

# Appendices



**Appendix-1.1**  
(Referred to in paragraph 1.1)  
General Data of State of Uttar Pradesh

| Sl. No. | Particulars  |  | Figures               |
|---------|--|--|-----------------------|
| 1       | Area   |  | 2,40,928 Sq. Km.      |
| 2       | Population   |  |                       |
|         | a.   | Census 2011 (As on 1 March 2011)                 | 19.98 crore           |
|         | b.   | Projected for the year 2022 (As on 1 March 2022) | 23.33 crore           |
| 3       | Decadal Population Growth <sup>1</sup> (2012-2022)   | Uttar Pradesh                                    | 14.89 <i>per cent</i> |
|         |  | All India (inclusive of UTs)                     | 12.12 <i>per cent</i> |
| 4       | Literacy (as per 2011 Census)<br>(All India Average = 73 <i>per cent</i> )                                 |  | 67.7 <i>per cent</i>  |
| 5       | Infant mortality rate <sup>2</sup> (per 1000 live births)<br>(All India Average = 28 per 1000 live births) |  | 38                    |
| 6       | Life Expectancy at birth <sup>3</sup><br>(All India Average = 70 years)                                    |  | 66 years              |
| 7       | Gross State Domestic Product (GSDP) at current prices  |  | ₹ 18,63,221 crore     |
| 8       | Per capita GSDP Compound Annual Growth Rate (CAGR) (2012-13 to 2021-22)                                    | Uttar Pradesh                                    | 7.86                  |
|         |  | All India (inclusive of UTs)                     | 8.86                  |
| 9       | GSDP CAGR (2012-13 to 2021-22)   | Uttar Pradesh                                    | 9.51                  |
|         |  | All India (inclusive of UTs)                     | 10.11                 |
| 10      | Population below poverty line (BPL) <sup>4</sup> (2011-12)<br>(All India Average = 21.92 <i>per cent</i> ) |  | 29.43 <i>per cent</i> |

<sup>1</sup> Projected population of the State increased from 20.31 crore in March 2012 to 23.33 crore in March 2022: Population Projections for India and States 2011-2036, Table 8 of the Report of the Technical Group on Population Projections (July 2020), National Commission on Population

<sup>2</sup> Sample Registration System (SRS) Bulletin, May 2022 (for the year 2020), office of the Registrar General, India.

<sup>3</sup> SRS Based Abridged Life Table 2016-20 published by the Office of the Registrar General & Census Commissioner, India (October 2022).

<sup>4</sup> Reserve Bank of India, Handbook of Statistics on Indian Economy 2021-22 (Table 151).

**Appendix-2.1**  
**(Referred to in paragraph 2.2)**

**Time series data on the State Government Finances**

(₹ in crore)

(Figures in bracket indicate percentages to total of each sub-heading)

| Particulars   | 2017-18             | 2018-19             | 2019-20             | 2020-21             | 2021-22                     |
|---|---------------------|---------------------|---------------------|---------------------|-----------------------------|
| <b>PART A – RECEIPTS</b>  |                     |                     |                     |                     |                             |
| <b>1. Revenue Receipts of which</b>                                     | <b>2,78,775</b>     | <b>3,29,978</b>     | <b>3,66,393</b>     | <b>2,96,176</b>     | <b>3,71,011</b>             |
| <b>(i) Own Tax Revenue of which</b>                                     | 97,393(35)          | 1,20,122(36)        | 1,22,826(34)        | 1,19,897(40)        | 1,47,368(40)                |
| <b>SGST</b>   | 25,374(26)          | 46,108(38)          | 47,232(38)          | 42,860(36)          | 54,594(37)                  |
| <b>Taxes on Sales, Trade, etc.</b>                                      | 31,113(32)          | 23,798(20)          | 20,517(17)          | 22,127(18)          | 27,058(18)                  |
| <b>State Excise</b>   | 17,320(18)          | 23,927(20)          | 27,325(22)          | 30,061(25)          | 36,320(25)                  |
| <b>Taxes on Vehicles</b>  | 6,404(7)            | 6,929(6)            | 7,715(6)            | 6,483(5)            | 7,776(5)                    |
| <b>Stamps and Registration fees</b>                                     | 13,398(14)          | 15,733(13)          | 16,070(13)          | 16,475(14)          | 20,048(14)                  |
| <b>Land Revenue</b>   | 1,336(1)            | 631(1)              | 504(0.41)           | 297(0.25)           | 193(0.13)                   |
| <b>Taxes and duties on Electricity</b>                                  | 2,124 (2)           | 2,978 (2)           | 3,453(3)            | 1,587(1)            | 1,366(1)                    |
| <b>Other Taxes</b>  | 324(0.33)           | 18( 0.01)           | 10(0.01)            | 7(0.01)             | 13(0.01)                    |
| <b>(ii) Non Tax Revenue</b>   | 19,795(7)           | 30,101(9)           | 81,705(22)          | 11,846(4)           | 11,436(3)                   |
| <b>(iii) State's Share of Union Taxes and Duties</b>                    | 1,20,939(43)        | 1,36,766(42)        | 1,17,818(32)        | 1,06,687(36)        | 1,60,358(43)                |
| <b>(iv) Grants-in-aid from GoI</b>                                      | 40,648(15)          | 42,989(13)          | 44,044(12)          | 57,746(20)          | 51,849(14)                  |
| <b>2. Miscellaneous Capital Receipts</b>                                | <b>0</b>            | <b>0</b>            | <b>0</b>            | <b>0</b>            | <b>0</b>                    |
| <b>3. Recoveries of Loans and Advances</b>                              | <b>236</b>          | <b>5,313</b>        | <b>5,641</b>        | <b>1,135</b>        | <b>939</b>                  |
| <b>4. Total Revenue and Non debt Capital Receipts (1+2+3)</b>           | <b>2,79,011</b>     | <b>3,35,291</b>     | <b>3,72,034</b>     | <b>2,97,311</b>     | <b>3,71,951<sup>5</sup></b> |
| <b>5. Public Debt Receipts of which</b>                                 | <b>47,417</b>       | <b>51,595</b>       | <b>73,809</b>       | <b>86,859</b>       | <b>75,751</b>               |
| <b>Internal Debt (excluding Ways and Means Advances and Overdrafts)</b> | 43,381(92)          | 50,791(98)          | 72,554(98)          | 78,677(91)          | 65,003(86)                  |
| <b>Transactions under Ways and Means Advances and Overdrafts</b>        | 2,933(6)            | 0                   | 0                   | 0                   | 0                           |
| <b>Loans and Advances from GoI<sup>6</sup></b>                          | 1,103(2)            | 804(2)              | 1,255(2)            | 8,182(9)            | 10,748(14)                  |
| <b>6. Total Receipts in the Consolidated Fund(4+5)</b>                  | <b>3,26,428</b>     | <b>3,86,886</b>     | <b>4,45,843</b>     | <b>3,84,170</b>     | <b>4,47,702</b>             |
| <b>7. Contingency Fund Receipts</b>                                     | <b>258</b>          | <b>230</b>          | <b>662</b>          | <b>0</b>            | <b>700</b>                  |
| <b>8. Public Account Receipts</b>                                       | <b>3,20,471</b>     | <b>3,80,994</b>     | <b>3,70,692</b>     | <b>3,64,493</b>     | <b>4,27,452</b>             |
| <b>9. Total Receipts of the State (6+7+8)</b>                           | <b>6,47,157</b>     | <b>7,68,110</b>     | <b>8,17,197</b>     | <b>7,48,663</b>     | <b>8,75,853</b>             |
| <b>PART B - EXPENDITURE/ DISBURSEMENT</b>                               |                     |                     |                     |                     |                             |
| <b>10. Revenue Expenditure of which</b>                                 | <b>2,66,224(87)</b> | <b>3,01,728(81)</b> | <b>2,98,833(83)</b> | <b>2,98,543(85)</b> | <b>3,37,581(82)</b>         |
| <b>General Services (including interest payments)</b>                   | 1,05,782(40)        | 1,31,057(44)        | 1,17,675(39)        | 1,19,057(40)        | 1,33,521(39)                |
| <b>Social Services</b>  | 84,252(32)          | 91,312(30)          | 1,03,849(35)        | 1,09,727(37)        | 1,20,987(36)                |
| <b>Economic Services</b>  | 64,635(24)          | 67,259(22)          | 62,809(21)          | 55,551(19)          | 66,573(20)                  |
| <b>Grants-in-aid and contributions</b>                                  | 11,555(4)           | 12,100(4)           | 14,500(5)           | 14,208(5)           | 16,500(5)                   |

