	9.16
	Total	11		54966.45	

Appendix-1.12
(Reference: Paragraph 1.7.1; page 21)

**Statement showing summarised financial results of Government
Commercial and Quasi Commercial undertakings**

(Rupees in lakh)

Sl. No	Name of Units	Year of the Account (Finalised)	Mean ¹ Capital	Block Asset	Accumulated Depreciation	Profit(+)/ Loss(-)	Interest on Govt. Capital	Total return ²	Percentage of total return to Mean Capital
A. Irrigation Department									
1.	IWD, Meerut	2005-06	216.47	36.03	23.61	4.04	11.91	15.95	7.37
2.	IWD, Jhansi	2006-07	(-)723.13	69.00	40.08	16.40	16.40
3.	IWD, Bareilly	2006-07	(-)713.76	201.78	80.86	5.80	5.80
4.	IWD, Kanpur	2006-07	421.77	15.46	11.29	11.88	23.20	35.08	8.32
5.	IWD, Allahabad	2005-06	381.72	171.54	61.40	6.28	20.99	27.27	7.14
6.	IWD, Gorakhpur	2006-07	614.93	458.40	68.74	2.30	33.82	36.12	5.87
	Total		198.00	952.21	285.98	46.70	89.92	136.62	28.70
B. Animal Husbandry Department									
7.	State Live Stock cum Agriculture Farms	2004-05	793.49	135.44	11.66	(-) 224.73	---	(-) 224.73	---
	Total		793.49	135.44	11.66	(-) 224.73	---	(-) 224.73	---
C. Food and Civil Supplies Department									
8.	Scheme for public distribution system of food grains	2003-04	30846.25	1041.83	67.10	(-)3073.67	1634.85	(-)1438.82	---
	Total		30846.25	1041.83	67.10	(-) 3073.67	1634.85	(-)1438.82	---
D. Health Department									
9.	State Pharmacy of Ayurvedic and Unani medicines	1987-88	72.11	22.96	7.59	(-) 8.46	3.83	(-) 4.63	---
	Total		72.11	22.96	7.59	(-) 8.46	3.83	(-) 4.63	---
E. Samaj Kalyan Department									
10.	Criminal Tribes Settlement Tailoring Factory (Kanpur)	1979-80	4.16	0.26	0.28	0.89	0.21	1.10	26.44
	Total		4.16	0.26	0.28	0.89	0.21	1.10	26.44

Note: This information, as informed by Accounts Wing, can not be captured from the Finance Accounts of the States. Therefore, providing the requisite information under this sub-section is purely subject to the availability from the State Government has been done under other heads of this nature.

¹ Mean Capital represents opening capital plus 50 per cent of net drawals after deduction of remittances and adjustments during the year.

² Total returns represent profit plus interest on Government Capital.

Appendix-1.13

(Reference: Paragraph 1.7.1; page 22)

Statement showing accumulated losses of PSUs of Transport and Power sector

Sl. No	Name of PSU	Latest available accounts	Accumulated losses (Rs. in crore)
Transport Sector			
1.	Uttar Pradesh State Road Transport Corporation	2005-06	768.34
Power Sector			
1.	U.P. Jal Vidyut Nigam Limited	2004-05	144.10
2.	U.P. Rajya Vidyut Utpadan Nigam Limited	2005-06	1508.03
3.	U.P. Power corporation Limited	2004-05	6321.39
4.	Kanpur Electricity Supply company Limited	2002-03	305.89
5.	Purvanchal Vidyut Vitran Nigam Limited	Account not received	
6.	Paschimanchal Vidyut Vitran Nigam Limited	2003-04	204.01
7.	Madhyanchal Vidyut Vitran Nigam Limited	2003-04	47.42
8.	Dakshinanchal Vidyut Vitran Nigam Limited	Account not received	
9.	UPSIDC Power Company Limited	2006-07	0.12

Appendix - 2.1

(Reference: Paragraph 2.2; page 35)

Details of savings against the provisions under various Grants

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Total provision	Expenditure	Saving
A-Revenue Voted					
1.	1	Excise Department	41.91	37.29	4.62
2.	3	Industries Department (Small Industry and Export Promotion)	56.76	51.70	5.06
3.	4	Industries Department (Mines and Minerals)	20.26	19.86	0.40
4.	5	Industries Department (Handloom & Village Industries)	35.28	34.42	0.86
5.	6	Industries Department (Handloom Industry)	38.75	26.93	11.82
6.	8	Industries Department (Printing and Stationery)	68.87	68.12	0.75
7.	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	113.84	106.79	7.05
8.	11	Agriculture and Other Allied Departments (Agriculture)	1007.30	926.83	80.47
9.	12	Agriculture and Other Allied Departments (Land Development and Water Resources)	134.12	124.64	9.48
10.	14	Agriculture and Other Allied departments (Panchayati Raj)	852.76	563.14	289.62
11.	15	Agriculture and Other Allied Departments (Animal Husbandry)	239.20	213.72	25.48
12.	17	Agriculture and Other Allied Departments (Fisheries)	35.56	33.21	2.35
13.	18	Agriculture and Other Allied Departments (Co-operative)	62.44	60.79	1.65
14.	19	Personnel Department (Training and Other Expenditure)	2.39	1.93	0.46
15.	20	Personnel Department (Public Service Commission)	1.82	1.61	0.21
16.	21	Food and Civil Supplies Department	136.23	122.30	13.93
17.	22	Sports Department	20.88	18.61	2.27
18.	23	Cane Development Department (Cane)	73.04	72.28	0.76
19.	25	Home Department (Jails)	152.07	148.65	3.42
20.	26	Home department (Police)	3094.22	3052.68	41.54
21.	27	Home department (Civil Defence)	157.15	156.89	0.26
22.	28	Home department (Political Pension and Other Expenditure)	87.72	74.73	12.99
23.	30	Confidential Department (Revenue Special Intelligence Directorate and Other Expenditure)	1.47	1.35	0.12
24.	31	Medical Department (Medical Education and Training)	782.90	777.05	5.85
25.	32	Medical Department (Allopathy)	1280.98	1077.64	203.34
26.	33	Medical Department (Ayurvedic and Unani)	194.14	183.68	10.46
27.	34	Medical Department (Homeopathy)	103.74	91.39	12.35
28.	35	Medical Department (Family Welfare)	543.54	452.20	91.34
29.	36	Medical Department (Public Health)	248.41	190.12	58.29
30.	37	Urban Development department	606.72	588.77	17.95
31.	39	Language Department	5.16	4.99	0.17
32.	40	Planning Department	61.62	52.35	9.27
33.	41	Election Department	103.47	97.23	6.24
34.	42	Judicial Department	465.94	368.71	97.23
35.	43	Transport Department	40.66	38.22	2.44
36.	44	Tourism Department	13.68	10.82	2.86
37.	45	Environment Department	82.95	2.93	80.02

38.	46	Administrative Reforms Department	3.89	2.86	1.03
39.	47	Technical Education Department	104.50	98.46	6.04
40.	48	Muslim Waqf Department	192.73	191.24	1.49
41.	49	Women and Child Welfare Department	1466.83	1266.36	200.47
42.	50	Revenue Department (District Administration)	240.54	218.81	21.73
43.	51	Revenue Department (Relief on Account of Natural Calamities)	313.95	123.12	190.83
44.	52	Revenue Department (Board of Revenue and Other Expenditure)	801.76	741.39	60.37
45.	53	National Integration Department	0.15	0.10	0.05
46.	54	Public Works Department (Establishment)	645.67	289.87	355.80
47.	57	Public Works Department (Communication-Bridges)	9.00	8.51	0.49
48.	59	Public Works Department (Estate Directorate)	71.63	70.50	1.13
49.	60	Forest Department	205.40	188.15	17.25
50.	63	Finance Department (Treasury and Accounts Administration)	83.89	67.26	16.63
51.	64	Finance Department (State Lottery)	1.32	1.13	0.19
52.	65	Finance Department (Audit, Small Saving etc.)	78.40	73.03	5.37
53.	66	Finance Department (Group Insurance)	1.28	1.17	0.11
54.	67	Legislative Council Secretariat	15.82	13.50	2.32
55.	68	Legislative Assembly Secretariat	44.80	40.63	4.17
56.	70	Science and Technology Department	36.86	32.29	4.57
57.	71	Education Department (Primary Education)	7045.96	6977.84	68.12
58.	72	Education Department (Secondary Education)	3263.78	3259.14	4.64
59.	75	Education Department (State Council of Educational Research and Training)	64.39	44.52	19.87
60.	76	Labour Department (Labour Welfare)	98.77	89.13	9.64
61.	77	Labour Department (Employment)	492.52	463.95	28.57
62.	78	Secretariat Administration Department	204.58	183.43	21.15
63.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	717.37	684.98	32.39
64.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	1096.44	1061.44	35.00
65.	81	Social Welfare Department (Tribal Welfare)	30.53	17.47	13.06
66.	82	Vigilance Department	15.02	14.97	0.05
67.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2243.87	1847.69	396.18
68.	84	General Administration Department	0.57	0.55	0.02
69.	85	Public Enterprises Department	2.12	1.92	0.20
70.	86	Information Department	61.56	26.69	34.87
71.	87	Soldier's Welfare Department	26.22	23.32	2.90
72.	88	Institutional Finance Department (Directorate)	2.62	2.42	0.20
73.	89	Institutional Finance Department (Trade-Tax)	236.33	208.62	27.71
74.	90	Institutional Finance Department (Entertainment and Betting-Tax)	50.24	49.67	0.57
75.	92	Culture Department	32.27	23.22	9.05
76.	96	Irrigation Department (Works)	1077.49	864.45	213.04
		Total (A)	32049.02	29128.37	2920.65
B-Capital Voted					
77.	1	State Excise	0.35	0.04	0.31
78.	4	Industries Department (Mines and Minerals)	0.30	0.19	0.11
79.	9	Power Department	3227.12	3074.45	152.67
80.	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	2.05	1.92	0.13
81.	11	Agriculture and Other Allied Departments (Agriculture)	201.27	118.07	83.20
82.	13	Agriculture and Other Allied Departments (Rural Development)	814.28	814.21	0.07

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83.	14	Agriculture and Other Allied Departments (Panchayati Raj)	10.03	8.54	1.49
84.	15	Agriculture and Other Allied Departments (Animal Husbandry)	56.88	50.87	6.01
85.	21	Food and Civil Supplies Department	5776.69	4170.00	1606.69
86.	22	Sports Department	83.91	75.60	8.31
87.	23	Cane Development Department (Cane)	7.55	7.51	0.04
88.	25	Home Department (Jails)	66.04	56.73	9.31
89.	26	Home Department (Police)	314.00	156.13	157.87
90.	32	Medical Department (Allopathy)	576.25	552.15	24.10
91.	33	Medical Department (Ayurvedic and Unani)	13.76	13.10	0.66
92.	34	Medical Department (Homeopathy)	8.21	8.13	0.08
93.	35	Medical Department (Family Welfare)	25.76	25.27	0.49
94.	40	Planning Department	1052.00	1048.24	3.76
95.	42	Judicial Department	53.68	33.46	20.22
96.	43	Transport Department	62.15	49.78	12.37
97.	44	Tourism Department	81.58	67.66	13.92
98.	45	Environment Department	80.00	0.00	80.00
99.	47	Technical Education Department	15.45	7.54	7.91
100.	48	Muslim Waqf Department	17.91	7.37	10.54
101.	49	Women and Child Welfare Department	1.29	0.86	0.43
102.	52	Revenue Department (Board of Revenue and Other Expenditure)	10.34	6.06	4.28
103.	53	National Integration Department	0.01	0.00	0.01
104.	56	Public Works Department (Special Area Programme)	442.50	441.44	1.06
105.	59	Public Works Department (Estate Directorate)	44.22	42.34	1.88
106.	60	Forest Department	138.76	137.57	1.19
107.	61	Finance Department (Debt Services and other Expenditure)	307.50	169.10	138.40
108.	62	Finance Department (Superannuation Allowances and Pensions)	100.00	48.47	51.53
109.	63	Finance Department (Treasury and Accounts Administration)	1.37	1.21	0.16
110.	65	Finance Department (Audit, Small Savings etc.)	0.04	0.02	0.02
111.	67	Legislative Council Secretariat	0.30	0.10	0.20
112.	68	Legislative Assembly Secretariat	0.42	0.22	0.20
113.	72	Education Department (Secondary Education)	19.08	18.27	0.81
114.	73	Education Department (Higher Education)	30.80	30.50	0.30
115.	75	Education Department (Estate Council of Educational Research and Training)	2.22	1.70	0.52
116.	77	Labour Department (Employment)	26.19	26.10	0.09
117.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	11.25	10.54	0.71
118.	81	Social Welfare Department (Tribal Welfare)	1.77	0.35	1.42
119.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	1413.86	1328.96	84.90
120.	86	Information Department	18.49	18.48	0.01
121.	87	Soldier's Welfare Department	1.06	1.05	0.01
122.	91	Institutional Finance Department (Stamps and Registration)	1.00	0.88	0.12
123.	92	Culture Department	32.01	27.35	4.66
124.	95	Irrigation Department (Establishment)	148.71	138.86	9.85
		Total (B)	15300.41	12797.39	2503.02
		C-Revenue-Charged			
125.	9	Power Department	31.46	6.22	25.24
126.	15	Agriculture and Other Allied Departments (Animal Husbandry)	0.14	0.11	0.03

