

**REVISED AUDIT PROGRAM OF LAP-XIV**

**Party Personnel:** Shri. S. Jayaraman, Supervisor (TNCHC-5030035)

Shri Shyam Anand, AAO

**Supervised by :** Shri. Mahesh Kumar Singh, SAO(TNCHC-5011796)

Duration of Audit	No. of Days	Unit	Nature of Audit
No change up to – 02.12.2020			
03.12.2020	1	Headquarters	Entry Meeting with NHAI, RO Chennai / Madurai and NHAI, RO Kerala
04.12.2020	1	NHAI, RO Chennai	Preliminary work an PA on Bharatmala Pariyojana
07.12.2020 to 11.12.2020	5	NHAI, PIU Madurai	PA on Bharatmala Pariyojana. Phase I – Four laning of Chettikulam – Natham Section NH 785 (Tamilnadu)
14.12.2020 to 18.12.2020	5	NHAI, PIU Villupuram	PA on Bharatmala Pariyojana. Phase I – 1. Kallagam – Meensurutti Stretch on NH 227 and 2. Tindivanam – Krishnagiri Stretch on NH 77
21.12.2020 to 24.12.2020	4	NHAI, RO Chennai	Finalisation of PA on Bharatmala Pariyojana AEs and Report

Further Program will follow:

**Holidays:**

Dec: 5,6,12,13,19,20,25,26,27

Following instructions to be complied without fail

1. In compliance to Hqrs' instruction vide letter dated 23.08.2017, & CA-I section Letter No. DGCA/CA-I/5-02/2018-19/510 dated 21.01.2019 LSAOs are to submit the Desk Review Report to D(CA) prior to commencement of audit.
2. Note for audit of implementation units, selected to the AIL/AALs being audited, is to be submitted to DIR (CA) for approval within 4 days of commitment of audit
3. The softcopy of the IR should be sent by e-mail to co-ordination section on the concluding day and the hard copy be sent within 3 days, as per format para had by compliance audit guideline.
4. The audit party have to review the old pending inspection report paras (closure/updating) and the compliance report may be sent to coordination.

5. Each member of Audit Party have to furnish code of ethics in prescribed format.
6. Draft Factual Statement has to be prepared for each II A paras along with KDs, to be submitted in a separate folder/file and along with the DIR.
7. Details of total No.of P.Os/W.Os/Contracts and sampling method used for selection of P.Os/W.Os/Contracts and for review may be enclosed as a working sheet, along with the latest Checklist on audit of Contracts.
8. Title Sheet duly filled including Annexures may be submitted. Along with contribution submitted.
9. Party Personnel to ensure completion of audit, despite other members going on leave
10. All the Tables/working incorporated in the DIR should be in Excel-Sheet disclosing formula adopted.
11. DIR should be discussed with head of the office. If not available with the next lower level indicating the reasons.
12. The name of incumbent head of the office of the auditee and the names of the audit party members should be indicated in Part IA of DIR.
13. As per order of DIR/CA, DIR should be submitted with the checklist forwarded vide email dated 16.12.2019
14. Note for selection and audit of Implementation Units, (Territory/Depot/DO/AO/BP) needs to be submitted by SAO (LAP/RAP) prior to 4 days of commencement of audit for approval. No change is to be taken up with approval of GO.

-Sd/-

Senior Audit Officer/CA-I

Copy to

1. Party Personnel
3. PA to Director (CA)
5. PS to DGCA
7. Reports Section

2. SAO (Admn)
4. Claim Section
6. PA to Director (Admn)