<sup>5</sup> During the year 2021-22, Revenue Receipts were ₹ 3,71,011.44 crore and Recoveries of Loans and Advances were ₹ 939.43 crore. Hence, Total Revenue and Non debt Capital Receipts were ₹ 3,71,950.87 crore.

<sup>6</sup> During the years 2020-21 and 2021-22, it includes back-to-back loan of ₹ 6,007 crore and ₹ 8,140 crore respectively received from GoI in lieu of GST compensation shortfall with no repayment liability for the State.

| Particulars   | 2017-18           | 2018-19           | 2019-20           | 2020-21            | 2021-22            |
|---|-------------------|-------------------|-------------------|--------------------|--------------------|
| <b>11. Capital Expenditure of which</b>   | <b>39,088(13)</b> | <b>62,463(17)</b> | <b>59,998(16)</b> | <b>52,237(15)</b>  | <b>71,443(17)</b>  |
| General Services  | 2,776(7)          | 3,419(5)          | 2,495(4)          | 1,523(3)           | 2,357(3)           |
| Social Services   | 11,625(30)        | 10,589(17)        | 10,515(18)        | 12,386(24)         | 18,569(26)         |
| Economic Services   | 24,687(63)        | 48,455(78)        | 46,988(78)        | 38,328(73)         | 50,517(71)         |
| <b>12. Disbursement of Loans and Advances</b>                                       | <b>1,509(0)</b>   | <b>6,303(2)</b>   | <b>2,120(1)</b>   | <b>1,153(0.32)</b> | <b>1,613(0.39)</b> |
| <b>13. Appropriation to the Contingency Fund</b>                                    | <b>0</b>          | <b>0</b>          | <b>0</b>          | <b>0</b>           | <b>600(0.15)</b>   |
| <b>14. Total Expenditure (10+11+12+13)</b>  | <b>3,06,821</b>   | <b>3,70,494</b>   | <b>3,60,951</b>   | <b>3,51,933</b>    | <b>4,11,237</b>    |
| <b>15. Repayments of Public Debt</b>  | <b>15,002</b>     | <b>20,717</b>     | <b>22,401</b>     | <b>26,778</b>      | <b>28,726</b>      |
| Internal Debt (excluding Ways and Means Advances and Overdrafts)                    | 10,528(70)        | 19,080(92)        | 20,695(92)        | 25,174(94)         | 27,132(94)         |
| Transactions under Ways and Means Advances and Overdraft                            | 2,933(20)         | 0                 | 0                 | 0                  | 0                  |
| Loans and Advances from Government of India   | 1,541(10)         | 1,637(8)          | 1,706(8)          | 1,604(6)           | 1,594(6)           |
| <b>16. Total Disbursement out of Consolidated Fund (14+15)</b>                      | <b>3,21,823</b>   | <b>3,91,211</b>   | <b>3,83,352</b>   | <b>3,78,711</b>    | <b>4,39,963</b>    |
| <b>17. Contingency Fund disbursements</b>   | <b>413</b>        | <b>396</b>        | <b>32</b>         | <b>100</b>         | <b>0</b>           |
| <b>18. Public Account disbursements</b>   | <b>3,14,384</b>   | <b>3,61,072</b>   | <b>4,39,282</b>   | <b>3,59,643</b>    | <b>4,23,011</b>    |
| <b>19. Total disbursement by the State (16+17+18)</b>                               | <b>6,36,620</b>   | <b>7,52,679</b>   | <b>8,27,666</b>   | <b>7,38,454</b>    | <b>8,62,974</b>    |
| <b>PART C. DEFICITS/SURPLUS</b>   |                   |                   |                   |                    |                    |
| <b>20. Revenue Deficit(-)/Revenue Surplus(+)<br/>(1-10)</b>                         | <b>(+)12,552</b>  | <b>(+)28,250</b>  | <b>(+)67,560</b>  | <b>(-)2,367</b>    | <b>(+)33,430</b>   |
| <b>21. Fiscal Deficit (-)/Fiscal Surplus (+)<br/>(4-14)</b>                         | <b>(-)27,810</b>  | <b>(-)35,203</b>  | <b>(+)11,083</b>  | <b>(-)54,622</b>   | <b>(-)39,286</b>   |
| <b>22. Primary Deficit (-)/Fiscal Surplus (+)<br/>(21+23)</b>                       | <b>(+)1,326</b>   | <b>(-)3,161</b>   | <b>(+)45,896</b>  | <b>(-)17,194</b>   | <b>(+)3,589</b>    |
| <b>23. Interest Payment (included in Revenue Expenditure under Major Head 2049)</b> | <b>29,136</b>     | <b>32,042</b>     | <b>34,813</b>     | <b>37,428</b>      | <b>42,876</b>      |

**Appendix-2.2**  
(Referred to in paragraph 2.4.2)

**Collection of Own Tax/Non-Tax Revenue during the period 2017-22**

(₹ in crore)

| Heads                                     | 2017-18         | 2018-19         | 2019-20         | 2020-21         | 2021-22<br>Budget<br>Estimate | Actual<br>2021-22 |
|---|-----------------|-----------------|-----------------|-----------------|-------------------------------|-------------------|
| <b>(A) Own Tax Revenue during 2017-22</b> |                 |                 |                 |                 |                               |                   |
| State Goods and Services Tax              | 25,374          | 46,108          | 47,232          | 42,860          | 73,285                        | 54,594            |
| Taxes on Sales, Trade etc.                | 31,113          | 23,798          | 20,517          | 22,127          | 31,100                        | 27,058            |
| State Excise                              | 17,320          | 23,927          | 27,325          | 30,061          | 41,500                        | 36,320            |
| Taxes on Vehicles                         | 6,404           | 6,929           | 7,715           | 6,483           | 9,350                         | 7,776             |
| Stamps and Registration Fees              | 13,398          | 15,733          | 16,070          | 16,475          | 25,500                        | 20,048            |
| Land Revenue                              | 1,336           | 631             | 504             | 297             | 860                           | 193               |
| Taxes and duties on Electricity           | 2,124           | 2,978           | 3,453           | 1,587           | 4,750                         | 1,366             |
| Other taxes                               | 324             | 18              | 10              | 7               | 0                             | 13                |
| <b>Total A</b>                            | <b>97,393</b>   | <b>1,20,122</b> | <b>1,22,826</b> | <b>1,19,897</b> | <b>1,86,345</b>               | <b>1,47,368</b>   |
| <b>(B) Non Tax Revenue during 2017-22</b> |                 |                 |                 |                 |                               |                   |
| Interest Receipts, Dividend and Profits   | 1,124           | 1,888           | 1,508           | 1,220           | 2,200                         | 1,462             |
| General Services                          | 6,806           | 15,366          | 73,484          | 2,239           | 3,012                         | 1,959             |
| Social Services                           | 1,571           | 872             | 978             | 1,046           | 1,733                         | 1,107             |
| Economic Services                         | 10,294          | 11,975          | 5,735           | 7,341           | 18,477                        | 6,908             |
| <b>Total B</b>                            | <b>19,795</b>   | <b>30,101</b>   | <b>81,705</b>   | <b>11,846</b>   | <b>25,422</b>                 | <b>11,436</b>     |
| <b>Grand Total (A + B)</b>                | <b>1,17,188</b> | <b>1,50,223</b> | <b>2,04,531</b> | <b>1,31,743</b> | <b>2,11,767</b>               | <b>1,58,804</b>   |

