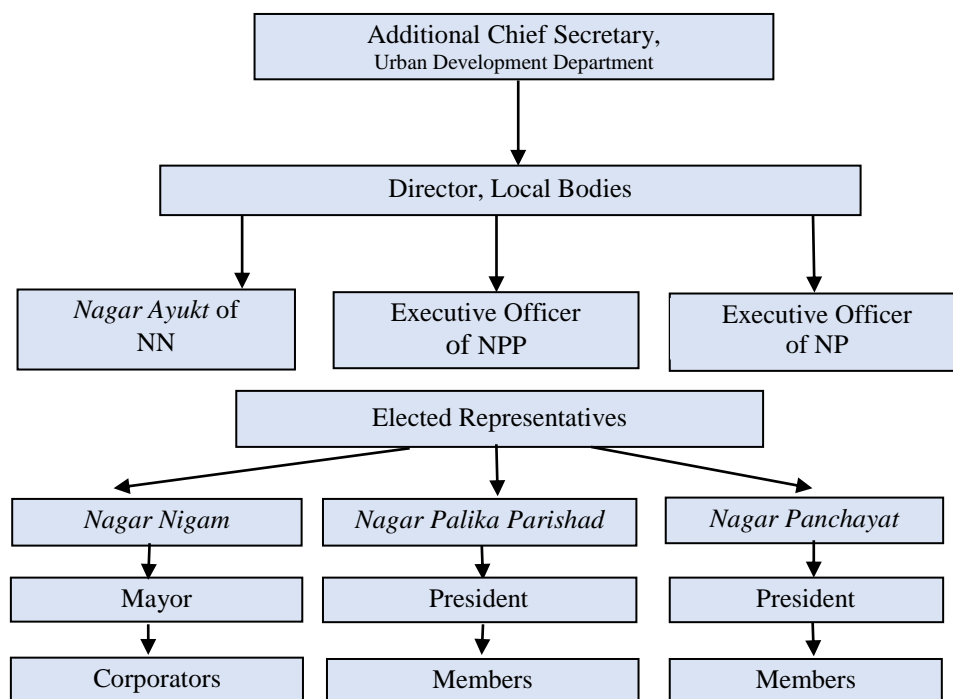


Appendix - I*(Reference: Paragraph 1.4, Page 2)***The organisational structure for functioning of ULBs in the State**

(Source: Director, Local Bodies, Lucknow)

Appendix - II

(Reference: Paragraph 2.3, Page 4)

List of selected units

Sl. No.	Name of districts	Name of selected NNs	Name of selected NPPs	Name of selected NPs
1	Lucknow	Lucknow	-	Itaunja
			-	Bakshi Ka Talab
2	Moradabad	Moradabad	Thakurdwara	Umri Kalan
			Bilari	Pakbara
3	Mathura	Mathura-Vrindavan	Kosikalan	Gokul
				Chhata
4	Jhansi	Jhansi	Mauranipur	Kathera
			Gursarai	Ranipur
			Chirgaon	
5	Firozabad	-	Tundla	Fariha
			Sirsaganj	Eka
6	Rampur	-	Rampur	Maswasi
			Swar	Shahabad
			Bilaspur	
7	Mirzapur	-	Mirzapur	Kachhwa
8	Amroha	-	Amroha	Joya
			Bachhraon	Naugawan Sadat
9	Lakhimpur Kheri	-	Lakhimpur	Oel Dhakwa
			Palia Kalan	Kheri
10	Ballia	-	Ballia	Maniyar
			Rasra	Bairiya
11	Pratapgarh	-	Belha Pratapgarh	Katra Medniganj
				Kunda
12	Bhadohi	-	Bhadohi	Gyanpur
				Khamaria
13	Lalitpur	-	Lalitpur	Pali
				Talbehat

Appendix - III

(Reference: Paragraphs 4.1, 5.3.1 & 5.3.2, Page 15, 37 & 38)

Status of devolution of functions in selected NNs and NPPs

Functions	ULBs																									
	NN Lucknow	NN Jhansi	NN Moradabad	NN Mathura	NPP Mauranipur	NPP Gurusarai,	NPP Chirgaon,	NPP Rampur	NPP Bilaspur,	NPP Swar	NPP Ballia	NPP Rasada	NPP Koshikalan	NPP Amroha	NPP Bachraon	NPP Lakhimpur	NPP Palia kalan	NPP Bhadohi	NPP Mirzapur	NPP Thakurdwara	NPP Bilari	NPP Tundala	NPP Sirsaganj	NPP Lalitpur	NPP Belha Pratapgarh	
Urban planning including town planning	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND
Regulation of land-use and construction of buildings	ND	ND	ND	ND	PD	PD	PD	ND	PD	ND	ND	PD	ND	ND	PD	ND	PD	ND	ND	PD	PD	ND	ND	ND	ND	ND
Planning for economic and social development	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND
Roads and bridges	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD
Water supply for domestic, industrial and commercial purposes	PD	ND	PD	PD	ND	ND	ND	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	ND	PD
Public health, sanitation conservancy and solid waste management.	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD
Fire services	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND
Urban forestry, protection of the environment and promotion of ecological aspects.	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	ND	ND	ND	ND	ND	ND
Safeguarding the interests of weaker sections of society, including the handicapped and mentally retarded	PD	PD	ND	PD	ND	ND	ND	ND	ND	ND	ND	ND	PD	PD	PD	PD	PD	PD	PD	ND	ND	ND	ND	ND	ND	ND
Slum improvement and upgradation	PD	PD	PD	PD	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND

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Urban poverty alleviation	PD	PD	PD	PD	ND	ND	ND	ND	ND	ND	ND	ND	PD	PD	ND	PD	ND	PD	PD	ND	ND	ND	ND	ND	ND
Provision of urban amenities and facilities such as parks, gardens, playgrounds	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	ND	ND	PD	PD	PD	PD	PD	PD	PD
Promotion of cultural, educational and aesthetic aspects	PD	PD	PD	PD	ND	ND	ND	ND	ND	PD	ND	ND	PD	PD	PD	ND	PD	PD	PD	PD	ND	ND	ND	ND	PD
Burials and burial grounds; cremations, cremation grounds	D	D	D	D	D	D	D	D	D	D	D	ND	D	D	D	ND	ND	ND	D	D	D	D	D	D	
Cattle pounds; prevention of cruelty to animals	PD	PD	PD	PD	ND	ND	PD	PD	PD	ND	ND	ND	PD	PD	PD	PD	PD	PD	PD	ND	ND	ND	ND	PD	
Vital statistics including birth and death registration	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	
Public amenities including street lighting, parking lots, bus stops and public conveniences.	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	PD	
Regulation of slaughter houses and tanneries	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	ND	

(Source : Information Provided by test-checked ULBs)

D-Devolved, PD-Partially Devolved and ND-Not Devolved

Functions under control of ULBs are represented by

Functions under control of multiple agencies are represented by



Appendix-IV

(Reference paragraph no.4.1, page no.15)

Details of expenditure on overlapping activities by five NNs and concerned DAs

(₹ in crore)

Particulars	2017-18	2018-19	2019-20	2020-21	2021-22
NN-Jhansi					
Development and Maintenance of Parks/Lawns and Plantation	2.17	2.57	1.50	0.68	0.17
Construction and Maintenance of roads from State Finance Commission Grants	27.46	26.03	17.68	18.45	23.13
Construction and Maintenance of drainage	1.41	0.00	0.00	0.00	1.12
Development work from Infrastructural Development Fund	1.05	5.35	2.01	0.91	9.80
Nagariy Sadak Sudhar Yojana	0.52	0.00	0.00	0.00	0.00
Road Work from Central Finance Commission Grants	18.09	35.95	14.46	100.26	20.19
Amrut Scheme	37.64	2.18	1.18	3.80	0.29
Drinking water/Handpump/water supply	0.00	0.00	0.00	7.09	15.19
Total	88.34	72.08	36.83	131.19	69.89
DA-Jhansi					
Development work from Infrastructural Development Fund	01.25	10.63	14.49	22.25	25.10
Total	01.25	10.63	14.49	22.25	25.10
NN-Lucknow					
New construction work	10.91	16.54	1.82	2.18	0.94
Development work for urban poor	1.73	0.28	0.03	0.01	0.04
Maintenance of Parks	17.69	19.34	23.41	24.98	29.60
Development work from Infrastructural Development fund	108.95	21.89	25.74	3.30	0.00
Central Finance Commission Grants	79.63	40.20	129.34	117.77	174.15
Amrut	338.33	2.24	2.47	1.43	1.32
Total	557.24	100.49	182.81	149.67	206.05
DA-Lucknow					
Exp on development works	115.80	84.59	72.29	143.44	103.47
Maintenance of schemes	2.78	2.42	1.83	3.45	9.34
Exp from Infrastructural Development fund	56.43	110.23	34.32	24.75	45.80
Total	175.01	197.24	108.44	171.64	158.61
NN-Mathura-Vrindavan					
Exp on water supply	5.25	5.33	4.07	39.78	50.63
Drainage	0.33	0.04	1.40	2.37	0.00
Slum development (Road and drainage)	0.00	0.00	0.02	0.19	0.02
Maintenance of road	3.94	1.31	2.27	6.57	13.96
Development of parks	0.00	0.05	0.32	0.15	0.36
Construction of roads	8.14	3.76	5.93	11.45	11.39
Central Finance Commission Grants	2.28	9.31	16.82	54.58	25.83

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Exp from Infrastructural Development Fund	0.74	1.46	1.82	1.67	0.18
Amrut	0.23	0.44	0.56	0.19	0.38
Exp from Road cutting charges	0.00	0.55	1.39	0.00	1.52
Total	20.91	22.25	34.60	116.95	104.27
DA-Mathura Vrindavan					
Exp on development works	4.05	4.87	4.21	4.55	17.47
Development work from Government Grants	4.08	0.89	0.65	0.01	0.00
Exp from Infrastructural Development fund	24.32	25.43	41.44	29.62	33.18
Parks and Plantation works	0.09	0.03	0.46	0.23	0.84
Total	32.54	31.22	46.76	34.41	51.49
NN-Moradabad					
Construction of roads and others	18.55	18.84	30.62	14.72	11.03
Water supply	12.63	11.82	13.16	12.83	5.70
Exp on parks	0.53	0.61	0.72	1.09	1.10
Exp on drainage	9.38	7.63	8.99	5.51	2.57
Central Finance Commission Grants	8.66	37.46	44.49	80.25	42.19
Amrut	4.54	1.71	1.55	0.68	1.63
Total	54.29	78.07	99.53	115.08	64.22
DA-Moradabad					
Exp on parks and horticulture	1.49	1.61	0.34	0.22	0.21
Exp from Infrastructural Development fund	10.77	3.93	2.51	10.12	0.42
Exp on development works	31.35	37.14	41.80	29.90	19.65
Total	43.61	42.68	44.65	40.24	20.28
NN-Prayagraj					
Development for basic facilities for weaker section, urban poor including women empowerment (road and other work)	0.15	0.09	0.00	0.03	0.00
Maintenance of park, open space, plantation etc.	1.04	0.98	1.07	2.48	6.55
Expenditure on different development works under Infrastructural fund	20.14	31.53	5.00	5.14	3.28
<i>Nagariy Sadak Sudhar Yojana</i>	0.15	0.90	0.00	0.00	0.00
Development fund for road, lane and drainage	5.21	0.52	1.09	14.61	25.42
Sanitation-Slum area development	0.06	0.09	0.00	0.00	0.00
Amrut scheme	0.05	2.85	1.48	3.73	1.02
Central Finance Commission Grants	30.49	63.16	70.14	61.46	89.09
Road work through cutting charges	0.00	0.09	0.03	0.00	0.00
Total	57.29	100.21	78.81	87.45	125.36
DA-Prayagraj					
Beautification of parks and horticulture work	2.62	0.88	0.39	0.49	0.56
Development work	3.75	0.18	3.08	6.91	5.56
Work from the Infrastructural development fund	34.00	76.02	35.38	10.98	4.65
Total	40.37	77.08	38.85	18.38	10.77

(Source: Annual Accounts and Budget documents of the concerned entities)

Appendix - V

(Reference: Paragraph 4.2.3.1, Page 21)

Details of meetings of Councils held during 2015-20 in test-checked ULBs

Name of District	Name of ULB	Year	No. of Meetings to be held as per provisions	Total no. of meetings actually held
<i>Nagar Nigams</i>				
Lucknow	NN Lucknow	2015-16	6	4
		2016-17	6	1
		2017-18*	3	2
		2018-19	6	6
		2019-20	6	6
Moradabad	NN Moradabad	2015-16	6	3
		2016-17	6	1
		2017-18*	3	2
		2018-19	6	2
		2019-20	6	2
Mathura	NN Mathura	2015-16	0	0
		2016-17	0	0
		2017-18**	3	1
		2018-19	6	3
		2019-20	6	3
Jhansi	NN Jhansi	2015-16	6	3
		2016-17	6	3
		2017-18	3	3
		2018-19	6	3
		2019-20	6	4
Total			96	52
<i>Nagar Palika Parishads</i>				
Jhansi	Mauranipur	2015-16	12	3
		2016-17	12	2
		2017-18	6	3
		2018-19	12	3
		2019-20	12	4
	Chirgaon	2015-16	12	11
		2016-17	12	10
		2017-18	6	2
		2018-19	12	8
		2019-20	12	6
	Gursarai	2015-16	12	11
		2016-17	12	12
		2017-18	6	7
		2018-19	12	7
		2019-20	12	9
Rampur	Rampur	2015-16	12	5
		2016-17	12	3
		2017-18	6	2
		2018-19	12	3
		2019-20	12	2
	Swar	2015-16	12	1

