कार्यालय महालेखाकार (लेखा एवं हक़०)
उत्तराखंड, ऑडिट भवन, कौलागढ़,
देहरादून-248195

SUPREME AUDIT INSTITUTION OF INDIA
लोकहितार्थ सत्यनिष्ठा
Dedicated to Truth in Public Interest

Office of the Accountant General (A&E)
Uttarakhand, Audit Bhavan, Kaulagarh,
Dehradun-248195

दूरभाष: 0135-2970866, फैक्स: 0135-2970859, https://cag.gov.in/ae/uttarakhand/en, E-mail: agaeuttarakhand@cag.gov.in

सं०: Admn-I/A&E/AGUK/CAG's 101 Initiative/2026-27/I/1547040/2026 दिनांक:03-08-2026

परिपत्र / CIRCULAR

मुख्यालय (पी०पी०जी० विंग) के ई-मेल दिनांक 22.07.2026 के माध्यम से सूचित किया गया है कि सी०ए०जी० की '101 नवाचार विचार पहल' के अवधारणा पत्र में संशोधन किया गया है। संशोधित अवधारणा पत्र में "विशेष श्रेणी के राज्य" नाम से एक अतिरिक्त श्रेणी जोड़ी गई है।

It has been informed by Headquarters (PPG Wing) vide e-mail dated 22.07.2026 that the Concept Paper of CAG's 101 Innovation Ideas Initiative has been revised. A new category namely "Special Category States" has been introduced in the revised Concept Paper.

अतः सी०ए०जी० की '101 नवाचार विचार पहल' से संबंधित प्रावधानों के संशोधित अवधारणा पत्र को सभी अधिकारियों/कर्मचारियों के सूचनार्थ परिचालित किया जा रहा है।
Therefore, the revised Concept Paper regarding the provisions of CAG's '101 Innovation Ideas Initiative' is being circulated for the information of all officers/officials.

यह परिपत्र सक्षम प्राधिकारी अनुमोदनोपरान्त जारी किया जा रहा है।
This issues with the approval of the competent Authority.

वरि० लेखाधिकारी/प्रशासन-I

संलग्न: उपरोक्तानुसार।

प्रतिलिपि सूचनार्थ एवं आवश्यक कार्रवाई हेतु प्रेषित:
Copy forwarded for information and necessary action to:

1. सचिव, महालेखाकार (लेखा एवं हकदारी), उत्तराखण्ड।
2. वरिष्ठ उप महालेखाकार / प्रशासन एवं निधि प्रकोष्ठ।
3. उप महालेखाकार / लेखा एवं वी०एल०सी० प्रकोष्ठ।
4. समस्त वरिष्ठ लेखाधिकारी/सहायक लेखाधिकारी/सहायक निदेशक/पर्यवेक्षक/कार्मिकों को ई-मेल एवं वाट्सएप के माध्यम से।
5. समस्त खंडीय लेखाधिकारी/लेखाकार सवर्ग उत्तराखंड को कार्यालय वेबसाइट एवं वाट्सएप ग्रुप के माध्यम से।
6. स. ले. अ./आई. टी. एस. जी. को कार्यालय वेबसाइट पर DA कार्नर में अपलोड करने हेतु ।
7. सूचना पट्ट ।

वरि० लेखाधिकारी/प्रशासन-I

Fwd: [Cag-all-offices] Revised Concept Paper of CAG's 101 Innovation Ideas Initiative (Special Category States) – reg.

jaydeepk.utk.ae < jaydeepk.utk.ae@cag.gov.in >

AJAY KUMAR PAL < ajaykumarp.utk.ae@cag.gov.in >
Wed, 22 Jul 2026 5:41:00 PM +0530

To "Jaydeep Kumar"<jaydeepk.utk.ae@cag.gov.in>

============ Forwarded message ============
From: PAG AE UTTARAKHAND <agaeuttarakhand@cag.gov.in>
To: "AJAY KUMAR PAL"<ajaykumarp.utk.ae@cag.gov.in>
Date: Wed, 22 Jul 2026 17:38:44 +0530
Subject: Fwd: [Cag-all-offices] Revised Concept Paper of CAG's 101 Innovation Ideas Initiative (Special Category States) – reg.
============ Forwarded message ============