**Appendix-2.3**  
**(Referred to in paragraph 2.7.8.3)**

**Projects completed/under progress on Public Private Partnership mode in Uttar Pradesh  
as on 31 March 2022**

| Sl. No. | Name of Department / Name of Project   | Estimated cost of project (₹ in crore) |
|---------|--|--|
|         | <b><u>Industrial Development Department</u></b>  |  |
| 1.      | Yamuna Express Way Industrial Development Authority-Yamuna Express Way   | 13,782.00                              |
|         | <b><u>Public Works Department</u></b>  |  |
| 2.      | UP State Highway Authority (UPSHA)-Bareilly-Almora-Bageshwar Road (SH-37)  | 355.00                                 |
| 3.      | UPSHA –Varanasi – Shaktinagar Road (S.H.-5A)   | 1,211.96                               |
| 4.      | UPSHA – Muzaffarnagar – Saharanpur via Deoband Road (S.H.-59)  | 752.88                                 |
|         | <b><u>Tourism Department</u></b>   |  |
| 5.      | Operation & Development of Tourism Accommodation/Units of Tourism Department/Uttar Pradesh State Tourism Development Corporation on the basis of lease cum Development Management & Management Contract – Rahi Tourist Accomodations at Ramgarh Tal, Deoria, Mahoba, Mathura and Palia | 88.80                                  |
| 6.      | Establishment of Ropeway at important places of Uttar Pradesh- Radh Rani Temple Barsana, Mathura/Devangana Chitrakoot, Vidhyavasini Ashtbhujja to Kalikhoh Mirzapur  | 34.56                                  |
|         | <b><u>Transport Department</u></b>   |  |
| 7.      | Development of Alambagh Bus Terminal Lucknow under Development and Management Arrangement Project  | 204.00                                 |
| 8.      | 23 Bus Stations in Uttar Pradesh State Road Transport Corporation under Development and Management Arrangement Project   | 3,665.00                               |
|         | <b><u>Housing and Urban Planning Department</u></b>  |  |
| 9.      | Awas Bandhu -Lucknow Development Authority –Establishment of international standard cricket stadium and academy in Lucknow   | 360.00                                 |
|         | <b><u>Information Technology and Electronics Department</u></b>  |  |
| 10.     | UP Electronics Corporation Limited-I.T. City, Lucknow  | 1,500.00                               |
|         | <b><u>Energy Department</u></b>  |  |
| 11.     | U.P. Power Corporation Ltd-Thermal Power Project Bara, Prayagraj   | 11,500.00                              |
| 12.     | U.P. Power Corporation Ltd-Thermal Power Project Karchana, Prayagraj   | 10,605.31                              |
| 13.     | U.P. Power Transmission Corporation Ltd-765/400 KV A.I.S. sub station Mainpuri along with construction of 765 KV single circuit Bara-Mainpuri line and related complete scheme   | 5,158.91                               |
| 14.     | U.P. Power Transmission Corporation Ltd-765/400 K.V. A.I.S. sub station Hapur and Greater Noida along with construction of 765 K.V single circuit Mainpuri-Hapur and Mainpuri-Greater Noida line and related complete scheme   | 4,706.49                               |
| 15.     | U.P. Power Transmission Corporation Ltd-3x660 MW energy extraction and construction of transmission system from Ghatampur Thermal Power Project on T.B.C.B. basis  | 2,570.60                               |

| Sl. No.                              | Name of Department / Name of Project  | Estimated cost of project (₹ in crore) |
|--------------------------------------|---|--|
| 16.                                  | U.P. Power Transmission Corporation Ltd-2x660 MW energy extraction from Obra-C Thermal Power Project for power transmission and 400 K.V. G.I.S. sub station, Badaun and construction of related transmission lines        | 843.21                                 |
| 17.                                  | U.P. Power Transmission Corporation Ltd-2x660 MW energy extraction from Jawaharpur Thermal Power Project for power transmission and 400 K.V. G.I.S. sub station, Firozabad and construction of related transmission lines | 608.12                                 |
| 18.                                  | U.P. Power Transmission Corporation Ltd-Construction of 765 K.V. GIS sub station Rampur and Construction of 400 K.V. GIS sub station, Sambhal (with related line) by UP Power Corporation limited                         | 1035.25                                |
| 19.                                  | U.P. Power Transmission Corporation Ltd-Construction of 765 K.V. GIS sub station Meerut and Construction of 400 K.V. GIS sub station Simbhauli (with related line) by UP Power Corporation limited                        | 1223.25                                |
| 20                                   | U.P. Power Corporation Ltd-Input based Transmission Franchise, Agra City  | 360.00                                 |
| 21.                                  | U.P. Power Corporation Ltd- Vishnuprayag Hydro Electric Project   | 1,715.78                               |
| 22.                                  | U.P. Power Corporation Ltd-Roza Thermal Power Project   | 3,112.51                               |
| 23.                                  | U.P. Power Corporation Ltd-Roza Extension Thermal Power Project   | 3,098.60                               |
| 24                                   | U.P. Power Corporation Ltd-Anpara -C Thermal Power Project  | 4,845.00                               |
| 25.                                  | U.P. Power Corporation Ltd-Bajaj Thermal Power Project  | 2,448.02                               |
| 26.                                  | U.P. Power Corporation Ltd-Lalitpur Thermal Power Project   | 14,916.00                              |
| 27.                                  | U.P. Jal Viduyut Nigam-Nirgajani Minor Hydro Electric Project, Muzaffarnagar  | 108.43                                 |
| 28.                                  | U.P. Jal Viduyut Nigam Ltd-Salawa Minor Hydro Electric Project, Meerut  | 54.55                                  |
| <b>Medical and Health Department</b> |   |  |
| 29.                                  | S.P.M.U-N.R.H.M-100 Bed, Mother and Child Health Wing (in 25 District)  | 49.00                                  |
| 30.                                  | S.P.M.U-N.R.H.M-108 Service   | 1,000.00                               |
| 31.                                  | S.P.M.U-N.R.H.M-102 Service   | 1,200.00                               |
| 32.                                  | Family Planning Section-Encouragement Partnership   | 13.49                                  |
| 33.                                  | S.P.M.U-N.R.H.M-Dialysis (Phase 1, 2 & 3)   | 73.30                                  |
| 34.                                  | S.P.M.U-N.R.H.M-CT Scan (Category-1)  | 66.06                                  |
| 35.                                  | S.P.M.U-N.R.H.M-CT Scan (Category-2)  | 45.00                                  |
| 36.                                  | S.P.M.U-N.R.H.M-Telemedicine (Video Consultation)   | 3.00                                   |
| 37.                                  | S.P.M.U-N.R.H.M-Teleradiology   | 19.14                                  |
| 38.                                  | S.P.M.U-N.R.H.M-Bio medical Equipment Maintenance   | 72.29                                  |
| <b>Urban Development Department</b>  |   |  |
| 39.                                  | Municipal Solid Waste Management Plan, Kanpur   | 56.24                                  |
| 40.                                  | Municipal Solid Waste Management Plan, Lucknow  | 52.83                                  |
| 41.                                  | Municipal Solid Waste Management Plan, Agra   | 30.84                                  |
| 42.                                  | Municipal Solid Waste Management Plan, Prayagraj  | 30.41                                  |
| 43.                                  | Municipal Solid Waste Management Plan, Varanasi   | 48.58                                  |