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		2016-17	12	1
		2017-18	6	1
		2018-19	12	3
		2019-20	12	1
	Bilaspur	2015-16	12	3
		2016-17	12	3
		2017-18	6	2
		2018-19	12	3
		2019-20	12	1
Ballia	Ballia	2015-16	12	7
		2016-17	12	11
		2017-18	6	7
		2018-19	12	0
		2019-20	12	0
	Rasra	2015-16	12	11
		2016-17	12	11
		2017-18	6	8
		2018-19	12	7
		2019-20	12	8
Moradabad	Thakurdwara	2015-16	12	4
		2016-17	12	2
		2017-18	6	2
		2018-19	12	2
		2019-20	12	4
	Bilari	2015-16	12	2
		2016-17	12	1
		2017-18	6	3
		2018-19	12	3
		2019-20	12	2
Firozabad	Tundla	2015-16	12	6
		2016-17	12	11
		2017-18	6	3
		2018-19	12	5
		2019-20	12	8
	Sirsaganj	2015-16	12	2
		2016-17	12	2
		2017-18	6	3
		2018-19	12	7
		2019-20	12	6
Lalitpur	Lalitpur	2015-16	12	8
		2016-17	12	7
		2017-18	6	3
		2018-19	12	6
		2019-20	12	4
Pratapgarh	Belha Pratapgarh	2015-16	12	3
		2016-17	12	2
		2017-18	6	2
		2018-19	12	3
		2019-20	12	2
Mathura	Koshikalan	2015-16	12	1

		2016-17	12	2
		2017-18	6	2
		2018-19	12	3
		2019-20	12	1
Amroha	Amroha	2015-16	12	4
		2016-17	12	2
		2017-18	6	3
		2018-19	12	3
		2019-20	12	2
	Bachraun	2015-16	12	5
		2016-17	12	1
		2017-18	6	3
		2018-19	12	5
		2019-20	12	2
Lakhimpur Kheri	Lakhimpur	2015-16	12	7
		2016-17	12	2
		2017-18	6	3
		2018-19	12	2
		2019-20	12	2
	NPP Palia Kalan	2015-16	12	6
		2016-17	12	3
		2017-18	6	4
		2018-19	12	10
		2019-20	12	6
Bhadohi	Bhadohi	2015-16	12	6
		2016-17	12	3
		2017-18	6	3
		2018-19	12	6
		2019-20	12	7
Mirzapur	Mirzapur	2015-16	12	4
		2016-17	12	2
		2017-18	6	2
		2018-19	12	8
		2019-20	12	7
Total			1134	452
Nagar Panchayats				
Lucknow	Bakshi ka Tallab	2015-16	12	10
		2016-17	12	7
		2017-18	6	2
		2018-19	12	8
		2019-20	12	2
	Itaunja	2015-16	12	10
		2016-17	12	5
		2017-18	6	2
		2018-19	12	8
		2019-20	12	9
Jhansi	Ranipur	2015-16	12	2
		2016-17	12	2
		2017-18	6	2
		2018-19	12	2

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	Kathera	2019-20	12	2
		2015-16	12	2
		2016-17	12	2
		2017-18	6	2
		2018-19	12	2
		2019-20	12	3
Rampur	Shahbad	2015-16	12	3
		2016-17	12	1
		2017-18	6	1
		2018-19	12	2
		2019-20	12	1
	Maswasi	2015-16	12	2
		2016-17	12	1
		2017-18	6	2
		2018-19	12	2
		2019-20	12	2
Ballia	Maniyar	2015-16	0	0
		2016-17	0	0
		2017-18	3	3
		2018-19	12	8
		2019-20	12	1
	Bairia	2015-16	0	0
		2016-17	0	0
		2017-18	3	3
		2018-19	12	8
		2019-20	12	11
Moradabad	Pakbara	2015-16	0	0
		2016-17	0	0
		2017-18	3	2
		2018-19	12	4
		2019-20	12	6
	Umri Kalan	2015-16	12	10
		2016-17	12	10
		2017-18	6	9
		2018-19	12	11
		2019-20	12	10
Firozabad	Fariha	2015-16	12	8
		2016-17	12	2
		2017-18	6	3
		2018-19	12	9
		2019-20	12	9
	Eka	2015-16	0	0
		2016-17	0	0
		2017-18	3	2
		2018-19	12	9
		2019-20	12	10
Lalitpur	Pali	2015-16	12	7
		2016-17	12	6
		2017-18	6	3
		2018-19	12	6

	Talbehat	2019-20	12	5
		2015-16	12	9
		2016-17	12	12
		2017-18	6	9
		2018-19	12	12
		2019-20	12	9
Pratapgarh	Kunda	2015-16	12	3
		2016-17	12	8
		2017-18	6	5
		2018-19	12	3
		2019-20	12	3
	Katra Medniganj	2015-16	12	5
		2016-17	12	6
		2017-18	6	1
		2018-19	12	2
		2019-20	12	0
Mathura	Gokul	2015-16	12	3
		2016-17	12	1
		2017-18	6	3
		2018-19	12	4
		2019-20	12	2
	Chatta	2015-16	12	2
		2016-17	12	1
		2017-18	6	2
		2018-19	12	3
		2019-20	12	2
Amroha	Joya	2015-16	12	9
		2016-17	12	9
		2017-18	6	2
		2018-19	12	8
		2019-20	12	2
	Naugawan Sadat	2015-16	12	12
		2016-17	12	9
		2017-18	6	5
		2018-19	12	6
		2019-20	12	4
Lakhimpur Kheri	Oel Dhakwa	2015-16	12	9
		2016-17	12	8
		2017-18	6	8
		2018-19	12	12
		2019-20	12	9
	Kheri	2015-16	12	4
		2016-17	12	5
		2017-18	6	2
		2018-19	12	5
		2019-20	12	4
Bhadohi	Gyanpur	2015-16	12	9
		2016-17	12	11
		2017-18	6	5
		2018-19	12	11

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	Khamariya	2019-20	12	5
		2015-16	12	6
		2016-17	12	4
		2017-18	6	5
		2018-19	12	9
		2019-20	12	3
Mirzapur	Kachhwa	2015-16	12	6
		2016-17	12	2
		2017-18*	6	3
		2018-19	12	8
		2019-20	12	9
Total			1242	614
Grand Total			2472	1118

(* There was no existence of Councils during the period July 2017 to December 2017,

** Council was constituted in January 2018 after formation of NN/NP)

Appendix - VI

(Reference: Paragraph no. 4.2.3.7 & 7.7.1 Page 25 & 90)

Status of preparation and approval of budget estimates in test-checked ULBs

Name of District	Name of ULB	Year	Date of Submission to Council	Date of Approval by council	Whether sent to Director
<i>Nagar Nigams</i>					
Lucknow	Lucknow	2015-16	30-03-2015	30-03-2015	No
		2016-17	31-03-2016	31-03-2016	No
		2017-18	30-03-2017	30-03-2017	No
		2018-19	29-03-2018	29-03-2018	No
		2019-20	07-07-2019	07-07-2019	No
Moradabad	Moradabad	2015-16	Not prepared		
		2016-17	30-03-2016	30-03-2016	No
		2017-18	05-05-2017	09-05-2017	No
		2018-19	07-05-2018	07-05-2018	No
		2019-20	09-06-2019	15-06-2019	No
Mathura	Mathura-Vrindavan**	2018-19	12-04-2018	12-04-2018	No
		2019-20	09-08-2019	09-08-2019	No
Jhansi	Jhansi	2015-16	31-03-2015	31-03-2015	No
		2016-17	15-03-2016	15-03-2016	No
		2017-18	24-04-2017	24-04-2017	No
		2018-19	09-03-2018	09-03-2018	No
		2019-20	26-02-2019	26-02-2019	No
<i>Nagar Palika Parishads</i>					
Jhansi	Mauranipur	2015-16	Delayed submission	Delayed approval	No
		2016-17	11-03-2016	11-03-2016	No
		2017-18	Delayed submission	Delayed approval	No
		2018-19	Delayed submission	Delayed approval	No
		2019-20	22-02-2019	22-02-2019	No
	Chirgaon	2015-16	25-04-2015	25-04-2015	No
		2016-17	28-05-2016	28-05-2016	No
		2017-18	17-05-2017	17-05-2017	No
		2018-19	07-05-2018	07-05-2018	No
		2019-20	23-05-2019	23-05-2019	No
	Gursarai	2015-16	Not prepared		
		2016-17	Not prepared		
		2017-18	Not prepared		
		2018-19	15-03-2018	15-03-2018	No
		2019-20	29-06-2019	29-06-2019	No
Rampur	Rampur	2015-16	21-03-2015	21-03-2015	No
		2016-17	28-03-2016	28-03-2016	No
		2017-18	01-04-2017	01-04-2017	No
		2018-19	05-05-2018	05-05-2018	No
		2019-20	27-02-2019	27-02-2019	No
	Swar	2015-16	Not prepared		
		2016-17	Not prepared		
		2017-18	Not prepared		
		2018-19	11-07-2018	11-07-2018	No
		2019-20	25-02-2019	25-02-2019	No
Bilaspur		2015-16	01-04-2015	01-04-2015	No

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		2016-17	16-04-2016	16-04-2016	No
		2017-18	10-04-2017	10-04-2017	No
		2018-19	17-04-2018	17-04-2018	No
		2019-20	05-07-2019	05-07-2019	No
Ballia	Ballia	2015-16	15-04-2015	15-04-2015	No
		2016-17	03-05-2016	03-05-2016	No
		2017-18	21-04-2017	21-04-2017	No
		2018-19	Not prepared		
		2019-20	Not prepared		
	Rasra	2015-16	30-04-2015	30-04-2015	No
		2016-17	29-04-2016	29-04-2016	No
		2017-18	10-04-2017	10-04-2017	No
		2018-19	06-04-2018	06-04-2018	No
		2019-20	Not prepared		
Moradabad	Thakurdwara	2015-16	05-05-2015	05-05-2015	No
		2016-17	17-03-2016	17-03-2016	No
		2017-18	Not prepared		
		2018-19	20-03-2018	20-03-2018	No
		2019-20	04-07-2019	04-07-2019	No
	Bilari	2015-16	06-06-2015	06-06-2015	No
		2016-17	26-03-2016	26-03-2016	No
		2017-18	29-04-2017	29-04-2017	No
		2018-19	22-09-2018	22-09-2018	No
		2019-20	10-06-2019	10-06-2019	No
Firozabad	Tundla	2015-16	30-04-2015	30-04-2015	No
		2016-17	13-05-2016	13-05-2016	No
		2017-18	31-05-2017	31-05-2017	No
		2018-19	09-05-2018	09-05-2018	No
		2019-20	08-03-2019	08-03-2019	No
	Sirsaganj	2015-16	25-03-2015	25-03-2015	No
		2016-17	18-03-2016	18-03-2016	No
		2017-18	30-03-2017	30-03-2017	No
		2018-19	27-03-2018	27-03-2018	No
		2019-20	28-02-2019	28-02-2019	No
Lalitpur	Lalitpur	2015-16	15-04-2015	15-04-2015	No
		2016-17	13-04-2016	13-04-2016	No
		2017-18	28-03-2017	28-03-2017	No
		2018-19	16-05-2018	16-05-2018	No
		2019-20	02-03-2019	02-03-2019	No
Pratapgarh	Belha Pratapgarh	2015-16	31-03-2015	31-03-2015	No
		2016-17	23-04-2016	23-04-2016	No
		2017-18	15-04-2017	15-04-2017	No
		2018-19	17-04-2018	17-04-2018	No
		2019-20	03-06-2019	03-06-2019	No
Mathura	Kosikalan	2015-16	21.03.2015	21.03.2015	No
		2016-17	12.04.2016	12.04.2016	No
		2017-18	27.04.2017	27.04.2017	No
		2018-19	16.07.2018	16.07.2018	No
		2019-20	05.03.2019	05.03.2019	No
Amroha	Amroha	2015-16	25.03.2015	25.03.2015	No
		2016-17	28.03.2016	28.03.2016	No
		2017-18	31.03.2017	31.03.2017	No

	Bachhraon	2018-19	27.03.2018	27.03.2018	No
		2019-20	03.06.2019	03.06.2019	No
		2015-16	22.04.2015	22.04.2015	No
		2016-17	29.03.2016	29.03.2016	No
		2017-18	07.04.2017	07.04.2017	No
		2018-19	19.03.2018	19.03.2018	No
		2019-20	01.03.2019	01.03.2019	No
Lakhimpur Kheri	Lakhimpur	2015-16	06.04.2015	06.04.2015	No
		2016-17	31.03.2016	31.03.2016	No
		2017-18	05.05.2017	05.05.2017	No
		2018-19	10.05.2018	10.05.2018	No
		2019-20	14.08.2019	14.08.2019	No
	Palia Kalan	2015-16	28.09.2015	28.09.2015	No
		2016-17	30.06.2016	30.06.2016	No
		2017-18	Not prepared		
2018-19	26.02.2018	26.02.2018	No		
2019-20	27.02.2019	27.02.2019	No		
Bhadohi	Bhadohi	2015-16	28.02.2015	28.02.2015	No
		2016-17	30.04.2016	30.04.2016	No
		2017-18	Not prepared		
		2018-19	28.03.2018	28.03.2018	No
		2019-20	02.03.2019	02.03.2019	No
Mirzapur	Mirzapur	2015-16	04.04.2015	04.04.2015	No
		2016-17	04.05.2016	04.05.2016	No
		2017-18	25.04.2017	25.04.2017	No
		2018-19	02.04.2018	02.04.2018	No
		2019-20	01.03.2019	01.03.2019	No
Nagar Panchayats					
Lucknow	Bakshi ka Tallab	2015-16	Not prepared		
		2016-17	Not prepared		
		2017-18	Not prepared		
		2018-19	Not prepared		
		2019-20	Not prepared		
	Itaunja	2015-16	18-04-2015	18-04-2015	No
		2016-17	Not prepared		
		2017-18	30-03-2017	30-03-2017	No
2018-19	Not prepared				
2019-20	Not prepared				
Jhansi	Ranipur	2015-16	Not prepared		
		2016-17	Not prepared		
		2017-18	Not prepared		
		2018-19	Not prepared		
		2019-20	Not prepared		
	Kathera	2015-16	23-07-2015	23-07-2015	No
		2016-17	18-04-2016	18-04-2016	No
		2017-18	17-05-2017	17-05-2017	No
		2018-19	13-06-2018	13-06-2018	No
		2019-20	07-06-2019	07-06-2019	No
Rampur	Shahabad	2015-16	04-06-2015	04-06-2015	No
		2016-17	30-08-2016	30-08-2016	No
		2017-18	Not Prepared		
		2018-19	06-01-2018	06-01-2018	No

