

Appendix-I
Government revenue late deposited in Government Account
(Reference para no. 2.5)

SL No	Name of DMO	Number of cases	Period of Letter of Intent (LoI)	Period of submission of demand draft	Period of delay (in days)	Period of deposit of challan in treasury	Period of delay (in days)
1	Banda	20	24-03-2018 to 08-06-2019	14-05-2018 to 06-02-2020	25 to 338	19-05-2018 to 29-02-2020	29 to 361
2	Chitrakoot	7	12-02-2019 to 26-08-2020	13-03-2019 to 19-09-2020	18 to 40	21-03-2019 to 29-09-2020	23 to 62
3	Fatehpur	5	04-05-2018 to 31-08-2020	16-07-2018 to 19-09-2020	12 to 108	03-08-2018 to 25-09-2020	20 to 118
4	GB Nagar	4	04-12-2017 to 19-11-2019	22-01-2018 to 12-12-2019	21 to 49	15-03-2018 to 17-12-2019	28 to 101
5	Hamirpur	14	26-02-2018 to 25-09-2018	16-03-2018 to 29-10-2018	18 to 121	21-03-2018 to 06-11-2018	23 to 140
6	Kanpur Dehat	6	18-01-2018 to 06-06-2019	15-03-2018 to 24-07-2019	20 to 56	19-03-2018 to 27-08-2019	42 to 82
7	Kaushambi	4	26-11-2018 to 25-06-2020	19-01-2019 to 22-07-2020	27 to 390	05-02-2019 to 31-07-2020	36 to 392
8	Mahoba	17	09-04-2018 to 25-02-2019	03-07-2018 to 30-05-2019	25 to 196	09-07-2018 to 27-06-2019	31 to 213
9	Prayagraj	4	27-07-2018 to 27-07-2018	23-08-2018 to 24-09-2019	27 to 424	31-08-2018 to 11-10-2019	35 to 441
10	Sonebhadra	3	20-08-2018 to 08-01-2021	29-10-2018 to 21-01-2021	13 to 70	16-11-2018 to 27-01-2021	19 to 88
Total		84	04-12-2017 to 08-01-2021	22-01-2018 to 21-01-2021	12 to 424	15-03-2018 to 27-01-2021	19 to 441

Appendix-II
Security amount and first instalment of royalty not forfeited on delay in execution of lease deed
(Reference para no.2.6)

Sl. No.	Name of DMO	Number of cases	Range of dates of execution of lease deed	Range of Environmental Certificate sanction dates	Range of delay in execution of lease deed (in days)	Amount of security deposit	Amount of first instalment of royalty	Total
1	Chitrakoot	1	11-05-2020	16-01-2020	116	11,75,029	11,75,029	23,50,058
2	Fatehpur	3	23-01-2020 to 02-11-2020	05-12-2019 to 04-09-2020	45 to 162	7,77,07,725	7,77,07,725	15,54,15,450
3	GB Nagar	1	11-02-2021	10-09-2020	154	74,18,486	74,18,486	1,48,36,972
4	Hamirpur	9	19-11-2019 to 13-04-2022	31-01-2019 to 04-01-2022	37 to 575	22,98,17,331	22,61,00,996	45,59,18,327
5	Mahoba	14	01-06-2019 to 20-05-2021	18-07-2018 to 03-09-2020	104 to 1,037	2,82,09,132	2,82,09,132	5,64,18,264
6	Prayagraj	8	07-01-2020 to 07-12-2022	15-11-2018 to 16-09-2022	82 to 708	1,86,55,947	1,68,39,948	3,54,95,895
7	Saharanpur	3	04-10-2019 to 03-01-2022	21-06-2019 to 17-09-2021	98 to 148	7,96,51,804	7,32,89,860	15,29,41,664
8	Shamli	1	01-01-2022	17-03-2021	290	51,86,700	51,86,700	1,03,73,400
9	Sonebhadra	3	12-02-2020 to 06-11-2020	23-10-2018 to 09-01-2020	34 to 745	8,19,98,141	8,19,98,141	16,39,96,282
Total		43	01-06-2019 to 07-12-2022	18-07-2018 to 16-09-2022	34 to 1,037	52,98,20,295	51,79,26,017	1,04,77,46,312

Appendix-III
Short levy of stamp duty on mining lease deeds
(Reference para no. 2.)