127.	18	Agriculture and Other Allied Departments (Co-operative)	6.14	5.33	0.81
128.	21	Food and Civil Supplies Department	155.01	155.00	0.01
129.	22	Sports Department	0.01	0.00	0.01
130.	25	Home Department (Jails)	0.08	0.02	0.06
131.	26	Home Department (Police)	0.66	0.11	0.55
132.	29	Confidential Department (Governor's Secretariat)	3.89	3.58	0.31
133.	32	Medical Department (Allopathy)	0.10	0.00	0.10
134.	35	Medical Department (Family Welfare)	0.10	0.00	0.10
135.	36	Medical Department (Public Health)	0.07	0.00	0.07
136.	42	Judicial Department	74.66	68.74	5.92
137.	49	Women and Child Welfare Department	0.10	0.00	0.10
138.	50	Revenue Department (District Administration)	0.16	0.01	0.15
139.	51	Revenue Department (Relief on Account of Natural Calamities)	304.48	6.26	298.22
140.	54	Public Works Department (Establishment)	0.04	0.00	0.04
141.	55	Public Works Department (Buildings)	1.64	1.40	0.24
142.	58	Public Works Department (Communications- Roads)	0.05	0.00	0.05
143.	60	Forest Department	0.13	0.03	0.10
144.	61	Finance Department (Debt Services and other expenditure)	13319.06	13177.00	142.06
145.	63	Finance Department (Treasury and Accounts Administration)	0.06	0.00	0.06
146.	66	Finance Department (Group Insurance)	115.55	111.51	4.04
147.	67	Legislative Council Secretariat	0.44	0.31	0.13
148.	82	Vigilance Department	1.13	1.12	0.01
149.	95	Irrigation Department (Establishment)	0.50	0.00	0.50
		Total (C)	14015.66	13536.75	478.91
		D-Capital-Charged			
150.	18	Agriculture and Other Allied Departments (Co-operative)	13.64	13.46	0.18
151.	20	Personnel Department (Public Service Commission)	0.79	0.78	0.01
152.	52	Revenue Department (Board of Revenue and Other Expenditure)	0.10	(-)0.20	0.30
153.	55	Public Works Department (Buildings)	10.65	10.05	0.60
154.	58	Public Works Department (Communications- Roads)	5.50	4.52	0.98
155.	61	Finance Department (Debt Services and Other Expenditure)	13052.14	4379.32	8672.82
156.	96	Irrigation Department (Works)	4.00	1.44	2.56
		Total (D)	13086.82	4409.37	8677.45
		Grand Total (A)+(B)+(C)+(D)	74451.91	59871.88	14580.03

Appendix - 2.2
(Reference: Paragraph 2.2 & 2.3.4; page 35 and 38)
Excess Expenditure over Grants/Charged Appropriations

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant/Charged Appropriation	Total Grant/ Appropriation	Expenditure	Excess	
					Total Excess	Amount Requiring regularisation
A-Revenue-Voted						
1	2	Housing Department	19.62	63.80	44.18	--
2	7	Industries Department (Heavy and Medium Industries)	19.73	23.97	4.24	--
3	9	Power Department	1948.07	2197.57	249.50	249.50
4	13	Agriculture and Other Allied Departments (Rural Development)	1248.63	1261.90	13.27	13.27
5	16	Agriculture and Other Allied Department (Dairy Development)	14.90	17.19	2.29	--
6	24	Cane Development Department (Sugar Industry)	70.44	73.49	3.05	--
7	38	Civil Aviation Department	10.41	10.42	0.01	--
8	55	Public Works Department (Buildings)	18.13	19.40	1.27	1.27
9	58	Public Works Department (Communications- Roads)	1233.08	1286.13	53.05	41.05
10	61	Finance Department (Debt Services and Other Expenditure)	2769.32	2824.17	54.85	54.85
11	62	Finance Department (Superannuation Allowances and Pensions)	3426.53	3738.35	311.82	311.82
12	73	Education Department (Higher Education)	768.86	775.03	6.17	5.32
13	91	Institutional Finance Department (Stamps and Registration)	67.69	68.48	0.79	0.79
14	95	Irrigation Department (Establishment)	827.84	836.14	8.30	8.30
Total (A)			12443.25	13196.04	752.79	686.17
B-Capital Voted						
15	3	Industries Department (Small Industry and Export Promotion)	4.03	11.07	7.04	0.14
16	7	Industries Department (Heavy and Medium Industries)	104.40	181.39	76.99	--
17	16	Agriculture and Other Allied Departments (Dairy Development)	0.51	7.46	6.95	0.09
18	24	Cane Development Department (Sugar Industry)	62.50	164.59	102.09	--
19	31	Medical Department (Medical Education and Training)	206.76	208.36	1.60	0.18
20	37	Urban Development Department	399.21	416.28	17.07	17.07
21	50	Revenue Department (District Administration)	81.13	93.04	11.91	--
22	55	Public Works Department (Buildings)	24.56	464.39	439.83	436.24
23	57	Public Works Department (Communication-Bridges)	397.00	425.32	28.32	28.32

24	58	Public Works Department (Communications- Roads)	2700.30	3561.82	861.52	861.52
25	71	Education Department (Primary Education)	2.02	10.02	8.00	--
26	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	0.00	5.00	5.00	--
27	89	Institutional Finance Department (Trade-Tax)	8.31	27.42	19.11	0.84
28	96	Irrigation Department (Works)	2253.97	2697.04	443.07	441.17
Total (B)			6244.70	8273.20	2028.50	1785.57
C-Revenue Charged						
29	1	Excise Department	0.10	4.91	4.81	--
30	2	Housing Department	10.80	17.18	6.38	6.38
31	3	Industries Department (Small Industry and Export Promotion)	0.10	0.11	0.01	0.01
32	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	0.46	0.78	0.32	0.32
33	11	Agriculture and Other Allied Departments (Agriculture)	0.05	1.00	0.95	--
34	20	Personnel Department (Public Service Commission)	14.58	14.71	0.13	--
35	23	Cane Development Department (Cane)	0.02	0.27	0.25	--
36	47	Technical Education Department	0.01	0.06	0.05	--
37	52	Revenue Department (Board of Revenue and Other Expenditure)	0.87	1.37	0.50	0.50
38	62	Finance Department (Superannuation Allowances and Pensions)	0.76	0.79	0.03	0.03
39	71	Education Department (Primary Education)	0.00	1.00	1.00	--
40	72	Education Department (Secondary Education)	0.03	0.05	0.02	--
41	73	Education Department (Higher Education)	0.00	2.35	2.35	--
42	89	Institutional Finance Department (Trade-Tax)	19.47	24.98	5.51	5.49
43	90	Institutional Finance Department (Entertainment and Betting-Tax)	0.00	0.41	0.41	--
Total (C)			47.25	69.97	22.72	12.73
D-Capital Charged						
44	42	Judicial Department	0.00	0.70	0.70	--
Total (D)			0.00	0.70	0.70	--
Grand Total (A)+(B)+(C)+(D)			18735.20	21539.91	2804.71	2484.47

* Includes recoupment of expenditure (Rs. 290.96 crore) met from the Contingency Fund during 1992-2006 and adjustments (Rs. 29.28 crore) from 111-Departmental Adjustment Accounts, 8658- Suspense Accounts.

Appendix - 2.3

(Reference: Paragraph 2.3.2; page 37)

Details of savings by more than Rs. one crore each and also by more than 10 per cent of total provisions

(Rupees in crore)				
Sl. No.	Grant No.	Name of Grant	Total Provision	Amount of savings (Percentage of total provision in brackets)
A-Revenue Voted				
1.	1	Excise Department	41.91	4.62 (11)
2.	6	Industries Department (Handloom Industry)	38.75	11.82 (31)
3.	14	Agriculture and Other Allied departments (Panchayati Raj)	852.76	289.62 (34)
4.	15	Agriculture and Other Allied Departments (Animal Husbandry)	239.20	25.48 (11)
5.	22	Sports Department	20.88	2.27 (11)
6.	28	Home department (Political Pension and Other Expenditure)	87.72	12.99 (15)
7.	32	Medical Department (Allopathy)	1280.98	203.34 (16)
8.	34	Medical Department (Homeopathy)	103.74	12.35 (12)
9.	35	Medical Department (Family Welfare)	543.54	91.34 (17)
10.	36	Medical Department (Public Health)	248.41	58.29 (23)
11.	40	Planning Department	61.62	9.27 (15)
12.	42	Judicial Department	465.94	97.23 (21)
13.	44	Tourism Department	13.68	2.86 (21)
14.	45	Environment Department	82.95	80.02 (96)
15.	46	Administrative Reforms Department	3.89	1.03 (26)
16.	49	Women and Child Welfare Department	1466.83	200.47 (14)
17.	51	Revenue Department (Relief on Account of Natural Calamities)	313.95	190.83 (61)
18.	54	Public Works Department (Establishment)	645.67	355.80 (55)
19.	63	Finance Department (Treasury and Accounts Administration)	83.89	16.63 (20)
20.	67	Legislative Council Secretariat	15.82	2.32 (15)
21.	70	Science and Technology Department	36.86	4.57 (12)
22.	75	Education Department (State Council of Educational Research and Training)	64.39	19.87 (31)
23.	81	Social Welfare Department (Tribal Welfare)	30.53	13.06 (43)
24.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2243.87	396.18 (18)
25.	86	Information Department	61.56	34.87 (57)
26.	87	Soldier's Welfare Department	26.22	2.90 (11)
27.	89	Institutional Finance Department (Trade-Tax)	236.33	27.71 (12)
28.	92	Culture Department	32.27	9.05 (28)
29.	96	Irrigation Department (Works)	1077.49	213.04 (20)
Total (A)			10421.65	2389.83
B- Capital Voted				
30.	11	Agriculture and Other Allied Departments (Agriculture)	201.27	83.20 (41)
31.	14	Agriculture and Other Allied Departments (Panchayati Raj)	10.03	1.49 (15)
32.	15	Agriculture and Other Allied Departments (Animal Husbandry)	56.88	6.01 (11)
33.	21	Food and Civil Supplies Department	5776.69	1606.69 (28)