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	Maswasi	2019-20	Not Prepared		
		2015-16	12-05-2015	12-05-2015	No
		2016-17	Not Prepared		
		2017-18	Not Prepared		
		2018-19	Not Prepared		
		2019-20	11-11-2019	11-11-2019	No
Ballia	Maniyar	2015-16	Not Prepared		
		2016-17	Not Prepared		
		2017-18	15-12-2017	15-12-2017	No
		2018-19	Not Prepared		
	2019-20	Not Prepared			
	Bairiya**	2018-19	Not Prepared		
2019-20	Not Prepared				
Moradabad	Pakbara**	2018-19	24-03-2018	24-03-2018	No
		2019-20	07-08-2019	07-08-2019	No
	Umri Kalan	2015-16	Not Prepared		
		2016-17	Not Prepared		
		2017-18	Not Prepared		
		2018-19	Not Prepared		
2019-20	Not Prepared				
Firozabad	Fariha	2015-16	22-04-2015	22-04-2015	No
		2016-17	31-09-2016	31-09-2016	No
		2017-18	30-05-2017	30-05-2017	No
		2018-19	30-04-2018	30-04-2018	No
		2019-20	28-02-2019	28-02-2019	No
	Eka**	2018-19	Not Prepared		
2019-20	Not Prepared				
Lalitpur	Pali	2015-16	16-07-2015	16-07-2015	No
		2016-17	24-06-2016	24-06-2016	No
		2017-18	02-04-2017	02-04-2017	No
		2018-19	22-05-2018	22-05-2018	No
		2019-20	Not Prepared		
	Talbehat	2015-16	03-05-2015	03-05-2015	No
		2016-17	Not Prepared		
		2017-18	04-04-2017	04-04-2017	No
		2018-19	31-03-2018	31-03-2018	No
		2019-20	08-03-2019	08-03-2019	No
Pratapgarh	Kunda	2015-16	18-11-2015	18-11-2015	No
		2016-17	21-05-2016	21-05-2016	No
		2017-18	24-04-2017	24-04-2017	No
		2018-19	Not Prepared		
		2019-20	26-08-2019	26-08-2019	No
	Katra Medniganj	2015-16	Not prepared		
		2016-17	Not prepared		
		2017-18	Not prepared		
		2018-19	Not prepared		
		2019-20	Not prepared		
Mathura	Gokul	2015-16	Not prepared		
		2016-17	Not prepared		
		2017-18	Not prepared		
		2018-19	Not prepared		
		2019-20	Not prepared		

	Chhata	2015-16	Not prepared		
		2016-17	Not prepared		
		2017-18	Not prepared		
		2018-19	Not prepared		
		2019-20	Not prepared		
Amroha	Joya	2015-16	31.03.2015	31.03.2015	No
		2016-17	26.02.2016	26.02.2016	No
		2017-18	25.03.2017	25.03.2017	No
		2018-19	07.04.2018	07.04.2018	No
		2019-20	15.02.2019	15.02.2019	No
	Naugawan Sadat	2015-16	22.04.2015	22.04.2015	No
		2016-17	08.03.2016	08.03.2016	No
		2017-18	24.03.2017	24.03.2017	No
		2018-19	29.03.2018	29.03.2018	No
		2019-20	06.03.2019	06.03.2019	No
Lakhimpur Kheri	Oel Dhakwa	2015-16	25.04.2015	25.04.2015	No
		2016-17	31.03.2016	31.03.2016	No
		2017-18	19.04.2017	19.04.2017	No
		2018-19	28.04.2018	28.04.2018	No
		2019-20	28.06.2019	28.06.2019	No
	Kheri	2015-16	18.04.2015	18.04.2015	No
		2016-17	04.05.2016	04.05.2016	No
		2017-18	28.03.2017	28.03.2017	No
		2018-19	16.04.2018	16.04.2018	No
		2019-20	Not prepared		
Bhadohi	Gyanpur	2015-16	30.03.2015	30.03.2015	No
		2016-17	19.04.2016	19.04.2016	No
		2017-18	25.03.2017	25.03.2017	No
		2018-19	28.03.2018	28.03.2018	No
		2019-20	25.02.2019	25.02.2019	No
	Khamaria	2015-16	08.06.2015	08.06.2015	No
		2016-17	31.05.2016	31.05.2016	No
		2017-18	04.05.2017	04.05.2017	No
		2018-19	28.03.2018	28.03.2018	No
		2019-20	23.02.2019	23.02.2019	No
Mirzapur	Kachhwa	2015-16	30.04.2015	30.04.2015	No
		2016-17	11.07.2016	11.07.2016	No
		2017-18	13.04.2017	13.04.2017	No
		2018-19	09.05.2018	09.05.2018	No
		2019-20	10.06.2019	10.06.2019	No

** Council was constituted in January 2018 after formation of NN/NP)

Appendix – VII

(Reference: Paragraph no. 4.2.4, 7.9 Page 26 & 96)

Showing role and powers of the Mayor/President *vis-a-vis* the Council and the State Government

Powers	Role of council	Role of Mayor/President	Role of the State Govt.
Appointment of MC/Assistant MC/EO and determining their service conditions	No role	No role	As per sections 58; 59 and 109 of UPMC Act and 69 (B) of UPM Act, powers to appointment against these posts and decide service conditions including pay and allowances vested with the state Govt.
Removal of members of Council	No role	No role	As per section 83 of UPMC Act and 40 of UPM Act, the State Govt. may remove members of Council with certain restrictions.
Deciding manner and procedure of proceedings/ holding of meetings of Council and Committees.	As per sections 102 & 103 of UPMC Act and 297 of UPM Act, the Council has powers to frame bye-laws/regulations for these matters.	As per section 88 of UPMC Act and 86 of UPM Act, the Mayor/President has power to convene meeting of Council.	No role
Creation of posts of officers, staff, servants etc.	As per Section 106 of UPMC Act, the council has been empowered to create posts of officers, staff etc. as it considers necessary to manage affairs of Municipal Corporation. Similarly, section 71 of UPM Act, empowers Council to determine requirement of permanent staff for the discharge of duties of Municipality.	No role	Section 106 of UPMC Act, also empowers the State Govt. to direct any Municipal Corporation for creation of required posts. Under section 71 of UPM Act, the State Govt. may also direct any Municipality to determine the requirement of staff. Apart from above, under section 112 (A) of UPMC Act and 69 (B) of UPM Act, the State Govt. has been empowered to create posts, common to all Municipal Corporations/Municipalities, under Centralised Services.
Powers in respect of Municipal administration	As per section 117 of UPMC Act, the powers of Municipal administration have been vested with the Council. Similarly, as per section 50 & 60 of UPM Act, powers of municipal administration preliminary vested with Municipality and delegated to the President and Executive officer.	As per Section 117 (2) of UPMC Act, superintendence of Municipal administration, on the behalf of Council, is carried out by Executive Committee under the leadership of Mayor. Similarly, President has been empowered to supervise the work of Municipalities.	As per Section 124 of UPMC Act, the State Govt. has been empowered to make Rules for the procedure relating to the exercise of powers of superintendence by the Executive Committee and the manner in which the Executive powers shall be exercised by the Municipal Commissioner. As per section 298 of UPM Act, State Govt. may direct/ require the council to make bye-laws for the furtherance of municipal administration.
Acquisition and holding of property	As per Section 125 of UPMC Act and 116, 117 & 124 of UPM Act, the Council has powers to acquire, hold and dispose of property or any interest therein.	As per Section 127 of UPMC Act, all acquisitions and dispose of property, on behalf of the Council, are to be done by the MC with the approval of Executive	As per Section 128 and 129 of UPMC Act, prior sanction of the State Government is required, in certain cases, for disposal of property. Further, as per Section 127 of UPM Act, the State Government is empowered to

		Committee (headed by Mayor).	make Rules for regulation and governance of matters related with acquisition or disposal of property. In compliance with the above provisions, the State Govt. had authorised (September 1998 and January 2000) the District Magistrate (valuing up to one lakh rupees) and Divisional Commissioner (more than one lakh but less than three lakh rupees) for disposal of properties of Municipalities. However, properties of valuing more than three lakh rupees were to be disposed only after approval a committee headed by the Divisional Commissioner.
Award of contract	As per section 132 (4) of UPMC Act, no contracts involving expenditure exceeding 20 lakh rupees are to be made by MC unless it has been sanctioned by the Council. As per section 96 & 97 of UPM Act, every contract is to be made by the President or Executive officer on the behalf of the Council, which is empowered to sanction contracts for which either budget provision does not exist or involving an expenditure of more than fifty thousand rupees and fifteen thousand rupees in the case of NPPs and NPs respectively.	As per Section 132 (3) (A) of UPMC Act, no contract involving expenditure exceeding ten lakh rupees and not exceeding fifteen lakh rupees shall be made by the Municipal Commissioner unless it has been sanctioned by the Mayor. Further, approval of Executive Committee is required for contracts valuing more than fifteen lakh but less than twenty lakh rupees. However, MC has been empowered to execute contracts of less than five lakh rupees on their own. As per Section 96 of UPM Act, in the period of intervening two meetings of Council, the President may sanction contracts involving a value of not more than one lakh rupees, which is to be placed before the Council for information at next ensuing meeting.	As per Section 132 (7) of UPMC Act, the State Govt. has powers to modify the monetary limits of different authority for award of contract, keeping in view the rise in costs or the exigencies of work and efficiency of Municipal Corporations. Besides, as per section 138 of UPMC Act, the procedure and manner relating to entering into contracts; are also to be decided by the State Government.
To decide contentious matters in respect of requisition of returns, reports etc. by Council.	As per section 122 (2) of UPMC Act, the MC is liable to comply with every requisition of Council for submission of returns, reports etc., unless in his opinion immediate compliance therewith would be prejudicial to the interest of the Municipal	As per Section 122 (2) of UPMC Act, contentious matters in respect of submission of returns, reports etc. may be referred to Mayor by the Council, whose decision shall be final. The President has no such powers and even as per	As per section 124 (K) of UPMC Act, the State Govt. has power to decide the manner in which the question regarding production of documents or other papers shall be referred to the Mayor for final decision.

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	Corporation or of the public. In such case, he shall make a declaration in writing to that effect. However, as per section 63 of UPM Act, Executive Officer is bound to comply with every requisition of Council for submission of returns, reports <i>etc.</i>	section 52 of UPM Act, the President is liable to comply with every requisition of Council for submission of returns, reports <i>etc.</i>	
Approval of estimates	As per Section 136 of UPMC Act, the council has power to approve estimates of more than twenty lakh rupees. However, provisions of UPM Act are silent about powers of different municipal authorities in respect of approval of estimates and authorised the State Govt. to make Rules in this regard.	As per Section 135 (1-A) of UPMC Act, the Mayor has power to sanction any estimate of more than fifty thousand rupees but less than fifteen lakh rupees. Further, as per section 135(2) of UPMC Act, Executive Committee has also empowered to sanction estimates of more than fifteen lakh rupees but less than twenty lakh rupees. As per the order (September 2014) of State Govt., President has no role in approval of estimates.	As per section 136 (2)(A) of UPMC Act, the State Govt. has power to approve estimates of more than thirtylakh rupees. Further, as per section 136 (A) of UPMC Act and 97 (A) of UPM Act, every contract or estimate in respect of an urban development project sponsored by the Government of India or the World Bank or any other foreign organisation, is to be sanctioned in accordance with the scheme approved by the State Government. Further, as per section 95 of UPM Act, the State Govt. has power to make rules for the matters related to preparation and approval of estimates
Adoption of budget	As per section 146 of UPMC Act, the Council has to adopt the budget estimates with or without modification as prepared by the MC before the beginning of the financial year. On failure of Council to do so in prescribed period, the budget estimates as prepared by the MC or as approved by the Executive Committee shall be deemed to be the budget estimates for that year. As per section 99 of UPM Act, every year the Council has to sanction budget estimates for upcoming financial year, on such a date and in such a manner as prescribed under Rules by the State Govt.	The Mayor has no role in preparation or adoption of budget estimates. As per section 92 of UPM Act, if in the opinion of President, approval of budget estimates by the Council is against the interest of Municipality, he may refer the same to the Director (LB) for approval.	As per Section 146 (4) of UPMC Act, the adoption of budget estimates of an indebted Municipal Corporation by the Council shall be subject to confirmation by the State Government. Further, as per section 102 of UPM Act, the State Govt. has power to issue orders for approval of budget estimate of indebted Municipalities either from the State Govt. or from the prescribed authority.
Determine rates of taxes	As per section 148 of UPMC Act, the Council has power to determine the rates, on or before March 15, at which Corporation taxes shall be levied in the next ensuing financial year. Further, no such powers are	The Executive committee is assigned to prepare a proposal regarding rate of taxes for submission to Council. The President has no role in determination of rate of Municipal taxes.	As per sections 182 & 219 of UPMC Act and 130(A) & 153 of UPM Act, the State Govt. has power to decide rate of Corporation/ Municipal taxes and the Councils have to levy taxes at rates as prescribed by the State Government under Rules.

	conferred to the Councils of Municipality.		
Imposition of taxes	As per section 199 of UPMC Act and 131 of UPM Act, the Council has to pass a resolution for imposition of any of the Corporation/ Municipal taxes as provided in UPMC Act / UPM Act.	As per section 199 of UPMC Act, on resolution of the Council, the Executive Committee has to prepare a proposal (containing persons or class of persons to be covered and amount or rate of tax to be leviable from each person or class of persons) and also has to prepare a draft of the rules for submission to the State Government. Further, as per Section 200 of UPMC Act, the Council may revise the proposal and draft rules, if it thinks necessary. Contrary to the provisions of UPMC Act, the President has no role to play in imposition of taxes and powers for framing proposals and draft rules are also vested with the Council (Section 131 of UPM Act).	As per section 201 & 202 of UPMC Act and 133 & 134 of UPM Act, the State Govt. has been empowered to reject, sanction or modify the proposal for imposition of tax. However, the State Govt. had delegated (February 1998) all powers of the State in respect of imposition of taxes for Municipalities to the Divisional Commissioner concerned.
Exemption from payment of tax	As per section 221 of UPMC Act and 157 (1) of UPM Act, the Council may exempt any person, for a period not exceeding one year, from the payment of an imposed tax or any portion of a tax, who is in its opinion by reason of poverty, unable to pay the same and may renew such exemption as often as it deems necessary.	No role.	As per section 221 of UPMC Act and 157 (3) of UPM Act, the State Govt. may also, by order, exempt any person or class of persons or any property from the payment of a imposed tax or any portion of a tax, for such period as may be specified in the order. Further, as per section 227 of UPMC Act, the State Government has also powers to decide conditions on which exemptions of taxes shall be allowed.
Operation of Bank Accounts	No role.	The President along with EO is joint signatory for operation of bank accounts in case of Municipalities. Though, in case of Municipal Corporations, this authority vested with MC along with Finance Officer and there was no role of Mayor in operation of bank accounts.	No role.

