

**Appendix - 1.1**  
(Reference: Paragraph 1.4 & 1.8; page 4 & 13)

Summarised Financial Position of the Government of Uttar Pradesh as on 31  
March 2005

(Rupees in crore)

As on 31.3.2004	Liabilities		As on 31-3-2005
<b>52871.57</b>	<b>Internal Debt</b>		<b>64591.37</b>
23024.31	Market Loans bearing interest	26317.84	
44.21	Market loans not bearing interest	43.07	
3.66	Loans from LIC	(-)3.57	
8101.40	Loans from other Institutions	9329.07	
21728.68	Special Securities issued	28910.17	
(-)30.69	Ways and Means Advance from RBI	(-)5.21	
<b>28027.30</b>	<b>Loans and Advances from Central Government</b>		<b>24614.05</b>
654.31	Pre 1984-85 Loans	519.77	
5288.51	Non-Plan Loans	1043.07	
21787.44	Loans for State Plan Schemes	22753.26	
1.48	Loans for Central Plan Schemes	1.37	
307.01	Loans for Centrally sponsored Plan Schemes	308.03	
(-)11.45	Ways and Means Advances from Central Government	(-)11.45	
<b>13533.50</b>	<b>Small Savings, provident funds, etc.</b>		<b>15343.38</b>
<b>10957.23</b>	<b>Deposit</b>		<b>10750.86</b>
<b>13850.38</b>	<b>Reserve Funds</b>		<b>16101.73</b>
630.11	Deposit with Reserve Bank		98.02
<b>119870.09</b>	<b>Total</b>		<b>131499.41</b>
As on 31 March 2004	Assets		As on 31 March 2005
<b>43856.56</b>	<b>Gross Capital Outlay on Fixed Assets</b>		<b>49509.90</b>
11247.25	Investments in shares of companies Corporation, etc.	12310.47	
32609.31	Capital Outlays	37199.43	
<b>7709.44</b>	<b>Loans and advances</b>		<b>8060.79</b>
3082.16	Loans for Power Projects	3370.51	
4733.35	Other Development Loans	4811.95	
(-)106.07	Loans to Government Servants & Miscellaneous loans	(-)121.67	
<b>924.14</b>	<b>Contingency Fund</b>		<b>891.77</b>
<b>45.20</b>	<b>Reserve Fund Investments</b>		<b>45.20</b>
<b>5.66</b>	<b>Advance</b>		<b>5.74</b>
<b>1104.54</b>	<b>Suspense and Miscellaneous Balances</b>		<b>103.87</b>
<b>719.31</b>	<b>Remittance balances</b>		<b>625.08</b>
<b>434.45</b>	<b>Cash</b>		<b>193.36</b>
0.59	Cash in Treasuries and Local Remittances	0.64	
14.62	Departmental Cash Balances	12.37	
(-)0.30	Permanent Advances	0.34	
419.54	Cash Balance Investments	180.01	
<b>65070.79</b>	<b>Deficit on Government Accounts</b>		<b>72063.70</b>
18583.14	(i) Revenue Deficit of the Current Year	6992.91	
46487.65	(ii) Accumulated deficit	65070.79	
<b>119870.09</b>	<b>Total</b>		<b>131499.41</b>

**Appendix -1.2**  
*(Reference: Paragraph 1.4; page 4)*  
**Abstract of Receipts and Disbursements for the year 2004-05**  
 (Rupees in crore)

Receipts			Disbursements				
2003-04	Section A- Revenue	2004-05	2003-04		Non-plan	Plan	Total 2004-05
<b>31637.96</b>	<b>I-Revenue Receipts</b>	<b>37617.44</b>	<b>50221.11</b>	<b>I-Revenue Expenditure</b>	<b>39512.26</b>	<b>5098.09</b>	<b>44610.35</b>
13601.22	Tax-Revenue	15692.67	<b>19540.53</b>	<b>General Services</b>	<b>22692.09</b>	<b>69.34</b>	<b>22761.43</b>
2282.08	Non-Tax Revenue	2720.29	<b>10354.35</b>	<b>Social Services</b>	<b>9621.41</b>	<b>3468.31</b>	<b>13089.72</b>
13272.97	State's Share of Union Taxes	15055.20	6254.58	Education, Sports, Art and Culture	6319.82	952.64	7272.46
569.22	Non-Plan Grants	334.90	1753.85	Health and Family Welfare	1401.02	636.23	2037.25
1346.53	Grants for State Plan Scheme	2399.95	528.19	Water supply, Sanitation, Housing and Urban Development	100.34	464.30	564.64
565.94	Grants for Central and Centrally Sponsored Scheme	1414.43	20.92	Information and Broadcasting	16.95	1.36	18.31
			787.21	Welfare of Scheduled Caste, Scheduled Tribes and Other Backward Classes	654.01	716.87	1370.88
			108.24	Labour and Labour Welfare	104.66	10.73	115.39
			878.22	Social Welfare and Nutrition	1000.72	686.18	1686.90
			23.14	Others	23.89	-----	23.89
			<b>18969.70</b>	<b>Economic Services</b>	<b>5415.83</b>	<b>1560.44</b>	<b>6976.27</b>
			1342.36	Agriculture and Allied Activities	1026.26	370.85	1397.11
			1654.62	Rural Development	1022.41	677.95	1700.36
			27.00	Special Area Programme	----	36.37	36.37
			1480.41	Irrigation and Flood Control	1368.65	211.69	1580.34
			215.39	Industry and Minerals	87.98	101.46	189.44
			13438.68	Energy	1307.06	136.83	1443.89
			709.22	Transport	516.52	0.89	517.41
			19.31	Science, Technology and Environment	2.10	3.50	5.60
			82.71	General Economic Services	84.85	20.90	105.75
			<b>1356.53</b>	<b>Grants-in-aid Contribution</b>	<b>1782.93</b>	<b>-----</b>	<b>1782.93</b>
<b>18583.15</b>	<b>II-Revenue Deficit carried over to Section-B</b>	<b>6992.91</b>	<b>-----</b>	<b>II-Revenue surplus carried over to Section-B</b>			
<b>50221.11</b>	<b>Total</b>	<b>44610.35</b>	<b>50221.11</b>	<b>Total</b>			<b>44610.35</b>
	<b>Section -B-Others</b>						
<b>(-)32.59</b>	<b>III-Opening Cash Balance including Permanent Advances and Cash Balance Investment</b>	<b>(-)196.25</b>		<b>III-Opening Overdraft from RBI</b>			
	<b>IV-Miscellaneous Capital Receipts</b>		<b>9320.35</b>	<b>IV-Capital Outlay</b>	<b>1034.62</b>	<b>4618.73</b>	<b>5653.35</b>
			<b>224.03</b>	<b>General Services</b>	<b>39.07</b>	<b>216.36</b>	<b>255.43</b>
			<b>328.86</b>	<b>Social Services</b>	<b>10.52</b>	<b>485.70</b>	<b>496.22</b>
			61.18	Education, Sport, Art and Culture	-----	106.62	106.62
			142.72	Health and Family Welfare	4.04	213.60	217.64
			65.13	Water supply, Sanitation, Housing and Urban Development	6.28	121.49	127.77
			-----	Information and Broadcasting	-----	-----	-----

			49.72	Welfare of Scheduled Castes, Scheduled Tribes and Other Backward Classes	----	34.50	34.50
			4.62	Social Welfare and Nutrition	-----	4.50	4.50
			5.49	Others	0.20	4.99	5.19
			<b>8767.46</b>	<b>Economic Services</b>	<b>985.03</b>	<b>3916.67</b>	<b>4901.70</b>
			173.34	Agriculture and Allied Activities	877.24	77.09	954.33
			381.56	Rural Development	-----	508.64	508.64
			307.95	Special Area Programmes	----	319.19	319.19
			740.98	Irrigation and Flood Control	----	878.66	878.66
			6234.81	Energy	-----	1046.18	1046.18
			11.11	Industry and Minerals	0.28	(-)10.66	(-)10.38
			903.92	Transport	109.62	1082.55	1192.17
			-----	Science Technology and Environment Services	(-)2.54	-----	(-)2.54
			13.79	General Economic Services	0.43	15.02	15.45
<b>2003-04</b>	<b>Receipts</b>	<b>2004-05</b>	<b>2003-04</b>	<b>Disbursements</b>			<b>2004-05</b>
12497.76	<b>V-Recoveries of Loans and Advances</b>	<b>277.63</b>	<b>1242.37</b>	<b>V-Loans and Advances Disbursed</b>			<b>628.98</b>
87.35	From Industry and Minerals	132.07	360.72	For Power Projects		288.34	
35.19	From Government Servants	34.74	18.64	To Government Servants		19.39	
12375.22	From Others	110.82	863.01	To Others		321.25	
-----	<b>VI-Revenue Surplus brought down</b>	-----	<b>18583.15</b>	<b>VI-Revenue Deficit brought down</b>			<b>6992.91</b>
<b>22109.53</b>	<b>VII-Public Debt Receipts</b>	<b>17463.25</b>	<b>8987.43</b>	<b>VII-Repayment of Public Debt</b>			<b>9156.70</b>
19028.87	Internal Debt other than WMA and Overdrafts	14596.90	2299.70	Internal Debt other than WMA and Overdrafts		2902.57	
	Net Transactions under WMA (RBI)	25.48*		Net Transactions under WMA (RBI)		-----	
3080.66	Loans and Advances from GOI other than WMA	2840.87	6687.73	Loans and Advances from GOI		6254.13	
-----	WMA from GOI	-----	-----	WMA (GOI)		-----	
-----	<b>VIII-Appropriation to Contingency Fund</b>	-----	-----	<b>VIII-Appropriation to Contingency Fund</b>		-----	
<b>52.11</b>	<b>IX-Amount transferred to Contingency Fund</b>	<b>75.69</b>	<b>85.33</b>	<b>IX-Expenditure from Contingency Fund</b>		----	<b>43.31</b>
<b>46875.31</b>	<b>X-Public Account Receipts</b>	<b>45212.58</b>	<b>43479.74</b>	<b>X-Public Accounts Disbursements</b>		-----	<b>40262.49</b>
3123.85	Small Savings, Provident Funds etc.	3225.60	1321.74	Small Savings, Provident Funds etc.		1415.72	
2222.00	Reserve Funds	2710.30	19.86	Reserve Funds		458.95	
24072.35	Suspense and Miscellaneous	21787.00	24114.63	Suspense and Miscellaneous		20785.91	
4696.67	Remittances	5095.29	4891.58	Remittances		5001.06	
12760.44	Deposits and Advances	12394.39	13131.93	Deposits and Advances		12600.85	
-----	<b>XI-Closing Overdraft from RBI</b>		<b>(-)196.25</b>	<b>XI-Cash Balance at end</b>			<b>95.16</b>
			0.59	Cash in Treasuries and Local Remittances		0.18	
			(-)630.70	Deposits with Reserve Bank		(-)98.20	
			14.32	Departmental Cash Balances including Permanent Advances		12.71	
			419.54	Cash Balance Investments		180.01	
				Remittance in Transit		0.46	
<b>81502.12</b>	<b>Total</b>	<b>62832.90</b>	<b>81502.12</b>	<b>Total</b>			<b>62832.90</b>

\* Net of Receipts of Rs 20251 crore and Disbursements of Rs 20225.52 crore

**Appendix -1.3**  
(Reference: Paragraph 1.4; page 4)

**Sources and Application of funds for the year 2004-05**

(Rupees in crore)

2003-04	Sources		2004-05
<b>31637.96</b>	<b>Revenue Receipts</b>		<b>37617.44</b>
<b>12497.76</b>	<b>Recoveries of Loans and Advances</b>		<b>277.63</b>
<b>13122.10</b>	<b>Increase in Public debt</b>		<b>8306.55</b>
4690.08	- Market loans bearing interest	3293.52	
36.86	- Market loans not bearing interest	(-)1.15	
(-)7.37	- Loans from LIC	(-)7.22	
12009.61	- Loans from other institutions	8409.17	
0.00	- Ways and Means Advances (RBI)	25.48	
	<b>Loans and Advances from Central Government.</b>		
(-)134.53	- Pre 1984-85 loans	(-)134.53	
(-)5437.57	- Non-Plan loans	(-)4245.44	
1966.75	- Loans for State Plan Scheme	965.81	
(-)0.12	- Loans for Central Plan Scheme	(-)0.11	
(-)1.60	- Loans for Centrally Sponsored Plan Scheme	1.02	
0.00	- Ways and Means Advances from GOI	0.00	
<b>3395.57</b>	<b>Net receipts from Public Account</b>		<b>4950.09</b>
1802.11	- Increase in Small Savings	1809.88	
(-)371.49	- Increase in Deposits & Advances	(-)206.46	
2202.14	- Increase in Reserve Funds	2251.35	
(-)42.28	- Net effect of Suspense and Miscellaneous transactions	1001.09	
(-)194.91	- Net effect of Remittance transactions	94.23	
--	<b>Net effect of Contingency Fund transactions</b>		<b>32.38</b>
<b>60653.39</b>	<b>Total</b>		<b>51184.09</b>
<b>2003-04</b>	<b>Application</b>		<b>2004-05</b>
<b>50221.11</b>	<b>Revenue Expenditure</b>		<b>44610.35</b>
<b>1242.37</b>	<b>Lending for developing and other purposes</b>		<b>628.98</b>
<b>9320.35</b>	<b>Capital Expenditure</b>		<b>5653.35</b>
<b>33.22</b>	<b>Net effect of Contingency Fund transactions</b>		<b>--</b>
<b>(-)163.66</b>	<b>Increase in closing cash balance</b>		<b>291.41</b>
<b>60653.39</b>	<b>Total</b>		<b>51184.09</b>

1. The abridged accounts in the foregoing statements have to be read with comments and explanations in the Finance Accounts.
2. Government accounts being mainly on cash basis, the deficit on Government account, as shown in Statement-I indicates the position on cash basis, as opposed to accrual basis in commercial accounting. Consequently, items payable or receivable or items like depreciation or variation in stock figures etc. do not figure in accounts.
3. Suspense and miscellaneous balances include cheques issued but not paid, payments made on behalf of the State and other pending settlement etc.

