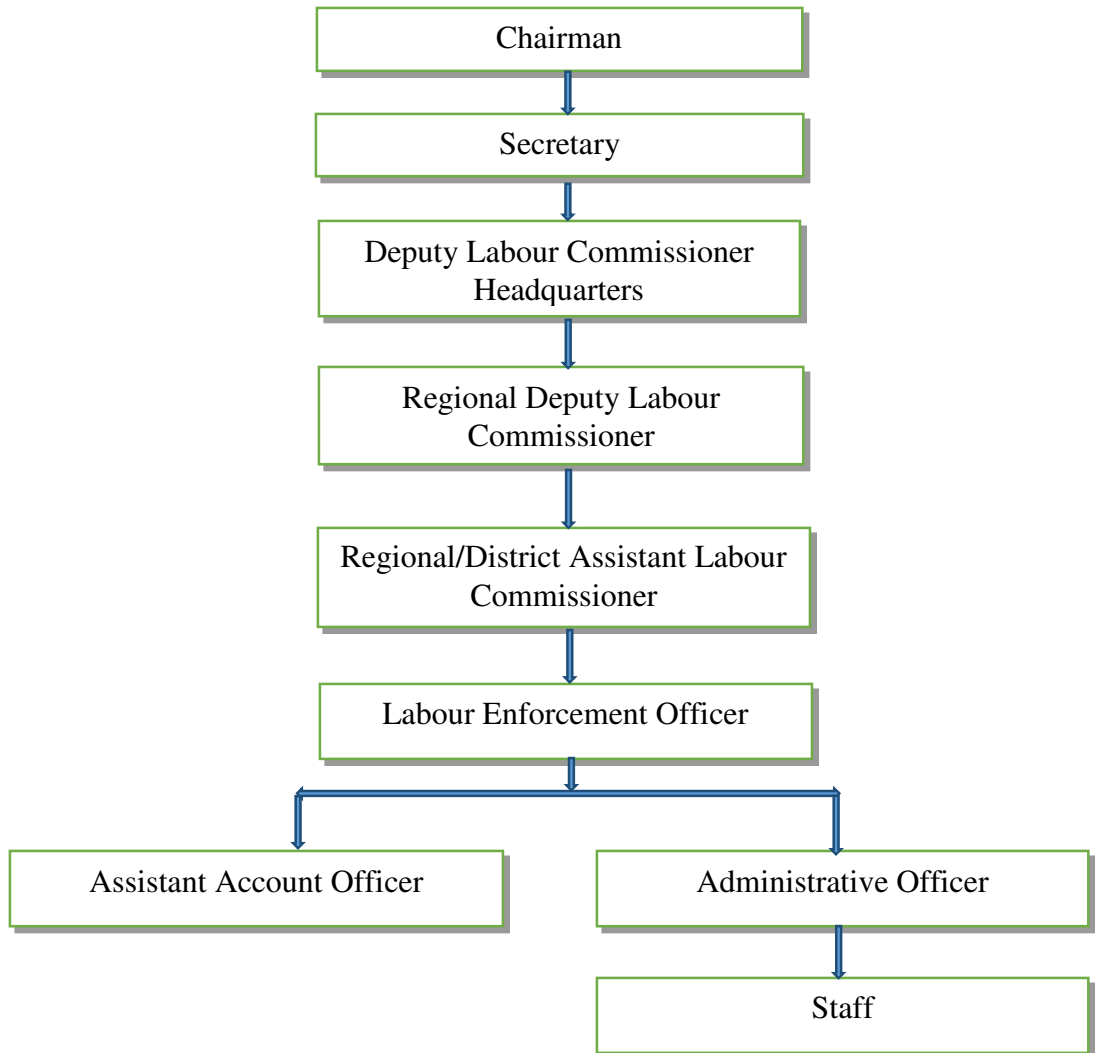


# **Appendices**



**Appendix-1.1**  
*(Reference: Paragraph 1.1, Page-2)*

**Uttarakhand Building and Other Construction Workers Welfare Board**



**Appendix-1.2**

*(Reference: Paragraph 1.5, Page-4)*

**Records that were not produced by the entities**

<b>Sl. No</b>	<b>Name of the entity</b>	<b>Records not produced</b>
1	Uttarakhand Building and Other Construction Workers Welfare Board	1-Statement of all Bank Accounts of UKBOCW Board. 2-Copies of Fixed Deposit Receipts 3-Records related to the procurement of cycle, toolkit and ration kits- (a) Publication of tender (b) Documents of bidder (c) Evaluation of bids (d) Comparative statement 4- Sample of Online Form for registration of beneficiaries and establishments 5- Number of challans issued and details of recoveries on account of GIS Survey
2	Peyjal Sansadhan evam Vikas Nigam, Rudrapur	Compiled record of year-wise cess collected and remitted to the Board
3	Labour Department	Information regarding intimation of commencement of work by the employer under section 48 of the BOCW Act.