Appendix - VIII

(Reference: Paragraph 5.3, Page 37)

List of parastatals and their functions

Sl. No.	Parastatal	Functions
1	Uttar Pradesh Jal Nigam	The State Govt. had established UP Jal Nigam in 1975 under the provisions of UP Water supply and Sewerage Act, 1975. It is responsible for planning and execution of works related to water supply, sewerage & drainage facilities, solid waste management and pollution abatement of rivers/lakes in the State. It was also responsible for operation and maintenance (O&M) of 45 Sewage Treatment Plants (STPs) up to June 2019, out of 57 STPs established by the Urban Development Department in the State. Later, O&M of these STPs along with their sewerage network was outsourced to private firms by the Uttar Pradesh State Ganga River Conservation Authority. It is a main executive agency for the implementation of projects sanctioned under JNNURM, AMRUT <i>etc.</i> Under AMRUT scheme, it was not only authorised for preparation of DPRs, estimates and execution of projects related to infrastructure development of drinking water, sewerage, drainage, solid waste management <i>etc.</i> , but also involved in preparation and execution of projects related to providing connections of water supply and sewage to households.
2	Jal Sansthan	The UP Water supply and Sewerage Act, 1975 also provides for establishment of <i>Jal Sansthans</i> in the area of ULBs for improving the water supply services and to fulfill obligations of ULBs in this respect. The State Government had established (June 1975) five <i>Jal Sansthans</i> for big cities <i>viz.</i> , Agra, Kanpur, Lucknow, Prayagraj and Varanasi under jurisdiction of respective NNs and two <i>Jal Sansthans</i> for serving urban and rural population of Chitrakoot ¹ and Jhansi region as an independent body. However, Jal Sansthans of five big cities were dissolved (February 2010) and converted into Jalkal vibhag under administrative control of respective NNs. Besides, remaining two Jal Sansthans were also dissolved (May 2009), however, due to non-amendment in MCA, these entities remained to continue as independent body.
3	Uttar Pradesh State Ganga River Conservation Authority (UPSGRCA)	The GoI had constituted “Uttar Pradesh State Ganga River Conservation Authority” in September 2009 for taking measures for effective abatement of pollution and conservation of the river Ganga in the State. The authority is responsible for execution of projects related to treatment of sewage and industrial effluent in 26 cities of the State, situated on the banks of river Ganga and their tributaries. The Namami Gange Programme is being implemented by the UPSGRCA in the State through UP Jal Nigam as an executive agency. Sewerage projects implemented under the programme also have provisions for operation and maintenance of projects for 15 years, after that these projects will be transferred to concerned ULBs/State Govt. A total 38 projects of sewerage and drainage works, sanctioned cost of Rs 6161.13 crore, were implemented during the period 2010-20.
4	State Urban Development Agency (SUDA).	Constituted in November 1990. Responsible for slum improvement/ clearance/ rehabilitation of slum dwellers along with creation of infrastructure in urban areas of the State and also an implementing agency for schemes related to social and economic upliftment of urban poor. The National Urban Livelihood Mission is also being operated through SUDA for providing employment to urban poor.
5	Uttar Pradesh State Industrial Development Authority	Established in March 1961 with an aim to promote industries and develop industrial infrastructure. Responsible for providing industrial infrastructural facilities and development of basic amenities such as road, sewerage, electricity and water facilities in industrial area of the State.
6	Development Authorities	The Development Authorities established at 24 district ² headquarters, under the “Uttar Pradesh Urban Planning and Development Act, 1973”, are responsible for planning and promoting and securing the development of the urban area by preparation of Master Plan and Zonal Development Plan. For these purposes, the Development Authorities have the power to acquire, hold, manage and dispose of land and other property, to carryout

¹ Carved out from Jhansi Jal Sansthan during April 1999 for providing water supply services to the districts of Hamirpur, Banda, Mahoba and Chitrakoot.

² Agra, Aligarh, Ayodhya, Banda, Bareilly, Bulandsahar, Firozabad, Ghaziabad, Gorakhpur, Hapur, Jalaun, Jhansi, Kanpur, Lucknow, Mathura, Meerut, Moradabad, Muzaffarnagar, Prayagraj, Rampur, Raibareli, Saharanpur Unnao and Varanasi.

		<p>building, engineering, mining and other operations, to execute works in connection with the supply of water and electricity, to dispose of sewage and to provide and maintain other services and amenities and generally to do anything necessary or expedient for the purposes of such development and for purposes incidental thereto.</p> <p>Apart from above, five³ Special Area Development Authority (SADA) has also been established under the “Uttar Pradesh Special Area Development Authority Act, 1986” for development of these areas. The main functions of SADA are to prepare and execute plans for development; to acquire, hold, manage and dispose of land and other property; to execute works of infrastructural amenities and governance of special area, if the State desires so, in the manner prescribed under MCA.</p>
7	Regulated Area Authorities	<p>With a view to provide for the regulation of building operations, the State Government enacted “Uttar Pradesh (Regulations of Building Operations) Act, 1958”. As per the provisions, the State Government is authorised to declare any area as regulated area for development and expansion of that area according to proper planning. Consequently, operation of Chapter XIII of MCA and Sections 178 to 186, 203 to 210 & 222 of MA will remain suspend for regulated area. The Controlling authority of regulated area is responsible for preparation of master plans and granting permission for construction of buildings. The State Government had declared 72 regulated areas so far in the State.</p>
8	UP Awas Evam Vikas Parishad	<p>The State Govt. enacted (February 1966) U P Awas Evam Vikas Parishad Adhiniyam 1965 for establishment of housing and development board in the State under the chairmanship of the Minister concerned of Housing and Urban Planning department. The main functions of the board are to plan and execute housing and improvement schemes/projects in the State. The board has also been empowered to regulate building operations, improvement of slums and to provide civic amenities such as roads, sanitation, water supply <i>etc.</i> in the areas developed by them. The State Govt. established units of <i>Awas Evam Vikas Parishad</i> for different cities and towns of the State from time to time and brought 130 cities/towns of the State under ambit of it for providing aforesaid facilities.</p>
9	Special Purpose Vehicles (SPVs) for city bus transportation	<p>The State Govt. had established (April 2009) six SPVs for operation of city bus services in seven⁴ big cities of the State. These SPVs are functional under the administrative control of Urban Transport Directorate and responsible for deciding routes, Fares <i>etc.</i></p>
10	Energy Efficiency Services Limited (EESL)	<p>A GoI company (established in December 2009 as a joint venture of state owned NTPC Limited, Power Finance Corporation, REC Limited and Powergrid), which is nominated (August 2017) by the State Government as an executive agency under Street Light National Programme for replacement of conventional street lights with energy efficient LED street lights in the jurisdictions of Corporations/Municipalities. EESL was providing street lighting facility in 15 NNs, 32 NPPs and seven NPs of the State as on December 2021 after replacing conventional street lights of these ULBs with LED lights.</p>
11	UP New and Renewable Energy Development Agency (UPNEDA)	<p>Established in April 1983 as an autonomous institution under the department of additional energy sources for production of energy based on new and renewable energy sources. The State Govt. nominated (March 2016), UPNEDA as an executive agency for providing street light facility through installation of solar lights under <i>Dr APJ Abdul Kalam Nagriy Saur Punj Yojna</i>. Accordingly, the UPNEDA is involved in preparation of action plan and execution of projects related with solar street lighting in the area of ULBs.</p>
12	Regional Centre for Urban & Environmental Studies (RCUES), Lucknow	<p>Established in the year 1968 at University of Lucknow by the Ministry of Housing and Urban Affairs, GoI to play a positive role in the field of urban management. The key activities of RCUES includes training and capacity building of manpower of ULBs, research, consultancy services and dissemination of information related to urban sector in the State.</p> <p>The State Govt. had nominated (July 2015) RCUES as a resource centre for providing assistance to the State Govt. and Director, Local Bodies, UP, Lucknow; in implementation and planning of various Centrally sponsored schemes such as AMRUT, Smart City Mission, Swachh Bharat Mission, Housing for all <i>etc.</i></p>

³ Chitrakoot, Gadhuketeshwar, Kapilvastu, Shaktinagar and Vindhyachal.

⁴ Agra, Mathura, Meerut, Kanpur, Lucknow, Prayagraj and Varanasi.

Appendix - IX

(Reference: Paragraph 5.3.4, Page 41)

Details of sanctioned/implemented projects under Smart City Mission during 2015-20 in test-checked NNs

Sl. No.	Project Name	Project Cost (₹ in Cr)
NN Lucknow		
Sanitation related projects		
1	Sanitation - Public Community Toilet at Kaiserbagh	0.44
2	Smart Dustbin (100 ltr 500 NOS)	0.28
3	Smart Dustbin (1100 ltr 100 NOS)	0.15
4	Refuse Compactor (16 NOS)	1.49
5	Supply Installation of portable Compactor 16cum- (20 NOS)	3.26
6	Road Cleaning and Sweeping Machine	3.94
7	Smart Public Toilet in EPC Mode in 09 Location	1.19
8	Sewerage - Sewer line along naala and strengthening and augmentation of network	105.20
9	Covering of naala, Drainage Strengthening & Augmentation of Network- Drainage	58.72
10	Solid Waste Management (NFC/QR Code, Sensor Based Bins)	3.80
Water supply related projects		
1	Water treatment Plant (1.5 MLD) at Hathi Park	7.10
2	Smart Grid- Waste water Treatment and Reuse Awanti Bai (10KLD) & Balrampur hospital (760KLD)	7.01
NN Moradabad		
Sanitation related projects		
1	Design supply, installation, testing, commissioning and operation & maintenance of smart public toilet	NA
Water supply related projects		
1	Setting up of solar based Water ATM for safe drinking water	NA
NN Jhansi		
Sanitation related projects		
1	Construction and one Year O & M of seven Pink Toilets	2.71
2	10 Water ATM's	2.19
3	Solid waste management-Procurement of Vehicles and equipment	244.51
4	Faecal sludge treatment plant or septage management system for area of Jhansi city	22.19
5	STP plant near Shivpuri by pass of Jhansi	98.14
6	Solid waste management- Door to door collection and transportation of municipal waste in Jhansi city.	43.75
Water supply related projects		
1	Distribution infrastructure for 24x7 water supply system	219
2	Rain Water Harvesting in 100 Govt. Building	9.19
3	Asra Awas yojna and housing scheme projects	219.51
4	Building works and other civil works related to water supply	209.51

(Source: Smart city website : <https://smartcities.gov.in/cities-profiles>)

Appendix - X

(Reference: Paragraph 5.4, Page 41)

Status of achievement against SLB on water supply services by test-checked ULBs during the year 2018-19