**Appendix - 1.4**  
(Reference: Paragraph 1.4 & 1.8; page 4 & 13)

**Time Series Data on the Finances of Uttar Pradesh**

(Rupees in crore)

	2000-01	2001-02	2002-03	2003-04	2004-05
<b>Part A. Receipts</b>					
<b>I. Revenue Receipts</b>	<b>24743</b>	<b>25598</b>	<b>27821</b>	<b>31638</b>	<b>37617</b>
(i) Tax Revenue	10980(44)	10330(40)	12767(46)	13601(43)	15693(42)
Sales Tax/Trade Tax	6118(56)	6163(59)	7124(56)	7684(56)	8888(57)
State Excise	2239(20)	1961(19)	2555(20)	2472(18)	2686(17)
Taxes on vehicles	543(05)	503(5)	619(5)	677(5)	776(5)
Stamps and Registration fees	1270(11)	1429(14)	2079(16)	2296(17)	2682(17)
Land Revenue	70(1)	73(1)	64(1)	118(1)	102(1)
Other Taxes	740(7)	260(2)	326(2)	354(3)	559(3)
(ii) Non- Tax Revenue	1945(8)	1787(7)	1913(7)	2282(7)	2720(7)
(iii) State's share in union taxes	9045(37)	10189(40)	10832(39)	13273(42)	15055(40)
(iv) Grants in aid from GOI	2773(11)	3292(13)	2309(8)	2482(8)	4149(11)
<b>2. Miscellaneous Capital Receipts</b>	---	-----	---	-----	-----
<b>3. Total Revenue and Non Debt Capital Receipts (1+2)</b>	<b>24743</b>	<b>25598</b>	<b>27821</b>	<b>31638</b>	<b>37617</b>
<b>4. Recoveries of Loans and Advances</b>	<b>296</b>	<b>366</b>	<b>219</b>	<b>12498</b>	<b>278</b>
<b>5. Public Debt Receipts</b>	<b>9251</b>	<b>11383</b>	<b>12390</b>	<b>22110</b>	<b>17463</b>
Internal Debt (Excluding Ways and Means Advances and Overdrafts)	6734(73)	7936(70)	9282(75)	19029(86)	14597(84)
Net Transactions under Ways and Means Advances and Overdraft	---	690(6)	---	---	25(0)
Loans and Advances from Government of India	2517(27)	2757(24)	3108(25)	3081(14)	2841(16)
<b>6. Total Receipts in the Consolidated Fund (3+4+5)</b>	<b>34290</b>	<b>37347</b>	<b>40430</b>	<b>66246</b>	<b>55358</b>
<b>7. Contingency Fund Receipts</b>	<b>92</b>	<b>81</b>	<b>---</b>	<b>52</b>	<b>76</b>
<b>8. Public Account Receipts</b>	<b>37567</b>	<b>36965</b>	<b>37327</b>	<b>46875</b>	<b>45213</b>
<b>9. Total Receipts of the State (6+7+8)</b>	<b>71949</b>	<b>74393</b>	<b>77757</b>	<b>113173</b>	<b>100647</b>
<b>Part B. Expenditure</b>					
<b>10. Revenue Expenditure</b>	<b>31030(88)</b>	<b>31793(89)</b>	<b>32939(88)</b>	<b>50221(83)</b>	<b>44610(88)</b>
Plan	3686(12)	3561(11)	3575(11)	3276(7)	5098(11)
Non Plan	27344(88)	28232(89)	29364(89)	46945(93)	39512(89)
General Services (incl. Interest payments)	15155(49)	16178(51)	15583(47)	19540(39)	22761(51)
Economic Services	5572(18)	5350(17)	5883(18)	18970(38)	6976(16)
Social Services	9219(30)	9337(29)	10308(31)	10354(21)	13090(29)
Grants in aid and contributions	1084(3)	928(3)	1165(4)	1357(3)	1783(4)
<b>11. Capital Expenditure</b>	<b>3268(9)</b>	<b>3556(10)</b>	<b>3794(10)</b>	<b>9320(15)</b>	<b>5653(11)</b>
Plan	2862(88)	2671(75)	3361(89)	3437(37)	4618(82)
Non Plan	405(12)	885(25)	433(11)	5883(63)	1035(18)
General Services	106(3)	128(4)	330(9)	224(2)	255(4)
Economic Services	2900(89)	3217(90)	3176(84)	8767(94)	4902(87)
Social Services	261(8)	211(6)	288(7)	329(4)	496(9)
<b>12. Disbursement of Loans and Advances</b>	<b>918(3)</b>	<b>526(1)</b>	<b>804(2)</b>	<b>1242(2)</b>	<b>629(1)</b>
<b>13. Total (10+11+12)</b>	<b>35216</b>	<b>35875</b>	<b>37537</b>	<b>60783</b>	<b>50892</b>
<b>14. Repayments of Public Debt</b>	<b>2573</b>	<b>2942</b>	<b>6226</b>	<b>8988</b>	<b>9157</b>
Internal Debt (excluding Ways and Means Advances and Overdrafts)	509(20)	1509(51)	1811(29)	2300(26)	2903(32)
Net Transactions Under Ways and Mean. Advances and Overdraft	534(21)	---	777(12)	---	---
Loans and Advances from Government of India	1530(59)	1433(49)	3638(59)	6688(74)	6254(68)
<b>15. Appropriation to Contingency Fund</b>	---	-----	---	---	-----
<b>16. Total Disbursement out of Consolidated Fund (13+14+15)</b>	<b>37789</b>	<b>38817</b>	<b>43763</b>	<b>69771</b>	<b>60049</b>
<b>17. Contingency Fund disbursements</b>	<b>414</b>	<b>10</b>	<b>69</b>	<b>85</b>	<b>43</b>
<b>18 Public Account Disbursements</b>	<b>32147</b>	<b>35397</b>	<b>34359</b>	<b>43480</b>	<b>40262</b>
<b>19. Total Disbursement by the State (16+17+18)</b>	<b>70350</b>	<b>74224</b>	<b>78191</b>	<b>113336</b>	<b>100354</b>

<b>Part C. Deficits</b>					
20. Revenue Deficit (1-10)	6287	6195	5118	18583	6993
21. Fiscal Deficit (3+4-13)	10177	9911	9497	16647	12997
22. Primary Deficit (21-23)	2725	1691	2437	6523	1124
<b>Part D. Other data</b>					
23. Interest Payments (included in Revenue expenditure)	7452	8220	7060	10124	11873
24. Arrears of Revenue (percentage Tax & Non- Tax Rev. Receipt)	7152(55)	6589(54)	5891(40) <sup>1</sup>	6376(40)	7922(43)
25. Financial Assistance to local bodies etc.	3839	2039	759	2835	3540
26. Ways and Means Advances and Overdrafts (days)	312	179	203	247	256
27. Interest on Way and Means & Advance / Overdraft	40	26	33	44	49
28. Gross State Domestic Product (GSDP)	180948	187141 <sup>2</sup>	202904	217573	235678
29. Outstanding Debt (year end)	80331	92982	102485	119240	131401
30. Outstanding Guarantees (year end)	7421	6997	6270	3601	10354
31. Maximum Amount Guaranteed (year end)	16934	11013	10840	10549	22770
32. Number of incomplete projects	47	89	27	24	35
33. Capital blocked in incomplete projects	6052	8053	2073	2336	5804
34. Revenue Buoyancy of Own Taxes	1.457	(-1.731)	2.802	0.903	1.848

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<sup>1</sup> Includes Trade Tax and Entertainment Tax only. Figures of other Revenue Heads were not available.  
<sup>2</sup> Figure of GSDP for 2001-02 and 2003-04 are provisional.

**Appendix - 1.5**  
(Reference: Paragraph 1.4; page 4)

**List of terms used in the Chapter-I and basis for their calculation**

Terms	Basis for calculation
Buoyancy of a parameter	$\frac{\text{Rate of Growth of the parameter}}{\text{GSDP Growth}}$
Buoyancy of a parameter (X) with respect to another parameter (Y)	$\frac{\text{Rate of Growth of the parameter (X)}}{\text{Rate of Growth of the parameter (Y)}}$
Rate of Growth (ROG)	$[(\text{Current year Amount}/\text{Previous year Amount}) - 1] * 100$
Trend/Average	Trend of growth over a period of 5 years (LOGEST (Amount of 1996-97 : Amount of 2001-02)-1)* 100
Share shift/Shift rate of a parameter	Trend of percentage shares, over a period of 5 years, of the parameter in Revenue or Expenditure as the case may be
Development Expenditure	Social Services + Economic Services
Weighted Interest Rate (Average interest paid by the State)	$\text{Interest Payment}/[(\text{Amount of previous year's Fiscal Liabilities} + \text{Current year's Fiscal Liabilities})/2] * 100$
Interest spread	GSDP growth – Weighted Interest rates
Interest received as per cent to Loans Advanced	$\text{Interest Received} [(\text{Opening balance} + \text{Closing balance of Loans and Advances})/2] * 100$
Revenue Deficit	Revenue Receipt – Revenue Expenditure
Fiscal Deficit	Revenue Expenditure + Capital Expenditure + Net Loans and Advances – Revenue Receipts – Miscellaneous Capital Receipts
Primary Deficit	Fiscal Deficit – Interest Payments
Balance from Current Revenue (BCR)	Revenue Receipts <u>minus</u> all Plan grants and Non-Plan Revenue Expenditure excluding debits under 2048-Appropriation for Reduction or Avoidance of Debt

**Appendix - 1.6**  
(Reference: Paragraph 1.7.2; page 13)

**Department-wise/year-wise break-up of the cases in which final action was pending at the end of December 2005**

Name of the department	Upto 5 years	5 to 10 years	10 to 15 years	15 to 20 years	20 to 25 years	25 years to more	Total
Agriculture	3 (8.91)	-	1 (0.16)	1 (0.18)	-	-	5 (9.25)
Animal Husbandry	1 (3.20)	2 (0.56)	8 (2.14)	4 (0.64)	-	1 (0.02)	16 (6.56)
Education	-	1 (5.00)	-	-	-	-	1 (5.00)
Finance	-	-	-	1 (0.67)	-	-	1 (0.67)
Fisheries	-	-	6 (2.21)	1 (0.50)	1 (0.50)	-	8 (3.21)
Food and Supplies	1 (3.06)	-	3 (7.09)	6 (19.64)	-	-	10 (29.79)
Irrigation	-	1 (1.60)	-	-	-	-	1 (1.60)
Judiciary	-	-	-	1 (0.66)	-	-	1 (0.66)
Land Acquisition	-	-	-	-	-	3 (331.44)	3 (331.44)
Medical & Health	-	3 (5.80)	4 (2.24)	10 (10.95)	1 (0.30)	-	18 (19.29)
Police	-	-	1 (1.21)	-	2 (2.50)	2 (1.04)	5 (4.75)
PAC	-	1 (47.48)	-	1 (0.51)	-	-	2 (47.99)
PWD	1 (0.98)	1 (3.23)	-	-	-	-	2 (4.21)
Revenue	-	-	-	2 (1.40)	1 (0.41)	-	3 (1.81)
Rural Development	-	2 (0.63)	1 (1.01)	4 (0.74)	2 (0.42)	3 (1.04)	12 (3.84)
Social Welfare	-	-	1 (0.25)	-	1 (0.65)	1 (0.05)	3 (0.95)
Technical Education	1 (11.59)	-	-	-	-	-	1 (11.59)
<b>Total</b>	<b>7 (27.74)</b>	<b>11 (64.30)</b>	<b>25 (16.31)</b>	<b>31 (35.89)</b>	<b>8 (4.78)</b>	<b>10 (333.59)</b>	<b>92 (482.61)</b>

(Figures in bracket are Rupees in lakh)



**Appendix - 1.7**  
(Reference: Paragraph: 1.7.2; page 13)

**Department-wise details in respect of cases relating to theft, misappropriation/loss of Government material and fire/accident at the end of December 2005**

Name of the Department	Theft cases		Misappropriation/loss of Government material		Fire/Accident	
	Number of cases	Amount (Rs. in lakh)	Number of cases	Amount (Rs. in lakh)	Number of cases	Amount (Rs. in lakh)
Agriculture	3	1.81	2	7.44	-	-
Animal Husbandry	11	1.79	2	3.22	3	1.55
Education	1	5.00	-	-	-	-
Finance	1	0.67	-	-	-	-
Fisheries	4	1.38	3	1.72	1	0.10
Food and Supplies	1	1.01	9	28.78	-	-
Irrigation	-	-	1	1.60	-	-
Judiciary	-	-	1	0.66	-	-
Land Acquisition	-	-	3	331.44	-	-
Medical and Health	12	7.13	5	11.76	1	0.40
Police	-	-	4	4.36	1	0.39
PAC	-	-	2	47.99	-	-
PWD	1	0.98	1	3.23	-	-
Revenue	-	-	3	1.81	-	-
Rural Development	7	1.71	5	2.14	-	-
Social Welfare	-	-	3	0.95	-	-
Technical Education	-	-	1	11.59	-	-
<b>Total</b>	<b>41</b>	<b>21.48</b>	<b>45</b>	<b>458.69</b>	<b>6</b>	<b>2.44</b>

**Appendix - 2.1**  
(Reference: Paragraph 2.2 & 2.3.2; page 21 & 23)

**Details of savings against the provisions under various Grants**

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Total provision	Expenditure	Saving
<b>A-Revenue Voted</b>					
1.	1	Excise Department	32.88	29.68	3.20
2.	4	Industries Department (Mines and Minerals)	18.50	13.22	5.28
3.	5	Industries Department (Handloom & Village Industry)	50.03	49.89	0.14
4.	6	Industries Department (Handloom Industry)	53.10	42.33	10.77
5.	7	Industries Department (Heavy and Medium Industry)	19.12	19.03	0.09
6.	8	Industries Department (Printing and Stationery)	57.49	47.48	10.01
7.	10	Agriculture and Other Allied Department (Horticulture and sericulture department)	65.66	63.86	1.80
8.	11	Agriculture and Other Allied Department (Agriculture)	778.10	757.76	20.34
9.	12	Agriculture and Other Allied Department (Land Development and Water Resources)	139.79	105.41	34.38
10.	13	Agriculture and Other Allied Department (Rural Development)	1175.45	939.46	235.99
11.	14	Agriculture and other Allied department (Panchayati Raj)	1001.28	815.07	186.21
12.	15	Agriculture and Other Allied Department (Animal Husbandry)	210.08	187.75	22.33
13.	16	Agriculture and Other Allied Department (Dairy development)	15.13	14.12	1.01
14.	17	Agriculture and Other Allied Department (Fisheries)	31.43	26.36	5.07
15.	18	Agriculture and Other Allied Department (Co-operative)	49.61	33.81	15.80
16.	19	Personnel Department (Training and Other Expenditure)	5.15	4.42	0.73
17.	20	Personnel Department (Public service Commission)	0.83	0.78	0.05
18.	21	Food and Civil Supplies Department	130.35	118.07	12.28
19.	23	Cane Development Department (Cane)	59.24	57.22	2.02
20.	24	Cane Development Department (Sugar Industry)	33.93	19.20	14.73
21.	26	Home department (Police)	2482.28	2398.59	83.69
22.	27	Home department (Civil Defence)	138.16	125.12	13.04
23.	28	Home department (Political Pension and other Expenditure)	60.37	50.81	9.56
24.	30	Confidential Department (Revenue Special Intelligence Directorate and Other expenditure)	1.29	1.13	0.16
25.	31	Medical Department (Medical Education and Training)	335.17	333.77	1.40
26.	32	Medical Department (Allopathy)	919.72	893.64	26.08
27.	33	Medical Department (Ayurvedic and Unani)	138.04	124.52	13.52
28.	34	Medical Department (Homeopathy)	61.81	59.77	2.04
29.	35	Medical Department (Family Welfare)	593.63	419.55	174.08
30.	36	Medical Department (Public Health)	197.46	164.77	32.69