| Sl. No. | Name of Department / Name of Project                 | Estimated cost of project (₹ in crore) |
|---------|--|--|
| 44.     | Municipal Solid Waste Management Plan, Mathura       | 9.51                                   |
| 45.     | Municipal Solid Waste Management Plan, Moradabad     | 13.16                                  |
| 46.     | Municipal Solid Waste Management Plan, Aligarh       | 16.07                                  |
| 47.     | Municipal Solid Waste Management Plan, Muzaffarnagar | 6.58                                   |
| 48.     | Municipal Solid Waste Management Plan, Mirzapur      | 11.01                                  |
| 49.     | Municipal Solid Waste Management Plan, Jaunpur       | 12.20                                  |
| 50.     | Municipal Solid Waste Management Plan, Sambhal       | 6.55                                   |
| 51.     | Municipal Solid Waste Management Plan, Etawah        | 5.82                                   |
| 52.     | Municipal Solid Waste Management Plan, Raebareli     | 8.78                                   |
| 53.     | Municipal Solid Waste Management Plan, Badaun        | 5.78                                   |
| 54.     | Municipal Solid Waste Management Plan, Fatehpur      | 9.38                                   |
| 55.     | Municipal Solid Waste Management Plan, Ballia        | 6.82                                   |
| 56.     | Municipal Solid Waste Management Plan, Mainpuri      | 4.28                                   |
| 57.     | Municipal Solid Waste Management Plan, Kannauj       | 4.62                                   |
| 58.     | Municipal Solid Waste Management Plan, Barabanki     | 5.25                                   |
| 59.     | Municipal Solid Waste Management Plan, Pilkhua       | 8.98                                   |
| 60.     | Municipal Solid Waste Management Plan, Bareilly      | 13.86                                  |
|         | <b>Civil Aviation Department</b>                     |  |
| 61.     | Noida International Airport, Jewar                   | 29,561.00                              |
|         | <b>Total</b>   | <b>1,23,334.06</b>                     |

**Appendix-2.4**  
(Referred to in paragraph 2.8.2)

**Details of Reserve Funds during the year 2021-22**

(₹ in lakh)

| Particulars   | Opening Balance     | Receipts           | Disbursements      | Closing Balance     |
|---|---------------------|--------------------|--------------------|---------------------|
| <b>Reserve Funds bearing Interest</b>   |                     |                    |                    |                     |
| 8115-Depreciation/Renewal Reserve Fund  | (-) 4,441.57        | 0.00               | 0.00               | (-) 4,441.57        |
| 105-Depreciation Reserve Fund-Investment Account                                | (-) 4,441.57        | 0.00               | 0.00               | (-) 4,441.57        |
| 8121-General and Other Reserve Funds  | 1,50,525.55         | 4,71,180.93        | 2,01,861.35        | 4,19,845.13         |
| 101-General and Other Reserve Funds of Govt. Commercial Departments/Undertaking | 0.00                | 9.68               | 0.00               | 9.68                |
| 122-State Disaster Response Fund  | 0.00                | 4,67,525.50        | 1,65,966.07        | 3,01,559.43         |
| 129-State Compensatory Afforestation Fund (SCAF)                                | 1,50,525.55         | 3,645.75           | 35,895.28          | 1,18,276.02         |
| <b>Total- Reserve Fund bearing Interest</b>                                     | <b>1,50,525.55</b>  | <b>4,71,180.93</b> | <b>2,01,861.35</b> | <b>4,19,845.13</b>  |
| <b>Investment</b>   | <b>(-) 4,441.57</b> | <b>0.00</b>        | <b>0.00</b>        | <b>(-) 4,441.57</b> |
| <b>Reserve Funds not bearing Interest</b>                                       |                     |                    |                    |                     |
| 8222-Sinking Fund   | 0.00                | 2,00,000.00        | 2,00,000.00        | 0.00                |
| 01-Appropriation for Reduction or avoidance of debt                             | 1,00,000.00         | 2,00,000.00        | 0.00               | 3,00,000.00         |
| 101-Sinking Funds   |                     |                    |                    |                     |
| 02- Sinking Fund – Investment Account   | (-) 1,00,000.00     | 0.00               | 2,00,000.00        | (-) 3,00,000.00     |
| 101-Sinking Fund – Investment Account   |                     |                    |                    |                     |
| 8223-Famine Relief Fund   | (-) 78.01           | 0.00               | 0.00               | (-) 78.01           |
| 102-Famine Relief Fund - Investment Account                                     | (-) 78.01           | 0.00               | 0.00               | (-) 78.01           |
| 8225-Roads and Bridges Fund   | 25,815.81           | 3,30,000.00        | 3,00,000.00        | 55,815.81           |
| 101-State Roads and Bridges Fund  | 25,815.81           | 3,30,000.00        | 3,00,000.00        | 55,815.81           |
| 8226-Depreciation/Renewal Reserve Funds   | (-) 1,719.53        | 2,000.00           | 891.20             | (-) 610.73          |
| 102-Depreciation Reserve Funds of Government Non-commercial Departments         | (-) 1,719.53        | 2,000.00           | 891.20             | (-) 610.73          |
| 8229-Development and Welfare Funds  | 76,114.50           | 0.00               | 11.09              | 76,103.41           |
| 101-Development Funds For Educational Purposes                                  | 4,249.32            | 0.00               | 11.09              | 4,238.23            |
| 106-Industrial Development Funds  | 100.00              | 0.00               | 0.00               | 100.00              |
| 109-Cooperative Development Funds   | 0.01                | 0.00               | 0.00               | 0.01                |
| 200-Other Development and Welfare Funds   | 71,765.17           | 0.00               | 0.00               | 71,765.17           |
| 8235-General and other Reserve Funds  | 1,51,982.56         | (-)1,08,214.72     | 2,901.43           | 40,866.41           |
| 101-General Reserve Funds of Government Commercial Departments/Undertakings     | 8,240.03            | 5,768.97           | 0.00               | 14,009.00           |

| Particulars  |                      | Opening Balance       | Receipts           | Disbursements      | Closing Balance       |
|--|----------------------|-----------------------|--------------------|--------------------|-----------------------|
| <b>111-State Disaster Response Fund (including ₹ 1,000 lakh as balance of State Mitigation Fund)</b> |                      | 1,24,101.03           | (-)1,24,101.03     | 0.00               | 0.00                  |
| <b>200-Other Funds</b>   |                      | 19,641.50             | 10,117.34          | 2,901.43           | 26,857.41             |
| <b>Total -Reserve Funds not bearing Interest</b>   |                      | <b>3,52,193.34</b>    | <b>4,23,785.28</b> | <b>3,03,803.72</b> | <b>4,72,174.90</b>    |
| <b>Investment</b>  |                      | <b>(-)1,00,078.01</b> | <b>0.00</b>        | <b>2,00,000.00</b> | <b>(-)3,00,078.01</b> |
| <b>Grand Total</b>   | <b>Reserve Funds</b> | <b>5,02,718.89</b>    | <b>8,94,966.21</b> | <b>5,05,665.07</b> | <b>8,92,020.03</b>    |
|  | <b>Investment</b>    | <b>(-)1,04,519.58</b> | <b>0.00</b>        | <b>2,00,000.00</b> | <b>(-)3,04,519.58</b> |

Note: Minus figures in this Appendix represent debit balances.