Sl. No.	Name of DMO	No. of cases	Total royalty recoverable in lease period	Total DMF recoverable in lease period	Total amount of royalty and DMF contribution	Total amount of royalty and DMF contribution after rounding off individual cases to next thousand rupees	Leviable stamp duty @2 per cent/4 per cent ⁷	Leviable registration fee	Stamp duty paid	Registration fee paid	Difference of stamp duty	Difference of registration fee	Amount in ₹
(1)	(2)	(3)	(4)	(5) (Col. 4 * 10%, individual cases have been rounded off)	(6) (Total of Col. 4 & 5)	(7)	(8)	(9)	(10)	(11)	(12) (Col. 8- Col.10)	(13) (Col. 9- Col. 11)	(14) (Total of Col. 12 & 13)
1	Baghpat	3	2,19,70,01,059	21,97,00,107	2,41,67,01,166	2,41,67,02,000	9,66,68,080	60,000	8,78,80,160	60,000	87,87,920	-	87,87,920
2	Banda	25	19,82,61,15,848	1,98,26,11,594	21,80,87,27,442	21,80,87,39,000	48,95,51,680	17,77,89,930	43,78,66,070	16,16,57,930	5,16,85,610	1,61,32,000	6,78,17,610
3	Bulandshahr	2	87,45,69,000	8,74,56,900	96,20,25,900	96,20,27,000	1,92,40,540	43,38,970	1,74,90,720	39,46,330	17,49,820	3,92,640	21,42,460
4	Chitrakoot	12	2,93,84,61,704	29,38,46,173	3,23,23,07,877	3,23,23,12,000	6,46,46,240	3,08,47,750	5,87,98,120	2,80,53,731	58,48,120	27,94,019	86,42,139
5	Fatehpur	10	4,39,86,40,913	43,98,64,093	4,83,85,05,006	4,83,85,10,000	9,67,70,200	4,32,18,880	8,79,79,200	3,92,92,285	87,91,000	39,26,595	1,27,17,595
6	GB Nagar	3	1,22,42,89,695	12,24,28,970	1,34,67,18,665	1,34,67,20,000	2,69,34,400	60,000	2,44,85,993	60,000	24,48,407	-	24,48,407
7	Hamirpur	20	12,24,77,61,673	1,22,47,76,171	13,47,25,37,844	13,47,25,47,000	26,94,50,940	6,17,29,220	24,49,58,620	5,61,35,630	2,44,92,320	55,93,590	3,00,85,910
8	Kanpur Dehat	9	3,53,17,44,202	35,31,74,425	3,88,49,18,627	3,88,49,24,000	7,76,98,480	2,38,68,740	7,06,35,238	2,17,07,160	70,63,242	21,61,580	92,24,822
9	Kaushambi	4	52,97,71,520	5,29,77,152	58,27,48,672	58,27,50,000	1,16,55,000	58,27,500	1,07,61,279	52,97,720	8,93,721	5,29,780	14,23,501
10	Mahoba	40	6,43,53,84,680	64,35,38,476	7,07,89,23,156	7,07,89,39,000	14,15,78,780	6,08,84,310	12,87,32,039	5,53,75,120	1,28,46,741	55,09,190	1,83,55,931
11	Prayagraj	20	1,83,91,54,574	18,39,15,458	2,02,30,70,032	2,02,30,79,000	4,20,73,360	1,94,31,130	3,82,52,172	1,76,66,650	38,21,188	17,64,480	55,85,668
12	Saharanpur	9	3,52,02,30,372	35,20,23,042	3,87,22,53,414	3,87,22,58,000	7,74,45,160	2,59,11,020	7,04,05,054	1,95,23,450	70,40,106	63,87,570	1,34,27,676
13	Sambhal	1	41,28,26,862	4,12,82,687	45,41,09,549	45,41,10,000	90,82,200	20,000	82,58,140	20,000	8,24,060	-	8,24,060
14	Shamli	6	1,09,86,43,705	10,98,64,372	1,20,85,08,077	1,20,85,12,000	2,41,70,240	1,04,95,770	2,19,77,040	95,44,420	21,93,200	9,51,350	31,44,550
15	Siddharthnagar	4	34,64,35,427	3,46,43,545	38,10,78,972	38,10,81,000	76,21,620	23,98,280	69,29,200	21,82,080	6,92,420	2,16,200	9,08,620
16	Sonebhadra	5	3,90,66,70,961	39,06,67,097	4,29,73,38,058	4,29,73,41,000	17,18,93,640	2,21,72,620	14,98,39,430	2,01,58,800	2,20,54,210	20,13,820	2,40,68,030
	Total	173	65,32,77,02,195	6,53,27,70,262	71,86,04,72,457	71,86,05,51,000	1,62,64,80,560	48,90,54,120	1,46,52,48,475	44,06,81,306	16,12,32,085	4,83,72,814	20,96,04,899

Appendix-IV
Financial assurance not collected from lease holders
(Reference para no.2.8)

Sl. No.	Name of DMO	No. of cases		Range of lease period	Range of dates of Letter of Intent (LoI)	Area		Amount in ₹
		Sand/ morrum	Gitti			In hectare	In acre	
1	Baghpat	3	-	19-02-2018 to 20-02-2023	06-11-2017	38.12	94.15	14,12,250
2	Banda	4	-	13-12-2018 to 03-12-2025	22-05-2018 to 08-03-2019	85.00	209.95	31,49,250
3	Fatehpur	2	-	05-01-2022 to 06-01-2027	04-03-2020 to 18-06-2020	30.00	74.10	11,11,500
4	GB Nagar	6	-	16-02-2018 to 10-02-2026	20-11-2017 to 13-11-2019	182.62	450.95	67,64,250
5	Hamirpur	4	-	04-12-2018 to 01-01-2025	22-03-2018 to 04-08-2018	109.31	269.99	40,49,850
6	Kanpur Dehat	5	-	03-01-2019 to 02-01-2027	18-01-2018 to 23-05-2020	89.35	220.69	33,10,350
7	Kaushambi	2	-	14-02-2020 to 06-03-2025	26-11-2018 to 23-01-2019	25.00	61.75	9,26,250
8	Mahoba	-	2	01-06-2019 to 06-11-2030	13-08-2018 to 25-02-2019	2.43	6.00	4,00,000
9	Prayagraj	1	2	09-11-2020 to 22-05-2032	22-05-2020 to 30-01-2021	20.15	49.77	10,46,400
10	Saharanpur	8	-	04-10-2019 to 02-01-2027	15-03-2018 to 05-06-2020	187.60	463.37	69,50,550
11	Sambhal	1	-	06-02-2020 to 05.02.2025	21-12-2017	49.00	121.03	18,15,450
12	Shamli	6	-	16-01-2019 to 31-12-2027	10-04-2018 to 07-09-2019	106.10	262.07	39,31,050
13	Siddharthnagar	3	-	13-12-2021 to 02-01-2027	17-08-2021 to 07-09-2021	13.21	32.63	6,92,350
14	Sonebhadra	4	1	23-03-2018 to 05-11-2030	06-01-2018 to 25-01-2019	47.73	117.89	18,67,000
Total		49	5	16-02-2018 to 22-05-2032	06-11-2017 to 07-09-2021	972.60	2,434.34	3,74,26,500

Appendix-V
Royalty not realised
(Reference para no. 3.2.1)