34.	25	Home Department (Jails)	66.04	9.31 (14)
35.	26	Home Department (Police)	314.00	157.87 (50)
36.	42	Judicial Department	53.68	20.22 (38)
37.	43	Transport Department	62.15	12.37 (20)
38.	44	Tourism Department	81.58	13.92 (17)
39.	45	Environment Department	80.00	80.00 (100)
40.	47	Technical Education Department	15.45	7.91 (51)
41.	48	Muslim Waqf Department	17.91	10.54 (59)
42.	52	Revenue Department (Board of Revenue and Other Expenditure)	10.34	4.28 (41)
43.	61	Finance Department (Debt Services and other Expenditure)	307.50	138.40 (45)
44.	62	Finance Department (Superannuation Allowances and Pensions)	100.00	51.53 (52)
45.	81	Social Welfare Department (Tribal Welfare)	1.77	1.42 (80)
46.	92	Culture Department	32.01	4.66 (15)
Total (B)			7187.30	2209.82
C-Revenue Charged				
47.	9	Power Department	31.46	25.24 (80)
48.	51	Revenue Department (Relief on Account of Natural Calamities)	304.48	298.22 (98)
Total (C)			335.94	323.46
D-Capital Charged				
49.	61	Finance Department (Debt Services and other Expenditure)	13052.14	8672.82 (66)
50.	96	Irrigation Department (Works)	4.00	2.56 (64)
Total (D)			13056.14	8675.38
Grand Total (A)+(B)+(C)+(D)			31001.03	13598.49

Appendix - 2.4

(Reference: Paragraph 2.3.4; page 37)

**Excess expenditure over provision relating to previous years
from 1990-91 to 2005-06**

(Rupees in crore)

Year	No. of grants/ appropriation	Grant/Appropriation	Amount of excess	Amount for which explanations furnished to PAC
1990-91	55	Revenue-Voted 1,4,10,11,14,15,22,24,26,27,32,33,34,35,36,37,42,43,52, 56,57,63,72,73,74,75,76,77,78,81,82,83,84,93 Capital-Voted 16,42,43,47,59,73,76,79 Unnumbered grant Revenue-Charged 2,6,7,21,42,60,62,65,67 Capital-Charged 7,52,55	2450.89	28.86
1991-92	56	Revenue-Voted 8,22,29,33,34,36,37,41,42,44,56,66,71,72,73,74 75,76,78,81,82,83,84,85,88,90,93,94 Capital-Voted 1,8,10,13,23,27,32,35,47,52,63,73,76,79,86,92 Revenue-Charged 2,7,11,40,55,59,65,67,74,89 Capital-Charged 52,55	1158.06	22.37
1992-93	81	Revenue-Voted 1,8,9,10,11,12,13,21,23,24,25,35,36,37,38,39,41, 42,43,44,47,48,49,50,51,52,56,57,62,64,67,72, 77,81,83,85,87,89,90,91,93 Capital-Voted 3,12,13,15,16,18,19,21,27,35,38,49,55,58,63,73, 77,80,94,95 Revenue-Charged 2,16,24,26,28,37,42,46,52,55,65,66,67,72,78,88,94 Capital-Charged 24,51,52	1815.56	96.01
1993-94	73	Revenue-Voted 10,15,16,17,18,22,23,25,27,28,29,32,33,34,35,36,37,43, 50,52,53,54,55,56,57,58,70,73,81,83,85,89,90,91,93 Capital-Voted 4,12,15,16,17,18,21,28,33,38,40,43,50,55,58,69, 73,80,94 Revenue-Charged 7,20,28,29,37,46,47,52,58,65,78,88 Capital-Charged 18,23,26,42,52,61,95	930.59	207.63
1994-95	58	Revenue-Voted 1,5,9,10,23,25,35,41,44,48,50,51,52,56,57,58,59,62,64,6 7,70,73,78,81,83,85,90,91,93 Capital-Voted 3,8,9,12,13,15,20,22,25,26,42,55,57,58,60,69,72, 77,80,94,95 Revenue-Charged 1,24,29,42,61 Capital-Charged 26,52,58,	2011.01	85.36
1995-96	43	Revenue-Voted 2,9,14,16,36,43,47,48,55,58,62,66,70,75,81,83,84,93,94 Capital-Voted 5,7,13,33,49,50,53,55,58,59,72,94 Revenue-Charged 26,29,42,71,72,73,95 Capital-Charged 2,5,24,26,52	621.43	247.95
1996-97	27	Revenue-Voted 2,35,39,51,56,58,59,62,64,70,83,93,94, Capital-Voted 4,32,40,55,58,60,65,81,94,95 Revenue-Charged 95 Capital-Charged 26,51,52	711.95	345.47

1997-98	16	Revenue-Voted 28,35,56,58,64,66,78,81,90 Capital-Voted 11,34,42,55 Capital-Charged 2,52,61	590.21	203.26
1998-99	27	Revenue-Voted 1,4,9,10,27,50,51,58,66,78,81,87,89,90 Capital-Voted 21,38,45,55,58,65,80,95 Revenue-Charged 42,66,89 Capital-Charged 52,61	730.56	240.66
1999-2000	21	Revenue -Voted 4,12,14,23,51,66,78,81,90 Capital-Voted 10,21,36,55,63,92 Revenue- Charged 47,73,89 Capital-Charged 52,61,75	8785.95	347.56
2000-2001	17	Revenue -Voted 12,32,59,62,81,90,93,94 Capital-Voted 36,55,59,93 Revenue- Charged 3,48,52,72 Capital-Charged 61	843.87	
2001-02	29	Revenue-Voted 90,94 Capital-Voted 10,21,42,55,73 Revenue-Charged 2,12,13,17,26,27,28,31,33,34,36,52,55,65,72,75,76,77, 80,91 Capital-Charged 21,52	668.62	
2002-03	27	Revenue- Voted 5,27,39,46,51,55,58,62,94 Capital- Voted 10,21,40,55,58,72,77,92 Revenue- Charged 2,10,47,48,49,52,78 Capital- Charged 2,52,61	5532.40	
2003-04	16	Revenue- Voted 12, 39, 91, 94 Capital- Voted 3, 10, 21, 23, 32, 34, 35, 55 Revenue- Charged 52, 55 Capital- Charged 52, 61	3644.99	
2004-05	22	Revenue- Voted 2, 3, 9, 22, 25, 55, 58, 85 Capital- Voted 4, 15, 16, 21, 32, 34, 55, 58, 59, 79 Revenue- Charged 52, 55, 61 Capital- Charged 61	1899.33	
2005-06	29	Revenue- Voted 8, 12, 19, 53, 55, 57, 58, 72 Capital- Voted 15, 16, 18, 23, 24, 33, 34, 37, 38, 40, 55, 56, 57, 58, 73, 75, 96 Revenue- Charged 1, 52 Capital- Charged 52, 55	1026.78	
Total			33422.20	1825.13

Appendix - 2.5
(Reference: Paragraph 2.3.6; page 38)
Grants where Supplementary Provisions proved entirely unnecessary

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Supplementary provision Obtained	Saving
A-Revenue Voted				
1.	1	Excise Department	0.89	4.62
2.	3	Industries Department (Small Industry and Export Promotion)	1.02	5.06
3.	4	Industries Department (Mines and Minerals)	0.20	0.40
4.	5	Industries Department (Handloom & Village Industries)	0.69	0.86
5.	6	Industries Department (Handloom Industry)	0.23	11.82
6.	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	2.28	7.05
7.	11	Agriculture and Other Allied Departments (Agriculture)	46.42	80.47
8.	12	Agriculture and Other Allied Departments (Land Development and Water Resources)	2.16	9.48
9.	14	Agriculture and Other Allied departments (Panchayati Raj)	8.79	289.62
10.	15	Agriculture and Other Allied Departments (Animal Husbandry)	4.51	25.48
11.	19	Personnel Department (Training and Other Expenditure)	0.03	0.46
12.	20	Personnel Department (Public Service Commission)	0.04	0.21
13.	21	Food and Civil Supplies Department	3.81	13.93
14.	22	Sports Department	0.21	2.27
15.	25	Home Department (Jails)	2.36	3.42
16.	28	Home department (Political Pension and Other Expenditure)	11.71	12.99
17.	30	Confidential Department (Revenue Special Intelligence Directorate and Other Expenditure)	0.02	0.12
18.	32	Medical Department (Allopathy)	0.48	203.34
19.	33	Medical Department (Ayurvedic and Unani)	0.15	10.46
20.	34	Medical Department (Homeopathy)	0.03	12.35
21.	35	Medical Department (Family Welfare)	11.72	91.34
22.	39	Language Department	0.17	0.17
23.	40	Planning Department	5.72	9.27
24.	42	Judicial Department	10.19	97.23
25.	43	Transport Department	0.80	2.44
26.	44	Tourism Department	0.26	2.86
27.	45	Environment Department	0.02	80.02
28.	46	Administrative Reforms Department	0.06	1.03
29.	47	Technical Education Department	1.76	6.04
30.	50	Revenue Department (District Administration)	5.04	21.73
31.	51	Revenue Department (Relief on Account of Natural Calamities)	8.99	190.83
32.	52	Revenue Department (Board of Revenue and Other Expenditure)	30.54	60.37
33.	54	Public Works Department (Establishment)	12.60	355.80
34.	63	Finance Department (Treasury and Accounts Administration)	5.10	16.63
35.	64	Finance Department (State Lottery)	0.02	0.19
36.	65	Finance Department (Audit, Small Saving etc.)	1.66	5.37
37.	67	Legislative Council Secretariat	0.27	2.32
38.	68	Legislative Assembly Secretariat	0.32	4.17
39.	75	Education Department (State Council of Educational Research and Training)	1.18	19.87
40.	76	Labour Department (Labour Welfare)	2.08	9.64

41.	78	Secretariat Administration Department	8.43	21.15
42.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	0.52	32.39
43.	81	Social Welfare Department (Tribal Welfare)	0.09	13.06
44.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	0.20	396.18
45.	85	Public Enterprises Department	0.04	0.20
46.	86	Information Department	30.45	34.87
47.	87	Soldier's Welfare Department	0.27	2.90
48.	88	Institutional Finance Department (Directorate)	0.12	0.20
49.	89	Institutional Finance Department (Trade-Tax)	5.10	27.71
50.	92	Culture Department	0.24	9.05
		Total (A)	229.99	2209.44
		B-Capital Voted		
51.	9	Power Department	146.68	152.67
52.	11	Agriculture and Other Allied Departments (Agriculture)	6.50	83.20
53.	14	Agriculture and Other Allied Departments (Panchayati Raj)	0.50	1.49
54.	26	Home Department (Police)	60.00	157.87
55.	32	Medical Department (Allopathy)	5.81	24.10
56.	42	Judicial Department	3.23	20.22
57.	44	Tourism Department	0.96	13.92
58.	48	Muslim Waqf Department	4.00	10.54
59.	61	Finance Department (Debt Services and other Expenditure)	25.00	138.40
60.	68	Legislative Assembly Secretariat	0.03	0.20
61.	73	Education Department (Higher Education)	0.30	0.30
		Total (B)	253.01	602.91
		C-Revenue-Charged		
62.	9	Power Department	0.01	25.24
63.	26	Home Department (Police)	0.10	0.55
64.	29	Confidential Department (Governor's Secretariat)	0.16	0.31
65.	42	Judicial Department	1.40	5.92
66.	49	Women and Child Welfare Department	0.08	0.10
67.	50	Revenue Department (District Administration)	0.01	0.15
68.	95	Irrigation Department (Establishment)	0.50	0.50
		Total (C)	2.26	32.77
		Grand Total (A)+(B)+(C)	485.26	2845.12

Appendix - 2.6

(Reference: Paragraph 2.3.7; page 38)