**Appendix-3.1**  
**(Referred to in paragraph 3.2.3)**

**Lump-sum budgetary provision during the year 2021-22**

(₹ in crore)

| Sl. No. | Grant No. | Head of Accounts | Voted/Charged | Description  | Allotment | Expenditure | Per cent |
|---------|-----------|------------------|---------------|--|-----------|-------------|----------|
| 1       | 1         | 4059-01-051-03   | Voted         | Lumpsum provision for construction of Office and Godowns of Excise Department  | 0.61      | 0.36        | 59.02    |
| 2       | 9         | 2045-103-04      | Voted         | Lumpsum provision for formation of Uttar Pradesh Electricity Regulatory Commission   | 14.61     | 14.61       | 100.00   |
| 3       | 11        | 2071-01-117-07   | Voted         | Lumpsum payment of residual employer contribution up to 31.03.2019 of employees of Agriculture Universities covered under N.P.S. | 14.00     | 6.46        | 46.14    |
| 4       | 25        | 4070-800-09      | Voted         | Lumpsum provision for land purchase for construction of jails in newly created districts   | 50.00     | 0.00        | 0.00     |
| 5       | 40        | 2575-06-101-03   | Voted         | Lumpsum provision for Solar Light/Solar Pump/Solar Power Fencing   | 1.00      | 0.15        | 15.00    |
| 6       | 40        | 2575-06-102-03   | Voted         | Lumpsum provision for organisation of Committees/Equipments/Machines/Furnishing  | 0.10      | 0.00        | 0.00     |
| 7       | 40        | 2575-06-105-04   | Voted         | Lumpsum provision for Skill Development Mission  | 0.05      | 0.00        | 0.00     |
| 8       | 40        | 2575-06-105-05   | Voted         | Lumpsum provision for fruits and vegetables/Spices Development   | 0.05      | 0.00        | 0.00     |
| 9       | 40        | 2575-06-800-04   | Voted         | Lumpsum provision for review, training and evaluation  | 0.50      | 0.00        | 0.00     |
| 10      | 40        | 4575-06-101-03   | Voted         | Lumpsum Provision for construction/extension of Veterinary Hospitals/Animal Service Centers                                      | 0.30      | 0.07        | 23.33    |
| 11      | 40        | 4575-06-102-03   | Voted         | Lumpsum Provision for Water Supply Programmes  | 20.00     | 8.98        | 44.90    |
| 12      | 40        | 4575-06-106-03   | Voted         | Lumpsum Provision for Rural Electrification  | 0.03      | 0.00        | 0.00     |
| 13      | 40        | 4575-06-201-03   | Voted         | Lumpsum Provision for construction/extension of boundary wall of School Building   | 3.50      | 2.74        | 78.29    |
| 14      | 40        | 4575-06-337-03   | Voted         | Lumpsum Provision for roads  | 25.00     | 18.88       | 75.52    |
| 15      | 40        | 4575-06-800-03   | Voted         | Lumpsum Provision for construction/extension of Community/Primary Health Centres and Sub-Centres buildings                       | 4.00      | 2.72        | 68.00    |
| 16      | 40        | 4575-06-800-04   | Voted         | Lumpsum Provision for construction/extension of building of Homeopathy Hospital  | 0.01      | 0.00        | 0.00     |

| Sl. No. | Grant No. | Head of Accounts | Voted/ Charged | Description  | Allotment | Expenditure | Per cent |
|---------|-----------|------------------|----------------|--|-----------|-------------|----------|
| 17      | 40        | 4575-06-800-06   | Voted          | Lumpsum Provision for construction of Anganbadi Centres  | 0.75      | 0.73        | 97.33    |
| 18      | 40        | 4575-06-800-09   | Voted          | Lumpsum Provision for construction of CC Road and K.C. Drains  | 18.00     | 16.01       | 88.94    |
| 19      | 40        | 4575-06-800-10   | Voted          | Lumpsum Provision for construction of flood shelter home   | 0.05      | 0.37        | 740.00   |
| 20      | 40        | 4575-06-800-11   | Voted          | Lumpsum Provision for construction of mini stadium   | 1.00      | 0.97        | 97.00    |
| 21      | 40        | 4575-06-800-12   | Voted          | Lumpsum Provision for construction of boundary wall and road in premises of Scheduled Castes Ashram System School  | 0.05      | 0.00        | 0.00     |
| 22      | 40        | 4575-06-800-13   | Voted          | Lumpsum Provision for construction of toilets  | 2.00      | 0.30        | 15.00    |
| 23      | 40        | 4575-06-800-14   | Voted          | Lumpsum arrangement for construction of Heritage work  | 0.75      | 0.00        | 0.00     |
| 24      | 40        | 4575-06-800-15   | Voted          | Lumpsum arrangement for Construction/Extension/ Renovation for Tourist Place Development   | 1.30      | 0.17        | 13.08    |
| 25      | 40        | 4575-06-800-16   | Voted          | Lumpsum provision for farmers/shed/construction of cow ranch/extension etc.  | 0.50      | 0.11        | 22.00    |
| 26      | 48        | 2071-01-117-07   | Voted          | Lumpsum payment of residual employer contribution upto 31.03.2019 of employees covered under N.P.S. of aided Arbi Farsi Madarsas   | 1.00      | 0.00        | 0.00     |
| 27      | 50        | 4059-01-051-02   | Voted          | Lumpsum provision for new construction / extension / reconstruction/ strengthening of non- residential buildings of divisions/ districts/tehsils of the state and purchase of land | 21.01     | 2.95        | 14.04    |
| 28      | 58        | 5054-03-337-13   | Voted          | Lumpsum Provision  | 784.00    | 266.34      | 33.97    |
| 29      | 58        | 5054-04-337-13   | Voted          | Lumpsum provision  | 2476.01   | 2617.75     | 105.72   |
| 30      | 58        | 5054-04-337-66   | Voted          | Lumpsum provision for new works of rural link roads and small bridges for agriculture marketing facilities (Finance by NABARD) (District Plan)                                     | 100.00    | 21.28       | 21.28    |
| 31      | 58        | 5054-04-337-83   | Voted          | Lumpsum provision for current works of strengthening/widening of main/other district roads of rural areas under R.I.D.F. plan financed by NABARD                                   | 700.00    | 537.76      | 76.82    |
| 32      | 58        | 5054-04-337-86   | Voted          | Lumpsum provision for new work of strengthening/widening of main/other district roads of rural   | 200.00    | 90.37       | 45.19    |