						Amount in ₹	
Sl. No.	Name of DMO	No. of lease holder	Period of due royalty	Royalty due	Royalty deposited	Difference	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(Col. 5 – Col. 6)
1	Fatehpur	3	01/2022 to 03/2022	9,49,33,748	1,10,00,000	8,39,33,748	
2	Hamirpur	1	05/2020 to 03/2022	19,77,47,073	203,24,064	17,74,23,009	
3	Prayagraj	4	01/2019 to 03/2022	12,51,83,056	1,30,00,000	11,21,83,056	
4	Sonebhadra	2	01/2019 to 01/2022	13,25,90,946	3,00,00,000	10,25,90,946	
Total		10	01/2019 to 03/2022	55,04,54,823	7,43,24,064	47,61,30,759	

Appendix-VI
Contribution to DMFT not collected
(Reference para no. 3.2.2)

						Amount in ₹	
Sl. No.	Name of DMO	No. of lease holder	Period of royalty	Royalty deposited	DMF Due	DMF deposited	Difference
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
					(Col. 5 * 10%)		(Col. 6-Col. 7)
1	Chitrakoot	4	05/2020 to 03/2022	9,58,42,389	95,84,252	0	95,84,252
2	Fatehpur	1	01/2019 to 01/2020	19,80,04,020	1,98,00,402	0	1,98,00,402
3	Hamirpur	7	07/2018 to 03/2022	61,40,83,553	6,14,08,379	0	6,14,08,379
4	Prayagraj	3	04/2017 to 03/2022	18,47,39,683	18,47,39,69	0	18,47,39,69
5	Sonebhadra	5	01/2019 to 03/2022	27,85,37,822	2,78,53,783	0	2,78,53,783
Total		20	04/2017 to 03/2022	1,37,12,07,467	13,71,20,785	0	13,71,20,785

Appendix-VII
Permit application fee, Royalty, DMF & Palothan not realised from the brick kiln owners
(Reference para no. 3.3.1)

Amount in ₹							
Sl. No.	Name of DMO	No. of brick kilns	Application fee	Royalty	Palothan	DMF	Total
(1)	(2)	(3)	(4) (Col. 3 * ₹ 2000)	(5)	(6) (Col. 5 * 10%)	(7) (Col. 5 * 10%)	(8) (Total of Col. 4, 5, 6 & 7)
1	Hamirpur	4	8,000	3,67,200	36,720	36,720	4,48,640
2	Kanpur Dehat	21	42,000	32,85,900	3,28,590	3,28,590	39,85,080
3	Saharanpur	6	12,000	9,07,200	90,720	90,720	11,00,640
Total		31	62,000	45,60,300	4,56,030	4,56,030	55,34,360

Appendix-VIII
Palothan not realised from brick kiln owners
(Reference para no. 3.3.2)

(Reference para not given)								
Amount in ₹								
Sl. No.	Name of DMO	No. of brick kiln	Period	Application fee paid	Royalty paid	Palothan due	Palothan paid	Difference
(1)	(2)	(3)	(4)	(5)	(6)	(7) (Col. 6 * 10%)	(8)	(9) (Col. 7- Col. 8)
1	Fatehpur	54	2018-19 to 2019-20	1,08,000	63,07,200	6,30,720	0	6,30,720
2	GB Nagar	5	2017-18	10,000	7,69,500	76,950	0	76,950
3	JP Nagar	15	2017-18 to 2019-20	30,000	23,67,900	2,36,790	0	2,36,790
4	Hamirpur	2	2018-19 to 2019-20	4,000	2,21,400	22,140	0	22,140
5	Saharanpur	52	2018-19 to 2019-20	1,04,000	86,13,000	8,61,300	0	8,61,300
6	Shamli	11	2019-20	22,000	22,27,500	2,22,750	0	2,22,750
7	Siddharthnagar	36	2018-19 to 2019-20	72,000	30,56,400	3,05,640	0	3,05,640
Total		175	2017-18 to 2019-20	3,50,000	2,35,62,900	23,56,290	0	23,56,290

Appendix-IX
Contribution to DMFT not realised from brick kiln owners
(Reference para no.3.3.3)

Sl. No.	Name of DMO	No. of brick kilns	Application fee paid	Royalty paid	DMF paid	DMF due (Col. 5 * 10 %)	Amount in ₹	
							Difference	(8) (Col. 7- Col. 6)
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
1	Fatehpur	45	90,000	50,78,700	-	5,07,870	5,07,870	
2	GB Nagar	17	34,000	25,24,500	-	2,52,450	2,52,450	
3	Hamirpur	6	12,000	5,77,800	-	57,780	57,780	
4	JP Nagar	42	84,000	62,69,400	-	6,26,940	6,26,940	
5	Kanpur Dehat	67	1,34,000	1,03,76,100	-	10,37,610	10,37,610	
6	Kaushambi	60	1,20,000	73,76,400	-	7,37,640	7,37,640	
7	Prayagraj	96	1,92,000	1,06,48,800	-	10,64,880	10,64,880	
8	Saharanpur	64	1,24,000	97,20,000	-	9,72,000	9,72,000	
9	Sambhal	101	2,02,000	1,52,25,300	-	15,22,530	15,22,530	
10	Siddharthnagar	43	86,000	36,20,700	-	3,62,070	3,62,070	
Total		541	10,78,000	7,14,17,700	-	71,41,770	71,41,770	

Appendix-X
Regulating fees, permit application fee and *palothan* not realised from brick kiln owners
(Reference para no. 3.3.4)