31.	37	Urban Development department	251.97	210.26	41.71
32.	38	Civil Aviation Department	6.77	6.76	0.01
33.	39	Language Department	4.08	3.87	0.21
34.	40	Planning Department	42.31	37.90	4.41
35.	41	Election Department	75.22	73.81	1.41
36.	42	Judicial Department	295.67	286.42	9.25
37.	43	Transport Department	34.51	30.34	4.17
38.	44	Tourism Department	11.36	10.77	0.59
39.	45	Environment Department	82.55	2.43	80.12
40.	46	Administrative Reforms Department	1.17	1.02	0.15
41.	47	Technical Education Department	129.59	113.81	15.78
42.	48	Muslim Waqf Department	198.17	185.82	12.35
43.	49	Women and Child Welfare Department	801.37	738.73	62.64
44.	50	Revenue Department (District Administration)	197.57	178.50	19.07
45.	51	Revenue Department (Relief on Account of Natural Calamities)	412.74	392.30	20.44
46.	52	Revenue Department (Board of Revenue and other Expenditure)	697.36	576.14	121.22
47.	53	National Integration Department	0.33	0.12	0.21
48.	54	Public Works Department (Establishment)	535.51	458.83	76.68
49.	57	Public Works Department (Communication-Bridges)	4.00	3.52	0.48
50.	59	Public Works Department (Estate Directorate)	24.14	22.12	2.02
51.	60	Forest Department	127.70	125.17	2.53
52.	61	Finance Department (Debt Services and other Expenditure)	1964.92	1807.97	156.95
53.	62	Finance Department (Superannuation Allowances & Pension)	3161.40	2535.26	626.14
54.	63	Finance Department (Treasury & Accounts Administration)	61.43	51.49	9.94
55.	64	Finance Department (State Lottery)	0.67	0.61	0.06
56.	65	Finance Department (Audit, Small Saving etc.)	64.70	57.59	7.11
57.	66	Finance Department (Group Insurance)	0.89	0.84	0.05
58.	67	Legislative Council Secretariat	11.34	9.87	1.47
59.	68	Legislative Assembly Secretariat	28.34	25.29	3.05
60.	70	Science and Technology Department	16.95	16.91	0.04
61.	71	Education Department (Primary Education)	4995.75	4732.70	263.05
62.	72	Education Department (Secondary Education)	2342.14	2304.91	37.23
63.	73	Education Department (Higher Education)	618.88	575.40	43.48
64.	75	Education Department (State Council of Educational Research and Training)	43.59	37.35	6.24
65.	76	Labour Department (Labour Welfare)	82.55	76.61	5.94
66.	77	Labour Department (Employment)	86.09	70.22	15.87
67.	78	Secretariat Administrative Department	155.36	142.91	12.45
68.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	643.31	584.52	58.79
69.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Caste)	774.56	764.85	9.71
70.	81	Social Welfare Department (Tribal Welfare)	10.47	7.54	2.93
71.	82	Vigilance Department	11.49	11.04	0.45
72.	83	Social Welfare Department Special Component Plan Scheduled Caste	1154.36	860.01	294.35
73.	84	General Administrative Department	28.91	28.82	0.09
74.	86	Information Department	27.14	18.23	8.91

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75.	87	Soldier's Welfare Department	16.51	14.19	2.32
76.	88	Institutional Finance Department (Directorate)	1.94	1.88	0.06
77.	89	Institutional Finance Department (Trade Tax)	187.73	178.69	9.04
78.	90	Institutional Finance Department (Entertainment and Betting tax)	35.17	31.92	3.25
79.	91	Institutional Finance Department (Stamps and Registration)	83.87	80.97	2.90
80.	92	Culture Department	15.82	15.78	0.04
81.	93	Irrigation Department (Establishment )	593.69	569.91	23.78
82.	94	Irrigation Department (Works )	781.42	779.09	2.33
		<b>Total (A)</b>	<b>30790.56</b>	<b>27768.60</b>	<b>3021.96</b>
<b>Capital Voted</b>					
83.	1	State Excise	0.18	0.14	0.04
84.	2	Housing Department	40.00	25.99	14.01
85.	3	Industries Department (Small Industry and Export Promotion)	5.89	4.15	1.74
86.	7	Industries Department (Heavy and Medium Industries)	86.43	66.96	19.47
87.	8	Industries Department (Printing & Stationery)	1.20	1.13	0.07
88.	9	Power Department	1291.08	1228.33	62.75
89.	10	Agriculture and Other Allied Department (Horticulture and Sericulture Development)	3.45	3.38	0.07
90.	11	Agriculture and Other Allied Department (Agriculture)	88.53	70.43	18.10
91.	13	Agriculture and Other Allied Department (Rural Development)	723.10	657.35	65.75
92.	14	Agriculture and Other Allied Department (Panchayati Raj)	0.66	0.65	0.01
93.	18	Agriculture and Other Allied Department (Co-operative)	9.47	9.41	0.06
94.	22	Sports Department	18.73	17.75	0.98
95.	23	Cane Development Department (Cane)	7.62	6.25	1.37
96.	25	Home Department (Jails)	75.25	50.44	24.81
97.	26	Home Department (Police)	221.38	112.58	108.80
98.	27	Home Department (Civil Defence)	2.25	1.17	1.08
99.	31	Medical Department (Medical Education & Training)	8.58	4.84	3.74
100.	33	Medical Department (Ayurvedic & Unani)	2.65	2.37	0.28
101.	35	Medical Department (Family Welfare)	6.38	3.17	3.21
102.	37	Urban Development Department	190.00	178.81	11.19
103.	38	Civil Aviation Department	42.23	38.21	4.02
104.	40	Planning Department	570.00	565.30	4.70
105.	42	Judicial Department	30.66	19.37	11.29
106.	43	Transport Department	2.95	1.88	1.07
107.	44	Tourism Department	25.61	24.41	1.20
108.	45	Environment Department	80.00	13.62	66.38
109.	48	Muslim Waqf Department	6.25	0.50	5.75
110.	49	Women and Child Welfare Department	4.55	4.00	0.55
111.	50	Revenue Department (District Administration)	42.56	31.90	10.66
112.	53	National Integration Department	0.01	00.00	00.01
113.	57	Public Works Department (Communication-Bridges)	115.18	108.09	7.09
114.	60	Forest Department	55.28	53.59	1.69
115.	61	Finance Department (Debt Service and other Expenditure)	58.00	19.39	38.61

116.	62	Finance Department (Superannuation Allowances and Pension)	100.00	33.16	66.84
117.	67	Legislative Council Secretariat	0.87	0.44	0.43
118.	68	Legislative Assembly Secretariat	1.92	1.02	0.90
119.	71	Education Department (Primary Education)	1.53	1.26	0.27
120.	72	Education Department (Secondary Education)	9.12	9.11	0.01
121.	81	Social Welfare Department (Tribal Welfare)	3.73	0.53	3.20
122.	83	Social Welfare Department (Special Component Plan Scheduled Caste)	435.77	336.26	99.51
123.	89	Institutional Finance Department (Trade Tax)	7.51	6.58	0.93
124.	92	Culture Department	31.17	29.82	1.35
125.	93	Irrigation Department (Establishment)	99.52	70.43	29.09
126.	94	Irrigation Department (Works)	1177.14	1020.78	156.36
		<b>Total (B)</b>	<b>5684.39</b>	<b>4834.95</b>	<b>849.44</b>
<b>C. Revenue-Charged</b>					
127.	1	State Excise	0.03	00.00	0.03
128.	2	Housing Department	29.62	25.47	4.15
129.	3	Industries Department (Small Industry and Export Promotion)	0.04	00.00	0.04
130.	4	Industries Department (Mines & Minerals)	0.01	00.00	0.01
131.	10	Agriculture and other Allied Department (Horticulture & Sericulture Development)	0.39	0.37	0.02
132.	11	Agriculture and Other Allied Department (Agriculture)	0.05	0.04	0.01
133.	13	Agriculture and Other Allied Department (Rural Development)	0.11	0.05	0.06
134.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.03	0.02	0.01
135.	18	Agriculture and Other Allied Department (Co-operative)	10.48	7.65	2.83
136.	20	Personnel Department (Public Service Commission and Public Small Tribunal)	11.76	10.92	0.84
137.	21	Food and Civil Supplies Department	180.01	151.00	29.01
138.	23	Cane Development Department (Cane)	0.17	0.02	0.15
139.	24	Cane Development Department (Sugar Industry)	1.03	0.69	0.34
140.	25	Home Department (Jails)	0.08	00.00	0.08
141.	26	Home Department (Police)	0.54	0.03	0.51
142.	29	Confidential Department (Governors Secretariat)	3.17	2.78	0.39
143.	35	Medical Department (Family Welfare)	0.08	0.05	0.03
144.	36	Medical Department (Public Health)	0.07	0.05	0.02
145.	42	Judicial Department	52.79	51.83	0.96
146.	50	Revenue Department (District Administration)	0.04	00.00	0.04
147.	51	Revenue Department (Relief on Account of Natural Calamities)	410.80	00.00	410.80
148.	54	Public Works Department (Establishment)	0.04	00.00	0.04
149.	58	Public Works Department (Communications-Roads)	0.05	0.01	0.04
150.	60	Forest Department	0.09	00.00	0.09
151.	62	Finance Department (Superannuation allowances and Pension)	3.59	0.62	2.97
152.	63	Finance Department (Treasury and Accounts Administration)	0.06	00.00	0.06

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153.	66	Finance Department (Group Insurance)	106.72	99.36	7.36
154.	67	Legislative Council Secretariat	0.31	0.22	0.09
155.	68	Legislative Assembly Secretariat	0.50	0.45	0.05
156.	72	Education Department (Secondary Education)	0.03	00.00	0.03
157.	89	Institutional Finance Department (Trade Tax)	4.62	4.44	0.18
158.	93	Irrigation Department (Establishment)	0.30	00.00	0.30
159.	94	Irrigation Department (Works)	381.94	0.01	381.93
		<b>Total (C)</b>	<b>1199.55</b>	<b>356.08</b>	<b>843.47</b>
<b>D. Capital-Charged</b>					
160.	2	Housing Department	72.77	31.09	41.68
161.	21	Food and Civil Supplies Department	1400.01	925.00	475.01
162.	23	Cane Development (Cane)	0.20	00.00	0.20
163.	24	Cane Development (Sugar Industry)	4.93	2.76	2.17
164.	52	Revenue Department (Board of Revenue and other Expenditure)	0.53	0.43	0.10
165.	58	Public Works Department (Communications-Roads)	5.50	2.72	2.78
166.	93	Irrigation Department (Establishment)	0.02	00.00	0.02
167.	94	Irrigation Department (Works)	4.00	1.27	2.73
		<b>Total (D)</b>	<b>1487.96</b>	<b>963.27</b>	<b>524.69</b>
		<b>Grand Total (A)+(B)+(C)+(D)</b>	<b>39162.46</b>	<b>33922.90</b>	<b>5239.56</b>

**Appendix - 2.2**  
(Reference: Paragraph 2.2 & 2.3.4; page 21 & 23)

**Excess Expenditure over Grants/Charged Appropriations**

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant/Charged Appropriation	Total Grant/Appropriation	Expenditure	Excess
<b>A. Revenue-Voted</b>					
1	2	Housing Department	56.09	60.82	4.73
2	3	Industries Department (Small Industry and Export Promotion)	49.01	59.94	10.93
3	9	Power Department	1824.38	1849.36	24.98
4	22	Sports Department	20.28	20.32	0.04
5	25	Home Department (Jails)	112.62	113.19	0.57
6	55	Public Works Department (Buildings)	43.58	44.11	0.53
7	58	Public Works Department (Communications- Roads)	810.16	819.71	9.55
8	85	Public Enterprises Department	1.44	1.53	0.09
<b>Total (A)</b>			<b>2917.56</b>	<b>2968.98</b>	<b>51.42</b>
<b>B. Capital Voted</b>					
9	4	Industries Department (Mines and Minerals)	-----	0.63	0.63
10	15	Agriculture and Other Allied Department (Animal Husbandry)	7.81	7.94	0.13
11	16	Agriculture and Other Allied Department (Dairy development)	1.60	1.89	0.29
12	21	Food and Civil Supplies Department	4933.00	5368.23	435.23
13	32	Medical Department (Allopathy)	161.58	192.74	31.16
14	34	Medical Department (Homeopathy)	2.92	3.82	0.90
15	55	Public Works Department (Buildings)	29.53	161.70	132.17
16	58	Public Works Department (Communications- Roads)	989.03	990.66	1.63
17	59	Public Works Department (Estate Directorate)	5.00	6.19	1.19
18	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	4.77	6.91	2.14
<b>Total (B)</b>			<b>6135.24</b>	<b>6740.71</b>	<b>605.47</b>
<b>C. Revenue Charged</b>					
19	52	Revenue Department (Board of Revenue and Other Expenditure)	1.36	4.63	3.27
20	55	Public Works Department (Building)	1.73	1.77	0.04
21	61	Finance Department (Debt Services and other Expenditure)	13352.11	13875.58	523.47
<b>Total (C)</b>			<b>13355.20</b>	<b>13881.98</b>	<b>526.78</b>
<b>D. Capital Charged</b>					
22	61	Finance Department (Debt Services and Other Expenditure)	27692.10	28407.76	715.66
<b>Total (D)</b>			<b>27692.10</b>	<b>28407.76</b>	<b>715.66</b>
<b>Grand Total</b>			<b>50100.10</b>	<b>51999.43</b>	<b>1899.33</b>