============ Forwarded message ============
From: PPG Wing <ppgwing.cag@cag.gov.in>
To: "cag-dai"<cag-dai@lsmgr.nic.in>, "cag-adai"<cag-adai@lsmgr.nic.in>, "cag-dgpd-hq"<cag-dgpd-hq@lsmgr.nic.in>, "cag-all-offices"<cag-all-offices@lsmgr.nic.in>, "cag-all-users"<cag-all-users@lsmgr.nic.in>
Cc: "Vishal Bansal"<bansalv@cag.gov.in>, "Vishwanath Jadon"<jadonv@cag.gov.in>, "Jishnu J Raju"<jishnur@cag.gov.in>, "Veena Ilan Govan Shrote"<sao3ppg@cag.gov.in>, "Anil Kumar Jha"<sao6ppg@cag.gov.in>, "Shailesh Singh"<shaileshsingh.cag@cag.gov.in>, "Jaya Kushwaha"<sao7ppg@cag.gov.in>, "Vikas Pandey"<sao1ppg@cag.gov.in>, "HARSHA DHANWARIYA"<sao5ppg@cag.gov.in>, "Vikas Choudhary"<aao5ppg@cag.gov.in>, "Director PPG"<dirppg@cag.gov.in>
Date: Wed, 22 Jul 2026 16:34:23 +0530
Subject: [Cag-all-offices] Revised Concept Paper of CAG's 101 Innovation Ideas Initiative (Special Category States) – reg.
============ Forwarded message ============

सादर/Regards
महालेखाकार सचिवालय
कार्यालय महालेखाकार (लेखा एवं हक़दारी), उत्तराखण्ड
ऑडिट भवन, कौलागढ़
देहरादून- 248195
दूरभाष - 0135-2970860
ई-मेल - agaeuttarakhand@cag.gov.in

Respected Madam/Sir,

The Concept Paper for CAG's 101 Innovation Ideas Initiative was circulated *vide* PPG mail dated 17 June 2026 and 18 June 2026. A meeting regarding outreach activities under the Initiative was subsequently held under the chairmanship of the Comptroller and Auditor General of India on 7 July 2026**.**

Further, *vide* email dated **21 July 2026**, it was informed that the dedicated portal **http://cag101.cag.gov.in** for submission of entries had been made operational with effect from **21 July 2026.** Notification No. I/1530136/202663-PPG/CAG-101/2026 dated 20 July 2026, along with the User Guide for registration and submission of entries, was also circulated.

In continuation of the above, it is informed that the Concept Paper has been revised to create a fourth category, namely **"Special Category States"**, for enabling submissions from the **State Audit and Accounts offices of Arunachal Pradesh, Jammu & Kashmir, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura**. This category has been created to promote equitable participation and enable separate assessment of locally relevant innovations arising from the distinctive operational conditions of these offices. This arrangement shall initially apply to the inaugural edition and may be reviewed after completion of the first cycle.

This category has also been enabled on the CAG's 101 Innovation Ideas Initiative portal. The amendments in the revised Concept Paper have been highlighted in **red** and is enclosed for information and necessary action please.

Regards,

Professional Practices Group
O/o the C&AG of India
9, DDU Marg

New Delhi-110124

1 Attachment(s)

Revised CAGs 101 Innovation ...
1020.5 KB

OFFICE OF THE COMPTROLLER AND AUDITOR GENERAL OF INDIA
Indian Audit & Accounts Department (IA&AD)

. . .

C O N C E P T P A P E R

The CAG 101

Innovation Ideas Initiative

A Flagship Institutional Platform for Innovation, Mentorship and Institutional Transformation

DOCUMENT	EDITION	ISSUED BY
Concept Paper	FY 2026–27	PPG Wing, O/o CAG

C O N T E N T S

I. BACKGROUND AND RATIONALE

In view of India's ambitious developmental aspirations and the role of the Comptroller and Auditor General of India (SAI India) as the Chair of Knowledge Sharing Committee of Working Group of Information Technology Audit (WGITA) at International Organization of Supreme Audit Institutions (INTOSAI), there is an opportunity for SAI India to demonstrate leadership in public audit and accounting innovation, as well as in development of new tools, methodologies, and knowledge products to strengthen efficiency, transparency, and institutional impact.

The "CAG 101 Innovation Ideas Initiative" (or "CAG 101 Initiative") is conceptualised to harness the collective intelligence of the IA&AD and create a structured institutional platform for generation, evaluation, mentoring, and implementation of innovative, impactful, and scalable ideas aligned with national priorities and the evolving needs of public sector auditing and accounting.

The need for such an initiative arises as SAI India is at the cusp of transformation. Emerging areas such as digital governance, AI-enabled systems, data-driven administration, real-time oversight, predictive analytics, and citizen-centric accountability require adoption of new tools, methodologies, and institutional processes. The initiative aims to encourage innovation and technology integration to ensure that SAI India remains relevant, future-ready, data-driven, and impactful in a rapidly evolving governance landscape.

THREE PILLARS OF MODERNISATION

The CAG 101 Initiative is envisaged as a flagship institutional initiative to modernise the manner in which audit and accounting functions are carried out, the manner in which internal administrative and institutional processes operate; and the manner in which institutional impact, stakeholder engagement, and knowledge dissemination are strengthened.

