

## Chapter 1

### Introduction

In Delhi, higher education is administered by the Higher Education Department (HED) through Directorate of Higher Education (DHE) and technical education by the Training and Technical Education Department (TTED) through the Directorate of Training and Technical Education (DTTE). While DHE is responsible for promoting higher education through formulating and implementing a comprehensive policy for higher education and opening new colleges in Delhi, DTTE was set up to promote world class technical and vocational education, industry relevant research and development etc. They also provide grants-in-aid to the universities functioning under them. Out of total 11 Universities of Government of National Capital Territory of Delhi (GNCTD) existing as of March 2023, five Universities<sup>1</sup> offer General/Non-technical courses function under DHE and the remaining six Universities<sup>2</sup> offer technical courses function under DTTE. Out of these, two universities viz. Delhi Sports University and Delhi Teachers' University were yet to start their academic activities as of December 2023. Guru Gobind Singh Indraprastha University (GGSIPU) has been entrusted with the function of granting affiliation to colleges imparting higher education as well as technical education.

#### 1.1 Organisational structure

The DHE and the DTTE are both headed by Directors who function under the overall supervision of the Secretary (HED/TTED). The Director of Higher Education is assisted by Deputy Directors, Assistant Directors, Deputy Controller of Accounts, Administrative Officers and Statistical officers, whereas the Director of Training and Technical Education is assisted by the Additional Director, Controller of the Board of Technical Education, Joint Director, Dy. Directors, Registrar, Deputy Controller of Accounts and System Analyst. The Lieutenant Governor of the NCT of Delhi is the Chancellor of all universities. Administration of the universities is vested with the Vice-Chancellors concerned, assisted by the Registrar and heads of various Academic and Non-Academic departments of the University.

#### 1.2 Audit Objectives

The main objectives of the performance audit were to ascertain whether:

- The Government and the selected Universities planned and executed their academic functions effectively to ensure quality education;

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<sup>1</sup> Guru Gobind Singh Indraprastha University (GGSIPU), Ambedkar University Delhi (AUD), National Law University (NLU), Delhi Sports University (DSU) and Delhi Teachers' University (DTeU)

<sup>2</sup> Delhi Technological University (DTU), Indira Gandhi Delhi Technical University for Women (IGDTUW), Indraprastha Institute of Information Technology Delhi (IIITD), Netaji Subhas University of Technology (NSUT), Delhi Pharmaceutical Sciences and Research University (DPSRU) and Delhi Skill and Entrepreneurship University (DSEU)

- The financial management of the selected Universities was efficient and effective;
- creation and development of human resources and infrastructure facilities were adequate and as per norms; and
- The internal control mechanism was adequate and effective.

### **1.3 Audit Criteria**

The performance of the Government and selected Universities in respect of the above objectives was benchmarked against the following audit criteria:

- The Universities' Acts and Statutes & Ordinances made thereunder;
- Delhi Professional Colleges and Institutions (Prohibition of Capitation Fee, Regulation of admission, Fixation of non-exploitative fee and other measures to ensure quality and excellence) Act and Rules 2007
- Guidelines and Regulations issued by various regulatory authorities like University Grants Commission (UGC), All India Council for Technical Education (AICTE), National Assessment and Accreditation Council (NAAC), National Board of Accreditation (NBA), Bar Council of India and Pharmacy Council of India (PCI);
- National Education Policy, 2020;
- Persons with Disabilities Act, 1995;
- Orders/Policy guidelines issued by the DHE and DTTE;
- General Financial Rules and Orders issued by the Finance Department, GNCTD;
- Central Public Works Department (CPWD) Manual.

### **1.4 Audit Scope and Methodology**

The Performance Audit on "Functioning of Universities of GNCTD" covered the period from April 2018 to March 2023. The audit was conducted through scrutiny of records of DHE and DTTE, three<sup>3</sup> Universities selected on a judgmental basis and 14 affiliated colleges of GGSIPU selected through statistical random selection using IDEA software (**Annexure 1.1**).

An Entry Conference was held with the Secretary and Director, Higher Education and Training & Technical Education Departments, GNCTD and the Registrars of DTU and DPSRU and representatives of GGSIPU on 9 May 2023 wherein the scope, objectives, criteria and methodology of audit including selection of samples were discussed. The exit conference was held with Secretary and Director, Higher Education and Training & Technical Education Departments, GNCTD and the

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<sup>3</sup> 1. Guru Gobind Singh Indraprastha University 2. Delhi Technological University and 3. Delhi Pharmaceutical Sciences & Research University.

Registrars of DTU and DPSRU and Vice Chancellor of GGSIPU on 10 March 2025 to discuss audit findings and recommendations. The views expressed by the Departments in the exit conference and replies subsequently received have been incorporated suitably in the report.

### **1.5 Acknowledgement**

Audit acknowledges the cooperation extended by the Directorate of Higher Education, Directorate of Training and Technical Education and by the three selected Universities in conducting the Performance Audit.

### **1.6 Audit Findings**

The audit findings are grouped under the following chapters:

- Chapter 2: Administrative and Academic Issues
- Chapter 3: Accreditation of Universities and the Affiliation Process
- Chapter 4: Financial Management, Human Resource Management and Infrastructure facilities
- Chapter 5: Internal control