**Appendix - 2.3**  
(Reference: Paragraph 2.3.2; page 23)

**Details of savings by more than Rs. 1 crore each and also by more than 10 per cent of total provisions**

(Rupees in crore)

Sl. No.	Grant No.	Description of Grant	Total Provision	Amount of savings (Percentage of total provision in brackets)
<b>Revenue-Voted</b>				
1.	4	Industries Department (Mines and Minerals)	18.50	5.28 (29)
2.	6	Industries Department (Handloom Industry)	53.10	10.77 (20)
3.	8	Industries Department (Printing and Stationery)	57.49	10.01 (17)
4.	12	Agriculture and Other Allied Department (Land Development and Water Resources)	139.79	34.38 (25)
5.	13	Agriculture and Other Allied Departments (Rural Development)	1175.45	235.99 (20)
6.	14	Agriculture and other Allied department (Panchayati Raj)	1001.28	186.21 (19)
7.	15	Agriculture and Other Allied Departments (Animal Husbandry)	210.08	22.33 (11)
8.	17	Agriculture and Other Allied Departments (Fisheries)	31.43	5.07 (16)
9.	18	Agriculture and Other Allied Departments (Co-operative)	49.61	15.80 (32)
10.	24	Cane Development Department (Sugar Industry)	33.93	14.73 (43)
11.	28	Home Department (Political Pension and other Expenditure)	60.37	9.56 (16)
12.	35	Medical Department (Family Welfare)	593.63	174.08 (29)
13.	36	Medical Department (Public Health)	197.46	32.69 (17)
14.	37	Urban Development Department	251.97	41.71 (17)
15.	43	Transport Department	34.51	4.17 (12)
16.	45	Environment Department	82.55	80.12 (97)
17.	47	Technical Education Department	129.59	15.78 (12)
18.	52	Revenue Department (Board of Revenue and other Expenditure)	697.36	121.22 (17)
19.	54	Public Works Department (Establishment)	535.51	76.68 (14)
20.	62	Finance Department (Superannuation Allowances and Pension)	3161.40	626.14 (20)
21.	63	Finance Department (Treasury and Accounts Administration)	61.43	9.94 (16)
22.	65	Finance Department (Audit, Small Saving, etc.)	64.70	7.11 (11)
23.	67	Legislative Council Secretariat	11.34	1.47 (13)
24.	68	Legislative Assembly Secretariat	28.34	3.05 (11)



25.	75	Education Department (State Council of Educational Research and Training)	43.59	6.24 (14)
26.	77	Labour Department (Employment)	86.09	15.87 (18)
27.	81	Social Welfare Department (Tribal Welfare)	10.47	2.93 (28)
28.	83	Social Welfare Department (Special Component Planned Scheduled Caste)	1154.36	294.35 (25)
29.	86	Information Department	27.14	8.91 (33)
30.	87	Soldier's Welfare Department	16.51	2.32 (14)
<b>Total</b>			<b>10018.98</b>	<b>2074.91</b>
<b>Capital-Voted</b>				
31.	2	Housing Department	40.00	14.01 (35)
32.	3	Industries Department (Small Industry and Export Promotion)	5.89	1.74 (30)
33.	7	Industries Department (Heavy and Medium Industry)	86.43	19.47 (23)
34.	11	Agriculture and Other Allied Department (Agriculture)	88.53	18.10 (20)
35.	23	Cane Development Department (Cane)	7.62	1.37 (18)
36.	25	Home Department (Jails)	75.25	24.81 (33)
37.	26	Home Department (Police)	221.38	108.80 (49)
38.	27	Home Department (Civil Defence)	2.25	1.08 (48)
39.	31	Medical Department (Medical Education and Training)	8.58	3.74 (44)
40.	35	Medical Department (Family Welfare)	6.38	3.21 (50)
41.	42	Judicial Department	30.66	11.29 (37)
42.	43	Transport Department	2.95	1.07 (36)
43.	45	Environment Department	80.00	66.38 (83)
44.	48	Muslim Waqf Department	6.25	5.75 (92)
45.	50	Revenue Department (District Administration)	42.56	10.66 (25)
46.	61	Finance Department (Debt Services and Other Expenditure)	58.00	38.61 (67)
47.	62	Finance Department (Superannuation Allowances and Pension)	100.00	66.84 (67)
48.	81	Social Welfare Department (Tribal Welfare)	3.73	3.20 (86)
49.	83	Social Welfare Department (Special Component Plan Scheduled Caste)	435.77	99.51 (23)
50.	93	Irrigation Department (Establishment)	99.52	29.09 (29)
51.	94	Irrigation Department (Works)	1177.14	156.36 (13)
<b>Total</b>			<b>2578.89</b>	<b>685.09</b>

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<b>Revenue-Charged</b>				
52.	2	Housing Department	29.62	4.15 (14)
53.	18	Agriculture and other Allied Department (Cooperative)	10.48	2.83 (27)
54.	21	Food and Civil Supplies Department	180.01	29.01 (16)
55.	51	Revenue Department ( Relief on account of Natural Calamities)	410.80	410.80 (100)
56.	62	Finance Department (Superannuation Allowances and Pension)	3.59	2.97 (83)
57.	94	Irrigation Department (Works)	381.94	381.93 (100)
<b>Total</b>			<b>1016.44</b>	<b>831.69</b>
<b>Capital-Charged</b>				
58.	2	Housing Department	72.77	41.68 (57)
59.	21	Food and Civil Supplies Department	1400.01	475.01 (34)
60.	24	Cane Development Department (Sugar Industry)	4.93	2.17 (44)
61.	58	Public Works Department (Communication)	5.50	2.78 (51)
62.	94	Irrigation Department (Works)	4.00	2.73 (68)
<b>Total</b>			<b>1487.21</b>	<b>524.37</b>
<b>Grand Total</b>			<b>15101.52</b>	<b>4116.06</b>

**Appendix - 2.4**  
(Reference: Paragraph 2.3.4; page 23)

**Excess expenditure over provision relating to previous years  
from 1990-91 to 2003-04**

(Rupees in crore)				
Year	No. of grants/ appropriation	Grant/Appropriation	Amount of excess	Amount for which explanations furnished to PAC
1990-91	55	Revenue-Voted 1,4,10,11,14,15,22,24,26,27,32,33,34,35,36,37,42,43,52, 56,57,63,72,73,74,75,76,77,78,81,82,83,84,93 Capital-Voted 16,42,43,47,59,73,76,79 Unnumbered grant Revenue-Charged 2,6,7,21,42,60,62,65,67 Capital-Charged 7,52,55	2450.89	28.86
1991-92	56	Revenue-Voted 8,22,29,33,34,36,37,41,42,44,56,66,71,72,73,74 75,76,78,81,82,83,84,85,88,90,93,94 Capital-Voted 1,8,10,13,23,27,32,35,47,52,63,73,76,79,86,92 Revenue-Charged 2,7,11,40,55,59,65,67,74,89 Capital-Charged 52,55	1158.06	22.37
1992-93	81	Revenue-Voted 1,8,9,10,11,12,13,21,23,24,25,35,36,37,38,39,41, 42,43,44,47,48,49,50,51,52,56,57,62,64,67,72, 77,81,83,85,87,89,90,91,93 Capital-Voted 3,12,13,15,16,18,19,21,27,35,38,49,55,58,63,73, 77,80,94,95 Revenue-Charged 2,16,24,26,28,37,42,46,52,55,65,66,67,72,78,88,94 Capital-Charged 24,51,52	1815.56	96.01
1993-94	73	Revenue-Voted 10,15,16,17,18,22,23,25,27,28,29,32,33,34,35,36,37,43, 50,52,53,54,55,56,57,58,70,73,81,83,85,89,90,91,93 Capital-Voted 4,12,15,16,17,18,21,28,33,38,40,43,50,55,58,69, 73,80,94 Revenue-Charged 7,20,28,29,37,46,47,52,58,65,78,88 Capital-Charged 18,23,26,42,52,61,95	930.59	207.63
1994-95	58	Revenue-Voted 1,5,9,10,23,25,35,41,44,48,50,51,52,56,57,58,59,62,64,6 7,70,73,78,81,83,85,90,91,93 Capital-Voted 3,8,9,12,13,15,20,22,25,26,42,55,57,58,60,69,72, 77,80,94,95 Revenue-Charged 1,24,29,42,61 Capital-Charged 26,52,58,	2011.01	85.36
1995-96	43	Revenue-Voted 2,9,14,16,36,43,47,48,55,58,62,66,70,75,81,83,84,93,94 Capital-Voted 5,7,13,33,49,50,53,55,58,59,72,94 Revenue-Charged 26,29,42,71,72,73,95 Capital-Charged 2,5,24,26,52	621.43	247.95
1996-97	27	Revenue-Voted 2,35,39,51,56,58,59,62,64,70,83,93,94, Capital-Voted 4,32,40,55,58,60,65,81,94,95 Revenue-Charged 95 Capital-Charged 26,51,52	711.95	345.47
1997-98	16	Revenue-Voted 28,35,56,58,64,66,78,81,90 Capital-Voted 11,34,42,55 Capital-Charged 2,52,61	590.21	203.26

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1998-99	27	Revenue-Voted 1,4,9,10,27,50,51,58,66,78,81,87,89,90 Capital-Voted 21,38,45,55,58,65,80,95 Revenue-Charged 42,66,89 Capital-Charged 52,61	730.56	240.66
1999-2000	21	Revenue -Voted 4,12,14,23,51,66,78,81,90 Capital-Voted 10,21,36,55,63,92 Revenue- Charged 47,73,89 Capital-Charged 52,61,75	8785.95	347.56
2000-2001	17	Revenue -Voted 12,32,59,62,81,90,93,94 Capital-Voted 36,55,59,93 Revenue- Charged 3,48,52,72 Capital-Charged 61	843.87	
2001-02	29	Revenue-Voted 90,94 Capital-Voted 10,21,42,55,73 Revenue-Charged 2,12,13,17,26,27,28,31,33,34,36,52,55,65,72,75,76,77, 80,91 Capital-Charged 21,52	668.62	
2002-03	27	Revenue- Voted 5,27,39,46,51,55,58,62,94 Capital- Voted 10,21,40,55,58,72,77,92 Revenue- Charged 2,10,47,48,49,52,78 Capital- Charged 2,52,61	5532.40	
2003-04	16	Revenue- Voted 12, 39, 91, 94 Capital- Voted 3, 10, 21, 23, 32, 34, 35, 55 Revenue- Charged 52, 55 Capital- Charged 52, 61	3644.99	
<b>Total</b>			<b>30496.09</b>	<b>1825.13</b>

**Appendix - 2.5**  
(Reference: Paragraph 2.3.6; page 24)  
**Grants where Supplementary Provisions proved entirely unnecessary**

(Rupees in crore)

Sl. No.	Grant No.	Name of Grant	Supplementary provision Obtained	Saving
		<b>Revenue- Voted</b>		
1.	4	Industries Department (Mines and Minerals)	0.07	5.28
2.	8	Industries Department (Printing and Stationery)	1.48	10.01
3.	10	Agriculture and Other Allied Departments (Horticulture and Sericulture Department)	0.93	1.80
4.	13	Agriculture and Other Allied Departments (Rural Development)	105.34	235.99
5.	14	Agriculture and other Allied department (Panchayati Raj)	23.45	186.21
6.	15	Agriculture and Other Allied Departments (Animal Husbandry)	9.16	22.33
7.	17	Agriculture and Other Allied Department (Fisheries)	4.28	5.07
8.	18	Agriculture and Other Allied Department (Co-operative)	2.40	15.80
9.	26	Home Department (police)	44.20	83.69
10.	32	Medical Department (Allopathy)	1.03	26.08
11.	33	Medical Department (Ayurvedic and Unani)	0.45	13.52
12.	35	Medical Department (Family Welfare)	0.12	174.08
13.	36	Medical Department (Public Health)	2.77	32.69
14.	37	Urban Development Department	15.02	41.71
15.	40	Planning Department	1.97	4.41
16.	41	Election Department	0.90	1.41
17.	42	Judicial Department	2.66	9.25
18.	43	Transport Department	2.97	4.17
19.	47	Technical Education Department	0.26	15.78
20.	50	Revenue Department (District Administration)	0.46	19.07
21.	52	Revenue Department (Board of Revenue and Other Expenditure)	12.10	121.22
22.	53	National Integration Department	0.17	0.21
23.	61	Finance Department (Debt Services and other Expenditure)	0.05	156.95
24.	63	Finance Department (Treasury and Accounts Administration)	1.05	9.94
25.	65	Finance Department (Audit, Small Saving etc.)	1.81	7.11
26.	67	Legislative Council Secretariat	1.22	1.47
27.	76	Labour Department (Labour Welfare)	0.28	5.94
28.	77	Labour Department (Employment)	2.63	15.87
29.	78	Secretariat Administrative Department	1.04	12.45
30.	81	Social Welfare Department (Tribal Welfare)	0.19	2.93
31.	83	Social Welfare Department (Special Component Plan Scheduled Castes)	255.66	294.35
32.	86	Information Department	3.44	8.91
33.	89	Institutional Finance Department (Trade Tax)	2.08	9.04
34.	93	Irrigation Department (Establishment )	1.00	23.78
		<b>Total</b>	<b>502.64</b>	<b>1578.52</b>
		<b>Capital-Voted</b>		
35.	13	Agriculture and Other Allied Departments (Rural Development)	13.51	65.75
36.	23	Cane Development Department (Cane)	0.14	1.37
37.	26	Home Department (Police)	80.38	108.80
38.	27	Home Department (Civil Defence)	1.05	1.08
39.	35	Medical Department (Family Welfare)	3.03	3.21
40.	42	Judicial Department	0.66	11.29
41.	67	Legislative Council Secretariat	0.42	0.43
42.	68	Legislative Council Assembly	0.82	0.90
43.	81	Social Welfare Department (Tribal Welfare)	0.06	3.20
44.	83	Social Welfare Department (Special Component Plan Scheduled Caste)	21.05	99.51
45.	89	Institutional Finance Department (Trade Tax)	0.67	0.93
46.	94	Irrigation Department (Works)	98.13	156.36
		<b>Total</b>	<b>219.92</b>	<b>452.83</b>
		<b>Revenue-Charged</b>		
47.	2	Housing Department	3.61	4.15
48.	13	Agriculture and Other Allied Department (Rural Development)	0.01	0.06
49.	23	Cane Development Department (Cane)	0.01	0.15