PILLAR I	PILLAR II	PILLAR III
Audit & Accounting	**Administration**	**Outreach & Impact**
Improving methodologies in respect of functions (Auditing & Accounting)	Improving internal administrative and institutional processes that enable SAI India to function.	Strengthening institutional impact, stakeholder engagement and knowledge dissemination.

The initiative will serve as a pan-IA&AD platform for ideation, collaboration, and institutional transformation by providing a structured mechanism for identification, evaluation, mentoring, showcasing, and scaling of high-impact innovations, tools, methodologies, and process improvements across offices of SAI India. The initiative is planned to be rolled out in phases.

Components of the First Phase

a	Annual flagship event as part of *Audit Diwas* celebrations and associated events for showcasing selected innovations, tools, methodologies, and institutional best practices.
b	Innovation-related sessions, panel discussions, and knowledge-sharing forums to deliberate upon transformation in audit, accounting, administration, technology integration, and public sector governance.
c	Annual competition under the CAG 101 Initiative for inviting innovation submissions from offices of SAI India and selection of ideas based on innovation value, institutional relevance, replicability, scalability, and demonstrable impact.
d	Constitution of structured evaluation and filtering mechanisms, along with mentorship and prototyping support, for objective assessment and refinement of shortlisted ideas.
e	Support for implementation, onboarding, and monitoring of selected innovations within the CAG ecosystem on a scale to improve efficiency, timeliness, outreach, institutional effectiveness, and knowledge sharing across the department and externally as well.
f	Documentation and dissemination of selected initiatives and institutional innovations through "The Catalysts" and other outreach platforms.

II. OBJECTIVES

The CAG 101 Initiative seeks to:

OBJECTIVE A Generate innovative and scalable ideas across technology, process improvement, governance, analytics, audit design, accounting, administration, entitlement functions, stakeholder engagement, and citizen-centric outcomes through a structured institutional process.
OBJECTIVE B Enhance institutional readiness for technology-enabled, remote, real-time, rapid, and risk-based audit and accounting functions.
OBJECTIVE C Encourage development of innovative tools, methodologies, and administrative solutions that improve efficiency, transparency, accountability, and institutional effectiveness across offices of SAI India.
OBJECTIVE D Promote innovations aligned with ease of doing business, ease of living, improved public service delivery, and better governance outcomes.
OBJECTIVE E Develop mechanisms for improved monitoring, tracking, and assessment of audit observations, institutional impact, implementation progress, and stakeholder responsiveness.
OBJECTIVE F Foster a culture of innovation, collaboration, experimentation, and knowledge-driven transformation within the IA&AD.
OBJECTIVE G Identify, mentor, prototype, and support implementation of high-impact and replicable innovations with potential for institutional adoption and scaling.

OBJECTIVE H
Showcase selected innovations, initiatives, and best practices and disseminate them through institutional knowledge-sharing platforms including *The Catalysts*.

III. THEMATIC AREAS FOR SUBMISSIONS

Under the CAG 101 Initiative, individual officials/group of officials' teams across offices of SAI India shall be invited to submit innovative ideas, tools, methodologies, products, process improvements, digital systems, dashboards, platforms, and institutional solutions aligned with improving efficiency, strengthening oversight, modernising institutional processes, enhancing stakeholder engagement, and improving audit and accounting outcomes.

Submissions may relate to one or more of the following four thematic areas:

THEME A	Audit Planning, Risk Identification and Execution Methodologies
FOCUS Innovations relating to audit planning, determination of risks, audit methodologies, field verification, execution of audits, oversight mechanisms, evidence systems, concurrent monitoring, audit quality enhancement, and reduction in audit timelines.	**ILLUSTRATIVE EXAMPLES** Technology-assisted verification mechanisms, mobile applications for field audits, geospatial, drone or Internet of Things (IoT)-based verification systems, automated audit quality review systems, concurrent monitoring and oversight, innovations in audit evidence management, dataset analytics for audit coverage, methodologies for real-time or risk-based audit execution.

THEME B	Business Process Reengineering: Audit, Accounts and Institutional Products and Outputs
FOCUS Development of audit products, accounts-related products, dashboards, reporting systems, visualisation tools, repositories, institutional outputs, and other value-added products aimed at improving usability, dissemination, accessibility, institutional learning, and impact including improvement in timelines of the products.	**ILLUSTRATIVE EXAMPLES** Interactive dashboards, visual audit products; automated reporting systems, thematic compendiums, institutional repositories, digital reporting tools, accounts-related reporting products, visualisation systems, and other value-added institutional outputs.

THEME C	Institutional Process Improvements, Workforce and Capacity Building
FOCUS Innovations aimed at improving administrative processes, institutional coordination, office management, staff welfare, workforce management, training systems, and overall organisational efficiency.	**ILLUSTRATIVE EXAMPLES** Digital file movement systems, leave and establishment workflow systems, Human Resources and Key Performance Indicator (KPI) tracking systems, training and learning platforms; staff welfare initiatives, coordination mechanisms, administrative monitoring systems, automated correspondence systems, initiatives for improving institutional efficiency and workplace functioning.