Water Supply Indicators																		
	Coverage of Water supply Connections		Per capita supply of water		Extent of metering of water connection		Extent of non-revenue water		Continuity of water supply		Quality of water supplied		Efficiency in redressal of customer complaints		Cost recovery in water supply service		Efficiency in collection of water supply charges	
Benchmarks	100%		135lpcd		100%		20%		24hours		100%		80%		100%		90%	
Name of units	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)
NN Lucknow	68.1	72	162.7	135	0	0	26.3	25	6.0	7	100.5	100	98.7	80	96.0	101	77.7	82
NN Jhansi	40.5	42	108.4	114	30.27	32	25.6	24	2.0	3	0.0	0	98.1	80	67.2	71	58.9	62
NN Moradabad	89.1	94	153.1	135	0	0	33.5	32	14.0	15	100.0	100	98.2	80	0.0	0	0.0	0
NN Mathura	93.4	98	82.9	87	0	0	13.8	14	15.0	16	100.0	100	97.2	80	11.6	12	92.3	92
NPP Mauranipur	28.9	30	30.4	32	42.20	44	14.4	14	5.0	6	100.0	100	95.9	80	38.0	40	29.7	31
NPP Gursarai	19.0	20	80.7	85	0.00	0	2.0	2	1.3	2.3	97.4	102	80.0	80	57.0	60	57.2	60
NPP Chirgaon	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NPP Rampur	86.2	91	58.5	61	0	0	68.3	65	11.0	12	96.4	101	87.2	80	11.9	13	0.8	1
NPP Bilaspur	70.2	74	109.0	114	0	0	28.0	27	8.0	9	100.0	100	100.0	80	45.7	48	52.5	55
NPP Swar	16.2	17	59.3	62	0	0	10.8	11	8.0	9	100.0	100	100.0	80	27.8	29	4.5	5
NPP Ballia	29.9	31	79.3	83	0	0	18.2	18	16.0	17	95.1	100	98.9	80	10.6	11	90.1	90
NPP Rasra	47.2	50	90.3	95	0	0	22.0	21	9.0	10	100.0	100	84.5	80	18.2	19	50.6	53
NPP Thakurdwara	24.1	25	85.2	89	0	0	0.0	0	8.0	9	100.0	100	100.0	80	60.3	63	52.8	55
NPP Bilari	58.2	61	135.0	135	0	0	3.7	4	11.0	12	100.0	100	80.0	80	33.1	35	111.9	112
NPP Tundla	64.6	68	111.9	118	0	0	57.6	55	4.0	5	100.0	100	97.1	80	8.6	9	70.6	74
NPP Sirsaganj	53.3	56	99.8	105	0	0	21.0	20	5.3	6.3	100.0	100	100.0	80	6.1	6	40.0	42
NPP Lalitpur	44.5	47	114.1	120	0	0	17.9	18	3.0	4	100.0	100	97.3	80	46.5	49	55.9	59
NPP Belha Pratapgarh	67.9	0	139.23	0	0	0	10.91	0	10	0	100	0	100	0	62.7	0	98.3	98
NPP Kosi kalan	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NPP Amroha	5.0	5	12.8	13	0	0	4.2	4	6.0	7	90.0	95	92.6	80	17.8	19	79.9	84
NPP Bachhraon	48.4	51	98.7	104	0	0	16.0	16	6.0	7	100.0	100	100.0	80	41.7	44	72.8	76
NPP Lakhimpur	74.5	78	149.3	135	18.90	20	17.2	17	16.0	17	100.0	100	100.0	80	93.2	98	90.0	90
NPP Palia kalan	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NPP Bhadohi	57.3	60	90.1	95	0	0	16.8	17	11.0	12	100.0	100	100.0	80	27.4	29	89.1	94
NPP Mirzapur	61.9	65	135.2	135	0	0	16.2	16	8.0	9	100.0	100	87.3	80	36.1	38	82.0	86

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NP Bakshi KaTalab	0.0	0	77.8	82	0	0	28.0	27	4.0	5	100.0	100	84.4	80	42.7	45	76.4	80
NP Kathera	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Ranipur	38.2	40	96.8	102	0.25	0	14.4	14	5.0	6	100.0	100	100.0	80	38.0	40	29.7	31
NP Maniyar	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Bairia	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Maswasi	75.6	79	108.7	114	0	0	88.2	84	12.0	13	100.0	100	100.0	80	44.0	46	57.9	61
NP Shahabad	74.1	78	85.9	90	0	0	22.1	21	8.0	9	100.0	100	62.5	66	16.0	17	41.4	43
NP Umrikalan	30.1	32	168.6	135	0	0	15.9	16	9.0	10	100.0	100	100.0	80	19.6	21	65.7	69
NP Pakbara	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Fariha	74.3	78	182.2	135	0	0	29.7	28	6.0	7	100.0	100	97.2	80	8.1	8	104.8	105
NP Eka	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Pali	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Talbehat	53.4	56	135.9	135	0.11	0	0.2	0	2.0	3	100.0	100	90.7	80	45.7	48	68.6	72
NP Kunda	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Katra Medniganj	36.0	38	132.4	135	0	0	12.0	12	6.0	7	100.0	100	98.6	80	10.1	11	0.0	0
NP Itaunja	100	100	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Gokul	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Chhata	83.0	87	132.6	135	0	0	16.7	17	8.0	9	96.0	101	100.0	80	3.9	4	96.1	96
NP Joya	60.6	64	137.2	135	0	0	47.4	45	5.0	6	0.0	0	1.7	2	14.3	15	91.2	91
NP Naugawan sadat	22.5	24	43.7	46	0	0	20.5	20	3.0	4	95.2	100	95.5	80	18.6	19	9.5	10
NP Kheri	12.9	14	13.2	14	0	0	17.6	18	4.1	5.13	0.0	0	78.8	80	20.7	22	1.9	2
NP Oel Dhakwa	13.6	14	104.9	110	0	0	1.7	2	10.0	11	92.5	97	100.0	80	3.0	3	29.0	30
NP Gyanpur	106.9	100	105.6	111	0	0	7.3	7	9.0	10	100.0	100	100.0	80	77.8	82	96.2	96
NP Khamaria	70.7	NA	145.94	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Kachhwa	67.8	71	93.2	98	0	0	40.3	38	8.0	9	100.0	100	78.7	80	13.8	15	31.1	33

(Source: Director, Local Bodies)

Appendix-XI

(Reference: Paragraph 5.4, Page 43)

Status of achievement against SLB on Solid Waste Management by test-checked ULBs during the year 2018-19

Benchmarks	Household level coverage of solid waste management services		Efficiency of collection of municipal solid waste		Extent of segregation of municipal solid waste		Extent of municipal solid waste recovered		Extent of scientific disposal of municipal solid waste		Efficiency in redressal of customer complaints		Extent of cost recovery in SWM services		Efficiency in collection of SWM charges	
	100%		100%		100%		80%		100%		80%		100%		90%	
Name of the ULBs	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)	Current (2018-19)	Target (2019-20)
NN Lucknow	54.5	60	97.4	100	78.6	83	62.0	65	41.4	43	99.8	80	91.3	96	92.9	93
NN Jhansi	99.5	109	85.0	93	91.4	96	7.0	7	35.2	37	92.4	80	0.0	0	0.0	0
NN Moradabad	100.0	110	100.0	100	86.9	91	81.5	80	6.7	7	99.1	80	0.2	0	79.2	83
NN Mathura	66.0	73	25.0	28	38.9	41	32.89	80	100.0	100	92.5	80	0.0	0	0.0	0
NPP Mauranipur	91.6	101	130.1	100	0	0	0	0	0	0	100.0	80	0.0	0	0.0	0
NPP Gursarai	99.1	109	249.5	100	0	0	0	0	0	0	100.0	80	0.0	0	0.0	0
NPP Chirgaon	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NPP Rampur	100.0	100	86.5	95	65.2	68	0	0	100.0	100	79.0	80	0	0	0	0
NPP Bilaspur	100.0	100	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NPP Swar	100.0	100	100.0	100	0	0	0	0	0	0	88.0	80	0	0	0	0
NPP Ballia	88.5	97	98.8	100	0	0	0	0	32.4	34	83.3	80	0	0	0	0
NPP Rasra	73.7	81	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NPP Thakurdwara	100.0	100	100.0	100	0	0	0	0	0	0	93.1	80	0	0	0	0
NPP Bilari	100.0	100	100.0	100	0	0	0	0	0	0	80.0	80	0	0	0	0
NPP Tundla	97.5	107	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NPP Sirsaganj	99.0	109	100.0	100	0	0	0	0	0	0	100.0	80	2.9	3	76.0	80
NPP Lalitpur	88.6	97	52.0	57	0	0	0	0	0	0	100.0	80	0	0	0	0
NPP Belha Pratapgarh	91.6	0.0	97.3	0.0	0	0	0	0	0	0.0	96.7	0.0	0	0	0	0
NPP Kosikalan	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NPP Amroha	85.2	94	100.0	100	0	0	0	0	0	0	96.0	80	0	0	0	0
NPP Bachhraon	100.0	100	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NPP Lakhimpur	62.9	69	100.0	100	0	0	0	0	0	0	93.5	80	0	0	0	0
NPP Paliakalan	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NPP Bhadohi	96.5	106	98.3	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NPP Mirzapur	86.8	96	100.0	100	0	0	0	0	0	0	80.0	80	0	0	0	0
NP Bakshi Ka Talab Lucknow	97.2	107	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0

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NP Kathera	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Ranipur	100.0	100	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Maniyar	97.0	107	52.1	57	0	0	0	0	0	0	98.4	80	0	0	0	0
NP Bairia	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Maswasi	99.4	109	100.0	100	0	0	0	0	100.0	100	100.0	80	0	0	0	0
NP Shahabad	94.9	104	100.0	100	0	0	0	0	0	0	99.2	80	0	0	0	0
NP Umrikalan	99.9	110	78.8	87	0	0	0	0	73.7	77	100.0	80	0	0	0	0
NP Pakbara	100.0	100	100.0	100	40.0	100	80	80	0	100	80.0	80	0	100	0	90
NP Fariha	100.0	100	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Eka	57.1	63	0	0	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Pali	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Talbehat	100.0	100	100.0	100	0	0	0	0	0	0	91.3	80	0	0	0	0
NP Kunda	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Katra Medniganj	94.2	104	100.0	100	0	0	0	0	0	0	86.4	80	0	0	0	0
NP Itaunja	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Gokul	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Chhata	100.0	100	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Joya	99.3	109	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Naugawan sadat	71.3	78	97.7	100	23.8	25	0.2	0	0	0	100.0	80	0	0	0	0
NP Kheri	100.0	100	97.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Oel Dhakwa	98.3	108	52.8	58	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Gyanpur	28.0	31	100.0	100	0	0	0	0	0	0	100.0	80	0	0	0	0
NP Khamaria	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
NP Kachhwa	93.5	103	100.0	100	77.9	82	0	0	35.8	38	74.4	78	0	0	0	0

(Source: Director, Local Bodies)

Appendix-XII

(Reference: Paragraph 6.2, Page 49)

Status of Human Resources in test-checked Nagar Panchayats as on March 2020

Sl. No.	Name of Unit	Human Resource				No. of Employees per 1000 population
		Sanctioned	Vacant	Vacant%	Contractual	
1.	Bakshi KaTalab, Lucknow	2	0	0.00	0	0.04
2.	Itaunja, Lucknow	4	0	0.00	12	2.18
3.	Kathera Jhansi	8	3	37.50	12	2.26
4.	Ranipur Jhansi	31	13	41.94	31	2.70
5.	Maniyar Ballia	27	19	70.37	21	1.46
6.	Bairiya Ballia	2	1	50.00	0	0.04
7.	Maswasi Rampur	7	4	57.14	37	2.21
8.	Sahabad Rampur	36	8	22.22	63	2.38
9.	Umri kalan, Moradabad	19	0	0.00	14	1.85
10.	Pakbara, Moradabad	2	1	50.00	0	0.03
11.	Fariha, Firozabad	16	3	18.75	3	2.32
12.	Eka, Firozabad	1	1	100.00	0	0.00
13.	Pali, Lalitpur	8	3	37.50	17	2.37
14.	Talbehat, Lalitpur	16	14	87.50	31	2.33
15.	Kunda, Pratapgarh	35	9	25.71	13	1.37
16.	Katra Medniganj, Pratapgarh	7	1	14.29	4	1.28
17.	Gokul, Mathura	14	6	42.86	4	2.44
18.	Chhata, Mathura	38	2	5.26	17	2.25
19.	Joya, Amroha	17	0	0.00	20	2.01
20.	Naugawan Sadat, Amroha	15	0	0.00	13	0.85
21.	Oel Dhakwa, Lakhimpur Kheri	23	15	65.22	10	1.38
22.	Kheri, Lakhimpur Kheri	22	4	18.18	27	1.34
23.	Gyanpur, Bhadohi	22	7	31.82	11	2.03
24.	Khamaria, Bhadohi	13	7	53.85	16	0.84
25.	Kachhwa Mirzapur	23	14	60.87	17	1.63
Total		408	135	33.09	393	1.30

(Source: Information provided by test-checked ULBs)

Appendix-XIII

(Reference: Paragraph 6.2.1, Page 49)

Status of availability of technical staff in test-checked NNs and NPPs as on March 2020

Name of ULB	Chief Engineer (Civil)			Executive Engineer (Civil)			Asstt. Engineer (Civil)			Junior Engineer (Civil)			Total	
	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP
<i>Nagar Nigams</i>														
Lucknow	1	2	-1	5	3	2	8	9	-1	18	23	-5	32	37
Jhansi	1	1	0	3	0	3	4	3	1	9	5	4	17	9
Mathura-Vrindavan	1	1	0	1	1	0	2	2	0	6	5	1	10	9
Moradabad	1	1	0	1	1	0	3	1	2	8	7	1	13	10
Total (Nagar Nigams)	4	5	-1	10	5	5	17	15	2	41	40	1	72	65
<i>Nagar Palika Parishads</i>														
Mauranipur, Jhansi	0	0	0	0	0	0	0	0	0	1	0	1	1	0
Gursarai, Jhansi	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Chirgaon, Jhansi	0	0	0	0	0	0	0	0	0	1	0	1	1	0
Rampur	0	0	0	1	0	1	0	0	0	2	0	2	3	0
Swar, Rampur	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bilaspur, Rampur	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ballia	0	0	0	0	0	0	1	0	1	2	1	1	3	1
Rasra, Ballia	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Thakurdwara, Moradabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bilari, Moradabad	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Tundla, Firozabad	0	0	0	0	0	0	0	0	0	1	0	1	1	0
Sirsaganj, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Lalitpur	0	0	0	0	0	0	1	0	1	1	1	0	2	1
Belha Pratapgarh	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Kosikalan, Mathura	0	0	0	0	0	0	1	0	1	1	1	0	2	1
Amroha	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Bachhraon, Amroha	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakhimpur	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Palia Kalan, Lakhimpur Kheri	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bhadohi	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Mirzapur	0	0	0	0	0	0	1	1	0	2	2	0	3	3
Total	0	0	0	1	0	1	4	1	3	16	10	6	21	11

(Source: Information provided by test-checked ULBs)

Appendix-XIV

(Reference: Paragraph 6.2.2, Page 50)

Status of availability of staff under revenue services in test-checked ULBs as on March 2020