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50.	51	Revenue Department (Relief on Account of Natural Calamities)	232.99	410.80
51.	62	Finance Department (Superannuation allowances and Pension)	0.10	2.97
52.	93	Irrigation Department (Establishment)	0.01	0.30
53.	94	Irrigation Department (Works)	0.01	381.93
		<b>Total</b>	<b>236.74</b>	<b>800.36</b>
		<b>Capital Charged</b>		
54.	2	Housing Department	23.15	41.68
55.	93	Irrigation Department (Establishment)	0.02	0.02
		<b>Total</b>	<b>23.17</b>	<b>41.70</b>
		<b>Grand Total</b>	<b>982.47</b>	<b>2873.41</b>

## Appendix - 2.6

(Reference: Paragraph 2.3.7; page 24)

## Inadequate Supplementary Grants to cover excess expenditure

(Rupees in crore)

Sl. No.	Grant No.	Name and number of Grants	Supplementary Provision	Excess Expenditure
<b>(A) Inadequate supplementary grants</b>				
<b>Revenue Voted</b>				
1.	2	Housing Department	10.81	4.73
2.	3	Industries Department (Small Industry and Export Promotion)	6.06	10.93
3.	9	Power Department	291.57	24.98
4.	22	Sports Department	2.34	0.04
5.	25	Home Department (Jails)	0.11	0.57
6.	55	Public Works Department (Buildings)	2.53	0.53
7.	58	Public Works Department (Communications- Roads)	181.76	9.55
<b>Total</b>			<b>495.18</b>	<b>51.33</b>
<b>Capital Voted</b>				
8.	15	Agriculture and Other Allied Department (Animal Husbandry)	0.67	0.13
9.	21	Food and Civil Supplies Department	1337.41	435.23
10.	32	Medical Department (Allopathy)	36.53	31.16
11.	55	Public Works Department (Buildings)	8.98	132.17
12.	58	Public Works Department (Communications- Roads)	50.00	1.63
<b>Total</b>			<b>1433.59</b>	<b>600.32</b>
<b>Revenue Charged</b>				
13.	55	Public Works Department (Buildings)	0.20	0.04
14.	61	Finance Department (Debt Services and Other Expenditure)	107.02	523.47
<b>Total</b>			<b>107.22</b>	<b>523.51</b>
<b>Capital Charged</b>				
15.	61	Finance Department (Debt Services and Other Expenditure)	12248.75	715.66
<b>Total</b>			<b>12248.75</b>	<b>715.66</b>
<b>Grand Total (A)</b>			<b>14284.74</b>	<b>1890.82</b>
<b>(B) Cases where no demand for supplementary grants were anticipated</b>				
<b>Revenue Voted</b>				
1.	85	Public Enterprises Department	Nil	0.09
<b>Total</b>			<b>Nil</b>	<b>0.09</b>
<b>Capital Voted</b>				
2.	4	Industries Department (Mines and Minerals)	Nil	0.63
3.	16	Agriculture and Other Allied Department (Dairy development)	Nil	0.29
4.	34	Medical Department (Homeopathy)	Nil	0.90
5.	59	Public Works Department (Estate Directorate)	Nil	1.19

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6.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	Nil	2.14
<b>Total</b>			Nil	<b>5.15</b>
<b>Revenue Charged</b>				
7.	52	Revenue Department (Board of Revenue & other expenditure)	Nil	3.27
<b>Total</b>			Nil	<b>3.27</b>
<b>Grand Total (B)</b>			<b>Nil</b>	<b>8.51</b>



**Appendix - 2.7**  
(Reference: Paragraph 2.3.9; page 24)

**Details of savings not surrendered**

(Rupees in crore)

Sl. No.	Grant Number	Description of the grant	Savings	Surrendered	Saving not surrendered	
					Total	Partial
<b>(A) Cases of partial surrender</b>						
<b>Revenue – Voted</b>						
1.	11	Agriculture and Other Allied Departments (Agriculture)	20.34	0.01		20.33
2.	12	Agriculture and Other Allied Department (Land Development and Water Resources)	34.38	6.02		28.36
3.	26	Home Department (Police)	83.69	79.25		4.44
4.	28	Home Department (Political Pension and Other Expenditure)	9.56	2.24		7.32
5.	35	Medical Department (Family Welfare)	174.08	79.64		94.44
6.	40	Planning Department	4.41	1.69		2.72
7.	50	Revenue Department (District Administration)	19.07	14.86		4.21
8.	52	Revenue Department (Board of Revenue and Other Expenditure)	121.22	23.85		97.37
9.	54	Public Works Department (Establishment)	76.68	10.34		66.34
10.	65	Finance Department (Audit, Small Savings etc.)	7.11	1.41		5.70
11.	71	Education Department (Primary Education)	263.05	146.35		116.70
12.	73	Education Department (Higher Education)	43.48	2.01		41.47
13.	75	Education Department (State Council of Educational Research and Training)	6.24	4.55		1.69
14.	76	Labour Department (Labour Welfare)	5.94	2.04		3.90
15.	94	Irrigation Department (Works )	2.33	0.31		2.02
<b>Total</b>			<b>871.58</b>	<b>374.57</b>		<b>497.01</b>
<b>Capital-Voted</b>						
16.	26	Home department (Police)	108.80	105.97		2.83
17.	27	Home department (Civil Defence)	1.08	0.08		1.00
18.	50	Revenue Department (District Administration)	10.66	0.67		9.99
19.	57	Public Works Department (Communication Bridges)	7.09	5.10		1.99
<b>Total</b>			<b>127.63</b>	<b>111.82</b>		<b>15.81</b>
<b>Capital-Charged</b>						
20.	2	Housing Department	41.68	18.53		23.15
<b>Total</b>			<b>41.68</b>	<b>18.53</b>		<b>23.15</b>
<b>Grand Total</b>			<b>1040.89</b>	<b>504.92</b>		<b>535.97</b>
<b>(B) Cases where savings were not surrendered</b>						
<b>Revenue – Voted</b>						
1.	4	Industries Department (Mines and Minerals)	5.28		5.28	
2.	6	Industries Department (Handloom Industry)	10.77		10.77	
3.	10	Agriculture and Other Allied Departments (Horticulture And Sericulture Development)	1.80		1.80	
4.	13	Agriculture and Other Allied Department (Rural Development)	235.99		235.99	
5.	14	Agriculture and other Allied department (Panchayati Raj)	186.21		186.21	
6.	16	Agriculture and Other Allied Department (Dairy development)	1.01		1.01	
7.	17	Agriculture and Other Allied Department (Fisheries)	5.07		5.07	
8.	21	Food and Civil Supplies Department	12.28		12.28	
9.	23	Cane Development Department (Cane)	2.02		2.02	
10.	24	Cane Development Department (Sugar Industry)	14.73		14.73	
11.	31	Medical Department (Medical Education and Training)	1.40		1.40	
12.	32	Medical Department (Allopathy)	26.08		26.08	

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13.	33	Medical Department (Ayurvedic and Unani)	13.52	13.52
14.	36	Medical Department (Public Health)	32.69	32.69
15.	37	Urban Development Department	41.71	41.71
16.	41	Election Department	1.41	1.41
17.	45	Environment Department	80.12	80.12
18.	49	Women and Child Welfare Department	62.64	62.64
19.	51	Revenue Department (Relief on Account of Natural Calamities)	20.44	20.44
20.	59	Public Works Department (Estate Directorate)	2.02	2.02
21.	60	Forest Department	2.53	2.53
22.	62	Finance Department (Superannuation Allowances And Pensions)	626.14	626.14
23.	68	Legislative Assembly Secretariat	3.05	3.05
24.	77	Labour Department (Employment)	15.87	15.87
25.	79	Social Welfare Department (Welfare of the Handicapped and Backward Classes)	58.79	58.79
26.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Caste)	9.71	9.71
27.	81	Social Welfare Department (Tribal Welfare)	2.93	2.93
28.	83	Social Welfare Department Special Component Plan Scheduled Caste	294.35	294.35
29.	86	Information Department	8.91	8.91
30.	87	Soldier's Welfare Department	2.32	2.32
31.	93	Irrigation Department (Establishment)	23.78	23.78
<b>Total</b>			<b>1805.57</b>	<b>1805.57</b>
<b>Capital-Voted</b>				
32.	3	Industries Department (Small Industry and Export Promotion)	1.74	1.74
33.	7	Industries Department (Heavy and Medium Industry)	19.47	19.47
34.	9	Power Department	62.75	62.75
35.	11	Agriculture and Other Allied Departments (Agriculture)	18.10	18.10
36.	13	Agriculture and Other Allied Department (Rural Development)	65.75	65.75
37.	23	Cane Development Department (Cane)	1.37	1.37
38.	31	Medical Department (Medical Education & Training)	3.74	3.74
39.	37	Urban Development Department	11.19	11.19
40.	38	Civil Aviation Department	4.02	4.02
41.	40	Planning Department	4.70	4.70
42.	44	Tourism Department	1.20	1.20
43.	45	Environment Department	66.38	66.38
44.	60	Forest Department	1.69	1.69
45.	61	Finance Department (Debt Services and Other Expenditure)	38.61	38.61
46.	62	Finance Department (Superannuation allowances And Pension)	66.84	66.84
47.	81	Social Welfare Department (Tribal Welfare)	3.20	3.20
48.	83	Social Welfare Department Special Component Plan Scheduled Caste	99.51	99.51
49.	92	Culture Department	1.35	1.35
50.	93	Irrigation Department (Establishment)	29.09	29.09
<b>Total</b>			<b>500.70</b>	<b>500.70</b>
<b>Revenue-Charged</b>				
51.	21	Food and Civil Supplies Department	29.01	29.01
52.	51	Revenue Department (Relief on account of Natural Calamities)	410.80	410.80
53.	62	Finance Department (Superannuation Allowances and Pension)	2.97	2.97
<b>Total</b>			<b>442.78</b>	<b>442.78</b>

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		<b>Capital-Charged</b>			
54.	21	Food and Civil Supplies Department	475.01		475.01
55.	24	Cane Development Department (Sugar Industry)	2.17		2.17
		<b>Total</b>	<b>477.18</b>		<b>477.18</b>
		<b>Grand Total</b>	<b>3226.23</b>		<b>3226.23</b>

**Appendix - 2.8**  
(Reference: Paragraph 2.3.9; page 24)

**Savings surrendered in March 2005**

(Rupees in crore)

Sl. No.	Grant No.	Descriptions of the Grants	Amount
<b>Revenue Voted</b>			
1	2	Housing Department	1.51
2	8	Industries Department (Printing and Stationery)	10.01
3	15	Agriculture and Other Allied Departments (Animal Husbandry)	23.10
4	18	Agriculture and Other Allied Departments (Cooperative)	16.81
5	27	Home Department (Civil Defence)	12.60
6	42	Judicial Department	16.63
7	43	Transport Department	4.13
8	47	Technical Education Department	15.43
9	48	Muslim Waqf Department	12.16
10	58	Public Works Department (Communications- Roads)	8.72
11	61	Finance Department (Debt Service and Other Expenditure)	168.16
12	63	Finance Department (Treasury & Accounts Administration)	9.05
13	67	Legislative Council Secretariat	1.47
14	72	Education Department (Secondary Education)	69.77
15	78	Secretariat Administration Department	12.28
16	89	Institutional Finance Department (Trade Tax)	8.91
17	90	Institutional Finance Department (Entertainment and Betting Tax)	3.17
18	91	Institutional Finance Department (Stamps & Registration)	11.97
<b>Total</b>			<b>405.88</b>
<b>Revenue Charged</b>			
19	2	Housing Department	4.16
20	18	Agriculture and Other Allied Departments (Co-operative)	2.83
21	42	Judicial Department	1.88
22	61	Finance Department (Debt Services and Other Expenditure)	321.27
23	66	Finance Department (Group Insurance)	7.35
24	94	Irrigation Department (Works)	381.93
<b>Total</b>			<b>719.42</b>
<b>Capital Voted</b>			
25	2	Housing Department	14.01
26	7	Industries Department (Heavy and Medium Industry)	19.47
27	25	Home Department (Jails)	24.81
28	35	Medical Department (Family Welfare)	3.25
29	42	Judicial Department	17.86
30	43	Transport Department	1.07
31	48	Muslim Waqf Department	5.75
32	55	Public Works Department (Buildings)	2.77
33	58	Public Works Department (Communication-Road)	286.68
34	94	Irrigation Department (Works)	329.08
<b>Total</b>			<b>704.75</b>
<b>Capital Charged</b>			
35	58	Public Works Department (Communication-Road)	2.61
36	61	Finance Department (Debt Services And Other Expenditure)	336.41
37	94	Irrigation Department (Works)	2.71
<b>Total</b>			<b>341.73</b>
<b>Grand Total</b>			<b>2171.78</b>

**Appendix - 2.9**  
(Reference: Paragraph 2.5; page 25)

**Rush of Expenditure**

(Rupees in crore)