Sl. No.	Name of DMO	No. of brick kilns	Brick year	Application fee	Regulation fee	<i>Palothan</i>	Amount in ₹	
							Total	Total
(1)	(2)	(3)	(4)	(5) (Col. 3 * ₹ 2000)	(6)	(7) (Col. 6 * 10%)	(8) (Total of Col. 5, 6 & 7)	
1	GB Nagar	31	2019-20 and 2021-22	62,000	57,59,100	5,75,910	63,97,010	
2	Hamirpur	10	2018-19 to 2021-22	20,000	9,88,200	98,820	11,07,020	
3	JP Nagar	30	2020-21	60,000	44,33,400	4,43,340	49,36,740	
4	Kanpur Dehat	58	2018-19 to 2021-22	1,16,000	90,07,200	9,00,720	1,00,23,920	
5	Kaushambi	18	2021-22	36,000	22,78,800	2,27,880	25,42,680	
6	Prayagraj	76	2020-21	1,52,000	84,72,600	8,47,260	94,71,860	
7	Saharanpur	123	2018-19 to 2021-22	2,46,000	2,15,81,100	21,58,110	2,39,85,210	
8	Sambhal	46	2021-22	92,000	73,49,400	7,34,940	81,76,340	
9	Shamli	26	2021-22	52,000	51,24,600	5,12,460	56,89,060	
10	Siddharthnagar	57	2020-21 to 2021-22	1,14,000	49,05,900	4,90,590	55,10,490	
11	Sonebhadra	2	2021-22	4,000	1,83,600	18,360	2,05,960	
Total		477	2018-19 to 2021-22	9,54,000	7,00,83,900	70,08,390	7,80,46,290	

Appendix-XI
Deduction of MSTC service charge from mineral receipts in
violation of provisions of financial Rules
(Reference para no. 3.4)

Sl. No.	Name of DMO	No. of successful bidders	Amount in ₹
			Service Charge including GST charged
1	Baghpat	5	1,77,000
2	Banda	25	8,85,000
3	Bulandshahr	2	70,800
4	Chitrakoot	7	2,47,800
5	Fatehpur	17	6,01,800
6	GB Nagar	6	2,12,400
7	Hamirpur	21	7,43,400
8	Kanpur Dehat	9	3,18,600
9	Kaushambi	6	2,12,400
10	Mahoba	43	15,22,200
11	Prayagraj	20	7,08,000
12	Saharanpur	8	2,83,200
13	Sambhal	1	35,400
14	Shamli	6	2,12,400
15	Siddharthanagar	7	2,47,800
16	Sonebhadra	17	6,01,800
Total		200	70,80,000

Appendix-XII
Security deposit deposited in consolidated fund instead of in public account
(Reference para no. 3.5)

Amount in ₹			
Sl. No.	Name of the Unit	No. of successful bidders	Amount of security deposit deposited in revenue head
1	Baghpat	5	11,66,50,721
2	Banda	25	84,94,76,200
3	Bulandshahr	2	3,58,13,032
4	Chitrakoot	7	9,13,70,840
5	Fatehpur	17	30,62,71,964
6	GB Nagar	6	7,10,90,245
7	Hamirpur	21	55,70,48,599
8	KanpurDehat	9	15,93,90,398
9	Kaushambi	6	3,41,63,350
10	Mahoba	43	14,01,09,272
11	Prayagraj	20	5,46,63,033
12	Saharanpur	8	13,72,28,117
13	Sambhal	1	1,69,05,000
14	Shamli	6	4,49,87,331
15	Siddharthanagar	7	5,50,36,800
16	Sonebhadra	17	48,71,21,579
Total		200	3,15,73,26,480

Appendix-XIII
Short/non-levy of interest on delayed deposit of royalty
(Reference para no. 3.7.1)

Sl. No.	Name of DMO	No. of cases	Amount deposited	Period in which amount was due for payment	Period of deposit of due amount	Delay in days		Interest due	Interest paid	Amount in ₹	
						from	to			Difference	
1	Bulandshahr	1	10,26,21,794	04/2019 to 04/2020	04/2019 to 11/2020	7	215	42,58,603		42,58,603	
2	Chitrakoot	1	22,43,34,243	04/2019 to 01/2021	05/2019 to 01/2021	10	106	60,31,382		60,31,382	
3	Fatehpur	7	39,13,73,088	04/2019 to 06/2020	06/2019 to 06/2020	13	383	2,71,85,022		2,71,85,022	
4	Hamirpur	5	27,92,24,004	07/2018 to 12/2021	09/2018 to 03/2022	7	227	1,22,51,164		1,22,51,164	
5	Kaushambi	1	3,71,06,250	04/2019 to 07/2019	06/2019 to 02/2020	67	332	39,04,222	3,750	39,00,472	
6	Mahoba	13	8,73,22,650	04/2019 to 03/2022	04/2019 to 03/2022	6	308	37,92,868		37,92,868	
7	Prayagraj	5	13,21,90,536	04/2018 to 03/2022	05/2018 to 04/2022	41	409	1,40,20,423		1,40,20,423	
8	Sonebhadra	2	2,11,14,958	04/2019 to 03/2022	11/2019 to 06/2022	101	452	23,35,110	5,32,286	18,02,824	
Total		35	1,27,52,87,523	04/2018 to 03/2022	05/2018 to 06/2022	6	452	7,37,78,794	5,36,036	7,32,42,758	

Appendix-XIV
Short/non-levy of interest on delayed deposit of royalty/regulating fees
(Reference para no. 3.7.2)

Sl. No.	Name of DMO	No. of cases	Period	Royalty/regulating fees/ <i>palothan</i> deposited	Delay in days		Interest due	Interest deposited	Difference
					From	To			
1	Fatehpur	18	2017-18 to 2018-19	21,66,210	185	492	3,46,510		3,46,510
2	GB Nagar	15	2017-18 to 2019-20	29,86,200	193	458	4,96,291	1,94,888	3,01,403
3	JP Nagar	17	2017-18	27,88,830	228	512	4,26,975	2,16,309	2,10,666
4	Kanpur Dehat	12	2017-18 to 2019-20	20,48,220	202	1,541	4,97,173	83,693	4,13,480
5	Kaushambi	2	2020-21	2,79,180	571	674	86,610	45,758	40,852
6	Prayagraj	30	2017-18 and 2020-21	36,47,160	345	1,854	15,49,129	1,04,181	14,44,948
7	Saharanpur	24	2017-18 to 2019-20	40,32,180	184	1,004	7,58,889	88,007	6,70,882
8	Sambhal	33	2017-18 to 2019-20	51,94,260	200	523	9,80,848		9,80,848
9	Siddharathnagar	20	2017-18 to 2021-22	19,17,540	192	843	4,59,254	1,64,296	2,94,958
	Total	171	2017-18 to 2021-22	2,50,59,780	184	1,854	56,01,679	8,97,132	47,04,547