Name of ULB	Chief Tax/Tax Assessment Officer			Tax/Revenue Asstt. Superintendent			Tax Assessment Officer			Tax/Revenue Inspector			Tax collector			Nayab Mohrir			Total	
	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Shortfall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP
<i>Nagar Nigams</i>																				
Lucknow	1	2	-1	12	23	-11	4	6	-2	85	46	39	54	29	25	65	2	63	221	108
Jhansi	1	1	0	3	4	-1	1	1	0	9	2	7	9	2	7	16	4	12	39	14
Mathura-Vrindavan	1	0	1	2	1	1	2	0	2	5	5	0	23	19	4	6	6	0	39	31
Moradabad	1	1	0	4	4	0	1	0	1	8	13	-5	13	9	4	3	1	2	30	28
Total (Nagar Nigams)	4	4	0	21	32	-11	8	7	1	107	66	41	99	59	40	90	13	77	329	181
<i>Nagar Palika Parishads</i>																				
Mauranipur, Jhansi	0	0	0	0	0	0	0	0	0	1	0	1	5	0	5	15	4	11	21	4
Gursarai, Jhansi	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	7	2	5	8	3
Chirgaon, Jhansi	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	4	4	0	5	5
Rampur	0	0	0	1	0	1	1	0	1	4	2	2	0	0	0	0	0	0	6	2
Swar, Rampur	0	0	0	0	0	0	0	0	0	0	4	-4	0	0	0	0	0	0	0	4
Bilaspur, Rampur	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	4	4	8	4
Ballia	0	0	0	1	0	1	0	0	0	0	0	0	7	6	1	9	7	2	17	13
Rasra, Ballia	0	0	0	0	0	0	0	0	0	0	0	0	3	1	2	0	0	0	3	1
Thakurdwara, Moradabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bilari, Moradabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Tundla, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	4	0	4	4
Sirsaganj, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	2	3	-1	1	1	0	3	3
Lalitpur	0	0	0	2	1	1	1	0	1	4	2	2	0	0	0	7	5	2	14	9
Belha Pratapgarh	0	0	0	1	1	0	0	0	0	1	2	-1	4	2	2	0	0	0	6	5
Kosikalan, Mathura	0	0	0	1	0	1	0	0	0	1	1	0	4	2	2	24	1	23	30	4
Amroha	0	0	0	1	0	1	1	0	1	6	2	4	2	1	1	7	2	5	17	5
Bachhraon, Amroha	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Lakhimpur	0	0	0	1	0	1	0	0	0	1	1	0	6	3	3	0	0	0	8	4
Palia Kalan, Lakhimpur Kheri	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	1	1
Bhadohi	0	0	0	1	1	0	0	0	0	2	2	0	3	2	1	0	0	0	6	5
Mirzapur	0	0	0	1	1	0	1	1	0	5	3	2	8	8	0	0	0	0	15	13

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Total (Nagar Palika Parishads)	0	0	0	10	4	6	4	1	3	25	19	6	46	30	16	89	37	52	174	91
Nagar Panchayats																				
Bakshi Ka Talab, Lucknow	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	1	0
Itaunja, Lucknow	0	0	0	0	0	0	0	0	0	0	0	0	1	3	-2	0	0	0	1	3
Kathera, Jhansi	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ranipur, Jhansi	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Maniyar, Ballia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bairiya, Ballia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Maswasi Rampur	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Shahabad Rampur	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Umri kalan, Moradabad	0	0	0	0	0	0	0	0	0	0	0	0	3	5	-2	0	0	0	3	5
Pakbara, Moradabad	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	1	0
Fariha, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Eka, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Pali, Lalitpur	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Talbehat, Lalitpur	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	1	0
Kunda, Pratapgarh	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Katra Medniganj, Pratapgarh	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Gokul, Mathura	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	1	1
Chhata, Mathura	0	0	0	0	0	0	0	0	0	0	0	0	2	2	0	0	0	0	2	2
Joya, Amroha	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	1	0
Naugawan Sadat, Amroha	0	0	0	0	0	0	0	0	0	0	0	0	3	0	3	0	0	0	3	0
Oel Dhakwa, Lakhimpur Kheri	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	1	0
Kheri, Lakhimpur Kheri	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Gyanpur, Bhadohi	0	0	0	0	0	0	0	0	0	0	0	0	3	1	2	0	0	0	3	1
Khamariya, Bhadohi	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	1	0
Kachhwa Mirzapur	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	1	-1	1	2
Total (Nagar Panchayats)	0	0	0	0	0	0	0	0	0	0	0	0	20	13	7	0	1	-1	20	14

(Source: Information provided by test-checked ULBs)

Appendix-XV

(Reference: Paragraph 6.2.3, Page 50)

Status of availability of staff for sanitation activities in test-checked ULBs as on March 2020

Name of ULB	Food & Sanitation Inspector			Safai Nayak/Hawaldar			Safai Karmchari			Zonal Sanitary Officer			Total	
	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP
Nagar Nigams														
Lucknow	41	42	-1	95	53	42	5196	3293	1903	3	3	0	5335	3391
Jhansi	12	9	3	27	10	17	1165	823	342	1	1	0	1205	843
Mathura-Vrindavan	9	11	-2	28	19	9	1231	657	574	2	1	1	1270	688
Moradabad	3	1	2	27	11	16	2417	917	1500	2	1	1	2449	930
Total	65	63	2	177	93	84	10009	5690	4319	8	6	2	10259	5852
Nagar Palika Parishads														
Mauranipur, Jhansi	1	1	0	3	0	3	146	102	44	0	0	0	150	103
Gursarai, Jhansi	0	0	0	1	0	1	63	48	15	0	0	0	64	48
Chirgaon, Jhansi	0	0	0	3	2	1	37	28	9	0	0	0	40	30
Rampur	7	4	3	31	27	4	886	347	539	0	0	0	924	378
Swar, Rampur	0	0	0	3	0	3	51	32	19	0	0	0	54	32
Bilaspur, Rampur	0	0	0	0	0	0	114	78	36	0	0	0	114	78
Ballia	2	0	2	8	12	-4	244	209	35	0	0	0	254	221
Rasra, Ballia	0	0	0	2	3	-1	100	71	29	0	0	0	102	74
Thakurdwara, Moradabad	0	0	0	2	2	0	113	90	23	0	0	0	115	92
Bilari, Moradabad	1	1	0	3	3	0	94	62	32	0	0	0	98	66
Tundla, Firozabad	0	0	0	0	0	0	146	100	46	0	0	0	146	100
Sirsaganj, Firozabad	1	1	0	2	2	0	81	64	17	0	0	0	84	67
Lalitpur	2	2	0	9	5	4	348	283	65	0	0	0	359	290
Belha Pratapgarh	1	1	0	7	2	5	227	157	70	0	0	0	235	160
Kosikalan, Mathura	0	0	0	5	4	1	123	101	22	0	0	0	128	105
Amroha	3	3	0	9	7	2	502	349	153	0	0	0	514	359
Bachhraon, Amroha	0	0	0	1	1	0	56	40	16	0	0	0	57	41
Lakhimpur	2	2	0	7	6	1	401	249	152	0	0	0	410	257
Palia Kalan, Lakhimpur Kheri	0	0	0	0	0	0	113	44	69	0	0	0	113	44
Bhadohi	1	0	1	3	3	0	220	148	72	0	0	0	224	151
Mirzapur	5	2	3	21	18	3	914	213	701	0	0	0	940	233
	26	17	9	120	97	23	4979	2815	2164	0	0	0	5125	2929
Nagar Panchayats														
Bakshi Ka Talab, Lucknow	0	0	0	0	0	0	5	0	5	0	0	0	5	0
Itaunja, Lucknow	0	0	0	0	0	0	19	17	2	0	0	0	19	17
Ranipur, Jhansi	0	0	0	0	0	0	57	40	17	0	0	0	57	40
Kathera, Jhansi	0	0	0	0	0	0	20	14	6	0	0	0	20	14
Shahabad, Rampur	0	0	0	1	1	0	98	78	20	0	0	0	99	79
Maswasi, Rampur	0	0	0	0	0	0	48	42	6	0	0	0	48	42
Bairiya, Ballia	0	0	0	0	4	-4	0	0	0	0	0	0	0	4
Maniyar, Ballia	0	0	0	0	1	-1	57	24	33	0	0	0	57	25
Umri kalan, Moradabad	0	0	0	0	0	0	49	22	27	0	0	0	49	22
Pakbara, Moradabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Fariha, Firozabad	0	0	0	0	0	0	20	17	3	0	0	0	20	17
Eka, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Pali, Lalitpur	0	0	0	0	0	0	26	20	6	0	0	0	26	20

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Talbehat, Lalitpur	0	0	0	0	0	0	49	31	18	0	0	0	49	31
Kunda, Pratapgarh	0	0	0	0	0	0	72	18	54	0	0	0	72	18
Katra Medniganj, Pratapgarh	0	0	0	0	0	0	24	8	16	0	0	0	24	8
Gokul, Mathura	0	0	0	1	1	0	12	8	4	0	0	0	13	9
Chhata, Mathura	0	0	0	1	1	0	40	36	4	0	0	0	41	37
Joya, Amroha	0	0	0	1	2	-1	46	29	17	0	0	0	47	31
Naugawan Sadat, Amroha	0	0	0	0	0	0	83	24	59	0	0	0	83	24
Oel Dhakwa, Lakhimpur	0	0	0	1	0	1	35	12	23	0	0	0	36	12
Kheri, Lakhimpur Kheri	0	0	0	1	0	1	75	34	41	0	0	0	76	34
Gyanpur, Bhadohi	0	0	0	0	0	0	38	15	23	0	0	0	38	15
Khamaria, Bhadohi	0	0	0	0	0	0	72	20	52	0	0	0	72	20
Kachhwa, Mirzapur	0	0	0	2	2	0	47	20	27	0	0	0	49	22
	0	0	0	8	12	-4	992	529	463	0	0	0	1000	541

(Source: Information provided by test-checked ULBs)

Appendix - XVI

(Reference: Paragraph 6.2.3, Page 51)

Details of requirement and availability of Safai Karmchhari in test-checked ULBs

Name of ULB	Population of ULB	Requirement of safai karmchhari as per norm	Details of Safai Karmchhari			Total (PIP+ Outsourced)	Safai karmi in excess as compared to SS (%)	Safai karmi in excess as compared to norm (%)
			Sanctioned Post	PIP	Outsourced			
Nagar Nigams								
Lucknow	2817105	7888	5196	3293	6099	9392	80.75	19.07
Jhansi	549391	1538	1165	823	582	1405	20.60	-8.67
Mathura-Vrindavan	454937	1274	1231	657	875	1532	24.45	20.27
Moradabad	889810	2491	2417	917	1334	2251	-6.87	-9.65
Total (Nagar Nigams)		13191	10009	5690	8890	14580	45.67	10.53
Nagar Palika Parishads								
Mauranipur, Jhansi	58229	163	146	102	140	242	65.75	48.43
Gursarai, Jhansi	26869	75	63	48	69	117	85.71	55.52
Chirgaon, Jhansi	16724	47	37	28	31	59	59.46	26.00
Rampur	318356	891	886	347	500	847	-4.40	-4.98
Swar, Rampur	32158	90	51	32	65	97	90.20	7.73
Bilaspur, Rampur	43908	123	114	78	82	160	40.35	30.14
Ballia	104424	292	244	209	235	444	81.97	51.85
Rasra, Ballia	32612	91	100	71	39	110	10.00	20.46
Thakurdwara, Moradabad	44069	123	113	90	30	120	6.19	-2.75
Bilari, Moradabad	37537	105	94	62	50	112	19.15	6.56
Tundla, Firozabad	50386	141	146	100	150	250	71.23	77.20
Sirsaganj, Firozabad	32152	90	81	64	65	129	59.26	43.29
Lalitpur	138902	389	348	283	260	543	56.03	39.62
Belha Pratapgarh	76750	215	227	157	175	332	46.26	54.49
Kosikalan, Mathura	53307	149	123	101	90	191	55.28	27.96
Amroha	201971	566	502	349	150	499	-0.60	-11.76
Bachhraon, Amroha	31135	87	56	40	41	81	44.64	-7.09
Lakhimpur	151993	426	401	249	325	574	43.14	34.87
Palia Kalan, Lakhimpur Kheri	41253	116	113	44	125	169	49.56	46.31
Bhadohi	94620	265	220	148	61	209	-5.00	-21.11
Mirzapur	234170	656	914	213	526	739	-19.15	12.71
Total (Nagar Palika Parishads)		5100	4979	2815	3209	6024	20.99	18.11
Nagar Panchayats								
Bakshi Ka Talab, Lucknow	49166	138	5	0	168	168	3260.00	22.04
Itaunja, Lucknow	7352	21	19	17	15	32	68.42	55.45
Ranipur, Jhansi	18132	51	57	40	0	40	-29.82	-21.21
Kathera, Jhansi	7533	21	20	14	12	26	30.00	23.27
Shahabad, Rampur	38276	107	98	78	22	100	2.04	-6.69
Maswasi, Rampur	18061	51	48	42	39	81	68.75	60.17
Bairiya Ballia	28343	79	0	0	70	70	-	-11.79
Maniyar, Ballia	19890	56	57	24	32	56	-1.75	0.55
Umri kalan', Moradabad	17821	50	49	22	35	57	16.33	14.23
Pakbara, Moradabad	36728	103	0	0	92	92	-	-10.54
Fariha, Firozabad	6895	19	20	17	18	35	75.00	81.29
Eka, Firozabad	24523	69	0	0	85	85	-	23.79
Pali, Lalitpur	9267	26	26	20	6	26	0.00	0.20
Talbehat, Lalitpur	14177	40	49	31	16	47	-4.08	18.40
Kunda, Pratapgarh	28554	80	72	18	56	74	2.78	-7.44