## Appendix-2.1

(Reference: Paragraph 2.2.2, 2.2.3 &amp; 4.5, Page-8, 8 &amp; 21)

## Joint Inspection list of 19 establishment conducted by Audit

Sl. No.	Name of sampled worksites	Number of construction workers employed at worksites			Registration Status of workers
		Number of workers from outside the State	Number of workers from the State	Total number of workers	
1	2	3	4	5	6
1	Construction work of commerce block at degree college, Rudrapur, U S Nagar	08	04	12	00
2	Auditorium Hall, Dineshpur, Rudrapur, U S Nagar	00	11	11	00
3	Library and Digital Library Building at Tharu GIC Building, Khatima, U S Nagar	08	00	08	00
4	Mansik Rogiyo ka Punarwas Griha, Phoolsunga, Rudrapur U S Nagar	15	10	25	00
5	Interface Microsystem, Pantnagar, Rudrapur, U S Nagar	20	00	20	00
6	Archit Panel Industries Pvt Ltd., SIDCUL industrial area, Sitarganj, U S Nagar	20	40	60	15
7	Canteen Block of Hospital, Bagwara, Rudrapur, U S Nagar	06	05	11	00
8	Venus Avenue Complex, Rudrapur, U S Nagar	05	20	25	00
9	Mukhyamantri Ghoshna (Vanchetna Maidan se Ramesh Chandraji ke Makan Tak) U S Nagar	00	10	10	00
10	Pachauriya mein kache marg ka interlocking tiles nirman, Pachauriya U S Nagar	00	12	12	00
11	Construction of Tehsil Building in Sitarganj, U S Nagar	00	08	08	00
12	Road widening of Katapathar judo motor marg, Vikas nagar, Dehradun	40	15	55	15
13	Construction of road and drainage in ward 89 and 90 of Dharampur Legislative Assembly, Harbhajwala, Dehradun	17	05	22	00

Sl. No.	Name of sampled worksites	Number of construction workers employed at worksites			Registration Status of workers
		Number of workers from outside the State	Number of workers from the State	Total number of workers	
1	2	3	4	5	6
14	Construction of Dhuttu Gandhakpani motor road, Akhandwali Bhilang Dehradun	00	18	18	00
15	Widening and renovation of Jogiwala-Raipur-Sahastradhara Crossing Road near Khairi Maan Singh, Mayur Vihar Sahastradhara Road, Dehradun	50	00	50	00
16	Construction of 240 M Vidalna Bridge, Vidalna Bridge Thano Bhogpur KM 3, Rishikesh, Dehradun	13	08	21	00
17	Upgradation of athletic pavilion building at Maharana Pratap Sports College, Dehradun, Raipur, Dehradun	00	05	05	00
18	Road Widening and Car parking	00	12	12	08
19	Construction of 200 bedded hostel at MPSC, Raipur, Dehradun	13	02	15	00
	<b>Total</b>	<b>215</b>	<b>185</b>	<b>400</b>	<b>38</b>

**Appendix-2.2**  
(Reference: Paragraph 2.2.5, Page-9)

**Analysis of data of registered workers during 2017-22 in Dehradun and Udham Singh Nagar**

- Aadhaar number was not captured for 30,945 (16.5 per cent) beneficiaries.
- Bank Account number not captured.

Bank account number was not present in 4,034 (2.15 per cent) registered workers and certain bank account was linked to more than one beneficiary. Details mentioned below.