Sl. No.	Head of Account	Total Provision	Total Expenditure	Expenditure during March	Percentage of expenditure during the month of March to total expenditure
1	2045-Other Taxes and Duties on Commodities and Services	45.81	41.98	24.90	59
2	2217-Urban Development	203.01	204.66	99.85	49
3	2245- Relief on account of Natural Calamities	1810.22	392.28	347.33	89
4	2402-Soil and Water Conservation	237.89	231.76	142.21	61
5	2575-Other Special Area Programme	40.50	36.37	32.37	89
6	2705- Command Area Development	130.16	100.13	43.82	44
7	2801-Power	1324.33	1258.83	511.02	41
8	2810-Non-Conventional Sources of Energy	15.19	15.06	6.95	46
9	2851-Village and Small Industries	151.97	134.38	59.32	44
10	2853- Non-Ferrous Mining and Metallurgical Industries	13.50	13.22	5.67	43
11	3054- Roads and Bridges	641.29	777.84	495.40	64
12	3452-Tourism	11.36	10.77	5.84	54
13	3453- Foreign Trade and Export Promotion	2.05	15.55	15.07	97
14	4055- Capital Outlay on Police	221.38	112.58	82.51	73
15	4059- Capital Outlay on Public Works	120.89	61.94	29.51	48
16	4070- Capital Outlay on Other Administrative Services	78.20	53.30	43.83	82
17	4202- Capital Outlay on Education, Sports, Art and Culture	140.35	107.51	45.55	42
18	4210- Capital Outlay on Medical and Public Health	242.12	215.16	142.09	66
19	4216- Capital Outlay on Housing	24.47	27.54	11.03	40
20	4225- Capital Outlay on Welfare of Scheduled Castes, Schedule Tribes and Other Backward Classes	44.45	34.51	32.61	94
21	4235- Capital Outlay on Social Security and Welfare	5.05	4.50	4.00	89
22	4250- Capital Outlay on Other Social Services	5.19	4.78	2.35	49
23	4403- Capital Outlay on Animal Husbandry	7.82	6.84	4.31	63
24	4415- Capital Outlay on Agricultural Research and Education	5.46	7.15	3.17	44
25	4575- Capital Outlay on Other Special Areas Programmes	384.50	319.19	127.96	40
26	4702- Capital Outlay on Minor Irrigation	76.84	58.68	32.08	55
27	4801- Capital Outlay on Power Project	958.55	1046.18	839.24	80
28	5053- Capital Outlay on Civil Aviation	42.23	38.21	25.94	68
	<b>Total</b>	<b>6984.78</b>	<b>5330.90</b>	<b>3215.93</b>	<b>60</b>

**Appendix - 3.1**  
(Reference: Paragraph 3.1.11; page 31)

**Position of availability various contraceptive devices received from GOI**  
**Condom**

(Number in lakh)

Year	Opening Balance	Receipts from GOI	Total	Issued to units	Closing Balance
2000-01	19.73	1214.70	1234.43	1225.29	9.13
2001-02	9.13	1331.02	1340.15	1203.66	136.48
2002-03	136.48	1640.29	1776.78	1189.66	587.12
2003-04	587.12	1103.91	1691.02	1451.51	239.51
2004-05	239.51	715.15	954.66	734.29	220.37
<b>TOTAL</b>	<b>19.73</b>	<b>6005.07</b>		<b>5804.41</b>	<b>220.37</b>

**Copper tee**

Year	Opening Balance	Receipts from GOI	Total	Issued to units	Closing Balance
2000-01	2.87	20.53	23.40	19.51	3.89
2001-02	3.89	29.00	32.89	23.94	8.95
2002-03	8.95	24.84	33.79	22.72	11.07
2003-04	11.07	22.70	33.77	24.36	9.41
2004-05	9.41	13.73	23.14	16.86	6.28
<b>TOTAL</b>	<b>2.87</b>	<b>110.80</b>		<b>107.39</b>	<b>6.28</b>

**Oral pills**

Year	Opening Balance	Receipts from GOI	Total	Issued to units	Closing Balance
2000-01	33.12	134.91	168.03	115.34	52.69
2001-02	52.69	116.27	168.96	111.91	57.05
2002-03	57.05	128.42	185.47	113.65	71.82
2003-04	71.82	93.98	165.80	110.99	54.81
2004-05	54.81	82.31	137.12	93.24	43.88
<b>TOTAL</b>	<b>33.12</b>	<b>555.89</b>		<b>545.13</b>	<b>43.88</b>

**Appendix - 3.2**

(Reference: Paragraph 3.1.18; page 35)

**Targets and achievement in respect of Immunisation during 2000-05**

Year	DPT		Oral Polio Vaccine		BCG		Measles	
	T	A	T	A	T	A	T	A
Number of beneficiaries in lakh								
2000-01	54.63	56.15 (103)	54.63	56.72 (104)	54.63	54.68 (100)	54.63	52.72 (97)
2001-02	53.49	55.23 (103)	53.49	55.22 (81)	53.49	55.51 (75)	53.49	51.85 (97)
2002-03	54.60	55.61 (102)	54.60	55.60 (102)	54.60	56.04 (103)	54.60	52.20 (97)
2003-04	56.73	49.68 (88)	56.73	53.17 (94)	56.73	55.88 (99)	56.73	50.25 (89)
2004-05	54.41	53.46 (98)	54.41	54.71 (101)	54.41	55.59 (102)	54.41	48.65 (90)

## Appendix - 3.3

(Reference: Paragraph 3.1.18; page 35)

(I) **Stock Position of various vaccines at the Directorate (2000-05)**A. **DPT Vaccine**

Year	Opening Balance	Receipt	Total	Distribution	Closing Balance
Lakh of doses					
2000-01	12.13	86.36	98.49	88.89	9.60
2001-02	9.60	44.26	53.86	44.74	9.12
2002-03	9.12	38.51	47.63	46.20	1.43
2003-04	1.43	61.92	63.35	53.44	9.91
2004-05	9.91	78.00	87.91	71.67	16.24
<b>TOTAL</b>		<b>309.05</b>		<b>304.94</b>	

B. **BCG Vaccine**

Year	Opening Balance	Receipt	Total	Distribution	Closing Balance
Lakh of doses					
2000-01	6.33	203.77	210.10	168.10	42.00
2001-02	42.00	91.38	133.38	126.09	7.29
2002-03	7.29	167.99	175.28	126.40	48.88
2003-04	48.88	76.62	125.50	109.88	15.62
2004-05	15.62	113.60	129.22	118.71	10.51
<b>TOTAL</b>		<b>653.36</b>		<b>649.18</b>	

C. **Measles Vaccine**

Year	Opening Balance	Receipt	Total	Distribution	Closing Balance
Lakh of doses					
2000-01	18.97	104.07	123.04	99.08	23.96
2001-02	23.96	62.90	86.86	76.49	10.37
2002-03	10.37	88.93	99.30	77.55	21.75
2003-04	21.75	78.76	100.51	86.80	13.71
2004-05	13.71	73.12	86.83	73.73	13.10
<b>TOTAL</b>		<b>407.78</b>		<b>413.65</b>	

D. **DT Vaccine**

Year	Opening Balance	Receipt	Total	Distribution	Closing Balance
Lakh of doses					
2000-01	102.15	221.00	323.15	263.17	59.98
2001-02	59.98	239.54	299.52	243.76	55.76
2002-03	55.76	216.80	272.56	243.89	28.67
2003-04	28.67	159.44	188.11	183.60	4.51
2004-05	4.51	206.36	210.87	203.68	7.19
<b>TOTAL</b>		<b>1043.14</b>		<b>1138.10</b>	

E. **TT Vaccine**

Year	Opening Balance	Receipt	Total	Distribution	Closing Balance
Lakh of doses					
2000-01	13.72	386.97	400.69	282.98	117.71
2001-02	117.71	222.02	339.73	263.36	76.37
2002-03	76.37	210.40	286.77	224.16	62.61
2003-04	62.61	146.19	208.80	191.85	16.95
2004-05	16.95	303.04	319.99	254.43	65.56
<b>TOTAL</b>		<b>1268.62</b>		<b>1216.78</b>	

**(II) Closing balances of various vaccines in the test-checked district during 2000-05**

**A. DPT Vaccine**

Year	2000-01	2001-02	2002-03	2003-04	2004-05
	Lakh doses				
Azamgarh	NIL	0.28	0.66	0.50	0.39
Bareilly	0.38	0.16	0.49	NIL	0.57
Bulandshahr	0.34	0.06	NIL	NIL	NIL
Gorakhpur	0.93	0.59	NIL	0.05	0.42
Hardoi	0.69	0.54	0.30	NIL	0.26
Lucknow	NA	0.30	0.05	0.03	0.01
Maharajganj	0.73	0.70	0.76	0.52	0.33
Muzaffarnagar	0.23	0.16	0.09	NIL	NIL
Mirzapur	0.02	0.08	0.03	0.05	0.02
Pratapgarh	0.21	0.17	0.14	0.02	0.05
Rae Bareli	0.73	0.44	0.16	NIL	0.07
Shahjanpur	0.27	0.72	0.26	0.04	0.18
Sitapur	0.21	0.90	0.16	0.02	0.24
<b>TOTAL</b>	<b>4.74</b>	<b>5.10</b>	<b>3.10</b>	<b>1.23</b>	<b>2.54</b>

**B. BCG Vaccine**

Year	2000-01	2001-02	2002-03	2003-04	2004-05
	Lakh doses				
Azamgarh	NIL	0.04	0.34	NIL	0.34
Bareilly	0.17	0.06	0.02	0.04	0.04
Bulandshahr	0.02	0.05	0.09	0.14	0.03
Gorakhpur	0.47	0.23	0.50	NIL	0.20
Hardoi	0.30	0.10	0.11	0.13	0.24
Lucknow	NA	0.03	0.22	0.45	NIL
Maharajganj	0.58	0.26	0.40	NIL	0.47
Muzaffarnagar	0.03	0.12	0.19	0.14	0.12
Mirzapur	0.01	0.01	0.02	NIL	0.01
Pratapgarh	0.15	0.01	0.23	0.16	0.38
Rae Bareli	NIL	0.12	0.21	0.14	0.04
Shahjanpur	NIL	0.04	0.03	0.13	0.27
Sitapur	0.05	0.11	0.11	0.08	0.27
<b>TOTAL</b>	<b>1.78</b>	<b>1.18</b>	<b>2.46</b>	<b>1.41</b>	<b>2.41</b>

**C. Measles Vaccine**

Year	2000-01	2001-02	2002-03	2003-04	2004-05
	Lakh doses				
Azamgarh	0.12	3.67	0.18	0.40	0.12
Bareilly	0.05	NIL	0.08	NIL	0.19
Bulandshahr	0.02	NIL	0.11	0.14	0.19
Gorakhpur	0.08	0.18	0.09	0.02	0.02
Hardoi	0.15	0.11	0.14	0.05	0.08
Lucknow	NA	0.06	0.02	0.29	0.12
Maharajganj	0.02	0.25	0.31	0.05	0.04
Muzaffarnagar	0.17	NIL	0.12	0.13	0.18
Mirzapur	NIL	0.03	NIL	0.05	0.02
Pratapgarh	0.21	0.07	0.04	0.06	0.01
Rae Bareli	0.15	0.02	0.08	0.33	0.10
Shahjanpur	0.11	0.16	0.05	0.05	0.09
Sitapur	0.10	0.02	0.20	0.09	0.10
<b>TOTAL</b>	<b>1.18</b>	<b>4.57</b>	<b>1.42</b>	<b>1.66</b>	<b>1.26</b>

**D. TT Vaccine**

Year	2000-01	2001-02	2002-03	2003-04	2004-05
	Lakh doses				
Azamgarh	0.24	0.46	0.71	0.72	1.34
Bareilly	0.29	0.80	0.03	0.18	0.93
Bulandshahr	0.22	0.16	0.34	NIL	.014
Gorakhpur	0.18	0.36	0.42	NIL	0.68
Hardoi	0.77	0.70	0.24	0.06	0.85
Lucknow	NA	0.82	0.21	NIL	0.30
Maharajganj	NIL	1.04	1.10	0.25	0.46
Muzaffarnagar	NIL	0.08	0.10	0.08	0.20
Mirzapur	0.02	0.10	0.01	0.06	0.03
Pratapgarh	0.45	0.23	0.24	0.07	0.39
Rae Bareli	0.08	0.21	0.19	NIL	0.53
Shahjanpur	0.25	0.21	0.28	0.08	0.71
Sitapur	0.18	0.55	0.44	0.02	0.73
<b>TOTAL</b>	<b>2.68</b>	<b>5.72</b>	<b>4.31</b>	<b>1.52</b>	<b>7.29</b>



### **Appendix - 3.4**

*(Reference: Paragraph 3.2.3, page 40)*

#### **Executive summary**

In order to gain an understanding of the functional status of the Consumers Protection Act, Consumers at large, Complainants, manufacturers/service providers, NGOs and appropriate laboratories were covered under the survey. In state of Uttar Pradesh a total of 3485 consumers spread across urban and rural areas were contacted. Besides 534 complainants, 10 manufacturers/service providers, one laboratory and two NGOs were also interviewed. The survey was conducted during second week of July to third week of August 2005.

#### **FUNDINGS OF THE SURVEY**

- Overall 58 per cent of the Consumers at large gave importance to knowing the Consumer Protection Act (CPA). Nearly 82 per cent not aware of consumer rights and 88 per cent still unaware of Consumer Protection Act.
- The act is envisaged to benefit all the consumers in urban and rural areas but only 6 per cent of the rural population has heard about it.
- In response to, whether the government is making any effort in sale grading the consumer rights, only 18 per cent replied positively remaining 82 per cent either carrying negative opinion or have no idea of the same.
- Formal source of awareness, electronic and print media stand at 69 and 48 per cent respectively and only 0.2 per cent of the aware consumers came to know about CPA from the NGOs.
- Nearly 35 per cent of the aware Consumers at large have come to know about the Act only in the last 2 years where as the act has been in existence for past 10 years.
- Overall only 13 per cent reported to be aware of the existence of any redressal agency; Awareness on this among those aware of rights and CPA was higher.
- Around 21 per cent aware of redressal agency did not know the location of the district forum in their respective districts.
- Nearly 93 per cent of the complainants were literate, their average monthly household income was Rs. 7083/-. This implied that facilities provided by redressal agencies were availed by educated residents of urban areas and that too by the middle/lower middle strata of the community.

- Majority of complaints (81 per cent) were against services such as electricity (27 per cent), communication services (20 per cent), banking (18 per cent), and insurance (17 per cent).
- Majority of the complainants came to know about the redressal agencies through electronic media (47 per cent), print media (49 per cent) and others i.e. friends/relatives (72 per cent), NGOs were not a popular source of awareness (21 per cent overall).
- Only 12 percent of the complainants used stamp paper to file the case and in majority of cases (11 per cent) the lawyers/agents advised them to do so.
- 33 per cent of the complainants interviewed reported to have deposited court fee.
- An analysis of time taken of various stages of the cases show that on an average 3 days were spent for registering a case and 25 days were taken for serving the notice, first hearing was held after 30 days of serving the notice.
- On an average 7.5 hearings were required to resolve the case. Around 61 per cent of cases were still unresolved even after about 8.7 hearings and most of these cases were against Electricity services (24 per cent).
- To resolve a case on an average 13 months were spent.
- There were 37 per cent such cases where the decree was passed and compensation was yet to be received. On an average the compensation was due for about 21 months. For these received compensation the same was received within an average period 3 months.
- On an average complainant had to spend Rs. 1719 to resolve the case. The complainants who hired advocate personally, the average cost incurred on advocate fee was Rs. 1261/-.
- The manufacturers and service providers were well aware of CPA on the contrary not many Consumers at large were aware of Act or the redressal system.
- The complaints found the redressal system to be simple but not speedy. However, the manufacturers and service providers opined the process neither simple, speedy nor economical.