Inadequate Supplementary Grants to cover excess expenditure

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant/Charged Appropriation	Supplementary Provision	Excess Expenditure
(A) Inadequate supplementary grants				
Revenue-Voted				
1	2	Housing Department	0.41	44.18
2	7	Industries Department (Heavy and Medium Industries)	0.33	4.24
3	9	Power Department	530.18	249.50
4	13	Agriculture and Other Allied Departments (Rural Development)	40.40	13.27
5	16	Agriculture and Other Allied Department (Dairy Development)	0.21	2.29
6	24	Cane Development Department (Sugar Industry)	0.16	3.05
7	38	Civil Aviation Department	2.27	0.01
8	61	Finance Department (Debt Services and Other Expenditure)	22.49	54.85
9	73	Education Department (Higher Education)	15.13	6.17
10	91	Institutional Finance Department (Stamps and Registration)	0.74	0.79
11	95	Irrigation Department (Establishment)	3.71	8.30
		Total	616.03	386.65
Capital Voted				
12	3	Industries Department (Small Industry and Export Promotion)	0.03	7.04
13	7	Industries Department (Heavy and Medium Industries)	0.40	76.99
14	16	Agriculture and Other Allied Departments (Dairy Development)	0.52	6.95
15	24	Cane Development Department (Sugar Industry)	25.00	102.09
16	31	Medical Department (Medical Education and Training)	113.81	1.60
17	37	Urban Development Department	155.75	17.07
18	50	Revenue Department (District Administration)	25.00	11.91
19	55	Public Works Department (Buildings)	1.50	439.83
20	57	Public Works Department (Communication-Bridges)	100.00	28.32
21	58	Public Works Department (Communications- Roads)	656.00	861.52
22	89	Institutional Finance Department (Trade-Tax)	3.37	19.11
23	96	Irrigation Department (Works)	50.01	443.07
		Total	1131.39	2015.50
Revenue Charged				
24	3	Industries Department (Small Industry and Export Promotion)	0.06	0.01
25	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	0.01	0.32
26	20	Personnel Department (Public Service Commission)	0.68	0.13
		Total	0.75	0.46
		Grand Total (A)	1748.17	2402.61

(B) Cases where no demand for supplementary grants were anticipated				
Revenue-Voted				
1.	55	Public Works Department (Buildings)	Nil	1.27
2.	58	Public Works Department (Communications- Roads)	Nil	53.05
3.	62	Finance Department (Superannuation Allowances and Pensions)	Nil	311.82
Total			Nil	366.14
Capital Voted				
4.	71	Education Department (Primary Education)	Nil	8.00
5.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	Nil	5.00
Total			Nil	13.00
Revenue Charged				
6.	1	Excise Department	Nil	4.81
7.	2	Housing Department	Nil	6.38
8.	11	Agriculture and Other Allied Departments (Agriculture)	Nil	0.95
9.	23	Cane Development Department (Cane)	Nil	0.25
10.	47	Technical Education Department	Nil	0.05
11.	52	Revenue Department (Board of Revenue and Other Expenditure)	Nil	0.50
12.	62	Finance Department (Superannuation Allowances and Pensions)	Nil	0.03
13.	71	Education Department (Primary Education)	Nil	1.00
14.	72	Education Department (Secondary Education)	Nil	0.02
15.	73	Education Department (Higher Education)	Nil	2.35
16.	89	Institutional Finance Department (Trade-Tax)	Nil	5.51
17.	90	Institutional Finance Department (Entertainment and Betting-Tax)	Nil	0.41
Total			Nil	22.26
Capital Charged				
18.	42	Judicial Department	Nil	0.70
Total			Nil	0.70
Grand Total (B)			Nil	402.10

Appendix - 2.7

(Reference: Paragraph 2.3.9; page 39)

Svavings exceeding Rs. one crore in each case not/ partially surrendered
(Rupees in crore)

Sl. No.	Grant Number	Description of the grant	Savings	Surrendered	Saving not surrendered	
					Total	Partial
(A) Cases where savings were not surrendered						
Revenue – Voted						
1.	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Development)	7.05		7.05	
2.	11	Agriculture and Other Allied Departments (Agriculture)	80.47		80.47	
3.	15	Agriculture and Other Allied Departments (Animal Husbandry)	25.48		25.48	
4.	17	Agriculture and Other Allied Departments (Fisheries)	2.35		2.35	
5.	22	Sports Department	2.27		2.27	
6.	26	Home department (Police)	41.54		41.54	
7.	31	Medical Department (Medical Education and Training)	5.85		5.85	
8.	32	Medical Department (Allopathy)	203.33		203.33	
9.	33	Medical Department (Ayurvedic and Unani)	10.46		10.46	
10.	34	Medical Department (Homeopathy)	12.35		12.35	
11.	35	Medical Department (Family Welfare)	91.34		91.34	
12.	36	Medical Department (Public Health)	58.29		58.29	
13.	44	Tourism Department	2.86		2.86	
14.	45	Environment Department	80.02		80.02	
15.	47	Technical Education Department	6.04		6.04	
16.	48	Muslim Waqf Department	1.49		1.49	
17.	49	Women and Child Welfare Department	200.47		200.47	
18.	50	Revenue Department (District Administration)	21.73		21.73	
19.	51	Revenue Department (Relief on Account of Natural Calamities)	190.83		190.83	
20.	52	Revenue Department (Board of Revenue and Other Expenditure)	60.37		60.37	
21.	60	Forest Department	17.25		17.25	
22.	71	Education Department (Primary Education)	68.12		68.12	
23.	72	Education Department (Secondary Education)	4.64		4.64	
24.	75	Education Department (State Council of Educational Research and Training)	19.87		19.87	
25.	76	Labour Department (Labour Welfare)	9.64		9.64	
26.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	32.39		32.39	
27.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	35.00		35.00	
28.	81	Social Welfare Department (Tribal Welfare)	13.06		13.06	
29.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	396.18		396.18	
30.	87	Soldier's Welfare Department	2.90		2.90	
			1703.64		1703.64	
Capital-Voted						
31.	9	Power Department	152.67		152.67	
32.	11	Agriculture and Other Allied Departments (Agriculture)	83.20		83.20	
33.	15	Agriculture and Other Allied Departments (Animal Husbandry)	6.01		6.01	
34.	22	Sports Department	8.31		8.31	
35.	26	Home Department (Police)	157.87		157.87	
36.	32	Medical Department (Allopathy)	24.10		24.10	
37.	44	Tourism Department	13.92		13.92	

38.	45	Environment Department	80.00		80.00
39.	47	Technical Education Department	7.91		7.91
40.	48	Muslim Waqf Department	10.54		10.54
41.	52	Revenue Department (Board of Revenue and Other Expenditure)	4.28		4.28
42.	56	Public Works Department (Special Area Programme)	1.06		1.06
43.	59	Public Works Department (Estate Directorate)	1.88		1.88
44.	62	Finance Department (Superannuation allowances And Pension)	51.53		51.53
45.	81	Social Welfare Department (Tribal Welfare)	1.42		1.42
46.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	84.90		84.90
		Total	689.60		689.60
		Revenue-Charged			
47.	9	Power Department	25.24		25.24
48.	51	Revenue Department (Relief on Account of Natural Calamities)	298.22		298.22
49.	66	Finance Department (Group Insurance)	4.04		4.04
		Total	327.50		327.50
		Capital-Charged			
50.	96	Irrigation Department (Works)	2.56		2.56
		Total	2.56		2.56
		Grand Total	2723.30		2723.30
		(B) Cases of partial surrender			
		Revenue – Voted			
1.	3	Industries Department (Small Industry and Export Promotion)	5.06	0.84	4.22
2.	14	Agriculture and Other Allied departments (Panchayati Raj)	289.62	270.06	19.56
3.	25	Home Department (Jails)	3.42	0.67	2.75
4.	28	Home department (Political Pension and Other Expenditure)	12.99	9.66	3.33
5.	40	Planning Department	9.27	5.39	3.88
6.	42	Judicial Department	97.23	95.10	2.13
7.	54	Public Works Department (Establishment)	355.80	21.58	334.22
8.	59	Public Works Department (Estate Directorate)	1.13	0.44	0.69
9.	63	Finance Department (Treasury and Accounts Administration)	16.63	9.80	6.83
10.	68	Legislative Assembly Secretariat	4.17	2.80	1.37
11.	77	Labour Department (Employment)	28.57	22.59	5.98
12.	86	Information Department	34.87	23.58	11.29
13.	89	Institutional Finance Department (Trade-Tax)	27.71	6.29	21.42
14.	96	Irrigation Department (Works)	213.04	6.06	206.98
		Total	1099.51	474.86	624.65
		Capital-Voted			
15.	40	Planning Department	3.76	0.80	2.96
16.	92	Culture Department	4.66	0.58	4.08
17.	95	Irrigation Department (Establishment)	9.85	5.79	4.06
		Total	18.27	7.17	11.10
		Revenue – Charged			
18.	61	Finance Department (Debt Services and Other Expenditure)	142.06	6.59	135.47
		Total	142.06	6.59	135.47
		Grand Total	1259.84	488.62	771.22

Appendix - 2.8

(Reference: Paragraph 2.3.9; page 39)

Surrender in March 2007

(Rupees in crore)

Sl. No.	Grant No.	Descriptions of the Grants	Amount
Revenue Voted			
1.	1	Excise Department	4.66
2.	2	Housing Department	2.78
3.	8	Industries Department (Printing and Stationery)	5.41
4.	12	Agriculture and Other Allied Departments (Land Development and Water Resources)	10.84
5.	18	Agriculture and Other Allied Departments (Co-operative)	2.50
6.	21	Food and Civil Supplies Department	15.37
7.	24	Cane Development Department (Sugar Industry)	8.20
8.	37	Urban Development department	42.59
9.	41	Election Department	6.60
10.	43	Transport Department	2.55
11.	46	Administrative Reforms Department	1.14
12.	57	Public Works Department (Communication-Bridges)	1.04
13.	58	Public Works Department (Communications- Roads)	62.81
14.	61	Finance Department (Debt Services and other Expenditure)	33.82
15.	67	Legislative Council Secretariat	2.32
16.	70	Science and Technology Department	7.52
17.	73	Education Department (Higher Education)	1.19
18.	78	Secretariat Administration Department	22.06
19.	92	Culture Department	9.56
Total			242.96
Capital Voted			
20	7	Industries Department (Heavy and Medium Industry)	28.29
21	21	Food and Civil Supplies Department	1801.60
22	42	Judicial Department	22.19
23	43	Transport Department	12.37
24	57	Public Works Department (Communication-Bridges)	8.25
25	58	Public Works Department (Communications-Roads)	124.40
26	61	Finance Department (Debt Services and Other Expenditure)	203.31
27	89	Institutional Finance Department (Trade-Tax)	1.06
28	96	Irrigation Department (Works)	31.69
Total			2233.16
Revenue Charged			
29	18	Agriculture and Other Allied Departments (Co-operative)	1.19
30	42	Judicial Department	6.58
Total			7.77
Capital Charged			
31	61	Finance Department (Debt Services And Other Expenditure)	8722.00
Total			8722.00
Grand Total			11205.89

Appendix - 2.9
(Reference: Paragraph 2.5; page 40)

Rush of Expenditure

(Rupees in crore)

Sl. No.	Head of Account	Total Provision	Total Expenditure	Expenditure during March	Percentage of expenditure during the month of March to total expenditure
1	2015-Elections	94.51	113.15	68.47	61
2	2215-Water Supply and Sanitation	569.90	589.98	254.56	43
3	2217-Urban Development	487.02	420.48	247.98	59
4	2505- Rural Employment	525.32	457.86	202.94	44
5	2575-Other Special Area Programme	88.00	83.50	43.00	51
6	2810-Non-Conventional Sources of Energy	25.84	14.02	6.86	49
7	2852-Industries	58.87	59.29	34.37	58
8	3452-Tourism	12.94	10.80	5.19	48
9	3456-Civil Supplies	8.16	13.20	6.14	47
10	4055- Capital Outlay on Police	316.15	158.56	96.65	64
11	4058- Capital Outlay on Stationary and Printing	7.00	7.00	7.00	100
12	4202- Capital Outlay on Education, Sports, Art and Culture	340.73	302.32	167.22	55
13	4210- Capital Outlay on Medical and Public Health	1519.18	1463.36	717.58	49
14	4225- Capital Outlay on Welfare of Scheduled Castes, Schedule Tribes and Other Backward Classes	55.91	29.82	26.11	88
15	4235- Capital Outlay on Social Security and Welfare	6.58	5.56	4.76	86
16	4250- Capital Outlay on Other Social Services	42.01	41.10	35.44	86
17	4711- Capital Outlay on Flood Control Project	284.59	374.87	212.39	57
18	4859- Capital Outlay on Telecommunication and Electronics Industries	4.61	4.61	2.52	55
19	5053-Capital Outlay on Civil Aviation	138.51	158.51	151.05	95
20	5452-Capital Outlay on Tourism	41.59	45.01	39.29	87
	Total	4627.42	4353.00	2329.52	54