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Katra Medniganj, Pratapgarh	7815	22	24	8	19	27	12.50	23.39
Gokul, Mathura	4916	14	12	8	15	23	91.67	67.09
Chhata, Mathura	23537	66	40	36	48	84	110.00	27.46
Joya, Amroha	18377	51	46	29	90	119	158.70	131.27
Naugawan Sadat, Amroha	32954	92	83	24	79	103	24.10	11.63
Oel Dhakwa, Lakhimpur Kheri	13012	36	35	12	21	33	-5.71	-9.42
Kheri, Lakhimpur Kheri	33524	94	75	34	81	115	53.33	22.51
Gyanpur, Bhadohi	12815	36	38	15	47	62	63.16	72.79
Khamariya, Bhadohi	26329	74	72	20	32	52	-27.78	-29.46
Kachhwa, Mirzapur	15962	45	47	20	24	44	-6.38	-1.55
Total (Nagar Panchayats)		1441	992	529	1122	1651	66.43	14.73
Grand Total		19732	15980	9034	13221	22255	39.27	12.79

(Source: *Karyavivran* 2019-20 and Information provided by test-checked ULBs)

Appendix-XVII

(Reference: Paragraph 6.2.4, Page 51)

Status of availability of staff for water supply services in test-checked ULBs as on March 2020

Name of ULB	Engineer Water			Junior Engineer Water			Pump Attendant			Pump Cleaner			Pump Operator			Fitter Jalkal			Pump Mechanic			Jalkal Beldar			Water Supervisor			Total			
	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short Fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP	Short fall	SS	PIP		
Nagar Nigams																															
Lucknow	20	9	11	30	12	18	0	0	0	0	0	0	606	374	232	52	19	33	0	0	0	0	0	0	0	0	0	0	0	708	414
Mathura-Vrindavan	3	2	1	6	3	3	49	40	9	22	20	2	15	12	3	4	3	1	0	0	0	47	46	1	0	0	0	146	126		
Moradabad	2	1	1	2	2	0	0	0	0	8	7	1	17	13	4	2	0	2	1	0	1	0	0	0	0	0	0	32	23		
	25	12	13	38	17	21	49	40	9	30	27	3	638	399	239	58	22	36	1	0	1	47	46	1	0	0	0	886	563		
Nagar Palika Parishads																															
Rampur	1	1	0	2	1	1	8	1	7	7	5	2	8	1	7	4	0	4	0	0	0	0	0	0	0	0	0	0	30	9	
Swar, Rampur	0	0	0	0	0	0	0	0	0	0	0	0	5	1	4	0	0	0	0	0	0	0	0	0	0	0	0	5	1		
Bilaspur, Rampur	0	0	0	0	0	0	3	1	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	1		
Ballia	1	0	1	0	0	0	0	0	0	0	0	0	14	19	-5	0	0	0	0	0	0	0	0	0	0	0	0	15	19		
Rasra, Ballia	0	0	0	0	0	0	0	0	0	0	0	0	0	7	-7	0	0	0	0	0	0	0	0	0	0	0	0	0	7		
Thakurdwara, Moradabad	0	0	0	0	0	0	3	2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	4	3		
Bilari, Moradabad	1	0	1	0	0	0	6	1	5	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	8	2		
Tundla, Firozabad	0	0	0	0	0	0	2	0	2	0	0	0	2	1	1	0	0	0	0	0	0	0	0	0	0	0	0	4	1		
Sirsaganj, Firozabad	1	0	1	0	0	0	2	2	0	0	0	0	4	3	1	0	0	0	0	0	0	0	0	0	0	0	0	7	5		
Belha Pratapgarh	0	0	0	1	1	0	0	0	0	0	0	0	10	8	2	1	0	1	0	0	0	14	11	3	0	0	0	26	20		
Kosikalan, Mathura	0	0	0	1	0	1	7	2	5	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	9	2		
Amroha	1	1	0	1	0	1	2	1	1	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	5	3		
Bachhraon, Amroha	0	0	0	0	0	0	0	0	0	0	0	0	0	18	-18	0	0	0	0	0	0	0	0	0	0	0	0	0	18		
Lakhimpur	0	0	0	1	1	0	9	7	2	0	0	0	8	4	4	1	0	1	0	0	0	0	0	0	0	0	0	19	12		
Palia Kalan, Lakhimpur Kheri	0	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0		
Bhadohi	0	0	0	1	1	0	0	0	0	0	0	0	21	13	8	1	0	1	0	0	0	0	0	0	0	0	0	23	14		
Mirzapur	1	1	0	3	1	2	43	21	22	0	0	0	1	0	1	4	2	2	0	0	0	0	0	0	0	0	0	52	25		
Total	6	3	3	10	5	5	85	38	47	7	5	2	74	75	-1	14	4	10	0	0	0	14	11	3	1	1	0	211	142		

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Nagar Panchayats																													
Itaunja, Lucknow	0	0	0	0	0	0	0	0	0	0	0	0	0	3	-3	0	0	0	0	0	0	0	0	0	0	0	0	0	3
Shahabad, Rampur	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Maswasi, Rampur	0	0	0	0	0	0	0	0	0	0	0	0	0	8	-8	0	3	-3	0	0	0	0	0	0	0	0	0	0	11
Maniyar, Ballia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Bairia, Ballia,	0	0	0	0	0	0	0	0	0	0	0	0	0	2	-2	0	1	-1	0	0	0	0	0	0	0	0	0	0	3
Umri kalan, Moradabad'	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Fariha, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Eka, Firozabad	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Kunda, Pratapgarh	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Katra Medniganj, Pratapgarh	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Gokul, Mathura	0	0	0	0	0	0	1	1	0	0	0	0	2	1	1	0	0	0	0	0	0	0	0	0	0	0	0	3	2
Chhata, Mathura	0	0	0	0	0	0	5	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	5
Joya, Amroha	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Naugawan Sadat, Amroha	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Oel Dhakwa, Lakhimpur Kheri	0	0	0	0	0	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Kheri, Lakhimpur Kheri	0	0	0	0	0	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2
Gyanpur, Bhadohi	0	0	0	0	0	0	0	0	0	0	0	0	4	3	1	1	1	0	0	0	0	0	0	0	0	0	0	5	4
Khamariya, Bhadohi	0	0	0	0	0	0	0	1	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Kachhwa, Mirzapur	0	0	0	0	0	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
	0	0	0	0	0	0	10	10	0	0	0	0	8	19	-11	1	5	-4	0	0	0	0	0	0	0	0	0	19	34

(Source: Information provided by test-checked ULBs)

Appendix - XVIII*(Reference: Paragraph 7.1.1.2, Page 67)***Unauthorised transfer of CFC grant to ULBs without duly constitution of Councils**

Sl. No.	Name of ULB	Notification date for constitution of ULB	Release date	Amount in (₹ in lakh)
1	Nagar Palika Parishad, Bharvari, Kaushambi	1.09.17	8.03.18	31.47
			13.03.18	2.46
2	Nagar Panchayat, Bankati, Basti		18.10.17	46.79
3	Nagar Panchayat, chirauyakot, Mau	30.06.16	18.10.17	47.29
4	Nagar Panchayat, Madhuban, Mau	19.09.16	18.10.17	42.87
5	Nagar Panchayat, Eka, Firozabad	30.06.16	18.10.17	46.41
6	Nagar Panchayat, Lalganj, Pratapgarh	30.06.16	18.10.17	53.67
7	Nagar Panchayat, Raniganj, Pratapgarh	03.01.17	18.10.17	35.73
8	Nagar Panchayat, Bairia, Ballia	30.06.16	18.10.17	57.33
9	Nagar Panchayat, Harra, Meerut	29.04.15	18.10.17	39.07
10	Nagar Panchayat, Khivai, Meerut	29.04.15	18.10.17	34.68
11	Nagar Panchayat, Shajahnapur, Meerut	29.12.16	18.10.17	27.87
12	Nagar Panchayat, Agvanpur, Moradabad	29.12.16	18.10.17	40.04
13	Nagar Panchayat, Pakbara, Moradabad	02.01.17	18.10.17	62.13
14	Nagar Panchayat, Dhakia, Moradabad	03.01.17	18.10.17	28.96
15	Nagar Panchayat, Nasirabad, Raibareilly	29.12.16	18.10.17	59.26
16	Nagar Panchayat, Sonauli, Maharajganj	28.12.16	18.10.17	36.46
17	Nagar Panchayat, Bariyarpur, Deoria	23.11.16	18.10.17	33.34
18	Nagar Panchayat, Belhara, Barabanki	15.09.16	18.10.17	37.41
19	Nagar Panchayat, Paraspur, Gonda		18.10.17	46.25
Total				809.49

Appendix - XIX

(Reference: Paragraph 7.2, Page 72)

Details of resolutions related with revenue passed by Councils, but not implemented by the Executive

Name of District	Name of Unit	No. of Resolution passed by Council during 2015-20	No. of cases, where action was taken by the Executive heads	Details of resolutions
Nagar Nigams				
Jhansi	Jhansi	1	0	Demand of user charges to be raised with House tax
Moradabad	Moradabad	1	0	Framing of required bye-laws/regulations for management and regularisation of rented properties.
Nagar Palika Parishad				
Jhansi	Mauranipur	3	0	Imposition of House tax on newly constructed buildings after survey of properties, Framing of regulations for construction & demolition of buildings and dissemination of data of property tax.
	Chirgaon	3	0	Extension of boundary of NPP, levy of user charges for mobile toilets and imposition of fee on Hoarding/Advertisements.
	Gurusarai	8	0	Extension of Boundary of NPP, assessment of properties on the basis of documents of registry, implementation of miscellaneous tax/fee bye-laws, implementation of buildings regulations, implementation of bye-laws for municipal solid waste, imposition of advertisement fee and parking fee, imposition of registration fee for contractors etc.
Rampur	Rampur	5	0	Imposition of self-assessment system of property tax, assessment of properties on the revised monthly rate of rent, GIS survey of properties for imposition of tax, levy of fee for use of fogging machine, mobile toilets etc.
	Swar	4	0	Framing of bye-laws for imposition of House tax, Advertisement tax, rent from electric transformers & Mobile Tower, License fee <i>etc.</i> GIS survey of properties for imposition of taxes, revision in rates of fee for weekly bazaar and approval of map for construction of buildings, increasing receipts from own resources.
	Bilaspur	2	0	GIS survey of properties for imposition of tax, auction of parking lots for recovery of parking fee.
Ballia	Ballia	4	0	Assessment of commercial and residential properties, construction of shops and increase in rent of shops, levy of fee for water tankers, revision in rates of Advertisement Tax.
	Rasra	1	0	Levy of user charges for RO plant
Moradabad	Bilari	12	0	Establishment of mart for sell of vegetables, fruits etc., organisation of weekly bazaar, revision in rates of water connections, framing of bye-laws for imposition of fee for development of colonies/plots, imposition of parking fee, levy of road cutting charges, imposition of annual fee on banquet halls, levy of fee on cable operators,

				imposition of trade license fee liquor shops, imposition of fee on advertisements, framing of bye-laws for advertisement, user charges and parking fee <i>etc.</i>
Firozabad	Tundla	1	0	Recovery of rent from electric transformer.
	Sirsaganj	4	0	Imposition of Advertisement Tax, Imposition of annual fee on marriage halls, imposition of license fee on nursing homes, tower <i>etc.</i>
Pratapgarh	Belha Pratapgarh	2	0	Auctioning of parking lots and renewal of licences for domestic animals.
Amroha	Bachhraon	2	0	Revision in rates of license fee on different items.
Nagar Panchayat				
Lucknow	Bakshi Ka Talab	3	0	Framing of bye-laws for parking fee and mobile towers, amendment in bye-laws for license fee.
Jhansi	Ranipur	1	0	Increasing income from own resources.
	Kathera	3	0	Increase in rate of House tax by 10 <i>per cent</i> and imposition of property tax on newly constructed and left out properties from tax-net, mapping of properties, framing of bye-laws for parking fee, development of online system for tax management.
Rampur	Maswasi	5	0	Imposition of license fee, parking fee & advertisement fee, revision in rent of shops, revision in rate of water connections, levy of fee for cleaning of septic tank.
	Shahabad	4	0	Imposition of trade licence fee on petrol pumps, gas agencies & hospitals <i>etc.</i> , revision in rates of Water charges, framing of bye-laws for imposition of property tax and imposition of all compulsory taxes.
Ballia	Bairia	2	0	Framing of bye-laws for Water charges & to increase number of water connections.
Moradabad	Umrikalan	2	0	Imposition of tax on mobile towers, telephone exchanges and banks and regulation of construction of buildings through approval of maps.
	Pakbara	2	0	Framing of bye-laws for imposition of property tax and imposition of additional stamp duty.
Firozabad	Fariha	4	0	Imposition of tax on mobile towers, revision in rates of taxes, dissemination of data of taxes through websites, Imposition of miscellaneous fee.
Lalitpur	Paali	1	0	Imposition of advertisement fee.
Pratapgarh	Kunda	1	0	Imposition of advertisement fee.
Amroha	Joya	2	0	Implementation of self-assessment system of property tax and imposition of license fees.
	Naugawan sadat	1	0	Framing of bye-laws for license fees.
Lakhimpur Kheri	Kheri	2	0	Framing of bye-laws for imposition of house tax and license fee.
Bhadohi	Khamaria	1	0	Framing of bye-laws for imposition of license fee.
Mathura	Gokul	2	0	Framing of bye-laws for approval of maps and levy of user charges.
	Chhata	3	0	Framing of bye-laws for imposition of house tax, advertisement tax and miscellaneous fee.
Total (NNs, NPPs and NPs)		92	0	

Appendix - XX

(Reference: Paragraph 7.2.1.1, Page 73)

Details of demands, realisation and arrears on account of house tax in test-checked ULBs as on March 2020

(₹ in lakh)