THEME D	Stakeholder Outreach, Collaboration and Public Engagement
FOCUS Strengthening stakeholder consultation, public interface, accessibility, communication, grievance handling, institutional outreach, collaboration, participative governance, and dissemination of institutional knowledge and best practices.	**ILLUSTRATIVE EXAMPLES** Multilingual and mobile-friendly portals, stakeholder consultation mechanisms, grievance management and reconciliation systems, public-facing dashboards, communication and outreach tools, collaboration platforms, repositories for sharing institutional best practices, knowledge-sharing systems for transparency and accessibility.

Note: These may be at Idea Stage or established innovations/initiatives in form of Proof of Concept (PoC).

IV. GOVERNANCE STRUCTURE AND EVALUATION CRITERIA

The CAG 101 Initiative shall be implemented through a two-tier committee structure comprising three Filtering Committees for Union, States and Other formations respectively and a Empowered Committee.

For separate assessment of submissions received from the State Audit and Accounts offices of Arunachal Pradesh, Jammu & Kashmir, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura, a fourth category, namely **"Special Category States"**, has been created. The Filtering Committee–States Category shall undertake Stage-I filtering and evaluation of submissions received under this category.

Governance Structure at a Glance

TIER 2 · APEX BODY

EMPOWERED COMMITTEE

3 IA&AD officers at DAI / ADAI level + 2 external representatives +2 DG / PD level officers

▼

TIER 1 · FILTERING	TIER 1 · FILTERING	TIER 1 · FILTERING
UNION FORMATIONS	**STATE FORMATIONS[1]**	**OTHER FORMATIONS**
1 DAI/ADAI 2 DG / PD level officers	1 DAI/ADAI 2 DG / PD level officers	1 DAI/ADAI 2 DG / PD level officers

a. Empowered Committee — Responsibilities

▸ **Overall implementation and oversight of the CAG 101 Initiative.**
▸ Review and periodic refinement of the scheme / framework document.
▸ Issuing broad guidance regarding thematic areas, evaluation parameters, implementation approach, and institutional priorities.
▸ Final recommendation and approval of the shortlisted initiatives based on recommendations of the Filtering Committees.
▸ Ensuring alignment of shortlisted initiatives with institutional priorities, innovation value, scalability, replicability, feasibility, and potential impact.
▸ Guiding institutional onboarding, implementation support, mentoring approach, and showcasing of selected initiatives.
▸ Overseeing dissemination and integration of selected initiatives during Audit Diwas and associated events and related institutional platforms.
▸ The Empowered Committee, if they find it suitable, may also identify the submissions (other than those recommended to be awarded) which can be supported through financial support for conversion to Proof of Concept and/ or scaled up. The submissions recommended for award and accepted to be awarded will be treated as recommended for financial support with or without specific mention in the recommendations. The financial support would be provided through the existing provisions and mechanism of Delegations of Administrative and Financial Powers applicable

[1] including submissions from the State Audit and Accounts offices of Arunachal Pradesh, Jammu & Kashmir, Manipur, Meghalaya, Mizoram, Nagaland, Sikkim and Tripura

to IA&AD. The quantum of financial support will also be determined as a part of the delegation and not covered by the recommendations.
▸ Identification of suitable projects for National e-governance Awards from the 101 CAGs Innovations Initiative being considered by the Empowered Committee, which meet the prescribed criteria of those awards and onboarding of these by the concerned officials in collaboration with the IS Wing.

b. Filtering Committees — Responsibilities

▸ Scrutiny and evaluation of submissions received from respective formations.
▸ Assessment of innovation value, institutional relevance, technical soundness, usability, scalability, feasibility, and potential institutional impact of proposed initiatives.
▸ Evaluation of the extent to which the proposed initiatives improve efficiency, timelines, monitoring, stakeholder engagement, coverage, institutional effectiveness, and quality of institutional outputs.
▸ Finalisation and application of evaluation parameters and objective criteria for assessment of submissions.
▸ Undertaking a multi-stage evaluation process for shortlisting of submissions.
▸ Shortlisting approximately top 50 *per cent* submissions, limited to a maximum of 101 ideas, in the first stage of evaluation based on innovation value, scalability, relevance, feasibility, and potential impact.
▸ After completion of the first stage of shortlisting, PPG will assign each shortlisted team a mentor at the level of Principal Director / Accountant General to guide and support the team during the next stage of evaluation.
▸ The mentors shall assist the teams in refining the proposed solutions, strengthening conceptual clarity, improving presentation and implementation approach, refining scope and scalability aspects, and guiding the teams prior to the next stage of evaluation.
▸ The mentors may also facilitate institutional coordination and provide domain guidance, implementation perspectives, and wherever required, support access to relevant datasets, systems, and subject matter inputs for further refinement.
▸ Carrying out further evaluation of shortlisted submissions in the subsequent stage and recommending a maximum of 10 submissions each in their domain in four categories *viz.*, Union, States, Others and Special Category States to the Empowered Committee for final consideration.
▸ Seeking demonstrations, presentations, clarifications, prototypes, or additional documentation from participants wherever required during the evaluation process.
▸ Recommending suitable submissions to the Empowered Committee for showcasing, institutional onboarding, implementation support, and further development.