Appendix No-3.1.1
(Reference: Paragraph: 3.1.5; page 44)

Details of records/information not produced to audit during performance audit

Sl No	Description of records/information
1.	Annual Accounts of the Financial Year 2006-07 and files relating to expenditure from IDRF during 2002-07.
2.	Records relating to Pension Fund and Contributory Provident Fund for 2002-03 to 2006-07.
3.	Records relating to bulk sale of property to Mandi Samiti, LESA, Judges Co-operative Societies, Jai Jawan Housing Committee and IAS Co-operative Society.
4.	Records of officers/officials who retired/transferred from LDA without adjusting the advances given to them during 1989-90 to 2006-07.
5.	Information relating to sanctioned strength of LDA
6.	Records and information relating to investment for the period 2002-03 to 2006-07.
7.	Asset Register, Security Deposit Register and Bank Reconciliation Statement 2002-03 and 2006-07
8.	File relating to preparation of master plan.2021 including different surveys to assess the existing conditions and detailed Project Reports of all housing schemes.
9.	Files relating to construction of Rama Bai Smarak Sthal, C.S.I. Hostel, Kaiserbagh Heritage Zone, Begum Hazarat Mahal Park, Special Security Bal, PAC Barrack and Jai Prakash Narain International Centre.
10.	Files of Zone-1 relating to Agreement No. 20, 44, 50,51,59,60,65 and 67 for the year 2006-07.
11.	Agreement No.93/AA/E/M/06-07 relating to electrical and mechanical zone
12.	File and Agreement No. 553/CE/06 relating to strengthening of Kanpur road to Bijnore road.
13.	Renewal of zonal roads of Transport Nagar (Agreement No.227/AE(P)/07
14.	Renewal of roads of Hardoi road (Agreement No.794/CE/06)
15.	33/11 KV Sub Station of Vipul Khand, Gomti Nagar (Agreement No.395/EE (E/M)
16.	File relating to the cases under inquiry by Commissioner, Lucknow, Vice-Chairman/Secretary, LDA, Lucknow, T.A.C at the Government level, Vigilance and C.B.I etc, and files relating to disciplinary cases.
17.	Records relating to Economics of different housing schemes and information in respect of up to date income and expenditure on these schemes.
18.	Records/files relating to sanction of maps.
19.	Records/files relating to enforcement of building bye laws.
20.	Copy of the order relating to the distribution of engineering works among different engineering zones.
21.	Files relating to sanction of rates of plants and selection of contractor for plantation during 2006-07.
22.	Allotment of properties files relating to property allotted without lottery except 56 files.
23.	Files relating to the details of 241 unauthorized colonies and their regularisation.
24.	Information in respect of periods of construction of un-disposed properties and files relating to the action taken for this disposal.
25.	Cases relating to land use change by Government or pending with the Government.
26.	Files relating to development/construction by private persons, Government, Semi-Government departments etc.
27.	Records relating to maintenance of Nazul Land.

Appendix No-3.1.2

(Reference: Paragraph: 3.1.6.1; page 45)

Assets and Liabilities of Lucknow Development Authority for the period 2002-03 to 2005-06

(Rs. in crore)

Year		2002-03	2003-04	2004-05	2005-06
Liabilities					
General Fund		404.07	375.76	357.63	331.24
Infrastructure Development Reserve Fund		3.49	2.19	2.41	1.29
Loan		34.46	12.60	1.99	00.00
Current Liabilities	Registration Deposits/Advances against Sales/Allotments	32.81	58.53	45.93	18.54
	Unpaid compensation of land	46.04	70.18	129.92	132.68
	Interest on Govt. Loan payable	00	00	29.10	00
	Grants & Aids	12.29	00.15	1.73	31.98
	Other Liabilities	4.25	6.65	8.18	13.44
	Deposits Works	1.27	00	3.40	2.46
	Reserve for Development Expenditure	00	105.96	347.39	606.97
Total		538.68	632.02	927.68	1138.60
Assets					
Fixed Assets		19.21	18.53	18.06	17.02
Capital works in progress		00.33	00.37	00	00
Current Assets	Stock in Trade (unsold properties)	300.36	305.73	362.34	357.47
	Stores	4.23	9.77	14.84	21.63
	Sundry Debtors	38.44	33.53	34.63	66.92
	Cash & Bank Balances	52.46	116.45 ¹	259.99 ¹	366.90 ¹
	Investments	81.22	77.67	141.11	275.29
	Interest accrued	1.38	3.94	3.07	9.94
	Loans and Advances	41.05	66.03	93.64	23.43
Total		538.68	632.02	927.68	1138.60

¹ Differs by Rs. Four crore from the figure shown in the Table under paragraph 3.1.6.1

(Reference: Paragraph: 3.1.6.1; page 45)

Details of Receipts and Payments during the year 2002-03 to 2005-06

(Rs. in crore)

Head of Account		2002-03	2003-04	2004-05	2005-06
Receipts					
Revenue Receipts	Rent	3.82	5.57	3.15	5.68
	Stamp Duty	2.20	15.22	10.60	00
	Water/sewer charge	0.58	0.46	0.57	0.60
	Interest on investment	3.01	0.93	0.37	0.71
	Fees and fines	1.37	3.93	5.16	7.15
	Sale of forms	0.07	0.13	0.12	0.10
	Other income	2.42	6.33	5.22	11.43
Total		13.47	32.57	25.19	25.67
Capital Receipts	Government Grant	45.11	37.49	10.00	61.25
	Deposit Work	6.90	3.84	9.63	0.16
	Sale of property	220.74	215.53	342.90	427.58
	Other Income (including registration of plots, buildings and shops)	115.19	93.99	50.49	165.84
Total		387.94	350.85	413.02	654.83
Payments					
Revenue Payments	Salary	17.93	20.19	22.09	23.27
	Plant & Machinery	1.73	1.97	2.40	3.12
	Interest on loan	2.89	0.25	00	29.11
	Other	14.66	14.43	19.88	20.50
Total		37.21	36.84	44.37	76.00
Capital Payments	Acquisition of land	67.63	38.78	8.90	107.98
	Plant & Machinery	1.34	0.84	1.17	0.65
	Land Development	51.68	93.15	109.87	98.97
	Maintenance of assets	7.53	5.84	6.14	4.34
	Construction	6.99	8.24	3.28	4.67
	Repayment of Loan	39.09	23.19	10.61	0.99
	Other expenditure (including refund of registration money)	179.58	108.55	110.34	279.99
Total		353.84	278.59	250.31	497.59

Appendix No-3.1.3

(Reference: Paragraph 3.1.6.6; page 46)

Statement showing un-adjusted advances as on 31 March 2007

(Rs. in crore)

Sl. No.	Name of the Department	Period				Total
		1987-88 to 1991-92	1992-93 to 1996-97	1997-98 to 2001-02	2002-03 to 2006-07	
1.	Officers/Officials of LDA	16.89	12.19	2.65	3.70	35.43
2.	Irrigation Department	00	00	00	4.56	4.56
3.	Public Works Department	00	00	0.04	00	0.04
4.	Forest Department	00	00	00	0.41	0.41
5.	Jal Nigam	00	00	00	0.65	0.65
6.	UP Rajkiya Nirman Nigam	00	2.41	9.98	16.72	29.11
7.	Nagar Nigam	0.80	0.33	00	0.34	1.47
8.	Special Land Acquisition Officer, Lucknow	21.60	10.84	32.76	172.10	237.30
9.	Northern Railway	00	00	00	0.84	0.84
Total		39.29	25.77	45.43	199.32	309.81

Appendix No-3.1.4

(Reference: Paragraph 3.1.6.8; page 47)

Statement showing area acquired, award of compensation and interest paid up to March 2007

(Rs. in crore)

Sl. No	Name of Scheme	Year of acquisition	Area acquired (In Acre)	Amount of compensation awarded/paid	Interest paid
1	Ujariyaon-1	1983	941.10	10.38	9.35
2.	Ujariyaon-2	1984	1776.02	11.50	8.59
3.	Ujariyaon-3	1992-93	260.78	6.73	2.63
4.	Ujariyaon-5	1993-94	119.43	3.50	5.06
Total			3097.33	32.11	25.63

Appendix-3.1.5

(Reference: Paragraph 3.1.8.7; page 52)

Statement showing data of enforcement of building bye- laws

(In numbers)

Period	Opening Balance				During the Year							Closing Balance			Total
	Notice issued but demolition order pending	Demolition order issued but demolition not done	Premises sealed but final action pending	Total	New cases of unauthorized construction	Notices issued	Notice discharge	DO issued	Demolition one	Premises sealed	Seal removed	Pending demolition order	Pending demolition	Final action not taken	
1	2	3	4	5	6	7	8	9	10	11	12	13 (2+7-9)	14 (3+9-10)	15 (4+11-12)	16 (13+14+15)
2003-04	472	249	58	779	749	749	00	110	15	118	23	1111	344	153	1608
2004-05	1111	344	153	1608	684	684	55	345	31	62	32	1395	658	183	2236
2005-06	1395	658	183	2236	501	501	25	386	16	53	10	1485	1028	226	2739
2006-07	1485	1028	226	2739	524	524	31	611	11	28	11	1367	1628	243	3238
Total					2458	2458	111	1452	73	261	76				

Appendix-3.1.6

(Reference: Paragraph 3.1.9.2; page 53)

Statement showing Name of works, their tendered cost and final cost in the development of Dr. Ram Manohar Lohiya Park

(Rs. in lakh)

Sl No.	Name of Work	Name of Contractors	Tendered Cost	Final Cost	Increase in the cost	Percentage of increase (col. 6 to col. 7)
(1)	(2)	(3)	(4)	(5)	(6)	(7)
1.	Earth filling	M/s Azad Construction	16.22	146.40	130.18	803
2.	Earth filling at Entrance Plaza	M/s Sanjay Builders	2.64	143.65	141.01	5341
3.	Earth filling between approach road & entrance plaza	M/s Shaheen Siddiqui	26.02	208.70	182.68	702
4.	Boundary Wall	UPRNN	34.28	623.14	588.86	1718
5.	Pathway	M/s Shaheen Siddiqui	126.33	176.49	50.16	40
6.	Construction, development & land escaping	M/s Vilayati Ram Mittal	1922.31	3271.61	1349.30	70
Total			2127.8	4569.99	2442.19	115

Appendix-3.1.7
(Reference: Paragraph 3.1.10.2; page 57)

Statement showing name of schemes, number of applications rejected and number of property allotted in each scheme to other applicants without lottery.
(In numbers)

Sl. No.	Name of Scheme	Residential Property		Commercial Property	
		Number of Applications rejected	Number of property allotted without lottery	Number of Applications rejected	Number of property allotted without lottery
1.	Aliganj	47	13	3	3
2.	Azad Nagar	2	2	-	-
3.	Chowek Area	-	-	2	2
4.	Devpura Para	20	20	-	-
5.	Gomti Nagar	6432	1281	16	13
6.	Hardoi Road	1223	48	-	-
7.	Kanpur Road	2446	315	34	24
8.	Moti Zheel	1	1	-	-
9.	Raibareilly Road	5965	155	-	-
10.	Ram Nagar	4	4	-	-
10.	Sitapur Road	930	840	3	3
12.	Tikait Rai	9	5	-	-
13.	WazeerHasan	2	1	-	-
Total		17081	2685	58	45