Appendix-XV
Penalty not imposed for late submission of Mining Plan
(Reference para no. 3.8)

Sl. No.	Name of DMO	No. of cases	Delay in submission of Mining Plan (in days)	Penalty to be imposed
1	Chitrakoot	4	44 to 204	35,10,000
2	GB Nagar	2	152 to 230	2,00,000
3	Hamirpur	4	4 to 123	3,20,000
4	Mahoba	12	6 to 273	64,20,000
5	Saharanpur	3	7 to 29	3,90,000
	Total	25	4 to 273	1,08,40,000

Appendix-XVI
Penalty not imposed on lessees who excavated minerals without Mining Plan
(Reference para no. 3.9)

Amount in ₹										
Sl. No.	Name of DMO	No of cases	Name of mineral	Lease period	Validity of previous Mining Plan	Mining Plan renewal date	Period of excavation without renewal of Mining Plan	Excavation without renewal of Mining Plan (in cum)	Amount of royalty recovered	Price of mineral to be recovered
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11) (Col. 10 *5)
1	Chitrakoot	7	Gitti Granite	01-05-2012 to 30-04-2022	23-10-2015 to 22-10-2020	Not Renewed	23-10-2020 to 31-03-2022	1,15,083	1,84,13,280	9,20,66,400
			Gitti Granite	04-04-2012 to 03-04-2022	22-06-2012 to 21-06-2015	Not Renewed	01-04-2017 to 31-03-2022	54,470	87,15,200	4,35,76,000
			Gitti Granite	11-04-2012 to 10-04-2022	22-12-2014 to 21-12-2019	29-12-2021	22-12-2019 to 28-12-2021	1,10,912	1,77,45,920	8,87,29,600
			Gitti Granite	24-12-2011 to 23-12-2021	25-01-2016 to 24-01-2021	Not Renewed	25-01-2021 to 23-12-2021	93,197	1,49,11,520	7,45,57,600
			Gitti sandstone	10-05-2011 to 09-05-2021	31-05-2012 to 30-05-2015	Not Renewed	01-04-2017 to 09-05-2021	1,25,845	1,38,42,950	6,92,14,750
2	Prayagraj	4	Gitti sandstone	10-05-2011 to 09-05-2021	16-02-2015 to 15-02-2020	Not Renewed	16-02-2020 to 09-05-2021	3,463	3,80,930	19,04,650
			Silica Sand	06-04-2011 to 05-04-2031	14-05-2015 to 13-05-2020	02-03-2022	14-05-2020 to 01-03-2022	92,600	1,57,42,000	7,87,10,000
			Sand stone	21-09-2016 to 20-09-2026	25-07-2016 to 24-07-2021	Not Renewed	25-07-2021 to 31-01-2022	66,472	73,11,920	3,65,59,600
			Silica Sand	10-05-2011 to 09-05-2031	28-05-2015 to 27-05-2020	14-06-2021	28-05-2020 to 10-06-2021	61,807	1,05,07,190	5,25,35,950
			Silica Sand	25-05-2013 to 24-05-2033	No Mining Plan	10-06-2021	01-04-2017 to 09-06-2021	31,093	52,85,810	2,64,29,050
3	Sonebhadra	3	Silica Sand	26-11-2011 to 25-11-2031	13-05-2016 to 12-05-2021	08-01-2022	13-05-2021 to 07-01-2022	3,976	6,75,920	33,79,600
			Gitti Granite	31-05-2016 to 30-05-2026	22-09-2015 to 21-09-2020	17-06-2021	22-09-2020 to 16-06-2021	26,523	42,43,680	2,12,18,400
			Gitti Granite	31-05-2016 to 30-05-2026	27-04-2016 to 26-04-2021	29-10-2021	27-04-2021 to 28-10-2021	43,930	70,28,800	3,51,44,000
			Sand stone	01-06-2016 to 31-05-2026	25-04-2016 to 24-04-2021	01-11-2021	25-04-2021 to 31-10-2021	9,072	9,97,920	49,89,600
Total		14					01-04-2017 to 31-03-2022	8,38,443	12,58,03,040	62,90,15,200

Appendix-XVII**Price of minerals not realised from contractors for works executed without transit passes***(Reference para no. 3.10.1)*

					Amount in ₹
Sl. No.	Name of DMO	No. of cases	Period of royalty	Amount of royalty deposited	Due price of mineral
(1)	(2)	(3)	(4)	(5)	(6) (Col. 5 * 5)
1	Banda	29	2017-18	1,23,12,160	6,15,60,800
		19	2018-19	47,34,581	2,36,72,905
		4	2019-20	4,94,661	24,73,305
		2	2020-21	39,95,433	1,99,77,165
		4	2021-22	25,31,760	1,26,58,800
2	GB Nagar	1	2018-19	33,894	1,69,470
		2	2019-20	1,01,977	5,09,885
		6	2021-22	2,90,405	14,52,025
3	Prayagraj	8	2018-19	49,09,518	2,45,47,590
		2	2019-20	4,54,092	22,70,460
4	Saharanpur	10	2017-18	8,45,758	42,28,790
		9	2018-19	12,13,345	60,66,725
		14	2019-20	26,82,208	1,34,11,040
		10	2020-21	50,33,200	2,51,66,000
		20	2021-22	43,03,342	2,15,16,710
5	Siddharathnagar	1	2017-18	43,440	2,17,200
		11	2018-19	4,57,902	22,89,510
		2	2020-21	1,05,160	5,25,800
		2	2021-22	2,53,333	12,66,665
Total		156	2017-18 to 2021-22	4,47,96,169	22,39,80,845