## Appendix - 3.5

(Reference: Paragraph 3.2.11; page 43)

## A comparison of required posts, sanctioned posts and persons in position in consumer forums

Name of post	State Commission			District Forums		
	Required posts	Sanctioned posts	Persons in position	Required posts	Sanctioned posts	Persons in position
Registrar	1	1	1			
Additional Registrar	1					
Deputy Registrar	1					
Principal Private Secretary	1					
Asstt.Registrar	1	1	1			
Senior Administrative Officer	1	1				
Office Superintendent	2	2	1			
Accountant	2					
Treasurer	1					
Asstt. Accounts Officer	1	1				
Private Secretary.		2	1	70		
Assistant. Administrative Officer				70		
Reader	1	4	1	70	70	53
Librarian	1					
Private Secretary/Personal Assistant.	2					
Computer Operator	1					
Auditor	4					
Asstt. Accountant	7	2	2	70		
Senior Assistant	2	1	1			
Senior Clerk	10	1	1	70		
Nazir cum Store Keeper	1	1	1	1		
Stenographer	7	5	3	70	70	49
Junior Clerk	29	9	4	70	70	59
Driver	3	3				
Daftary	2					
Peon	23	12	7	140	70	58
Orderly	7	5	1	70	70	53
Farrash	1					
Telephone Assistant	2	2	2			
Chaukidar	2	1	1	2	3	1
Sweeper	2	1	1			
Sweeper cum Chaukidar				70		
Mali	2					
<b>Total</b>	<b>125</b>	<b>56</b>	<b>32</b>	<b>770</b>	<b>353</b>	<b>273</b>

**Appendix - 3.6**

*(Reference: Paragraph 3.2.17; page 47)*

**Position under section 25(3) up to 31.3.2005**

Name of Forum	Case admitted	R.C. issued	Amount	Recovery reported	Amount	Recovery not completed	Amount
Ballia	436	10		1		9	
Etah	30	20		10		10	
Ghazipur	206	1	13500	Nil	Nil	1	13500
Raibareli	249	26		Nil	Nil	26	
G.B.Nagar	162	18		1		17	
Bahraich	241	25		Nil	Nil	25	
Mahoba	58	1	13125	Nil	Nil	1	13125
Allahabad	334	23		10		13	
Unnao	14	14		Nil	Nil	14	
Pratapgarh	339	17	1580000	4	46000	13	1534000
Lucknow I	450	5	623655	1	50000	4	573655
Lucknow II	385	Nil	Nil	Nil	Nil	Nil	Nil
Hardoi	815	20		19		1	
Basti	37	12		1		11	
Barabanki	216	Nil	Nil	Nil	Nil	Nil	Nil
<b>Total</b>	<b>3972</b>	<b>192</b>		<b>47</b>		<b>145</b>	

**Appendix - 3.7**

*(Reference: Paragraph 3.2.18; page 47)*

**Position under section 27(1) as on 31.03.05**

Name of Forum	Cases admitted	Fine imposed	Recovery of fine reported	Recovery not completed	Arrest warrant issued	Arrest Warrant enforced	Compensation recovered
G.B.Nager	06	06	03	03	6	3	3
Raebarelli	09	07	Nil	07	1	Nil	Nil
Allahabad	02	02	01	01	2	1	1
Kanpur Nagar	06	06	06	Nil	Nil	Nil	Nil
Lucknow I&II	185	Nil	Nil	Nil	146	Nil	Nil
Hardoi	36	29	Nil	Nil	Nil	Nil	Nil
Basti	67	10	Nil	Nil	Nil	Nil	Nil
<b>Total</b>	<b>365</b>	<b>54</b>	<b>15</b>	<b>11</b>	<b>155</b>	<b>4</b>	<b>4</b>

## Appendix - 3.8

(Reference: Paragraph 3.3.10; page 56)

## Details of target, taken up and completed residential buildings during 2000-05

Plan year	Total units approved/targeted in AAPs	Year-wise details of works taken up and completed										Total units taken up (per cent to column 2)	Total units constructed as of March 2005	Shortfall with reference to column 2 (per cent)
		2000-01		2001-02		2002-03		2003-04		2004-05				
1	2	No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed	13	14	15
2000-01	2145	40	40	1256	1234	588	549	51	35	1	-	1936 (91)	1858	287 (13)
2001-02	2853	-	-	-	-	1649	829	734	Nil	230	130	2613 (92)	959	1894 (66)
2002-03	2289	-	-	-	-	608	288	576	Nil	18	Nil	1202 (53)	288	2001 (87)
2003-04	1413	-	-	-	-	-	-	-	-	-	Nil	Nil	Nil	1413 (100)
2004-05	3567	-	-	-	-	-	-	-	-	-	-	Nil	Nil	3567 (100)
Total	12267	40	40	1256	1234	2845	1666	1361	35	240	130	5751 (47)	3105	9162 (75)

## Appendix - 3.9

(Reference: Paragraph 3.3.10; page 56)

## District-wise shortages of houses and proposal there against during 2000-05

Serial number	Name of district	Shortage as on 1 April 2000	Units approved in Perspective plan (percent to shortage as on 1st April 2000)	Units constructed during 2000-05	Shortage as on 31 March 2005
1	Aligarh	369	26 (7)	20	349
2	Ambedkar Nagar	364	100 (27)	20	344
3	Bareilly	400	118 (30)	108	292
4	Ghazipur	239	80 (33)	Nil	239
5	Gorakhpur	549	88 (16)	Nil	549
6	Jaunpur	323	80 (25)	Nil	323
7	Lucknow	1605	52 (3)	52	1553
8	Meerut	859	98 (11)	98	761
9	Moradabad	240	70 (29)	Nil	240
10	Pratapgarh	325	20 (6)	13	312
11	Saharanpur	895	136 (15)	136	759
12	Varanasi	481	20 (4)	Nil	481
	<b>Total</b>	<b>6649</b>	<b>888 (49)</b>	<b>447 (50)</b>	<b>6202</b>

**Appendix - 3.10**

*(Reference: Paragraph 3.3.10; page 56)*

**Details of target, taken-up and completed non-residential buildings during 2000-05**

Plan year	Total units approved/targeted in AAPs	Year-wise details of works taken up and completed										Total units taken up (per cent)	Total units constructed as of March 2005	Shortfall with reference to column 2 (per cent)
		2000-01		2001-02		2002-03		2003-04		2004-05				
		No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed	No. of units taken up	No. of units constructed			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
2000-01	249	2	2	48	44	121	54	19	11	-	-	190 (76)	111	138 (55)
2001-02	407	-	-	1	-	275	180	2	1	1	Nil	279 (69)	181	226 (56)
2002-03	259	-	-	-	-	21	13	27	1	2	Nil	50 (19)	14	245 (95)
2003-04	223	-	-	-	-	-	-	-	-	-	-	-	Nil	223 (100)
2004-05	228	-	-	-	-	-	-	-	-	-	-	-	Nil	228 (100)
Total	1366	2	2	49	44	417	247	48	13	3	Nil	519 (38)	306	1060 (78)

**Appendix - 3.11**

*(Reference: Paragraph 3.4.7, page 66)*

**Variation in accounts**

(Rs in Crore.)

Sl.no.	Item's name	Year	Closing Balance as per previous year	Opening Balance taken in next year	Differences
1	Opening balance and Closing balance in Receipt and Payment Account	2002-03	40.33	19.94	20.39
2	Opening balance and Closing balance in Receipt and Payment Account	2003-04	29.40	30.30	0.90
3	Bank reconciliation statement	2004-05	As on 31.03.05 Balance as per Cash Book Rs 5.89 crore Balance as per Pass Book (A/c No. 15786) Rs 7.11 crore		1.22
4	Difference between the balance as per Cash Book and the balance as per bank statement relating to the period prior to 31.03.94				18.03
5	Amount debited by the bank for which no details are available with the institute				87.50
6	PLA GPF	2003-04	As per GPF PLA deposit As per cash book	13.80 11.36	2.44

**Appendix - 3.12**

*(Reference: Paragraph 3.4.21; page 71)*

**Overstocking of Medicines**

(Rs in Crore)

Year	Total Consumption during the year	One month requirement (Minimum storage required) 1/12 of col. 2	Closing stock of the year	Excess stocking (4-3)	% of excess storage than the minimum requirement $\frac{5}{3 \times 100}$
(1)	(2)	(3)	(4)	(5)	(6)
2000-01	14.33	1.19	3.74	2.55	214.29
2001-02	21.50	1.79	5.16	3.37	188.29
2002-03	24.55	2.05	4.95	2.90	141.46
2003-04	32.69	2.72	3.86	1.14	42.28
2004-05	37.46	3.12	5.21	2.09	66.99

**Appendix - 3.13**

*(Reference: Paragraph 3.4.22; page 72)*

**Cost over run and time over- run**

(Rs in lakh)

Sl. No.	Name of work	Construction agency	Original cost	Date of start	Expenditure up to March 2005	Revised cost	Increase of cost	Status
1	2	3	4	5	6	7	8	9
1	Pediatric Ward/Mortuary	C&Ds UP Jal Nigam	223.79	8/97	182.65	480.79	257.00	Work in progress
2	Wellness/ERS	C&Ds UP Jal Nigam	108.77	4/02	108.77	194.78	86.01	Completed
3	CBMR	C&Ds UP Jal Nigam	100.44	8/03	99.86	121.35	20.91	Work in progress
4	Telemedicine	C&Ds UP Jal Nigam	629.43	10/03	500.00	956.22	326.79	Work in progress
5	Shruti Auditorium	UPRNN (Nirman Nigam)	450.00	4/96	852.70	890.15	440.15	Completed
6	Boundary wall	UP Jal Nigam	226.64	8/04	94.30	386.58	159.94	Work in progress
<b>Total</b>			<b>1739.07</b>		<b>1838.28</b>	<b>3029.87</b>	<b>1290.80</b>	



## Appendix - 3.14

(Reference: paragraph 3.5.1, page 79)

## Various interventions under the ICDS III project

Components of the Project					
Service Delivery			Programme Support		
Service Quality Improvement	Adolescent Girl Empowerment	Staffing and Infrastructure	Management and Institutional Development	Information, Education & Communication (IEC) and Community Mobilisation	-Monitoring, and Evaluation -Operations -Research Studies -Continuous Social Assessment -Software Development -Generation of Monthly Reports
(a) Supply of equipments, utensils, medicines, pre-school kits, outdoor/indoor play materials to Anganwadi Centres (AWCs)	(a) Distribution of Iron Folic Acid (IFA) tablets	(a) Construction of Child Development Project Officer (CDPO) office cum Godowns and AWCs, Installation of hand pumps for AWCs	(a) Purchase of vehicles, equipments, furniture, and other items, arrangement of Staff at State Project Management Unit (SPMU) and district level		
(b) Package of Services - Growth Monitoring, Supplementary Nutrition, Immunization Services, Nutrition and Health Education, Early Childhood Education, Health Services, Hygiene and Sanitation	(b) Nutrition and Health Education	(b) Honorarium to Anganwadi Workers (AWW) and Anganwadi Helpers (AWH)	(b) Innovative Activities, Study Tour, Free Expression for Quality Improvement (FREQI) Programme, Awards and Incentives, and Training		
	(c) Nutrition Supplement	(c) Salary, allowance, equipment, furniture, vehicles, contingencies at block level			

**Appendix - 3.15**

*(Reference: Paragraph 3.5.12, page 84)*

**Position of procurement**

**(Rs in lakh)**

Sl. No	Items	Provision in the Project	Expenditure incurred up to March, 2005													
			1999-2000		2000-01		2001-02		2002-03		2003-04		2004-05		Total	
			Target	Exp.	Target	Exp.	Target	Exp.	Target	Exp.	Target	Exp.	Target	Exp.	Exp.	Percentage
<b>A. One-Time procurement</b>																
1	Utensil & Kits	1255.50	513.00	0	337.50	0	405.00	589.93	0	351.29	0	2.59	0	0.32	944.13	75.20
2	Weighing Scale & Display Board	607.50	384.75	0	101.25	0	121.50	309.19	0	61.71	0	0	0	0	370.90	61.05
3	Outdoor-Indoor Play Material	223.42	89.92	0	121.50	0	12.00	0	0	199.17	0	0	0	0	199.17	89.15
4	Medicine Box	101.25	64.13	0	16.87	0	20.25	0	0	91.71	0	0	0	0	91.71	90.58
5	Stationary & Growth Chart	364.50	128.85	0	33.75	17.60	105.30	48.65	81.00	5.55	16.20	0	0	0.23	72.03	19.76
<b>Total</b>		<b>2552.17</b>	<b>1180.65</b>	<b>0</b>	<b>610.87</b>	<b>17.60</b>	<b>664.05</b>	<b>947.77</b>	<b>81.00</b>	<b>776.31</b>	<b>16.20</b>	<b>2.59</b>	<b>0</b>	<b>0.55</b>	<b>1677.94</b>	<b>65.75</b>
<b>B. Yearly procurement</b>																
8	ECE Kits	911.25	141.75	0	162.00	0	202.50	63.99	202.50	69.51	202.51	35.63	0	0	169.13	18.56
9	Medicine Kits	1004.40	153.90	0	121.50	0	243.00	0	243.00	179.62	243.00	0	0	0	179.62	17.88
10	Replacement of Stationeries	324.00	16.20	0	40.50	43.63	89.10	44.62	89.10	1.02	89.10	55.66	0	72.90	217.83	67.23
<b>Total</b>		<b>2239.65</b>	<b>311.85</b>	<b>0</b>	<b>324.00</b>	<b>43.63</b>	<b>534.60</b>	<b>108.61</b>	<b>534.60</b>	<b>250.15</b>	<b>534.61</b>	<b>91.29</b>	<b>0</b>	<b>72.90</b>	<b>566.58</b>	<b>25.30</b>
<b>C. Biannual Procurement</b>																
11	Deworming Tablets	702.50	79.15	0	126.40	0	165.65	0	165.65	23.45	165.65	3.57	0	0	27.02	3.85
<b>Total</b>		<b>702.50</b>	<b>79.15</b>	<b>0</b>	<b>126.40</b>	<b>0</b>	<b>165.65</b>	<b>0</b>	<b>165.65</b>	<b>23.45</b>	<b>165.65</b>	<b>3.57</b>	<b>0</b>	<b>0</b>	<b>27.02</b>	<b>3.85</b>
<b>Grand Total</b>		<b>5494.32</b>	<b>1571.65</b>	<b>0</b>	<b>1061.27</b>	<b>61.23</b>	<b>1364.30</b>	<b>1056.38</b>	<b>781.25</b>	<b>1049.91</b>	<b>716.46</b>	<b>97.45</b>	<b>0</b>	<b>73.45</b>	<b>2271.54</b>	<b>41.34</b>
<b>D. Women Empowerment</b>																
12	IFA Supplement	218.19	37.80	0	62.37	0	39.34	0	39.34	66.88	39.34	0	0	0	66.88	30.65