Appendix-3.1.8
(Reference: Paragraph 3.1.10.3; page 57)

Statement of un-disposed property as on 31 March 2006
(Rs. in crore)

SL.No.	Particular	Amount
1	Bulk sale plots	187.51
2	Sharda Nagar Scheme	1.28
3	Gomti Nagar Extension	11.57
4	School Plots	6.75
5	Basant Kunj, Hardoi Road Scheme	20.92
6	Gomti Nagar Scheme Phase-I	10.07
7	Gomti Nagar Scheme Phase-II	1.20
8	Kanpur Road Scheme/Mansarovar Scheme	1.72
9	Commercial Plots	14.62
10	Shops	7.44
Total		263.08

Appendix-3.2.1

*(Reference: Paragraph: 3.2.6; Page 61)***Budget allotment and expenditure of FWP****(Rs. in crore)**

Year	Allotment	Expenditure	Surrendered
2002-03	411.96	274.82	137.14
2003-04	529.68	365.70	163.98
2004-05	558.95	395.48	163.47
2005-06	519.09	438.94	80.15
2006-07	574.94	481.13	93.81
Total	2,594.62	1,956.07	638.55

*(Reference: Paragraph: 3.2.6; Page 61)***Budget allotment and expenditure of DCVBD (Sharing of fund between Centre and State)****(Rs. in crore)**

Year	Provision	GOI share in kind	State share	Expenditure
2002-03	21.00	10.54	10.50	10.15
2003-04	21.00	9.57	10.50	10.15
2004-05	21.73	9.55	11.23	10.29
2005-06	21.00	16.28	10.50	10.15
2006-07	22.40	17.73	11.20	4.20
Total	107.13	63.67	53.93	44.94

*(Reference: Paragraph: 3.2.6; Page 61)***Budget allotment and expenditure of NBCP (Centrally sponsored scheme)****(Rs. in crore)**

Year	Funds received from GOI	Total fund available ¹	Expenditure	Balance
2002-03	3.40	6.22	6.16	0.06
2003-04	8.82	8.88	7.74	1.14
2004-05	6.29	7.44	4.91	2.53
2005-06	4.65	7.18	6.87	0.31
2006-07	10.26	10.57	6.27	4.30
Total	33.42	40.29	31.95	8.34

*(Reference: Paragraph: 3.2.6; Page 61)***Budget allotment and expenditure of RNTCP (Centrally sponsored scheme)****(Rs. in crore)**

Year	Funds from GOI	Total fund available ¹	Expenditure	Balance
2002-03	6.04	10.33	5.74	4.59
2003-04	6.01	10.64	8.20	2.44
2004-05	10.24	13.69	9.66	4.03
2005-06	17.03	21.33	17.80	3.53
2006-07	20.75	24.46	21.91	2.55
Total	60.07	80.45	63.31	17.14

¹ Total fund available inclusive of opening balance.

Appendix-3.2.2

(Reference: Paragraph 3.2.7.2: Page 63)

Non- achievement of target, MMR

Serial no.	Name of district	Registered pregnant women	I F A tablet to the pregnant women	Difference
1	Auriya	136226	1,01,582	34644
2	Barabanki	4,85,081	2,16,960	2,68,121
3	Basti	N.A.	N.A.	N.A.
4	Barielly	5,54,240	4,29,371	1,24,869
5	Fatehpur	3,49,256	1,90,347	1,58,909
6	Jaunpur	7,30,509	3,62,455	3,68,054
7	Kanpur Dehat	2,93,006	1,26,823	1,66,183
8	Kaushambi	73,018	62,508	10,510
9	Kushinagar	3,76,656	1,47,090	2,29,566
10	Lucknow	N.A.	N.A.	N.A.
11	Maharajganj	3,98,288	2,47,862	1,50,426
12	Moradabad	5,39,624	2,37,605	3,02,019
13	Muzaffarnagar	6,52,975	2,84,926	3,68,049
14	Pratapgarh	4,06,982	2,34,978	1,72,004
15	Sultanpur	5,89,246	2,50,239	3,39,007
16	Sitapur	5,08,096	4,48,216	59,880
	Total	60,93,203	33,40,962	27,52,241

Appendix-3.2.3

(Reference: Paragraph-3.2.7.3; Page 64)

Medicine distributed without quality testing

Sl. No.	Name of district/ year	2002-03			2003-04			2004-05			2005-06			2006-07			Total		
		(A):Expenditure	(B): Sample to be collected	(C) Number of sample collected	(A)	(B)	(C)	(A)	(B)	(C)	(A)	(B)	(C)	(A)	(B)	(C)	(A)	(B)	(C)
1.	Fatehpur	14.61	67	0	30.50	53	0	16.81	72	0	35.9	70	8	54.01	83	18	151.83	345	26
2.	Barabanki	20.87	136	13	60.86	165	16	48.86	170	0	60.00	200	0	31.12	205	0	221.71	876	29
3.	Mujaffar Nagar	32.86	79	20	58.87	164	8	64.47	157	7	68.44	85	6	60.18	78	7	284.82	563	48
4.	Sitapur	75.19	22	0	60.11	45	0	88.16	43	5	70.15	38	4	76.42	36	0	370.03	184	9
5.	Auriya	4.71	35	0	5.42	32	0	9.50	35	0	17.78	70	0	40.30	92	0	77.71	264	0
6.	Moradabad	12.60	24	0	56.13	43	0	46.14	32	19	52.85	36	18	54.36	25	10	222.08	160	47
7.	Bareilly	16.24	30	0	58.07	40	2	57.97	50	0	62.41	70	0	85.15	45	0	277.84	235	2
8.	Kanpur Dehat	36.84	25	0	61.03	48	0	31.40	31	10	51.65	44	3	32.07	29	8	212.99	177	21
9.	Maharajganj	8.22	129	00	38.98	171	00	38.47	199	05	16.50	201	00	44.90	185	05	147.07	885	10
10.	Kushinagar	51.76	103	05	85.02	140	06	89.86	145	00	106.16	205	00	94.99	183	02	427.78	776	13
	Total	273.90	650	38	514.99	901	32	491.64	934	46	541.84	1019	39	573.5	961	50	2,395.87	4,465	205 <i>(5 per cent)</i>

Appendix-3.2.4

(Reference: Paragraph 3.2.7.4; page 65)

RNTCP target and achievement of new sputum positive detection rate

(Per lakh population)

Year	2002	2003	2004	2005	2006
Target	67	67	67	67	67
Achievement	62	52	52	47	50
Shortfall	5	15	15	20	17
Percentage of shortfall	7	22	22	30	25

(Reference: Paragraph-3.2.7.4; page 65)

Shortage of Microscopic/ DOT centres, position of training under RNTCP

Name of unit	Requirement	In position	Shortfall (per cent)
Microscopic Centre	1,839	1,531	308 (17)
DOT Centre	18,390	16,177	2,213 (12)

Name of post	In Place	Trained	Untrained in RNTCP (per cent)
Medical Officer	6,767	4,233	2,534 (37)
Treatment Organizer	145	62	83 (57)
MHW	23,617	13,430	10,187 (43)

Appendix-3.2.5

(Reference: Paragraph.3.2.10.1; page 68)

Manpower, The SS and PIP of medical and para medical staff as on 31 March 2007

Sl. No.	Category of posts	SS	PIP	Vacant (per cent)
Medical				
1.	General male	6,468	4,940	1,528 (24)
2.	Specialist male	4,128	3,694	434 (11)
3.	General female	517	692	-175
4.	Specialist female	1,223	627	596 (49)
	Total	12,336	9,953	2,383 (19)

Para medical				
1.	Male health Worker (MHW)	8,269	1,886	6,383 (77)
2.	Staff nurse	4,948	3,678	1,270 (26)
3.	Lab Tech.	1,949	1,348	601 (31)
4.	Health Assist.Female/ Local Health Visitor (LHV)	3,484	3,195	289(8)
5.	Auxillary Nurse Midwife (ANMs)	23,549	21,919	1,630 (7)
6.	Health Assistant	4,529	3,398	1,131 (25)
7.	Pharmacists	5,110	4,342	768 (15)
8.	X-Ray Technician	544	452	92 (17)
9.	Dark room Asstt.	193	108	85(44)
10.	Optometrist	923	833	90 (10)
	Total	53,498	41,159	12,339 (23)

Appendix-3.2.6

(Reference: Paragraph 3.2.10.1; shortage of manpower; page 68)

Position of staff of 101CHCs in test checked districts as on 31 March 2007

(A) Medical

Name of the Post	Sanctioned	Present	Shortfall (per cent)
Surgeon	96	65	31 (32)
Gynecologist	108	43	65 (60)
Physician	95	62	33 (35)
Pediatrician	47	15	32 (68)
Anesthetist	83	26	57 (69)
Dental Surgeon	50	20	30 (60)
Eye Surgeon	12	7	5 (42)
Radiologist	86	21	65 (76)

(B) Para Medical

Name of the post	Sanctioned	Posted	Shortfall (per cent)
Pharmacist	331	232	99 (30)
X-ray Technician	58	39	19 (33)
Dark room assistant	51	16	35 (69)
Staff nurse	254	178	76 (30)
Lab Technician	132	80	52 (39)

Position of Medical and Para Medical staff in the 719 PHCs in test checked districts as on 31 March 2007

Name of the post	Sanctioned	Posted	Shortfall (per cent)
Medical Officer	1411	935	476 (34)
Pharmacist	768	570	198 (26)
Health Assistant (Female)	527	474	53 (10)
Health Assistant (Male)	587	455	132 (22)
Health Worker (Female)	3656	3389	267 (7)
Lab Technician	186	96	90 (48)

Appendix-3.2.7

(Reference: Paragraph 3.2.10.3; page 69)

Progress of Training conducted during 2002-07

Sl. No.	Category	Available staff	Target fixed by the SIHFW	Achievement	Shortfall (Per cent)
IST					
1.	Medical Officers	9,266	2,554	2,033	521 (20)
2.	Auxiliary Nurse Midwife	20,973	11,015	9,314	1701 (15)
3.	Health Supervisor Male (HSM)	3,361	2,237	1,611	626 (28)
4.	Local Health Visitor	2,702	1,337	1,112	225 (17)
5.	Staff Nurse	4,528	449	423	26 (6)
6.	Male Health Worker	3,727	0	72	--
	Total	44,557	17,592	14,565	3,027 (17)
SST (MOs)					
Sl. No.	Area of training	Target fixed by the SIHFW	Achievement	Shortfall (Per cent)	
1.	Medical Termination Pregnancy	268	254	14 (5)	
2.	Laparoscopy	94	50	44 (47)	
3.	Mini Laparoscopy	198	39	159 (80)	
4.	Intra Uterine Device (IUD)	12,227	3,088	9,139 (75)	
	Total	12,787	3,431	9,356 (73)	
TOT					
1.	TOT	250	342	Nil	

Appendix-3.2.8

(Reference: Paragraph-3.2.12.2; page 71)

Short accountal of DD Kits and medicines

Serial no.	Name of districts	Number of DD Kits		Short accountal (3-4)	Cost @ Rs. In Lakh
		Supplied	Received		
1.	2	3	4	5	6
1.	Auriya	57,551	23,800	33,751	5.00
2.	Barabanki	1,09,063	27,163	81,900	12.12
3.	Barielly	1,45,450	95,450	50,000	7.40
4	Basti	90,600	50,000	40,600	6.00
5.	Fatehpur	1,04,000	79,304	24,696	3.66
6.	Jaunpur	1,87,551	1,22,855	64,696	9.57
7.	Kanpur Dehat	71,321	930	70,391	10.41
8.	Kaushambi	59,594	51,177	8,417	1.25
9.	Lucknow	1,56,763	1,16,710	40,053	5.93
10	Moradabad	1,48,824	0	1,48,824	22.03
11	Sitapur	1,81,775	77,000	1,04,775	15.51
	Total	13,12,492	6,44,389	6,68,103	98.88