Penalty= 156*25000=39,00,000

Appendix-XVIII**Submission of MM-11 forms multiple times as evidence of royalty paid***(Reference para no. 3.10.2.1 First bullet)*

Amount in ₹								
Sl. No.	Name of district	No. of cases of multiple submission	No. of cases objected	Quantity (in cu.m.)	Royalty due	Price of mineral due	Penalty leviable	Total amount due
(1)	(2)	(3)	(4)	(5)	(6)	(7) (Col. 6 * 5)	(8) (Col. 4 * ₹ 25,000)	(9) (Total of Col. 6, 7 & 8)
1	Fatehpur	36	21	406.28	25,765	1,28,825	5,25,000	6,79,590
2	Kaushambi	99	50	1,456.00	86,010	4,30,050	12,50,000	17,66,060
3	Siddharthnagar	32	29	602.00	60,280	3,01,400	7,25,000	10,86,680
Total		167	100	2,464.28	1,72,055	8,60,275	25,00,000	35,32,330

Appendix-XIX

Submission of duplicate/office copy/check post copy of MM-11 forms as evidence of royalty paid

(Reference para no. 3.10.2.1 Second bullet)

							Amount in ₹
Sl. No.	Name of district	No. of cases	Quantity (in cu.m.)	Royalty due	Price of Mineral due	Penalty leviable	Total amount due
(1)	(2)	(3)	(4)	(5)	(6) (Col. 5 * 5)	(7) (Col. 3 * ₹ 25,000)	(8) (Total of Col. 5, 6 & 7)
1	Banda	24	259	41,440	2,07,200	6,00,000	8,48,640
2	Fatehpur	17	251	30,260	1,51,300	4,25,000	6,06,560
3	Hamirpur	29	522	83,520	4,17,600	7,25,000	12,26,120
4	Kaushambi	15	255	34,570	1,72,850	3,75,000	5,82,420
5	Prayagraj	1	22	2,420	12,100	25,000	39,520
6	Siddharthnagar	159	2,836	3,13,760	15,68,800	39,75,000	58,57,560
Total		245	4,145	5,05,970	25,29,850	61,25,000	91,60,820

Appendix-XX

MM-11 forms used for different destinations

(Reference para no. 3.10.2.2)

							Amount in ₹
Sl. No.	Name of district	No. of cases	Quantity (in cu.m.)	Royalty due	Price of mineral due	Penalty leviable	Total amount due
(1)	(2)	(3)	(4)	(5)	(6) (Col. 5 * 5)	(7) (Col. 3 * ₹ 25,000)	(8) (Total of Col. 5, 6 & 7)
1	Bagpat	21	269.41	29,424	1,47,120	5,25,000	7,01,544
2	Banda	226	3,537.16	5,51,288	27,56,440	56,50,000	89,57,728
3	Bulandshahr	12	216.64	20,956	1,04,780	3,00,000	4,25,736
4	Fatehpur	47	725.00	1,16,000	5,80,000	11,75,000	18,71,000
5	Hamirpur	290	4,448.00	7,11,680	35,58,400	72,50,000	1,15,20,080
6	JP Nagar	81	869.77	81,413	4,07,065	20,25,000	25,13,478
7	KanpurDehat	70	1,345.57	1,77,643	8,88,215	17,50,000	28,15,858
8	Kaushambi	141	1,485.00	1,97,300	9,86,500	35,25,000	47,08,800
9	Muzaffarnagar	37	602.49	59,392	2,96,960	9,25,000	12,81,352
10	Siddharthnagar	16	320.46	36,891	1,84,455	4,00,000	6,21,346
Total		941	13,819.50	19,81,987	99,09,935	2,35,25,000	3,54,16,922

Appendix-XXI
Dates of MM-11 forms prior to award of work
(Reference para no. 3.10.2.3)

							Amount in ₹
Sl. No.	Name of district	No. of cases	Quantity (in cu.m.)	Royalty due	Price of Mineral due	Penalty leviable	Total amount due
(1)	(2)	(3)	(4)	(5)	(6) (Col. 5 * 5)	(7) (Col. 3 * ₹ 25,000)	(8) (Total of Col. 5, 6 & 7)
1	Baghpat	27	371.76	39,194	1,95,970	6,75,000	9,10,164
2	JP Nagar	18	205.24	22,693	1,13,465	4,50,000	5,86,158
3	Kaushambi	70	893.00	1,23,330	6,16,650	17,50,000	24,89,980
4	Prayagraj	165	2,196.00	2,57,560	12,87,800	41,25,000	56,70,360
5	Siddharthnagar	4	64.00	7,040	35,200	1,00,000	1,42,240
Total		284	3,730	4,49,817	22,49,085	71,00,000	97,98,902

Appendix-XXII
Dates of MM-11 forms after the dates of completion of work
(Reference para no. 3.10.2.4)

							Amount in ₹
Sl. No.	Name of district	No. of cases	Quantity (in cu.m.)	Royalty due	Price of mineral due	Penalty leviable	Total amount due
(1)	(2)	(3)	(4)	(5)	(6) (Col. 5 * 5)	(7) (Col. 3 * ₹ 25,000)	(8) (Total of Col. 5, 6 & 7)
1	Kaushambi	6	78.00	11,700	58,500	1,50,000	2,20,200
2	Prayagraj	4	68.00	10,880	54,400	1,00,000	1,65,280
3	Siddharthnagar	17	328.50	40,435	2,02,175	4,25,000	6,67,610
Total		27	474.50	63,015	3,15,075	6,75,000	10,53,090

Appendix-XXIII
Discrepancy in issuance of e-MM-11 forms
(Reference para no. 4.6.1)