‣ Identification and grouping by each Filtering Committee of similar ideas as a separate output, together with maintenance of a library linking such ideas to the respective submitters and offices. A similar exercise shall be undertaken by Union Filtering Committee to consolidate the submissions received from the individual functional Filtering Committees.
‣ Application of the common evaluation criteria to Special Category submissions while taking due account of the geographical, logistical, connectivity, infrastructural and human-resource environment in which the innovation has been conceived or implemented.

c. Role of PPG:

PPG shall provide further assistance to the Committees in matters requiring coordination among different Wings or between different Filtering Committees. In cases where a proposal relating to the Union side or other formations is submitted by an official/s in a State office to a Filtering Committee assigned for processing State-side initiatives, the concerned Committee may examine and recommend to PPG the transfer of the proposal to the appropriate committee. Thereafter, if considered appropriate, PPG shall formally transfer the proposal to the relevant Committee for further processing.

d. Evaluation Criteria

The Filtering Committees and Empowered Committee shall broadly evaluate submissions on the basis of the following criteria:

Evaluation Criteria	Weight	Assessment Focus
Innovation and Originality	**25 %**	Assessment of the novelty, uniqueness, and innovativeness of the proposed initiative or solution.
Institutional Relevance	**25 %**	Assessment of the extent to which the initiative addresses institutional needs, challenges, priorities, or process improvements within SAI India.
Feasibility and Scalability	**25 %**	Assessment of practicality of implementation, operational viability, manpower and resource requirements, and long-term sustainability.
Potential Impact	**25 %**	Assessment of the likely impact on efficiency, timelines, quality, monitoring, outreach, or institutional effectiveness.

e. Consolidation, Mentoring and Scaling of Similar Ideas

i. **Evaluation and Grouping:** Similar ideas/proposals may be received within the same jurisdictional category or across different categories. Similarity shall not, by itself, be a ground for rejection, and each proposal shall first be evaluated on its own merits, including its distinct contribution, maturity, implementation context and demonstrated results. Each Filtering Committee shall separately group similar ideas and maintain a library linking such ideas with the respective submitters and offices.

ii. **Consolidation:** The Union Filtering Committee shall consolidate the groups of similar ideas identified by the individual Filtering Committees and maintain a consolidated idea library. It shall also assist the Empowered Committee in examining related submissions and, for this purpose, shall have access to all relevant proposals and supporting material.

iii. **Mentoring and Scaling:** For idea which forms part of multiple submission, the Empowered Committee with the assistance of the Union Filtering Committee may consider to constitute a team for scaling up a selected idea. In doing so, it may recommend inclusion of officers drawn from among the similar submissions, including officials who may not themselves be part of the submissions recommended for award

iv. **Coordination and Support:** A Group Officer, nominated by the Chair of the respective Committee, may assist in the grouping and consolidation of similar ideas, maintenance of the idea library, coordination of mentoring and constitution and coordination of scaling teams.

V. DIGITAL PLATFORM AND WORKFLOW MANAGEMENT

The CAG 101 Initiative — including submission, evaluation, mentoring, monitoring, communication, and dissemination processes — shall be managed through a dedicated digital portal/ workflow platform developed for the initiative.

Core Functions of the Digital Portal

01	**Submissions** Inviting and receiving submissions from the officials/teams of officials through the offices of IA&AD.
02	**Evaluation Workflow** Management of evaluation workflows by the Filtering Committees and Empowered Committee.
03	**Mentor Allocation** Digital allocation and coordination of mentors for shortlisted teams.
04	**Documentation** Submission of presentations, prototypes, videos, supporting documents, and implementation plans by participants.
05	**Communication** Communication of timelines, evaluation stages, clarifications, and feedback to participants.
06	**Progress Monitoring** Monitoring progress of shortlisted initiatives through various stages of evaluation and mentoring.
07	**Repository & Dashboards** Hosting dashboards, repositories, compendiums, and institutional outputs relating to the initiative.

08 Showcasing

Dissemination and showcasing of selected initiatives during Audit Diwas and associated events and related institutional platforms.