| Sl. No. | Grant No. | Head of Accounts | Voted/ Charged | Description   | Allotment | Expenditure | Per cent |
|---------|-----------|------------------|----------------|---|-----------|-------------|----------|
|         |           |                  |                | areas under R.I.D.F. plan financed by NABARD  |           |             |          |
| 33      | 58        | 5054-80-800-05   | Voted          | Lumpsum provision for Management and Planning works of Information Technology   | 11.00     | 6.97        | 63.36    |
| 34      | 59        | 4059-80-051-03   | Voted          | Lumpsum Provision for renovation of non-residential buildings and water distribution works  | 0.50      | 0.00        | 0.00     |
| 35      | 61        | 2049-04-101-03   | Charged        | Lumpsum Provision for Loans   | 128.64    | 128.64      | 100.00   |
| 36      | 61        | 6004-09-101-03   | Charged        | Lumpsum Provision for Loans   | 276.09    | 276.09      | 100.00   |
| 37      | 62        | 2071-01-117-07   | Voted          | Lumpsum payment of residual employer contribution upto 31.03.2019 of Government employees covered under N.P.S.                                    | 2,400.00  | 0.06        | 0.00     |
| 38      | 75        | 2071-01-117-07   | Voted          | Lumpsum payment of residual employer contribution upto 31.03.2019 of Government employees covered under N.P.S.                                    | 0.10      | 0.00        | 0.00     |
| 39      | 83        | 5054-03-789-05   | Voted          | Lumpsum provision for works of state main/other district roads  | 150.00    | 55.81       | 37.21    |
| 40      | 83        | 5054-04-789-19   | Voted          | Lumpsum provision for new construction of Railway Over/Under Bridges  | 84.84     | 32.11       | 37.85    |
| 41      | 83        | 5054-04-789-27   | Voted          | Lumpsum provision for current work of rural connecting roads/minor bridges for agriculture marketing facilities (NABARD financed) (District Plan) | 20.00     | 19.30       | 96.50    |
| 42      | 84        | 2075-800-03      | Voted          | Lumpsum amount as cash award to the citizens of Uttar Pradesh honoured with awards mentioned under Ashok Chakra Series                            | 3.00      | 1.86        | 62.00    |
| 43      | 87        | 2075-104-04      | Voted          | Lumpsum cash award/grant by State Government to winners of Veerchakra Series  | 1.00      | 0.60        | 60.00    |
| 44      | 87        | 2075-104-05      | Voted          | Lumpsum Soldiers Cash Awards to soldiers of Border Security Force and recipients of War Sena Medal Award of Uttar Pradesh                         | 1.38      | 1.38        | 100.00   |
| 45      | 87        | 2075-104-07      | Voted          | Lumpsum grant to Medal Winners of Vishisht Sewa Medal Series  | 0.96      | 0.96        | 100.00   |
| 46      | 91        | 4059-01-800-03   | Voted          | Lumpsum provision for construction of Office buildings of Stamps and Registration Department  | 1.00      | 1.00        | 100.00   |
| 47      | 94        | 4701-97-051-10   | Voted          | Lumpsum arrangement for maintenance of damaged permanent constructions like pool etc on canals  | 100.00    | 66.23       | 66.23    |

| <b>Sl. No.</b> | <b>Grant No.</b> | <b>Head of Accounts</b> | <b>Voted/ Charged</b> | <b>Description</b>  | <b>Allotment</b> | <b>Expen-<br/>diture</b> | <b>Per cent</b> |
|----------------|------------------|-------------------------|-----------------------|---|------------------|--------------------------|-----------------|
| <b>48</b>      | 94               | 4711-01-103-03          | Voted                 | Lumpsum Provision for Border Dams (State Sector)  | 3.18             | 0.25                     | 7.86            |
| <b>49</b>      | 95               | 2701-02-001-05          | Voted                 | Working Establishment (Lumpsum provision for work charged/daily wages of Irrigation Department) | 25.00            | 25.67                    | 102.68          |
| <b>50</b>      | 95               | 2701-02-001-07          | Voted                 | Working Establishment (Lumpsum provision for workshop's employees of Irrigation Department)     | 49.76            | 34.45                    | 69.23           |
| <b>Total</b>   |                  |                         |                       |   | <b>7,696.63</b>  | <b>4,261.46</b>          | <b>55.37</b>    |

**Appendix-3.2**  
(Referred to in paragraph 3.2.6)

**Detail of funding pattern (Central share/State share/Financial Institution) not mentioned in  
Central schemes / Central Sponsored schemes in Budget Documents 2021-22**

(₹ in crore)

| Grant No and Name  | Account Head        | Name of Program  | Amount        |
|--|---------------------|--|---------------|
| <b>003-Industries Department (Small Industry and Export Promotion)</b>             | 2851-102-01-0103    | Small Industries Cluster Development Scheme  | 32.00         |
| <b>017-Agriculture and Other Allied Departments (Fisheries)</b>                    | 2405-101-01-0102    | Blue Revolution Integrated Development and Management of Fisheries Scheme  | 3.00          |
| <b>021-Food and Civil Supplies Department</b>                                      | 4059-60-051-01-0102 | Building of Second Standard Laboratory / Calibration Towers  | 12.00         |
| <b>037-Urban Development Department</b>  | 2217-05-191-01-0102 | Aid for JNNURM. scheme   | 15.00         |
|  | 2230-02-101-01-0101 | Establishment of a cell on state level   | 0.12          |
|  | 4216-02-800-01-0101 | Rajeev Housing Yojna   | 25.00         |
| <b>042-Judicial Department</b>   | 4059-01-051-01-0110 | Construction of new Buildings for the Lucknow bench of the Hon. High Court Allahabad                                 | 150.00        |
| <b>044-Tourism Department</b>  | 5452-80-104-01-0109 | Creation of tourism infrastructure facilities in the identified places under PRASAD scheme                           | 20.64         |
| <b>047-Technical Education Department</b>  | 4202-02-105-01-0105 | Establishment and Strengthening of Technical Institutions under <i>Rastriya Ucchatar Siksha Abhiyan (RUSA)</i>       | 6.00          |
| <b>049-Women and Child Welfare Department</b>                                      | 4235-02-102-01-0127 | Upgradation of Anganbadi Centres   | 100.00        |
| <b>075-Education Department (State Council of Education Research and Training)</b> | 4202-01-201-01-0104 | District Institute of Education and Training in three districts (Ghaziabad, Amethi and Kasganj)                      | 5.00          |
| <b>081-Social Welfare Department (Tribal Welfare)</b>                              | 4202-01-796-01-0108 | Establishment Of District Education and Training Institute   | 0.12          |
| <b>083-Social Welfare Department (Special Component Plan for Scheduled Castes)</b> | 4202-01-789-01-0108 | Establishment of District Education and Training Institute   | 3.00          |
| <b>092-Culture Department</b>  | 2205-800-01-0101    | Education of art and culture, video of folk traditions, Economic Aid Scheme for meritorious students and old artists | 0.25          |
| <b>Total</b>   |                     |  | <b>372.13</b> |



**Appendix-3.3**  
(Referred to in paragraph 3.2.6)

**Detail of cases where total of Central share and State share in funding pattern more / less than 100 per cent or funding share of other Financial Institution/Grantee is not mentioned in Central schemes / Central Sponsored schemes**

**(₹ in crore)**

| Grant No and Name  | Account             | Name of Program   | Amount       |
|--|---------------------|---|--------------|
| <b>011-Agriculture and Other Allied Departments (Agriculture)</b>                  | 2401-114-01-0101    | National Food Security Mission (OS/TBO)(C.60%/S.60%)  | 21.40        |
| <b>037-Urban Development Department</b>  | 2217-03-191-01-0102 | Urban Infrastructure Development Scheme for Small and Medium Towns (C.80%/S.10%)                                  | 25.00        |
| <b>045-Environment Department</b>  | 3435-04-103-01-0101 | Establishment of Waste Disposal facilities and Treatment of unauthorized waste water disposal sites. (C.0%/S.50%) | 2.00         |
| <b>047-Technical Education Department</b>  | 4202-02-105-01-0102 | Establishment of IIIT on PPP model (C.50%/S.35%)  | 10.00        |
| <b>049-Women and Child Welfare Department</b>                                      | 2235-02-103-01-0104 | Ujjwala Scheme (C.60%/S.30%)  | 1.50         |
| <b>083-Social Welfare Department (Special Component Plan for Scheduled Castes)</b> | 2401-789-01-0110    | National Food Security Mission (OS/TBO) (C.60%/S.60%)   | 3.40         |
| <b>Total</b>   |                     |   | <b>63.30</b> |

Appendix-3.4

(Referred to in paragraph 3.3.3)

Grants in which savings were above ₹ 100 crore in each case during the year 2021-22