Sl. No.	Name of DMO	No. of vehicles used to transport minerals	Range of type of vehicles used for a vehicle	No. of e-MM-11 forms generated	Quantity of mineral transported (in cu.m)
1.	Baghpat	357	2 to 4	18,969	2,05,565
2.	Banda	7,005	2 to 6	4,12,825	57,78,007
3.	Bulandshahr	189	2 to 4	12,842	1,24,209
4.	Chitrakoot	2,828	2 to 6	3,21,056	43,21,833
5.	Fatehpur	2,084	2 to 6	1,25,586	14,94,361
6.	GB Nagar	1,179	2 to 4	1,43,405	16,25,409
7.	Hamirpur	9,286	2 to 7	7,22,515	1,17,91,259
8.	JP Nagar	12	2 to 3	270	3,765
9.	Kanpur Dehat	812	2 to 5	30,853	4,59,472
10.	Kaushambi	2,121	2 to 6	2,81,182	28,91,465
11.	Mahoba	6,856	2 to 6	7,29,904	1,02,44,146
12.	Prayagraj	8,110	2 to 9	8,13,877	1,45,59,504
13.	Saharanpur	1,965	2 to 7	2,33,885	32,68,595
14.	Sambhal	326	2 to 4	24,456	2,80,934
15.	Shamli	759	2 to 7	69,494	10,35,624
16.	Siddharth Nagar	74	2 to 4	4,651	44,046
17.	Sonbhadra	2,446	2 to 5	1,21,992	14,96,687
Total		46,409	2 to 9	40,67,762	5,96,24,881

Appendix-XXIV
Excavation and transportation of minerals beyond permissible time
(Reference para no. 4.6.2)

Sl. No.	Name of DMO	No. of total e-MM-11 forms generated	No. of e-MM-11 forms generated during night time	Weight of mineral transported during night time
1	Baghpat	85,546	30,599	3,13,012
2	Banda	12,94,679	4,60,519	64,28,833
3	Bulandshahr	38,721	11,989	1,10,772
4	Chitrakoot	8,22,008	2,38,971	31,67,378
5	Fatehpur	4,07,665	1,65,827	16,96,562
6	GB Nagar	2,42,241	76,415	8,39,538
7	Hamirpur	16,98,455	6,72,577	1,05,06,101
8	JP Nagar	4,077	1,184	11,056
9	Kanpur Dehat	1,28,795	49,867	7,09,528
10	Kaushambi	7,64,129	2,60,723	25,78,406
11	Mahoba	29,55,394	9,62,485	1,28,65,815
12	Prayagraj	21,30,231	7,13,062	1,14,39,094
13	Saharanpur	4,89,834	1,15,735	15,70,679
14	Sambhal	82,713	27,845	2,60,667
15	Shamli	1,69,593	54,988	8,03,505
16	Siddharth Nagar	80,192	4,720	31,290
17	Sonbhadra	7,89,379	1,15,872	14,83,238
Total		1,21,83,652	39,63,378	5,48,15,474

Appendix-XXV
Mineral transported beyond permissible quantity
(Reference para no. 4.6.3)

Sl. No.	Name of DMO	No. of e-MM-11 forms generated	Range of mineral transported (in cu.m)	Range of permissible quantity (in cu.m)	Transported mineral quantity (in cu.m)	Permissible mineral quantity (in cu.m)	Overloaded quantity (in cu.m)
1	Baghpat	13,313	3 to 30	2.25 to 23.0	1,13,503	86,522	26,981
2	Banda	4,55,868	3 to 90	2.16 to 23.0	48,43,235	41,54,720	6,88,515
3	Bulandshahr	24,048	3 to 30	2.25 to 23.0	1,81,886	1,47,013	34,873
4	Chitrakoot	2,25,263	3 to 101	2.16 to 23.0	24,12,419	20,21,860	3,90,559
5	Fatehpur	1,92,147	3 to 30	2.25 to 23.0	12,15,461	9,81,850	2,33,612
6	GB Nagar	1,99,524	3 to 30	2.25 to 23.0	23,79,038	17,43,883	6,35,156
7	Hamirpur	4,49,388	3 to 63	2.16 to 23.0	64,88,845	56,94,936	7,93,909
8	JP Nagar	3,104	3 to 30	2.25 to 11.0	41,884	25,303	16,581
9	Kanpur Dehat	14,772	3 to 30	2.25 to 23.0	1,71,988	1,38,368	33,620
10	Kaushambi	2,82,221	3 to 32	2.25 to 23.0	14,80,371	11,28,131	3,52,240
11	Mahoba	2,20,882	3 to 400	2.16 to 23.0	30,08,651	26,20,671	3,87,980
12	Prayagraj	6,96,081	3 to 410	1.87 to 23.0	1,09,72,317	81,95,744	27,76,573
13	Saharanpur	88,761	3 to 30	2.25 to 23.0	11,21,974	8,57,757	2,64,217
14	Sambhal	46,704	3 to 30	2.25 to 23.0	3,57,268	2,46,268	1,11,000
15	Shamli	45,821	3 to 30	2.25 to 23.0	4,73,501	3,67,650	1,05,851
16	Siddharth Nagar	73,045	3 to 20	2.25 to 18.0	2,46,489	1,89,722	56,767
17	Sonbhadra	51,732	3 to 110	2.16 to 23.0	6,80,847	5,96,185	84,662
Total		30,82,674	3 to 410	1.87 to 23	3,61,89,677	2,91,96,581	69,93,096

Appendix-XXVI
Manipulation in distance of destination (within same district)
(Reference para no. 4.6.5)