ADDITIONAL CAPABILITIES

The portal may also include features relating to workflow tracking, role-based access, digital repositories, evaluation interfaces, communication modules, analytics dashboards, and other technology-enabled systems required for effective implementation and monitoring of the initiative.

The portal shall also support separate routing and identification of Special Category submissions, allocation of mentors from outside the identified offices, tagging and cross-referencing of similar ideas, maintenance of the idea library, and controlled access to related submissions for consolidation, mentoring and scaling.

VI. ANNUAL ROLLOUT CYCLE

a. Pre-launch Outreach and Orientation

Prior to commencement of the initiative, PPG Wing shall undertake pre-launch outreach, orientation sessions, workshops, and communication activities to familiarise participants with the objectives and scope of the initiative, thematic areas for submission; submission methodology and timelines, evaluation stages and assessment parameters, broad expectations relating to innovation value, scalability, feasibility, institutional relevance, impact, and usage of the digital portal / workflow platform for submission and evaluation processes.

Illustrative outreach activities may include workshops, webinars, digital communication campaigns, guidance notes, Frequently Asked Questions (FAQs), and dissemination of illustrative use-cases and institutional problem statements.

b. Office-level Call for Submissions through Digital Portal

Following the pre-launch stage, submissions shall be invited from all offices / formations of IA&AD through the designated digital portal. The portal shall facilitate submission of proposals, presentations, videos, prototypes, and supporting documents, categorisation of submissions under thematic areas, digital tracking of submissions and evaluation stages, and communication between participants, mentors, and evaluation committees.

Submissions may broadly include the description of the problem / opportunity identified; the proposed solution / initiative / product, expected institutional impact and scalability, implementation approach and indicative resource requirements, and the relevance of the proposal to institutional priorities and thematic areas. The submission of a proposal involving officials across offices will be determined/decided by the involved officials in respect of the office from which the proposal is to be submitted. One of the officer/official should be from the office from which the proposal is being submitted.

c. Round-I Evaluation by Filtering Committees

The Filtering Committees shall undertake the first stage of evaluation of submissions received from respective formations. The Round-I evaluation shall broadly assess innovation value and originality; institutional relevance and usability, feasibility and scalability, potential institutional impact, clarity of problem identification and proposed solution, and potential for adoption across offices / formations of SAI India.

Based on the evaluation, the Filtering Committees shall shortlist approximately top 50 *per cent* submissions, limited to a maximum of 101 ideas, for progression to the next stage. The Committees may seek presentations, demonstrations, clarifications, or additional supporting material from participants wherever required.

d. Mentor Allocation and Solution Refinement Stage

Following Round-I shortlisting, each shortlisted team shall be assigned a mentor at the level of Principal Director / Accountant General or equivalent officer, by the PPG. The mentors shall guide and support the shortlisted teams in refining and strengthening the proposed solution; improving conceptual clarity and implementation approach, refining scope, scalability, and usability aspects, strengthening institutional applicability and impact; improving presentations, prototypes, and supporting documentation, and preparing the shortlisted teams for the subsequent stage of evaluation.

The mentors may also facilitate institutional coordination and provide guidance relating to implementation perspectives, domain-specific issues, datasets, systems, or operational considerations wherever required.

For Special Category teams, mentors can be officers other than those posted in the identified participating offices. PPG Wing may allocate suitable officers from Headquarters or other offices. Where substantially similar ideas are received from more than one office, a common mentor or mentoring group may be assigned to facilitate coordinated refinement and assessment of scalability

e. Round-II Evaluation by Filtering Committees

Following the mentor-guided refinement stage, the Filtering Committees shall undertake the second stage of evaluation of shortlisted submissions. The Round-II evaluation shall focus on maturity and refinement of the proposed initiative, practicality and implementation readiness, scalability and sustainability, manpower and resource intensity, expected value-for-money and institutional outcomes, prototype readiness, wherever applicable, and overall potential for institutional adoption and replication.

Based on the Round-II evaluation, the Filtering Committees (Union, Sates and Others) shall recommend a maximum of 10 submissions in each domain to the Empowered Committee for final consideration. For submissions received under the **Special Category States**, the Filtering Committee–States Category may shortlist and recommend up to 10 submissions to the Empowered Committee. The filtering committee will identify the recommended submissions eligible for consideration under National Awards for e-Governance (NAeG). PPG Wing will also be the nodal wing for monitoring progress and resolving constraints faced subsequently in case of identified submissions to be carried forward.

Each Filtering Committee shall also submit its grouped list and library of similar ideas as a separate output and the Union Filtering Committee shall prepare a consolidated cross-category output for the assistance of the Empowered Committee.

f. Final Consideration by Empowered Committee

The Empowered Committee shall undertake final consideration of the recommended by the Filtering Committees. The Committee may assess overall institutional significance; innovation value and originality, scalability and replicability, implementation feasibility, institutional impact and sustainability, and alignment with priorities and long-term transformation goals of SAI India.