Appendix-3.2.9

(Reference: paragraph 3.2.13; page 72)

Details of outstanding paragraph of Internal Audit as on 31 March 2007

Year	Units Audited	Paras of previous IAR pending compliance	Addition during the year	Total	Settlements during the year (per cent)	Paragraphs outstanding
2002-03	61	4,066	264	4,330	605 (14)	3,725
2003-04	62	3,725	460	4,185	215 (5)	3,970
2004-05	34	3,970	546	4,516	245 (5)	4,271
2005-06	66	4,271	596	4,867	318 (6)	4,549
2006-07	30	4,549	643	5,192	376 (7)	4,816

Appendix-3.3.1

(Reference paragraph: 3.3.9 page 79)

Difference in area of tehsils as per manual and computerized records

(Area in hectare)

Tehsil Name	Area as per manual record (R-57)	Area as per software report 'village list'	Percentage error
(1)	(2)	(3)	(in 2 and 3)
Sawaijpur	1,03,099.342	99,915.38	3.09
Laharpur	94,916.000	84,708.54	10.75
Biswan	99,386.000	57,202.00	42.44
Mohammadi	1,27,635.000	6,26,186.02	-390.61
Kadipur	78,868.000	85,786.33	-8.77
Musafirkhana	80,379.000	30,668.37	61.85
Gunnaur	93,539.000	68,332.97	26.95
Bilsi	6,0031.000	59,087.60	1.57

Appendix-3.5.1

(Reference: paragraph 3.5.1, page 92)

Details of sanctions, release and expenditure

(Rs. in crore)

Sl. No.	Name of the Department	Amount Sanctioned	Amount Released	Expenditure	Responsibility given
1.	Mela Administration	10.15	10.15	7.80	Release of funds (Rs. 153.31 crore) to various executing agencies for the conduct of Mela overall supervision and monitoring their utilization, temporary acquisition of land, preparing layout plan and allotment of land to various stakeholders etc.
2.	Public Works Department	47.42	46.88	46.88	Construction of pontoon bridges and temporary chequered plate roads etc.
3.	U.P.Power Corporation Ltd.	37.91	31.91	31.91	Supply of uninterrupted electricity.
4.	U.P.Jal Nigam	36.31	32.53	32.53	Supply of water.
5.	Medical and Health Department	10.00	9.69	9.46	Medical facilities to pilgrims.
6.	Irrigation Department	5.87	4.03	4.03	Provision of adequate water to river Ganga and construction of Ghats on the bank of Ganga/ Yamuna rivers.
7.	Nagar Nigam	12.00	12.00	11.19	Civil amenities to pilgrims.
8.	Allhabad Development Authority	1.50	1.50	1.21	Renovation and beautification of park
9.	U.P.StatenRoad Transport Corporation	0.60	0.60	0.60	Construction of temporary bus station.
10.	Information Department	1.08	1.00	0.97	Cultural programme, exhibition, preparation of photo film etc.
11.	Homeopathy Department	0.09	0.09	0.09	Homeopaty medical facilities to pilgrims
12.	Regional Food Controller	0.27	0.27	0.27	To provide APL ration card, fair price shops in each sectors for food grains and kerosene water.
13.	Ayurvedic & Unani Department	0.19	0.19	0.19	Ayurvedic and unani medical facilities.
14.	U.P. Rajkiya Nirman Nigam Ltd.	2.00	2.00	2.00	To construct Ran Basera for pilgrims.
15.	U.P. Dairy Development Corporation (Parag)	0.17	0.17	0.17	To provide milk booths for supply of milk, butter etc. to pilgrims.
16.	North Central Zone Cultural Centre	0.15	0.15	0.15	To organize cultural functions
17.	Regional Transport Department	0.08	0.08	0.08	To construct temporary check post on eight roads linking Allahabad city.
18.	District Administration	0.06	0.06	0.06	To construct a meeting hall for Mela purposes.
19.	Commissioner, Allahabad Division	0.07	0.07	0.07	To construct rest houses for pilgrims.
20.	Police Department	3.72	3.72	3.72	Law and order, traffic and crowd control.
21.	Forest Department	0.06	0.05	0.04	To supply fire wood
22.	Nagar Panchayat, Jhansi	0.72	0.72	0.72	Construction of roads, drinking water supply/ light/ sanitation facilities Jhansi.
23.	Tourism Department	0.33	0.33	0.33	Construction of temporary hostels, production of Ardh Kumbh Mela films, etc.
24.	Jal Sansthan	2.37	2.37	2.37	Drinking water supply and sanitation facilities.
Total		173.12	160.56	156.84	

Note- Serial number 1 to 20 covered in audit.

Appendix 3.5.2

(Reference: paragraph 3.5.3, page 92)

Details of crowd on important occasions

Sl. No.	Name of the parva	Date	Estimated crowd for planning of arrangements	Estimated crowd on occurrence of event (per cent to col. 4)
			(Figures in lakh)	
(1)	(2)	(3)	(4)	(5)
1	Paush Purnima	03.01.07	80	40 (50)
2	Makar Sankranti	14.01.07	75	50 (67)
3	-----do-----	15.01.07	100	55 (55)
4	Mauni Amawasya	19.01.07	300	180 (60)
5	Basant Panchami	23.01.07	200	60 (30)
6	Magh Purnima	02.02.07	175	55 (31)
7	Mahashivratri	16.02.07	60	40 (67)

Appendix 3.5.3

(Reference: paragraph 3.5.4, page 94)

Details of water quality in Sangam area

	Sangam area	
	BOD (mg/litre)	Total Coliform/100ml
03.01.07	4.8	21000
14.01.07	6.2	50000
15.01.07	10.0	50000
19.01.07	16.2	90000
23.01.07	9.2	28000
02.02.07	7.0	17000
16.02.07	4.0	13000
Standard	Max 3.0	Max 500

Appendix-3.6.1

(Reference: Paragraph: 3.6.2.2; page 100)

Delay in installation of equipment

RDC	Completi on of civil works	Delivery of equipment			Delay in installation of equipment			
		Date of delivery	Number of equipment	Cost (Rs. in lakh)	Number of equipment	Cost (Rs. in lakh)	Dates of installation between	Delay in months
Mirzapur	March 2004	March 2004 - June 2005	36	98.95	11	57.49	April 2004 - December 2005	1 to 9
Basti	February 2005	January 2004 - November 2005	34	100.41	08	27.87	April 2005 - October 2005	1 to 7
Gonda	March 2005	January 2004 - November 2005	37	193.91	09	106.44	May 2005 -June 2006	1 to 14
Varanasi	January 2004	March 2004 - November 2005	51	305.24	24	255.77	March 2004 - April 2006	1 to 8
Saharanpur	November 2003	March 2004 - November 2005	39	97.92	15	54.71	August 2004 - December 2005	1 to 13
Lucknow	July 2004	March 2004 - November 2005	55	335.80	27	260.01	October 2004 - July 2006	1 to 16
Total			252	1132.23	94	762.29		

Appendix-3.6.2

(Reference: Paragraph: 3.6.2.3; page 100)

Non-functioning of equipment

District	Equipment procured	Equipment transferred	Equipment lying idle	Equipment lying idle due to/ for want of		
				mechanical defect	medical staff	other reasons
Number/ Cost in Rs. in lakh						
Mirzapur	36/98.95	Nil	12/75.42	2/17.55	4/20.60	6/37.27
Saharanpur	39/97.92	Nil	6/44.34	2/23.74	4/20.60	Nil
Basti	34/100.41	1/3.21	14/74.67	Nil	14/74.67	Nil
Lucknow	55/335.80	3/16.86	Nil	Nil	Nil	Nil
Varanasi	51/305.24	Nil	12/107.73	Nil	11/101.06	1/6.67
Gonda	37/193.91	4/17.06	7/33.49	Nil	4/26.67	3/6.82
Total	252/1132.23	8/37.13	51/335.65	4/41.29	37/243.60	10/50.76

Appendix-3.6.3
(Reference: Paragraph: 3.6.3; page 101)

Details of AMCs executed during 2004-07

District	2004-05		2005-06			2006-07		
	Number of equipment		Number of equipment			Number of equipment		
	Due for AMC	AMC executed	Due for AMC	AMC executed	Shortfall (percentage)	Due for AMC	AMC executed	Shortfall (percentage)
Mirzapur	5	Nil	18	Nil	18 (100)	23	Nil	23 (100)
Basti	2	Nil	12	Nil	12 (100)	22	Nil	22 (100)
Gonda	Nil	Nil	9	Nil	9 (100)	21	6	15 (71)
Varanasi	1	Nil	27	2	25 (93)	33	16	17 (52)
Saharanpur	3	Nil	15	2	13 (87)	24	8	16 (67)
Lucknow	3	Nil	24	Nil	24 (100)	33	15	18 (55)
Total	14	Nil	105	4	101 (96)	156	45	111 (71)

Appendix-3.6.4

(Reference: Paragraph 3.6.3; page 101)

Details of downtime period of equipment

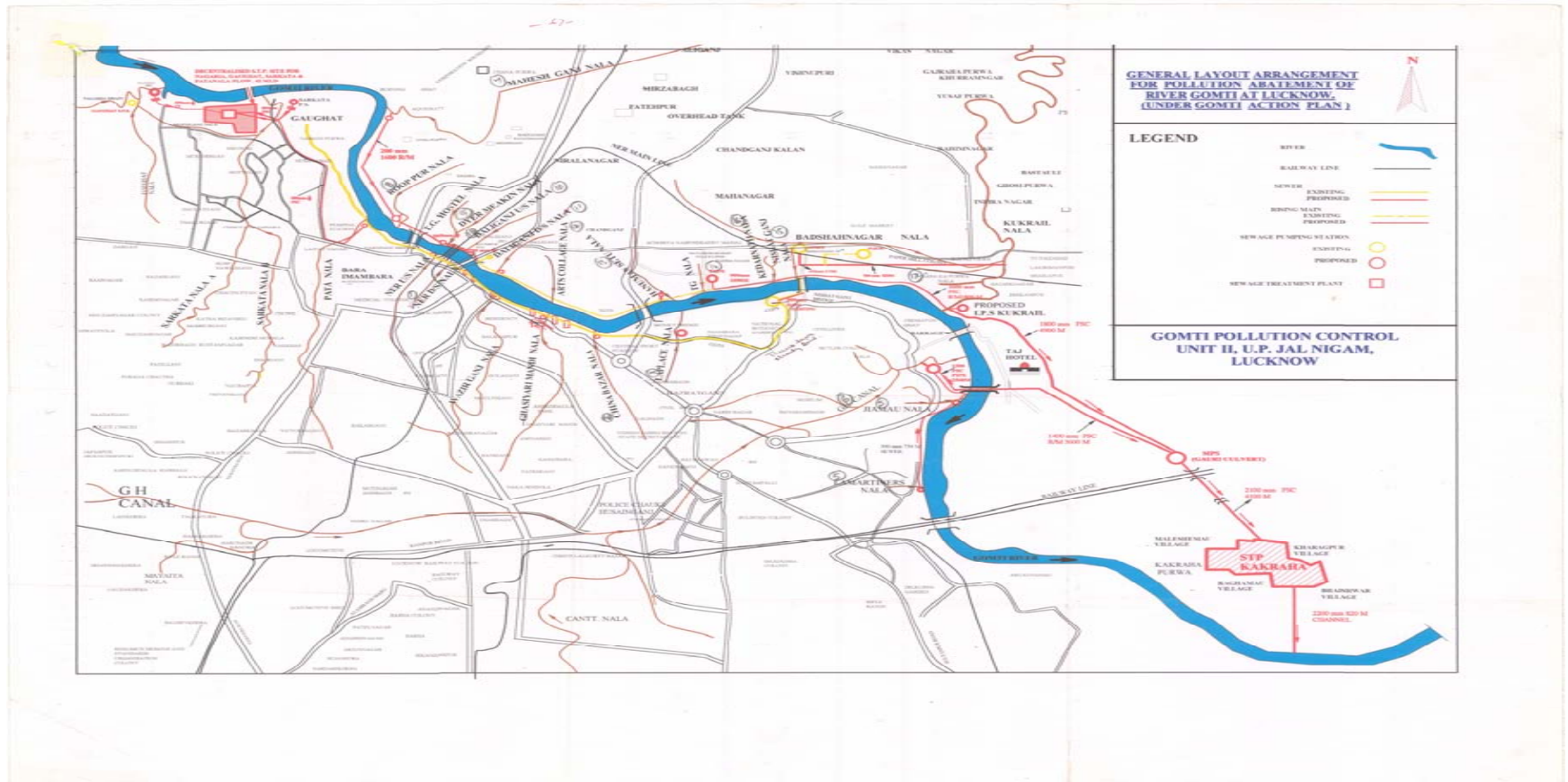
District	Name of equipment	Month of defect	Downtime period in a year in per cent	Cost (Rs. in lakh)
Mirzapur	Fully Computerized Biochemistry Analyzer	1/05	100	17.07
	Pulse Oxymeter	2/07	24	0.67
	Cryo Surgery	3/05	100	0.48
Saharanpur	Fully Computerized Blood Gas Analyzer	1/05	100	6.67
	Fully Computerized Bio Chemistry Analyzer	9/05	100	17.07
Varanasi	Fully Computerized Bio Chemistry Analyzer	4/06	100	17.07
Total				59.03