Sl. No.	Name of DMO	Range of distance (in km)	No. of e-MM-11 forms generated	Quantity of mineral transported (in cu.m)
1	Baghpat	220 - 2,50,619	102	977
2	Banda	201 - 66,46,944	76,931	11,02,957
3	Bulandshahr	201 - 20,30,011	80	943
4	Chitrakoot	201 - 2,22,145	5,443	74,989
5	Fatehpur	201 - 35,48,424	6,081	73,891
6	GB Nagar	201 - 1,02,01,306	11,214	1,15,215
7	Hamirpur	202 - 56,44,654	49,404	7,39,561
8	JP Nagar	202 - 2,44,221	2	35
9	Kanpur Dehat	201 - 2,09,715	251	3,926
10	Kaushambi	202 - 21,54,887	26,067	2,86,398
11	Mahoba	205 - 64,64,646	4,630	54,990
12	Prayagraj	201 - 1,00,23,110	96,918	12,73,871
13	Saharanpur	202 - 3,42,147	2,592	34,799
14	Sambhal	215 - 2,44,412	79	964
15	Shamli	205 - 2,62,001	6,053	83,772
16	Siddharth Nagar	205 - 2,72,205	46	562
17	Sonbhadra	201 - 1,02,31,208	8,902	90,833
Total		201 – 1,02,31,208	2,94,795	39,38,683

Manipulation in distance of destination (between other districts)

Sl. No.	Name of DMO	Range of distance in KM	No. of e-MM-11 forms generated	Quantity of mineral transported in (cu.m)
1	Baghpat	1,230 - 56,64,878	22	217
2	Banda	1,210 - 50,02,207	959	13,654
3	Bulandshahr	1,520 - 7,09,627	18	222
4	Chitrakoot	1,230 - 55,55,555	516	8,037
5	Fatehpur	1,202 - 54,51,528	344	4,435
6	GB Nagar	1,230 - 2,50,002	52	565
7	Hamirpur	1,202 - 1,00,00,000	1,851	29,237
8	Kanpur Dehat	1,300 - 6,99,588	103	1,346
9	Kaushambi	1,210 - 85,25,696	3,201	47,578
10	Mahoba	1,220 - 80,02,356	1,791	23,488
11	Prayagraj	1,205 - 64,02,611	1,955	32,897
12	Saharanpur	1,203 - 3,50,183	75	1,096
13	Sambhal	1,202 - 45,65,645	28	413
14	Shamli	1,230 - 25,47,001	66	1,031
15	Siddharth Nagar	1,798 - 2,205	4	37
16	Sonbhadra	1,210 - 55,02,274	648	8,553
Total		1,202 – 1,00,00,000	11,633	1,72,806

Appendix-XXVII
Excavation/transportation of minerals in prohibited months
(Reference para no. 4.6.6)

Sl. No.	Name of DMO	Name of mineral	No. of lessee IDs	No. of e-MM-11 forms generated	Quantity of mineral (cu.m)
1	Banda	Morrum	4	4	58
2	Chitrakoot	Morrum	3	4	57
3	Fatehpur	Morrum	1	1	18
4	GB Nagar	Ordinary sand	99	28,712	2,87,671
5	Hamirpur	Morrum	18	241	5,860
6	Kanpur Dehat	Ordinary sand	1	1	18
7	Mahoba	Morrum	1	156	1,492
8	Prayagraj	Ordinary sand	4	5	64
9	Saharanpur	Ordinary sand	2	4	73
10	Shamli	Ordinary sand	10	3,041	44,635
11	Sonbhadra	Morrum	1	1	11
Total			144	32,170	3,39,957

Appendix-XXVIII
Failure to monitor the plantation
(Reference para no. 5.10)

Sl. No.	Name of DMO	No. of cases	Lease period		Area in acre	No. of saplings to be planted
(1)	(2)	(3)	(4)		(5)	(6) (Col. 5 * 200)
1	Baghpat	5	19-02-2018	17-06-2023	193	38,600
2	Banda	25	01-12-2018	17-12-2030	947	1,89,400
3	Bulandshahr	1	28-11-2020	27-11-2025	25	5,000
4	Chitrakoot	12	06-11-2018	04-01-2037	496	99,200
5	Fatehpur	10	23-01-2020	31-12-2026	783	1,56,600
6	GB Nagar	5	25-04-2018	10-02-2026	410	82,000
7	Hamirpur	20	04-12-2018	19-12-2031	1,209	2,41,800
8	Kanpur Dehat	9	06-12-2018	02-01-2027	399	79,800
9	Kaushambi	4	14-02-2020	31-12-2026	116	23,200
10	Mahoba	43	08-01-2019	05-01-2041	264	52,800
11	Prayagraj	20	07-01-2020	05-01-2042	1,038	2,07,600
12	Saharanpur	8	04-10-2019	02-01-2027	466	93,200
13	Shamli	6	16-01-2019	31-12-2027	266	53,200
14	Siddharthnagar	4	15-10-2018	02-01-2027	44	8,800
15	Sonebhadra	5	03-04-2018	03-01-2027	168	33,600
Total		177	19-02-2018	05-01-2042	6,824	13,64,800

Appendix-XXIX
Non-monitoring of recovery certificates
(Reference para no. 5.11)

Sl. No.	Name of district	No. of recovery certificates issued	Period in which recovery certificate issued from	to	Amount of recovery certificates	Recovered amount	Difference
1	Banda	2	December-2019		15,28,54,650		15,28,54,650
2	Fatehpur	13	August-2019	September-2021	25,47,35,865		25,47,35,865
3	Hamirpur	77	January-2018	July-2021	2,20,00,20,463		2,20,00,20,463
4	Kanpur Dehat	4	June-2019	July-2020	4,44,81,562		4,44,81,562
5	Kaushambi	18	March-2019	March-2021	71,47,96,328		71,47,96,328
6	Prayagraj	25	July-2019	December-2021	66,24,22,123	22,548	66,23,99,575
7	Shamli	5	September-2017	January-2019	75,08,600		75,08,600
8	Sonebhadra	11	October-2020	December-2021	6,16,68,732	1,16,45,000	5,00,23,732
Total		155	September-2017	December-2021	4,09,84,88,323	1,16,67,548	4,08,68,20,775