\* No Display Board purchased

**Appendix - 3.16**

*(Reference: Paragraph 3.5.27, page 90)*

**Year wise allocation and expenditure under Innovative Activities**

(Rs in lakh)

Sl. No.	Items	1999-2000		2000-2001		2001-2002		2002-2003		2003-2004		2004-2005		Total	
		Target	Achievement	Target	Achievement	Target	Achievement	Target	Achievement	Target	Achievement	Target	Achievement	Target	Achievement
<b>1</b>	Innovative Activities	200	--	--	--	--	--	--	--	--	--	--	--	200	--
<b>2</b>	Study Tours	2	--	6	--	6	0.38	6	11.78	5	--	--	4.34	25	16.50
<b>3</b>	FREQUI	10	--	10	--	10	2.59	10	3.42	10	0.04	--	4.63	50*	10.68
<b>4</b>	Awards & Incentives	3	--	3	--	3	--	3	0.95	3	--	--	0.95	15	1.90
<b>5</b>	<b>Total</b>	<b>215</b>	<b>--</b>	<b>19</b>	<b>--</b>	<b>19</b>	<b>2.97</b>	<b>19</b>	<b>16.15</b>	<b>18</b>	<b>0.04</b>	<b>--</b>	<b>9.92</b>	<b>290</b>	<b>29.08</b>
<b>6</b>	IEC	100	--	100	--	100	83.17	100	49.20	100	43.67	--	57.02	500	233.06
<b>7</b>	Developing of Monitoring Software	5	--	--	--	--	--	--	--	--	--	--	--	5	--
<b>8</b>	Data Entry Processing & Generation of Monitoring Reports	63.19	--	111.79	--	111.80	4.17	111.80	21.65	111.80	10.60	--	8.71	510.38	45.13
<b>9</b>	Operational Research Studies	115	--	25	--	25	31.64	15	15.30	15	--	--	1.76	195	48.70
<b>10</b>	Mobility of Supervisors	50	--	--	--	--	--	--	0.93	--	--	--	--	50	0.93

\* Reduced to Rs.25 lakh vide GOI letter no. F No.8-1/2005-WBP dated: 21.07.2005

**Appendix - 3.17**

*(Reference: paragraph 3.5.32, page 92)*

**Position of printing materials distributed under the project**

<b>Sl. No.</b>	<b>Details</b>	<b>Years</b>	<b>Nos. Printed</b>	<b>Supplied to</b>	<b>Remarks</b>
<b>1</b>	News Letter Phulwari (Quarterly)	2002 (Two editions)	70000 Each	All Districts	Two edition against Four
		2003 (Two editions)	80000 each	All Districts	Two edition against Four
		2004 (One editions)	80000	All Districts	One edition against Four
		2005	Nil	All Districts	
<b>2</b>	Poshan evam Swasthya Nirdeshika	2002	70000	All Districts	
<b>3</b>	Poshan Swasthya Paramersh Pustika	2003	20000	33 ICDS-III District	
<b>4</b>	Kishori Booklet Badhti Bachchi ke liye Poshan	2004-05	40000	33 ICDS-III District	
<b>5</b>	Poshan Swasthya Card	2002	3700800	33 ICDS-III District (100 per AWC)	

## Appendix - 4.1

(Reference: Paragraph 4.3.7; page 104)

## Avoidable payment to UPDPL

(Rates in Rupees)

Sl No.	Name of medicine	Year	Rate <sup>1</sup> of UPDPL (per 10x10)	Rate as per Rate Contract of CMSD (10x10)	Excess in Rate (per 10x10)	Quantity purchased (10x10)	Excess expenditure
1	Cap. Amoxicilline 250 mg	2003-04	130	80	50	1,00,000	50,00,000
		2004-05	93	80	13	2,10,000	27,30,000
2	Tab. Ciprofloxacin Hydrochloride 250 mg	2003-04	236	59	177	50,000	88,50,000
		2004-05	236	59	177	20,000	35,40,000
3	Tab. Ciprofloxacin Hydrochloride 500 mg	2003-04	457.50	108	349.50	16,000	55,92,000
		2004-05	457.50	108	349.50	10,000	34,95,000
4	Tab. Norfloxacin 400 mg	2003-04	141.80	62.40	79.40	20,000	15,88,000
		2004-05	141.80	62.40	79.40	35,000	27,79,000
5	Tab. Norfloxacin 200 mg	2003-04	70	48.50	21.50	40,000	8,60,000
		2004-05	70	48.50	21.50	60,000	12,90,000
6	Cap. Doxycyclone Hydrochloride 100 mg	2003-04	105.40	43.20	62.29	51,200	31,89,248
Total (a)						2003-04	2,50,79,248
Total (b)						2004-05	1,38,34,000
Grand Total (a+b)							3,89,13,248

## Appendix - 4.2

(Reference: Paragraph 4.3.13; page 109)

## Cost of extra consumption of grid

Work Executed	Stone grit consumed by the division (cum)	Stone grit required to be consumed as per MORTH specification (cum)	Excess stone grit consumed (cum)	Rate per cum (in Rs)	Avoidable expenditure (Rs in lakh)	
First coat painting P1	Fatehpur	21935	17317	4618	850	0.39
	Allahabad	9918	7830	2088	722	0.15
Second coat painting P2	Fatehpur	14973	11518	3455	830	0.29
	Allahabad	20759	15969	4790	756	0.36
<b>Total</b>					<b>1.19</b>	

<sup>1</sup> 77 per cent of DPCO rates

**Appendix - 4.3**  
*(Reference: Paragraph 4.5.1; page 117)*

**Status of mortgage deed/utilisation certificates**

Year	Degree colleges to whom funds were released		Degree colleges to whom funds were released without mortgage deed and UCs not submitted		Degree colleges to whom funds were released after mortgage deed but UC not submitted	
	Number of colleges	Amount (Rs. in lakh)	Number of colleges	Amount (Rs. in lakh)	Number of colleges	Amount (Rs. in lakh)
1999-2000	5	60	2	30	1	10
2000-2001	8	90	2	20	2	20
2001-2002	14	150	1	10	--	--
2002-2003	15	160	4	40	--	--
2003-2004	13	130	5	50	2	30
<b>Total</b>	<b>55</b>	<b>590</b>	<b>14</b>	<b>150</b>	<b>5</b>	<b>60</b>

**Appendix - 4.4**

(Reference: Paragraph 4.5.3; page 119)

**Details of performance of work done by contractor**

**A: Work Plan for Phase I**

<b>Items No. of contract</b>	<b>Description of work</b>	<b>Status</b>
<b>5.1.2</b>	<b>Socio-Economic Profile of the State</b>	
	.Road accident	<b>Not performed</b>
<b>5.1.4</b>	<b>Feasibility Stage Surveys and Investigations</b>	
	Road accident analysis and road safety audit	<b>Not performed.</b>
<b>5.2.5</b>	<b>Surveys and Investigation</b>	<b>Unsatisfactory</b>
	1. Road and Bridge Inventory	<b>Unsatisfactory</b>
	2. Detailed Topographic Surveys	<b>Unsatisfactory</b>
	3. Pavement Investigation	<b>Unsatisfactory</b>
	4. Geotechnical Investigations	<b>Unsatisfactory</b>
	5. Hydrologic Studies	<b>Unsatisfactory</b>
<b>5.4</b>	<b>Task 4, Detailed Engineering Design for Appraisal Packages</b>	<b>Unsatisfactory</b>
	1. Verification Work	<b>Unsatisfactory</b>
	2. Existing Road Details	<b>Unsatisfactory</b>
	3. Cross-sections	<b>Unsatisfactory</b>
	4. Intersection designs	<b>Unsatisfactory</b>
	5. Alignment Design	<b>Unsatisfactory</b>
<b>5.4.6</b>	<b>Pavement Design</b>	<b>Unsatisfactory</b>
	1. Pavement Structures	<b>Unsatisfactory</b>
	2. Traffic Load	<b>Unsatisfactory</b>
<b>5.4.7</b>	<b>Bridge and Drainage Structure Design</b>	<b>Unsatisfactory</b>
<b>5.5</b>	<b>Task 5, Computerized Financial Management System</b>	<b>Not performed.</b>
	1. Identify Project Financial Management Needs	<b>Not performed.</b>
	2. Design Project Financial Management System	<b>Not performed.</b>
	3. Develop Computerized Project Financial Management System (PFMS)	<b>Not performed.</b>
	4. Prepare User Manual	<b>Not performed.</b>
	5. Implementation	<b>Not performed.</b>
	6. Integration with Project Management System	<b>Not performed.</b>
<b>5.6</b>	<b>Task 6, Road Maintenance Management Study</b>	
	Asset Register	<b>Not performed.</b>

**B: Work Plan For Phase II**

<b>Items No. of contract</b>	<b>Description of work</b>	<b>Status</b>
<b>6.1</b>	<b>Inception of Phase II</b>	<b>Not performed</b>
	1. Detailed Engineering Design	<b>Unsatisfactory</b>
<b>6.3</b>	<b>Project Management and Monitoring Support</b>	<b>Not performed</b>
<b>6.3.1</b>	<b>1. Project Management Monitoring and Management Information System</b>	
	2. Analyze System and Define Requirement	<b>Not performed</b>
	3. Proposed Management Information System	<b>Not performed</b>
	4. Develop Standard Forms	<b>Not performed</b>
	5. Establishing Standardized Forms and Procedures	<b>Not performed</b>
	6. Financial Management	<b>Not performed</b>
	7. Claims and Disputes	<b>Not performed</b>
<b>6.3.2</b>	<b>Procurement of Consulting Services</b>	<b>Not performed</b>
<b>6.3.3</b>	<b>Procurement of Civil Works Contracts</b>	<b>Not performed</b>
	1. Selection of Potential Tenders	<b>Not performed</b>
	2. Prequalification of Contractors	<b>Not performed</b>
	3. Evaluation of the Submitted Prequalification Documents	<b>Not performed</b>
	4. Notification to Short listed Companies	<b>Not performed</b>
	5. Preparation of Tender Documents	<b>Not performed</b>
	6. Tendering	<b>Not performed</b>
	7. Tender Evaluation	<b>Not performed</b>
	8. Contract Negotiations, Award of Contract and Contract Documents	<b>Not performed</b>
	9. Reporting	<b>Not performed</b>
<b>6.3.4</b>	<b>Standardize, Quality Control Procedures</b>	<b>Not performed</b>
	1. Revised Estimates	<b>Not performed</b>
	2. Unit Rate Payments	<b>Not performed</b>
<b>6.3.5</b>	<b>Continuous Construction Monitoring and Evaluation</b>	<b>Not performed</b>
	1. Time Extension Evaluation	<b>Not performed</b>
	2. Advice and Recommendations on Contractor's Disputes	<b>Not performed</b>
	3. Certification of Works	<b>Not performed</b>
	4. Provisional/Final Hand over Inspections	<b>Not performed</b>
<b>6.3.6</b>	<b>Officially Recommended Standard Documents for the PWD for Design, Construction Standards and Specification and Bidding Documents</b>	<b>Not performed</b>
<b>6.4</b>	<b>Project Completion/Training and Technology Transfer</b>	<b>Not performed</b>



**Appendix - 5.1**

*(Reference: Paragraph 5.6.3; page 129)*

**Kanya Vidya Dhan Scheme in test checked districts**

Sl. No.	Name of District	No. of BPL candidates for which demand was raised by the district	No. of BPL candidates for which fund released by the DE	No. of candidates deprived from benefits
1	Allahabad	4200	3800	400
2	Azamgarh	1583	1390	193
3	Jhansi	1070	947	123
4	J.P.Nagar	1497	1215	282
5	Kanpur	2741	1850	891
6	Lucknow	587	539	48
7	Meerut	2365	2290	75
8	Varanasi	2232	1546	686
	Total	16275	13577	2698

**Appendix - 5.2**

*(Reference: Paragraph 5.8; page 130)*

**Inadequate inspection of field offices and schools**

Regional inspection level	Inspection actually done(days)					
	2002-03		2003-04		2004-05	
	JD	DDR	JD	DDR	JD	DDR
Lucknow	1	Vacant	4	Vacant	8	Vacant
Allahabad	NIL	Vacant	NIL	Vacant	NIL	Vacant
Kanpur	NIL	NIL	2	NIL	NIL	24
Azamgarh	NIL	Vacant	NIL	Vacant	NIL	Vacant
Moradabad	NIL	NIL	3	5	5	Vacant
Varanasi	NIL	Vacant	NIL	Vacant	NIL	Vacant
Meerut	NA	30	NA	28	NA	35
Jhansi	NA	NIL	43	1	24	15

District level Inspection	No. of schools to be covered	No. of schools actually covered		
		2002-03	2003-04	2004-05
Lucknow	197	NIL	6	5
Allahabad	180	NIL	NIL	10
Kanpur	113	NIL	NIL	NIL
Azamgarh	97	NIL	1	4
Jyotiba Phule Nagar	40	NIL	NIL	NIL
Varanasi	106	NIL	NIL	NIL
Meerut	86	NIL	NA	NA
Jhansi	60	NIL	10	31