Appendix – 4.1
(Reference: Paragraph 4.2.2; page 114)
Excess payment due to higher Road Metal Rate

(Rs. in lakh)

Name of division	CB No./ Date	Vr. No. / Date	Total qty of Road Metal (Cum)	Rate of Stacking charges of Road Metal Rs. per cum	Excess payment
1. PD Saharanpur FMK road	15 SE 4-05	84 8/06	34826	17.25	6.00
2. PD Mainpuri Mainpuri Kusmara road	34 SE 11/05	30 7/06	46970	17.25	8.1
3. PD, PWD, Muzaffarnagar Delhi-Yamonotri road SH 57	14 SE 25.10.04	112 06/05	48016	17.25	8.282
4. PD, PWD, Fatehpur BRCH-Banda road Km 234 to Rasoolpur Yasinpur	179 27.01.07	54 08/07	737.52	18.10	0.133
PD, PWD, Fatehpur/Ekdala to Ahmdganj Tihar road	87 EE 22.06.06	26 06/07	2100.95	18.10	0.380
5. CD, PWD, Mainpuri W/S of Shikohabad road SH 35-62	19 SE 30.03.05	8 11/05	33230	17.25	5.732
7. CD III,, PWD, Kasganj, Etah W/S Aligarh Sarai Aghat road upto Sankisa	76 SE 18-3-06	118 1/07	16976.91	17.85	3.030
Total			182857.38		31.657

Appendix – 4.2
(Reference: Paragraph 4.4.15; page 136)
Site plan of proposed works



Appendix – 4.3
(Reference: Paragraph 4.5.6; page 142)
Details of amount sent to IOC

Sl.No.	Head of Account	Name of the work	Vr. No.	Date	Cheque No.	Amount (Rs. in lakh)	BD No.	Date	Revalidated on	Sent to IOC on
2005-06										
1	3054-Road and Bridges	SR	182	27.03.05	889153	9.90	601263	07.04.05	---	08.04.05
2	-ditto-	SR/AR	183	27.03.05	889154	9.90	601264	07.04.05	--	08.04.05
3	4216 – C.O. on Housing	Officer hostel	185	27.03.05	889156		601266	07.04.05	--	08.04.05
4	-ditto-	SR/AR	186	27.03.05	889157	9.90	601267	07.04.05	--	08.04.05
5	5054 – C.O. on Road and Bridges	SU	201	27.03.05	889172	9.90	601268	07.04.05	--	08.04.05
6	-ditto-	SU	202	27.03.05	889173	9.90	601269	07.04.05	--	08.04.05
7	-ditto-	SU	203	27.03.05	889174	9.90	601270	07.04.05	25.01.06	25.01.06
8	-ditto-	SU	204	27.03.05	889175	9.90	601271	07.04.05	25.01.06	25.01.06
9	-ditto-	SU	205	27.03.05	889176	9.90	601272	07.04.05	25.01.06	25.01.06
10	-ditto-	SU	206	27.03.05	889177	9.90	602287	27.04.05	--	22.07.05
11	-ditto-	SU	207	27.03.05	889178	9.90	602288	27.04.05	--	22.07.05
12	-ditto-	SU	208	27.03.05	889179	9.90	602289	27.04.05	--	22.07.05
13	-ditto-	SU	209	27.03.05	889180	9.90	602290	27.04.05	--	22.07.05
14	-ditto-	SB	249	30.03.05	889504	9.90	602103	25.04.05	21.03.06	21.03.06
15	-ditto-	SB	250	30.03.05	889505	9.90	602104	25.04.05	21.03.06	21.03.06
16	-ditto-	SB	251	30.03.05	889506	9.90	601205	25.04.05	21.03.06	21.03.06
17	-ditto-	SB	252	30.03.05	889507	9.90	602106	25.04.05	21.03.06	21.03.06
18	3054 – Road and Bridges	SR of BM	256	30.03.05	889511	9.90	602110	25.04.05	21.03.06	21.03.06
19	-ditto-	SR of BM	257	30.03.05	889512	9.90	602111	25.04.05	21.03.06	21.03.06
20	-ditto-	SR	260	30.03.05	889515	9.90	602114	25.04.05	21.03.06	21.03.06
21	-ditto-	SR of BM	261	30.03.05	889516	9.90	602122	25.04.05	15.04.06	20.04.06
					Total	207.90				
2006-07										
1	5054 – C.O. on Road and Bridges	SU	211	27.03.05	88982	9.90	602292	27.04.05	15.04.06	20.04.06
2	-ditto-	SU	237	30.03.05	889192	9.90	602009	23.04.05	15.04.06	20.04.06
3	-ditto-	SB	255	30.03.05	889510	9.90	602109	25.04.05	15.04.06	20.04.06
4	3054 – Road and Bridges	BM	258	30.03.05	889513	9.90	602112	25.04.05	15.04.06	20.04.06
5	-ditto-	BM	262	30.03.05	889517	0.43	602102	25.04.05	15.04.06	20.04.06
					Total	40.03				
					Grand Total	247.93				

SU= Sahashwan Ujahaini Road
SB= Sahashwan Babrala Road
BM= Budaun Moradabad Road

Appendix – 4.4
(Reference: Paragraph 4.5.6; page 142)
List of Bank Drafts cancelled and paid to others

Sl. No.	Head of Account	Name of the work	Vr. No.	Date	Cheque No.	Amount (Rs. in lakh)	BD No.	Date	Can. & fresh BD No.	Date	Paid to other
2005-06											
1	5054 –C.O. on Road and Bridges	SU	210	27.03.05	889181	9.90	602291	27.04.05	599430	02.03.06	Tomar Const-SU
2	-ditto-	SU	212	27.03.05	889183	9.90	602293	27.04.05	599429	02.03.06	Tomar Const-SU
3	-ditto-	SU	234	30.03.05	889189	9.90	602006	23.04.05	600165 600166	22.03.06 22.03.06	Tomar Const-SU Rs 7.75 Prakash Const-SB- Rs.2.15
4	-ditto-	SB	243	30.03.05	889198	9.90	602015	23.04.05	600808	31.03.06	Prakash Const
5	-ditto-	SB	244	30.03.05	889199	9.90	602016	23.04.05	600809	31.03.06	Prakash const
6	-ditto-	SB	245	30.03.05	889200	9.90	602017	23.04.05	599431	02.03.06	Prakash const
7	-ditto-	SB	246	30.03.05	889501	9.90	602018	23.04.05	599428	02.03.06	EE/CD-II/Lucknow
8	-ditto-	SB	247	30.03.05	889502	9.90	602019	23.04.05	599432	02.03.06	Prakash Const.
9	-ditto-	SB	248	30.03.05	889503	9.90	602020	23.04.05	600167	22.03.06	Vayas Muni Pandey
10	-ditto-	SB	253	30.03.05	889508	9.90	602107	25.04.05	600804	31.03.06	Prakash Const-SB
11	-ditto-	SB	254	30.03.05	889509	9.90	602108	25.04.05	600805	31.03.06	Prakash Const-SB
12	-ditto-	BM	259	30.03.05	889514	9.90	602113	25.04.05	600168	22.03.06	Vayas Muni Pandey
Total						118.80					

2006-07											
1	5054 – C.O. on Road and Bridges	SU	235	30.03.05	889190	9.90	602007	23.04.05	601203	13.04.06	Tomar Const-SU
2	-ditto-	SU	236	30.03.05	889191	9.90	602008	23.04.05	601204	13.04.06	Tomar Const-SU
3	-ditto-	SB	238	30.03.05	889193	9.90	602010	23.04.05	601202	13.04.06	Tomar Const-SU
4	-ditto-	SB	239	30.03.05	889194	9.90	602011	23.04.05	601201	13.04.06	Tomar Const-SU
5	-ditto-	SB	240	30.03.05	889195	9.90	602012	23.04.05	601200	13.04.06	Tomar Const-SU
6	-ditto-	SB	241	30.03.05	889196	9.90	602013	23.04.05	601199	13.04.06	Tomar Const-SU
7	-ditto-	SB	242	30.03.05	889197	9.90	602014	23.04.05	601198	13.04.06	Tomar Const-SU
Total						69.30					
Grand Total						188.10					

Appendix-5.1
(Reference: Paragraph 5.5.4; page 149)
Details of liabilities

Sl. No.	Name of items	Amount of liability (Rs. in lakh)
1	Wages	342.45
2	T.A.	12.32
3	Transfer T.A.	25.26
4	Office Expenses	4.81
5	Electricity Charges	388.72
6	Water tax/House tax	32.13
7	Stationery	2.08
8	Furniture	0.07
9	Telephone bills	10.39
10	POL	40.75
11	Machines	9.22
12	Maintenance	17.31
13	Medicine	137.91
14	Diet	490.72
15	Miscellaneous Charges	409.64
16	Training	13.08
17	Computer Maintenance	0.60
18	Material Supplies	119.84
19	Other Expenses	8.34
20	Medical Expenses	3.33
	Total	2068.97

Appendix-5.2
(Reference: Paragraph 5.7.1; page 154)

Men-in-position

Year (as of 31 March)	Sanctioned Strength	Men-in-Position	Vacant (per cent)
Group'A'			
2004-05	42	35	07 (17)
2005-06	42	37	05 (12)
2006-07	42	37	05 (12)
Group'B'			
2004-05	206	165	41 (20)
2005-06	210	163	47 (22)
2006-07	215	166	49 (23)
Group'C'			
2004-05	7042	6614	428 (6)
2005-06	7184	6602	582 (8)
2006-07	7140	6483	657 (9)
Group'D'			
2004-05	629	615	14 (2)
2005-06	629	615	14 (2)
2006-07	629	615	14 (2)

Appendix-5.3

(Reference: Paragraph 5.7.2; page 154)

Position of operational staff

Sl. No.	Name of Jail	No. of officials posted		
		Total no. of operational staff	More than 6 years	More than 10 years
1	Central Jail, Bareilly	250	58	48
2	Central Jail, Naini	273	03	14
3	Central Jail, Varanasi	172	41	27
4	DJ, Faizabad	128	43	22
5	DJ, Moradabad	67	28	14
6	DJ, Sultanpur	81	20	---
7	DJ, Rampur	51	10	15
8	DJ, Bareilly	137	51	12
9	DJ Jaunpur	75	08	03
10	DJ, Mau	123	27	01
11	DJ, Lucknow	176	42	17
12	DJ, Firozabad	106	10	----
13	Adarsh Karagar Lucknow	198	54	28
14	Kishore Sadan, Bareilly	67	08	03
15	Sub Jail, Gyanpur	43	13	01
	Total	1947	416	205