The Empowered Committee may also seek presentations, demonstrations, clarifications, or interactions with shortlisted teams before finalisation of selected initiatives. The Empowered Committee shall finalise and shortlist 7 (including two earmarked for Special Category[2]) initiatives for further showcasing and institutional onboarding. The Empowered Committee can recommend additional submissions to be provided financial and operational support. The Empowered Committee shall also recommend the submissions to be submitted for NAeG.

g. Decision on Recommendations

This will be done by the C&AG of India in a methodology to be decided at his/her discretion.

h. Showcase during *Audit Diwas* and associated events as well as Institutional Onboarding

The final shortlisted initiatives shall be showcased during *Audit Diwas* and associated events through presentations, demonstrations, panel discussions, digital showcases, or other institutional platforms.

Selected initiatives may also be featured in institutional compendiums and knowledge-sharing platforms, considered for wider institutional onboarding and implementation support, integrated with relevant institutional systems and workflows wherever feasible, and monitored for adoption, scalability, and long-term institutional impact through the digital platform / workflow system.

i. Onboarding of approved/accepted submissions in respect of NAeG

The list will be communicated to concerned officials, office and IS Wing. The concerned officials with the assistance of the office and IS wing will submit the identified submission on NAeG portal.

j. Follow-up by PPG Wing

Follow-up by PPG Wing will include communication to concerned offices/ officials and incorporation in The Catalysts.

[2] Two additional awards may be earmarked for the Special Category in the inaugural edition, in addition to the five initiatives selected under the existing categories.

VII. PROCESS FLOW AT A GLANCE

The figure below summarises the end-to-end rollout cycle of the CAG 101 Initiative — from pre-launch outreach to post-showcase dissemination — illustrating the principal stakeholders, decision points, and outcomes of each stage.

Stage		Output
STAGE 01	**Pre-Launch Outreach** PPG WING	*Awareness · Guidelines · FAQs · Workshops*
STAGE 02	**Call for Submissions** ALL OFFICES OF SAI INDIA	*Submissions via Digital Portal under the identified Thematic Areas*
STAGE 03	**Round-I Evaluation** FILTERING COMMITTEES	*Top 50 per cent shortlisted · Maximum 101 Ideas*
STAGE 04	**Mentor Allocation & Refinement** PPG + PD / AG-level MENTORS	*Refined solutions, prototypes & presentations*
STAGE 05	**Round-II Evaluation** FILTERING COMMITTEES	***A maximum of 40 submissions under each functional category (10 each for Union, States, Others and up to 10 for Special Category) to be recommended***
STAGE 06	**Final Selection** EMPOWERED COMMITTEE	***Final seven[3] selected initiatives for showcase***

[3] *includes two awards may be earmarked for the Special Category*

STAGE 07	National e Governance Awards	OUTPUT ▸ *Selected initiatives for submission, if any*
STAGE 08	Showcase AUDIT DIWAS PLATFORM and Catalysts of Good Practices	OUTPUT ▸ *Presentations · Demonstrations · The Catalysts*

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STAGE 09	Onboarding & Dissemination PPG + IMPLEMENTING WINGS	OUTPUT ▸ *Adoption · Replication · Institutional Impact*

VIII. OUTREACH, COMMUNICATION AND KNOWLEDGE DISSEMINATION

a. Communication and Outreach

A structured communication and outreach approach shall be adopted to ensure participation, visibility, institutional learning, and dissemination of the CAG 101 Initiative across offices of SAI India.

PPG Wing, in coordination with the Social Media Wing, shall undertake communication and outreach activities through office communications and circulars, mass emailers; workshops, webinars, and orientation sessions, dissemination through the digital portal, digital creatives, posters, and awareness material, and periodic updates regarding timelines, evaluation stages, shortlisted initiatives, and showcase activities.

Objectives of the Communication Activities

Participation	Familiarisation	Collaboration	Dissemination
Encourage participation from offices / formations across SAI India.	Familiarise participants with thematic areas, timelines, and evaluation stages.	Promote cross-office learning and institutional collaboration.	Disseminate information relating to shortlisted initiatives and institutional outcomes.

b. Digital Portal and Knowledge Repository

The digital portal developed for the initiative shall also serve as a repository for submissions, shortlisted initiatives, institutional products, dashboards, compendiums, and knowledge-sharing material generated during various stages of the initiative.

The portal may host:

- Guidelines, templates, FAQs, and communication material.
- Shortlisted submissions and institutional products.
- Dashboards and monitoring systems.

- Compendiums and knowledge-sharing material.
- Presentations and showcase material.
- Updates relating to implementation, replication, and institutional adoption of selected initiatives.

c. Showcase and Dissemination of Initiatives

Shortlisted and selected initiatives shall be showcased during Audit Diwas and associated events through presentations, demonstrations, panel discussions, digital showcases, and institutional knowledge-sharing sessions.