(₹ in crore)

| Sl. No.              | Grant No. | Grant Name  | Provision | Expenditure | Savings  | Saving excluded Surrendered |
|----------------------|-----------|---|-----------|-------------|----------|-----------------------------|
| <b>Revenue Voted</b> |           |   |           |             |          |                             |
| 1                    | 2         | Housing Department  | 494.42    | 246.93      | 247.49   | 247.49                      |
| 2                    | 3         | Industries Department (Small Industry and Export Promotion)                     | 855.63    | 608.10      | 247.53   | 247.53                      |
| 3                    | 7         | Industries Department (Heavy and Medium Industries)                             | 6,254.52  | 3,631.14    | 2,623.38 | 987.55                      |
| 4                    | 9         | Power Department  | 24,018.64 | 20,531.11   | 3,487.53 | 3,487.53                    |
| 5                    | 11        | Agriculture and other Allied Departments (Agriculture)                          | 5,779.57  | 4,254.25    | 1,525.32 | 1,525.32                    |
| 6                    | 12        | Agriculture and other Allied Departments (Land Development and Water Resources) | 740.86    | 86.91       | 653.95   | 653.95                      |
| 7                    | 13        | Agriculture and other Allied Departments (Rural Development)                    | 3,475.58  | 3,072.40    | 403.18   | 368.72                      |
| 8                    | 14        | Agriculture and Other Allied Departments (Panchayati Raj)                       | 13,123.15 | 11,511.52   | 1,611.63 | 1,611.63                    |
| 9                    | 15        | Agriculture and other Allied Departments (Animal Husbandry)                     | 2,166.02  | 1,776.35    | 389.67   | 389.67                      |
| 10                   | 17        | Agriculture and other Allied Departments (Fisheries)                            | 360.94    | 149.13      | 211.81   | 211.81                      |
| 11                   | 26        | Home Department (Police)  | 27,282.01 | 23,251.35   | 4,030.66 | 4,030.66                    |
| 12                   | 31        | Medical Department (Medical Education and Training)                             | 4,304.94  | 3,957.04    | 347.90   | 347.90                      |
| 13                   | 32        | Medical Department (Allopathy)  | 8,076.76  | 6,139.20    | 1,937.56 | 1,937.56                    |
| 14                   | 33        | Medical Department (Ayurvedic and Unani)  | 1,235.25  | 892.22      | 343.03   | 343.03                      |
| 15                   | 34        | Medical Department (Homoeopathy)  | 546.66    | 421.84      | 124.82   | 124.82                      |
| 16                   | 35        | Medical Department (Family Welfare)   | 7,923.68  | 6,349.69    | 1,573.99 | 1,573.99                    |
| 17                   | 36        | Medical Department (Public Health)  | 956.64    | 577.11      | 379.53   | 379.53                      |
| 18                   | 37        | Urban Development Department  | 19,666.21 | 12,827.61   | 6,838.60 | 6,838.60                    |
| 19                   | 40        | Planning Department   | 287.23    | 163.69      | 123.54   | 123.54                      |
| 20                   | 41        | Election Department   | 792.94    | 585.32      | 207.62   | 207.62                      |
| 21                   | 42        | Judicial Department   | 3,046.64  | 2,032.56    | 1,014.08 | 1,014.08                    |
| 22                   | 48        | Minorities Welfare Department   | 2,393.48  | 1,255.78    | 1,137.70 | 1,137.70                    |
| 23                   | 49        | Women and Child Welfare Department  | 9,791.35  | 7,596.05    | 2,195.30 | 2,195.30                    |

| Sl. No.              | Grant No. | Grant Name  | Provision          | Expenditure        | Savings          | Saving excluded Surrendered |
|----------------------|-----------|---|--------------------|--------------------|------------------|-----------------------------|
| 24                   | 50        | Revenue Department (District Administration)                            | 1,222.07           | 885.79             | 336.28           | 336.28                      |
| 25                   | 51        | Revenue Department (Relief on Account of Natural Calamities)            | 3,591.86           | 3,362.48           | 229.38           | 229.38                      |
| 26                   | 52        | Revenue Department (Board of Revenue and other Expenditure)             | 4,644.84           | 3,454.89           | 1,189.95         | 1,189.95                    |
| 27                   | 54        | Public Works Department (Establishment)                                 | 2,871.78           | 900.23             | 1,971.55         | 1,971.55                    |
| 28                   | 59        | Public Works Department (Estate Directorate)                            | 353.08             | 232.58             | 120.50           | 120.50                      |
| 29                   | 61        | Finance Department (Debt Services & other Expenditure)                  | 17,809.23          | 17,202.13          | 607.10           | 607.10                      |
| 30                   | 62        | Finance Department (Superannuation Allowances and Pensions)             | 63,194.52          | 48,649.63          | 14,544.89        | 14,544.89                   |
| 31                   | 69        | Vocational Education Department   | 956.11             | 645.91             | 310.20           | 310.20                      |
| 32                   | 70        | Science and Technology Department                                       | 522.07             | 312.25             | 209.82           | 180.17                      |
| 33                   | 71        | Education Department (Primary Education)                                | 57,634.97          | 43,665.37          | 13,969.60        | 13,969.60                   |
| 34                   | 72        | Education Department (Secondary Education)                              | 13,378.05          | 10,363.85          | 3,014.20         | 3,014.20                    |
| 35                   | 73        | Education Department (Higher Education)                                 | 3,316.62           | 2,556.62           | 760.00           | 760.00                      |
| 36                   | 76        | Labour Department (Labour Welfare)                                      | 4,497.20           | 2,296.39           | 2,200.81         | 2,200.81                    |
| 37                   | 81        | Social Welfare Department (Tribal Welfare)                              | 997.60             | 688.44             | 309.16           | 308.39                      |
| 38                   | 83        | Social Welfare Department (Special Component Plan for Scheduled Castes) | 17,378.71          | 10,326.49          | 7,052.22         | 7,052.09                    |
| 39                   | 89        | Institutional Finance Department  | 1,122.75           | 835.89             | 286.86           | 286.86                      |
| 40                   | 91        | Institutional Finance Department (Stamps and Registration)              | 419.47             | 289.88             | 129.59           | 129.59                      |
| 41                   | 93        | Namami Gange and Rural Water Supply                                     | 625.96             | 391.58             | 234.38           | 234.38                      |
| 42                   | 94        | Irrigation Department (Works)   | 5,405.56           | 4,890.60           | 514.96           | 514.96                      |
| 43                   | 95        | Irrigation Department (Establishment)                                   | 5,329.15           | 3,811.42           | 1,517.73         | 1,517.73                    |
|                      |           | <b>Total (Revenue Voted)</b>  | <b>3,48,844.72</b> | <b>2,67,679.72</b> | <b>81,165.00</b> | <b>79,464.16</b>            |
| <b>Capital Voted</b> |           |   |                    |                    |                  |                             |
| 1                    | 2         | Housing Department  | 2,856.12           | 1,710.90           | 1,145.22         | 1,145.22                    |