Sl. No.	District	Account Number	Registered workers attached
1	Dehradun	886000100289136	48
2		16042121017797	55
1	U S Nagar	5947220009	51

- Single Bank account number shows its existence in two different banks

A single bank account number showing its existence in more than one bank and linked to more than one registered worker

Sl. No.	District	Account Number	Single Bank account number shows its existence in two different banks.
1	Dehradun	3907000103165770	SBI and PNB
2		1168104000012870	IDBI and BOB
3		16032121001568	UCO and OBC
4		30586649056	Union Bank and SBI
5	U S Nagar	17450100011728	BOB and SBI

- Bulk registration of single mobile number

Mobile number was not present in 14,936 (7.95 per cent) registered workers while some mobile numbers were registered against employees in bulk. Details mentioned below:

Sl. No.	District	Phone Number	Registered workers attached
1	Dehradun	6395533053	57
2		8630662958	61
3		9756469291	110
4		9536807803	118
5		8630564246	116
6		9999999999	185
7	U S Nagar	9012796500	54
8		9865321478	64
9		7535007097	87
10		9690852124	77

- Overlooking the Age-criteria

Registered workers were found above 60 years of age while some were still minor i.e., less than 18 year old. Table below shows age wise ineligible workers registered.

Sl. No.	District	Year	Beneficiary above 60	Total above 60
1	DDN	2017-18	1,262	2,233
2	U S Nagar	to 2021-22	971	
	District	Year	Beneficiary Below 18	Total Below 18
1	Dehradun	2017-18	7	12
2	U S Nagar	to 2021-22	5	

- **Double registration of worker**

A single beneficiary was registered twice and having two registration numbers. Test checked cases mentioned below:

Sl. No.	District	Enrolment number	How many times registered
1	Dehradun	05076090599569 05076090008313	2
2		05076090008316 05075990601074	2
3		05075990655486 05075990655489	2
4		05076190633399 05076190617239	2
5	U S Nagar	05031193126327 05031193173089	2
6		050311299318006 050311299320265	2
7		05031193132284 05031193174051	2
8		05031193137816 05031193174636	2
9		05031193128590 050311599319845	2
10		05032393147220 05031193147218	2
11		05031193131867 05032493176127	2



**Appendix-3.1**  
(Reference: Paragraph 3.1.1, Page-11)

**Details of approved building plans having covered area zero**

Sl. No.	Application No.	Total Covered area (Sqm)	Net plot area (Sqm)	Ground coverage (per cent)	Labour cess paid to MDDA (in ₹)
1	MAP/P/R/1972/19-20	0	0	72.03	0
2	MAP/P/R/2053/19-20	0	16.38	54.15	0
3	MAP/P/C/1657/19-20	0	0	58.55	0
4	MAP/P/R/2441/19-20	0	142.42	69.6	0
5	MAP/P/R/2501/19-20	0	241.31	47.59	0
6	MAP/P/R/2525/19-20	0	7.37	53.38	0
7	MAP/P/C/1728/19-20	0	0	63.51	0
8	MAP/P/R/3477/19-20	0	412.63	38.29	0
9	MAP/P/R/3809/19-20	0	103.98	64.55	0
10	MAP/P/R/3853/19-20	0	87.45	67.26	0
11	MAP/P/R/1097/20-21	0	0	65.01	0
12	MAP/P/R/1183/20-21	0	0.09	74.15	0
13	MAP/P/C/0455/21-22	0	900.11	44.56	0
14	MAP/P/R/5350/21-22	0	1028	44.62	0
	<b>Total</b>	<b>0</b>	<b>2,939.74</b>		<b>0</b>

**Appendix-3.2**  
**(Reference: Paragraphs 3.1.3, Page-12)**

**Details of scrutiny of test checked approved Map**

*(₹ in lakh)*

<b>Application No.</b>	<b>MAP/P/C/2000/19-20</b>	<b>MAP/P/R/2039/16-17</b>
The total covered area as per database (Sq.m)	40,416.38	67,389.50
Cess as per the adopted formula	72.63	119.89
Cess deposited	24.03	0
Cess due	48.60	119.89

**Appendix-3.3**  
(Reference: Paragraphs 3.1.5, Page-12)

**Details of plinth area rate for computation of cess as per Uttarakhand GO (December 2016)**

Sl. No.	Type of building	Type of plot	Equivalent Plinth area	Category equivalent to Public Works Department	Plinth area rate per sq. m (Load Bearing Structure)		Plinth area rate per square meter (RCC Frame Structure)	
					in the hilly region	in the plains	in the hilly region	in the plains
<b>A. Residential building</b>								
1	Weak Income Group	Plots up to 50 sq. m.	42.95 sq. m	Type-I	17,210	-	-	-
2	Low Income Group	More than 50 sqm and up to 100 sqm	From 57.70 sqm to 79.00 sqm	Type—II	17,210	-	-	-
3	Middle Income Group	More than 100 sqm and less than 200 sqm	From 125 sqm to 178 sq m	Type-IV	18,870	15,900	21,320	17,970
4	High Income Group	More than 200 sqm	225 sq. m	Type-V	20,530	17,300	21,320	17,970
<b>B Non-residential building</b>								
1	Moderate non-residential	Up to 200 sq. m			19,440	15,380	21,110	17,790