Selected initiatives may also be:

- Featured in The Catalysts and other institutional publications.
- Disseminated across offices / formations for wider adoption and replication.
- Integrated with institutional learning and knowledge-sharing initiatives.
- Documented for reference, replication, and future institutional use.

The showcase and dissemination process shall aim to promote institutional learning, encourage innovation, and facilitate adoption of successful initiatives across SAI India.

d. Internal Engagement and Participation

PPG Wing may undertake periodic engagement activities during various stages of the initiative to encourage participation and sustain institutional involvement across offices / formations.

Such activities may include:

- Periodic communication and updates to offices / formations.
- Leadership messages and institutional guidance.
- Interaction sessions with mentors and shortlisted teams.
- Workshops and experience-sharing sessions.
- Recognition of shortlisted teams and participating offices during institutional events and showcase platforms.

Shortlisted and successful initiatives from previous editions, or exemplary initiatives identified during the current cycle, may also be periodically showcased through the portal, internal communications, workshops, or institutional platforms to facilitate cross-office learning and help offices identify replicable ideas and solutions.

PPG Wing may coordinate with Social Media Unit and other concerned wings for periodic dissemination of updates, showcase material, awareness content, teasers, communication creatives, and outreach material relating to the initiative through internal communication channels, emailers, portal updates, and other institutional dissemination mechanisms.

The submission form for the proposals are attached as **Appendix I**.

IX. TIMELINES FOR ROLLOUT IN FY 2026

The CAG 101 Initiative shall be implemented in a phased manner during FY 2026-27. The schedule below provides an indicative timeline for rollout of various stages of the initiative.

#	Phase	Key Activities	Timeline
01	**Launch of Initiative and Portal Rollout**	• Launch of CAG 101 Initiative • Launch of digital portal / workflow platform • Release of guidelines, thematic areas, templates, FAQs, and communication material • Launch of outreach and awareness campaign through workshops, webinars, emailers, portal dissemination, and other communication channels	**30 June – 15 July 2026** *2 weeks*
02	**Submission Stage**	• Opening of portal for submission of initiatives, products, solutions, and proposals by offices / formations of SAI India	**16 July – 12 August 2026** *~4 weeks*
03	**Round-I Evaluation by Filtering Committees**	• Scrutiny and evaluation of submissions by Filtering Committees • Shortlisting of approximately top 50 *per cent* submissions, limited to a maximum of 101 ideas	**13 August – 10 September 2026** *~4 weeks*
04	**Mentor Allocation and Solution Refinement**	• Allocation of mentors to shortlisted teams • Refinement of solutions, presentations, implementation approach, scalability aspects, and supporting documentation • Special Category mentors to be drawn from outside the identified participating offices if needed; common mentoring may be provided for similar ideas	**11 September – 08 October 2026** *~4 weeks*
05	**Round-II Evaluation by Filtering Committees**	• Further evaluation of shortlisted submissions • Selection and recommendation to a maximum of **40**[4] submissions to the Empowered Committee	**09 October – 22 October 2026** *~2 weeks*

[4] Includes recommendation of up to 10 Special Category submissions and submission of grouped and consolidated outputs relating to similar ideas

#	Phase	Key Activities	Timeline
06	**Final Consideration by Empowered Committee**	• Final consideration and selection of seven[5] initiatives by the Empowered Committee • Finalisation of showcase and institutional onboarding recommendations • Identification of projects meeting the criteria for National e-Governance Awards, if any	**23 October – 05 November 2026** *~2 weeks*
07	**Showcase during Audit Diwas and associated events**	• Showcase and presentations of shortlisted initiatives during Audit Diwas and associated events • Dissemination through institutional platforms and publications	**16 – 17 November 2026** *2 days*
08	**Post-event Dissemination and Monitoring**	• Dissemination of selected initiatives across formations • Monitoring of adoption, replication, and institutional impact through the digital platform • Selected initiatives may be undertaken as Proof of Concept (PoC) projects for subsequent deployment and scalable replication across offices/functional wings, with funding support from Headquarters • Featuring in The Catalysts	**18 November 2026 onwards** *Ongoing*

Note: The Filtering Committee for the Union stream shall support the Empowered Committee in the review of submissions and in the periodic refinement of the Scheme Framework and related documents, as may be required.

Review of the Special Category after the Inaugural Edition

The separate arrangement shall initially apply to the inaugural edition. After completion of the first cycle, PPG Wing may review the number and quality of submissions, relevance of the innovations, effectiveness of the separate evaluation and external mentoring arrangements, and potential for replication or scaling. Based on the review, the Competent Authority may decide whether the arrangement should be continued, modified or mainstreamed in subsequent editions.

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[5] including two awards earmarked for the Special Category