Sl. No.	ULB	Opening Balance	Demands for the period 2015-20	Total	Realisation during the period 2015-20	Closing Balance
1.	NN Lucknow	93,548.36	2,22,759.87	3,16,308.23	86,723.63	2,29,584.60
2.	NN Jhansi	465.79	7,741.91	8207.70	8,110.89	96.81
3.	NN Moradabad	239.32	7,372.23	7611.55	7,032.22	579.33
4.	NN Mathura-Vrindavan	38.96	673.12	712.08	680.54	31.54
5.	NPP Mauranipur, Jhansi	10.05	75.78	85.83	80.61	5.22
6.	NPP Gursarai, Jhansi	5.4	23.5	28.9	22.98	5.92
7.	NPP Chirgaon, Jhansi	5.01	16.7	21.71	15.86	5.85
8.	NPP Rasra, Ballia	42.6	49.42	92.02	38.4	53.62
9.	NPP Rampur	2.69	129.05	131.74	128.23	3.51
10.	NPP Thakurdwara, Moradabad	12.91	71.38	84.29	62.01	22.28
11.	NPP Bilari, Moradabad	2.3	25.02	27.32	20.37	6.95
12.	NPP Tundla, Firozabad	0.86	168.2	169.06	96.08	72.98
13.	NPP Sirsaganj, Firozabad	17.71	33.67	51.38	40.33	11.05
14.	NPP Lalitpur	27.46	245.48	272.94	262.34	10.6
15.	NPP Belha Pratapgarh	177.18	802.17	979.35	128.33	851.02
16.	NPP Kosi Kalan, Mathura	14.12	51	65.12	62.97	2.15
17.	NPP Amroha	2.01	255.4	257.41	145.78	111.63
18.	NPP Bachhraon, Amroha	8.18	24.65	32.83	19.39	13.44
19.	NPP Lakhimpur	9.84	371.6	381.44	260.68	120.76
20.	NPP Palia Kalan, Lakhimpur Kheri	13.73	30.06	43.79	13.66	30.13
21.	NPP Bhadohi	3.15	163.49	166.64	135.53	31.11
22.	NPP Mirzapur	104.58	797.98	902.56	837.29	65.27
23.	NP Bakshi Ka Talab, Lucknow	0	42.3	42.3	19.64	22.66
24.	NP Itaunja, Lucknow	5.04	4.5	9.54	5.03	4.51
25.	NP Kathera, Jhansi	0.81	11.75	12.56	7.15	5.41
26.	NP Ranipur, Jhansi	4.33	14.2	18.53	16.53	2
27.	NP Bairia, Ballia	0	6.15	6.15	0.36	5.79
28.	NP Maswasi, Rampur	0	4.96	4.96	4.12	0.84
29.	NP Umrikalan, Moradabad	9.24	18.12	27.36	10.05	17.31
30.	NP Eka, Firozabad	0	13.17	13.17	1.2	11.97
31.	NP Pali, Lalitpur	2.65	6.25	8.9	5.51	3.39
32.	NP Talbehat, Lalitpur	0.84	36.73	37.57	31.85	5.72
33.	NP Kunda, Pratapgarh	3.92	31.39	35.31	27.07	8.24
34.	NP Katra Medniganj, Pratapgarh	0	5.5	5.5	3.35	2.15
35.	NP Gokul, Mathura	0.72	5.53	6.25	2.42	3.83
36.	NP Chhata, Mathura	10.54	5.78	16.32	3.87	12.45
37.	NP Joya, Amroha	7	31.64	38.64	31.62	7.02
38.	NP Naugawan sadat, Amroha	2.71	52.5	55.21	29.34	25.87
39.	NP Kheri, Lakhimpur Kheri	7.64	17.3	24.94	16.73	8.21
40.	NP Oel Dhakwa, Lakhimpur Kheri	0.74	7	7.74	5.53	2.21
41.	NP Gyanpur, Bhadohi	7.63	10.64	18.27	14.41	3.86
42.	NP Khamaria, Bhadohi	3.59	12.5	16.09	14.72	1.37
43.	NP Kachhwa, Mirzapur	2.77	8.51	11.28	10.17	1.11
Total		94,822.38	2,42,228.1	3,37,050.48	1,05,178.79	2,31,871.69

Appendix - XXI

(Reference: Paragraph 7.5, Page 88)

Details showing differences in figures between the details of *Karya Vivran* of UD Department and those available in records of test-checked ULBs

(₹ in lakh)

Name of test-checked ULBs	Year	Figures of revenue receipts and expenditure as per records of ULBs				Figures of revenue receipts and expenditure as per details <i>Karya Vivran</i>				Difference in figures				Percentage of own revenue to total revenue as per <i>karya-vivran</i>
		Own tax revenue	Own non tax revenue	Total revenue	Expenditure	Own tax revenue	Own non tax revenue	Total revenue	Expenditure	Own tax revenue	Own non tax revenue	Total revenue	Expenditure	
Nagar Nigams														
Lucknow	2015-20	133565	114949.4	562863	433596.6	133565	114949.4	562863	433596.6	0	0	0	0	44.15%
Jhansi	2015-20	8632.24	4018.09	104066.68	74340.46	8632.3	5155.5	92652.9	74001.9	0.06	1137.41	-11413.78	-338.56	14.88%
Mathura-Vrindavan	2015-20	1602.92	2357.41	54508.65	35357.23	1601.84	2449.59	53339.12	34257.79	-1.08	92.18	-1169.53	-1099.44	7.60%
Moradabad	2015-20	9410.74	4841.16	95270.69	86491.66	9711.96	3658.98	99052.29	81108.6	301.22	-1182.18	3781.6	-5383.06	13.50%
Nagar Palika Parishads														
Mauranipur, Jhansi	2015-20	81.12	682.59	9078.50	8181.45	80.50	695.16	9208.08	8315.85	-0.62	12.57	129.58	134.40	8.42%
Gursarai, Jhansi	2015-20	22.98	233.83	3916.76	3909.88	22.99	233.84	3747.52	3866.84	0.01	0.01	-169.24	-43.04	6.85%
Chirgaon, Jhansi	2015-20	16.18	77.83	2137.87	1915.74	16.18	77.83	2228.42	2369.82	0.00	0.00	90.55	454.08	4.22%
Rampur	2015-20	311.63	2163.04	31422.63	31304.13	313.07	2124.86	31152.00	31865.18	1.44	-38.18	-270.63	561.05	7.83%
Swar, Rampur	2015-20	0.00	1326.51	12732.00	11518.72	0.00	721.63	10517.50	8197.29	0.00	-604.88	-2214.50	-3321.43	6.86%
Bilaspur, Rampur	2015-20	47.89	108.96	5586.28	5524.62	47.84	108.96	5586.23	5524.62	-0.05	0.00	-0.05	0.00	2.81%
Ballia	2015-20	513.72	769.68	15497.04	15207.95	435.23	777.81	15783.30	15204.54	-78.49	8.13	286.26	-3.41	7.69%
Rasra, Ballia	2015-20	38.40	155.43	4717.91	5187.61	38.40	154.86	4841.22	5238.77	0.00	-0.57	123.31	51.16	3.99%
Thakurdwara, Moradabad	2015-20	129.55	295.45	5952.09	5634.48	129.54	259.89	6317.93	6709.36	-0.01	-35.56	365.84	1074.88	6.16%
Bilari, Moradabad	2015-20	20.71	575.81	6463.85	6046.84	20.71	577.6	6661.52	6873.41	0.00	1.79	197.67	826.57	8.98%
Tundla, Firozabad	2015-20	117.15	459.92	12033.31	12076.30	358.95	249.41	10919.74	12036.83	241.80	-210.51	-1113.57	-39.47	5.57%
Sirsaganj, Firozabad	2015-20	103.46	238.55	5975.10	5702.53	103.47	225.38	5872.53	5699.83	0.01	-13.17	-102.57	-2.70	5.60%
Lalitpur	2015-20	732.52	551.76	14813.00	14389.10	654.33	547.76	15311.25	14624.91	-78.19	-4.00	498.25	235.81	7.85%
Belha Pratapgarh	2015-20	422.61	334.49	11350.00	10789.91	605.11	201.89	11266.99	10789.55	182.50	-132.60	-83.01	-0.36	7.16%
Kosikalan, Mathura	2015-20	263.46	293.27	7016.37	6817.15	263.46	293.27	7031.79	6625.58	0.00	0.00	15.42	-191.57	7.92%
Amroha	2015-20	277.65	675.39	19478.17	18418.91	277.71	736.58	19478.21	17980.43	0.06	61.19	0.04	-438.48	5.21%
Bachhraon, Amroha	2015-20	19.57	166.55	4124.56	3960.98	19.39	156.71	4009.14	4071.39	-0.18	-9.84	-115.42	110.41	4.39%
Lakhimpur	2015-20	619.20	889.84	20997.53	18975.63	619.2	4370.82	25578.8	18976.94	0.00	3480.98	4581.27	1.31	19.51%

Performance Audit Report on Implementation of 74th Constitutional Amendment Act

Paliya Kalan, Lakhimpur Kheri	2015-20	23.25	348.34	5344.00	4694.36	17.67	465.35	5447.92	4693.91	-5.58	117.01	103.92	-0.45	8.87%
Bhadohi	2015-20	291.46	121.52	12288.91	11457.14	292.1	136.93	12281.93	11371.1	0.64	15.41	-6.98	-86.04	3.49%
Mirzapur	2015-20	1487.43	965.17	27835.84	26151.35	1612.2	913.19	26123.41	27514.08	124.77	-51.98	-1712.43	1362.73	9.67%
Nagar Panchayats														
Bakshi ka Talab, Lucknow	2015-20	19.65	345.89	11932.85	8185.43	19.64	91.62	7707.52	8216.7	-0.01	-254.27	-4225.33	31.27	1.44%
Itaunja, Lucknow	2015-20	5.02	53.58	1766.5	1526.97	5.29	53.2	1792.54	1673.74	0.27	-0.38	26.04	146.77	3.26%
Ranipur, Jhansi	2015-20	16.62	100.84	2289.77	1875.8	18.479	101.7635	2292.54	1516.85	1.86	0.92	2.77	-358.95	5.24%
Kathera, Jhansi	2015-20	6.4	59.5406	1955.6	1852.68	7.15	61.54063	1908.4	1806.93	0.75	2.00	-47.20	-45.75	3.60%
Shahabad, Rampur	2015-20	0	201.47	5583.34	4761.32	0	150.64	5185.79	4670.14	0.00	-50.83	-397.55	-91.18	2.90%
Maswasi, Rampur	2015-20	15.67	514.82	3799.14	3273.6	15.82	511.14	3880.86	3273.37	0.15	-3.68	81.72	-0.23	13.58%
Bairia Ballia	2015-20	0.36	15.19	3503.37	2680.16	0.36	15.19	2847.62	2680.16	0.00	0.00	-655.75	0.00	0.55%
Maniyar, Ballia	2015-20	21.61	26.78	2615.72	2770.27	21.61	26.78	2615.72	2770.27	0.00	0.00	0.00	0.00	1.85%
Umri kalan, Moradabad	2015-20	11.89	82.83	2615.12	2628.18	23.75	127.58	2497.85	2558.16	11.86	44.75	-117.27	-70.02	6.06%
Pakbara, Moradabad	2015-20	0	32.32	2685.24	1386.56	0	0	1532.39	561.62	0.00	-32.32	-1152.85	-824.94	0.00%
Fariha, Firozabad	2015-20	19.26	135.32	1429.3	1387.95	130.54	12.74	1404.09	1228.09	111.28	-122.58	-25.21	-159.86	10.20%
Eka, Firozabad	2015-20	13.55	5.14	2434.97	1885.91	13.55	4.19	2120.75	1737.59	0.00	-0.95	-314.22	-148.32	0.84%
Pali, Lalitpur	2015-20	7	8.49	1046.55	813.47	7	8.49	1046.87	815.27	0.00	0.00	0.32	1.80	1.48%
Talbehat, Lalitpur	2015-20	58.35	159.9	4559.28	4336.07	57.99	190.33	4326.65	4336.07	-0.36	30.43	-232.63	0.00	5.74%
Kunda, Pratapgarh	2015-20	56.65	276.06	4115.21	4276.32	52.95	303.75	4188.46	4275.82	-3.70	27.69	73.25	-0.50	8.52%
Katra Medniganj, Pratapgarh	2015-20	20.18	8.69	1226.04	1253.8	20.18	10.04	1290.71	1643.94	0.00	1.35	64.67	390.14	2.34%
Gokul, Mathura	2015-20	3.05	97.89	1151.48	1089.81	2.42	17.24	655.02	622.58	-0.63	-80.65	-496.46	-467.23	3.00%
Chhata, Mathura	2015-20	3.87	105.24	2998.04	2808.41	3.87	87.73	2616.19	2808.41	0.00	-17.51	-381.85	0.00	3.50%
Joya, Amroha	2015-20	26.77	308.01	4326.13	3703.29	31.62	445.37	3826.39	3319.87	4.85	137.36	-499.74	-383.42	12.47%
Naugawan Sadat, Amroha	2015-20	29.54	156.91	4677.60	4014.20	29.34	94.76	4210.91	3729.19	-0.20	-62.15	-466.69	-285.01	2.95%
Oel Dhakwa, Lakhimpur Kheri	2015-20	9.11	349.65	2714.03	2486.61	9.11	352.15	2627.86	2579.06	0.00	2.50	-86.17	92.45	13.75%
Kheri, Lakhimpur Kheri	2015-20	16.73	251.42	3888.67	3667.59	16.73	186.26	3427.53	3487.14	0.00	-65.16	-461.14	-180.45	5.92%
Gyanpur, Bhadohi	2015-20	36.90	154.72	2103.62	2151.71	40.15	156.75	2121.57	2151.52	3.25	2.03	17.95	-0.19	9.28%
Khamaria, Bhadohi	2015-20	14.59	63.33	3390.44	2673.56	14.72	61.79	4725.74	2643.67	0.13	-1.54	1335.30	-29.89	1.62%
Kachhwa, Mirzapur	2015-20	10.17	79.57	2052.26	1870.96	10.17	79.58	2052.57	1870.96	0.00	0.01	0.31	0.00	4.37%