**Appendix-3.4**  
*(Reference: Paragraph 3.3.1, Page-14)*

**Details of diverted cess fund of ₹ 1.49 crore to Government revenue**

*(₹ in lakh)*

Year	PWD, TD Rishikesh, Dehradun		PWD, CD, Dehradun		PWD, Khatima, U S Nagar	
	Cess collected	Remitted to Head	Cess collected	Remitted to Head	Cess collected	Remitted to Head
2017-18	NA	-	58.33	023000106000000	16.37	023000106000000
2018-19	17.74	023000106000000	56.95	023000106000000	NA	-
<b>Total</b>	<b>17.74</b>		<b>115.28</b>		<b>16.37</b>	
<b>Grand Total- 149.39</b>						

*NA=Not Available.*

**Appendix-6.1**  
(Reference: Paragraph 6.5, Page-39)

**Details of officer and officials appointed at UKBOCW Board**

<b>Name of Post</b>	<b>Sanctioned</b>	<b>2017-18</b>	<b>2018-19</b>	<b>2019-20</b>	<b>2020-21</b>	<b>2021-22</b>
Chairman UKBOCW	According to BOCW Act	1	1	1	1	1
Secretary UKBOCW	According to BOCW Act	1	1	1	1	1
Deputy Labour Commissioner	No approved Human Resource Structure	-	-	-	1	-
Assistant Labour Commissioner	No approved Human Resource Structure	-	-	1	1	-
Senior Administration Officer	No approved Human Resource Structure	-	1	1	-	-
Administration Officer	No approved Human Resource Structure	-	1	1	-	1
Medical Officer	No approved Human Resource Structure	-	-	1	1	-
Senior Executive	No approved Human Resource Structure	-	-	1	1	-
Senior Assistant	No approved Human Resource Structure	1	1	1	1	1
Coordinator	No approved Human Resource Structure	-	1	1	1	-
Accountant (UPNL)	No approved Human Resource Structure	-	-	-	-	1
DEO (UPNL/PRD)	No approved Human Resource Structure	10	10	17	26	6
Peon (PRD)	No approved Human Resource Structure	2	3	4	2	4
Driver (PRD)	No approved Human Resource Structure	-	2	4	4	4
<b>Total</b>		<b>15</b>	<b>21</b>	<b>34</b>	<b>40</b>	<b>19</b>

**Appendix-6.2**

*(Reference: Paragraph 6.8.1 (v), Page-41)*

**Details of Workers Facilitation Centre not established within scheduled period**

<b>Sl. No.</b>	<b>Location of WFC</b>	<b>Date of scheduled operation of WFC as per agreement</b>	<b>Date of actual operation of WFC</b>	<b>Delay in days</b>	<b>Amount paid before operation of WFC</b>
1	U.S. Nagar (Rudrapur)	22 October 2019	19 November 2019	28	2,03,747
2	U.S. Nagar (Kashipur)	22 October 2019	09 November 2021	749	54,50,226
3	Nainital (Bhimtal)	22 October 2019	16 November 2019	25	1,81,917
4	Pauri garhwal (Kotdwar)	22 October 2019	16 November 2019	25	1,81,917
5	Haridwar	22 October 2019	28 December 2019	67	4,87,537
6	Nainital (Haldwani)	22 October 2019	05 December 2019	44	3,20,173
7	Nainital (Ramnagar)	22 October 2019	24 December 2019	63	4,58,430
8	Dehradun (Ajabpur)	22 October 2019	17 December 2019	56	4,07,494
9	Dehradun (Rishikesh)	22 October 2019	24 December 2019	63	4,58,430
10	Haridwar (Roorkee)	22 October 2019	23 December 2019	62	4,51,154
11	Pauri Garhwal (Srinagar)	22 October 2019	08 January 2020	78	5,67,580
<b>Total</b>					<b>91,68,605</b>