| Sl. No. | Grant No. | Grant Name  | Provision | Expenditure | Savings  | Saving excluded Surrendered |
|---------|-----------|---|-----------|-------------|----------|-----------------------------|
| 2       | 7         | Industries Department (Heavy and Medium Industries)                     | 12,146.14 | 6,591.70    | 5,554.44 | 5,534.92                    |
| 3       | 9         | Power Department  | 10,503.84 | 10,214.05   | 289.79   | 289.79                      |
| 4       | 11        | Agriculture and other Allied Departments (Agriculture)                  | 536.14    | 313.00      | 223.14   | 223.14                      |
| 5       | 13        | Agriculture and other Allied Departments (Rural Development)            | 13,936.32 | 8,330.44    | 5,605.88 | 5,605.38                    |
| 6       | 14        | Agriculture and Other Allied Departments (Panchayati Raj)               | 405.95    | 180.99      | 224.96   | 224.96                      |
| 7       | 15        | Agriculture and other Allied Departments (Animal Husbandry)             | 194.81    | 58.34       | 136.47   | 136.47                      |
| 8       | 21        | Food and Civil Supplies Department                                      | 17,602.05 | 11,202.59   | 6,399.46 | 6,384.21                    |
| 9       | 25        | Home Department (Jails)   | 333.73    | 77.97       | 255.76   | 255.76                      |
| 10      | 26        | Home Department (Police)  | 2,968.74  | 1,707.39    | 1,261.35 | 1,261.35                    |
| 11      | 31        | Medical Department (Medical Education and Training)                     | 4,405.79  | 2,503.40    | 1,902.39 | 1,902.39                    |
| 12      | 32        | Medical Department Allopathy)   | 2,355.50  | 418.84      | 1,936.66 | 1,936.66                    |
| 13      | 35        | Medical Department (Family Welfare)                                     | 946.96    | 134.91      | 812.05   | 812.05                      |
| 14      | 36        | Medical Department (Public Health)                                      | 190.65    | 59.88       | 130.77   | 130.77                      |
| 15      | 37        | Urban Development Department  | 400.77    | 142.34      | 258.43   | 258.43                      |
| 16      | 40        | Planning Department   | 3,898.51  | 1,623.77    | 2,274.74 | 1,151.37                    |
| 17      | 42        | Judicial Department   | 2,281.66  | 462.09      | 1,819.57 | 1,819.57                    |
| 18      | 47        | Technical Education Department  | 196.90    | 66.57       | 130.33   | 130.33                      |
| 19      | 48        | Minorities Welfare Department   | 614.41    | 105.84      | 508.57   | 508.57                      |
| 20      | 49        | Women and Child Welfare Department                                      | 255.66    | 71.90       | 183.76   | 183.76                      |
| 21      | 57        | Public Works Department (Communication Bridges)                         | 2,462.43  | 2,092.63    | 369.80   | 369.80                      |
| 22      | 58        | Public Works Department (Communications-Roads)                          | 15,278.83 | 12,259.73   | 3,019.10 | 3,019.10                    |
| 23      | 61        | Finance Department (Debt Services & other Expenditure)                  | 755.09    | 637.04      | 118.05   | 118.05                      |
| 24      | 72        | Education Department (Secondary Education)                              | 487.32    | 204.38      | 282.94   | 282.94                      |
| 25      | 81        | Social Welfare Department (Tribal Welfare)                              | 338.14    | 150.27      | 187.87   | 183.60                      |
| 26      | 83        | Social Welfare Department (Special Component Plan for Scheduled Castes) | 12,984.20 | 8,928.94    | 4,055.26 | 4,053.30                    |

| Sl. No.  | Grant No. | Grant Name   | Provision          | Expenditure        | Savings            | Saving excluded Surrendered |
|--|-----------|--|--------------------|--------------------|--------------------|-----------------------------|
| 27   | 84        | General Administration Department                      | 651.41             | 334.55             | 316.86             | 316.86                      |
| 28   | 93        | Namami Gange and Rural Water Supply                    | 12,056.55          | 2,430.03           | 9,626.52           | 9,626.52                    |
| 29   | 94        | Irrigation Department (Works)                          | 8,159.75           | 3,721.48           | 4,438.27           | 4,438.27                    |
|  |           | <b>Total</b>   | <b>1,30,204.37</b> | <b>76,735.96</b>   | <b>53,468.41</b>   | <b>52,303.54</b>            |
| <b>Total (Revenue Voted + Capital Voted)</b>     |           |  | <b>4,79,049.09</b> | <b>3,44,415.68</b> | <b>1,34,633.41</b> | <b>1,31,767.70</b>          |
| <b>Revenue Charged</b>                           |           |  |                    |                    |                    |                             |
| 1  | 42        | Judicial Department                                    | 681.53             | 514.62             | 166.91             | 166.91                      |
| 2  | 58        | Public Works Department (Communications-Roads)         | 150.10             | 5.05               | 145.05             | 145.05                      |
| 3  | 61        | Finance Department (Debt Services & other Expenditure) | 41,599.14          | 40,177.81          | 1,421.33           | 1,421.33                    |
| <b>Total</b>                                     |           |  | <b>42,430.77</b>   | <b>40,697.48</b>   | <b>1,733.29</b>    | <b>1,733.29</b>             |
| <b>Capital Charged</b>                           |           |  |                    |                    |                    |                             |
| 1  | 61        | Finance Department (Debt Services & other Expenditure) | 34,438.53          | 24,285.36          | 10,153.17          | 10,153.17                   |
| <b>Total</b>                                     |           |  | <b>34,438.53</b>   | <b>24,285.36</b>   | <b>10,153.17</b>   | <b>10,153.17</b>            |
| <b>Total (Revenue Charged + Capital Charged)</b> |           |  | <b>76,869.30</b>   | <b>64,982.84</b>   | <b>11,886.46</b>   | <b>11,886.46</b>            |
| <b>Grand Total</b>                               |           |  | <b>5,55,918.39</b> | <b>4,09,398.52</b> | <b>1,46,519.87</b> | <b>1,43,654.16</b>          |

Appendix-3.5

(Referred to in paragraph 3.3.4)

Grants in which persistent savings were above ₹ 100 crore in the last five years during 2017-2022

(₹ in crore)

| Sl. No.              | Grant no. | Name of the Grant  | 2017-18          | 2018-19          | 2019-20          | 2020-21          | 2021-22          |
|----------------------|-----------|--|------------------|------------------|------------------|------------------|------------------|
| <b>Revenue Voted</b> |           |  |                  |                  |                  |                  |                  |
| 1                    | 11        | Agriculture and other Allied Departments (Agriculture)                     | 14,633.26        | 888.77           | 1,078.24         | 1,655.00         | 1,525.32         |
| 2                    | 13        | Agriculture and other Allied Departments (Rural Development)               | 573.19           | 575.80           | 648.55           | 903.83           | 403.18           |
| 3                    | 15        | Agriculture and other Allied Departments (Animal Husbandry)                | 214.55           | 310.75           | 223.83           | 412.87           | 389.67           |
| 4                    | 26        | Home Department (Police)   | 1,215.29         | 374.07           | 1,809.86         | 5,594.62         | 4,030.66         |
| 5                    | 32        | Medical Department (Allopathy)   | 867.16           | 1,282.19         | 1,935.09         | 2,514.99         | 1,937.56         |
| 6                    | 33        | Medical Department (Ayurvedic and Unani)                                   | 228.86           | 336.93           | 331.55           | 330.70           | 343.03           |
| 7                    | 35        | Medical Department (Family Welfare)  | 858.14           | 719.19           | 988.46           | 592.63           | 1,573.99         |
| 8                    | 36        | Medical Department (Public Health)   | 100.12           | 184.56           | 261.71           | 333.35           | 379.53           |
| 9                    | 37        | Urban Development Department   | 5,574.84         | 3,451.60         | 8,753.97         | 3,890.80         | 6,838.60         |
| 10                   | 42        | Judicial Department  | 482.06           | 473.88           | 813.17           | 967.23           | 1,014.07         |
| 11                   | 48        | Minorities Welfare Department  | 1,088.19         | 1,034.01         | 1,081.21         | 1,086.58         | 1,137.70         |
| 12                   | 49        | Women and Child Welfare Department   | 2,247.92         | 2,464.72         | 3,554.23         | 4,417.62         | 2,195.30         |
| 13                   | 51        | Revenue Department (Relief on Account of Natural Calamities)               | 816.86           | 1,370.92         | 532.44           | 2,289.91         | 229.38           |
| 14                   | 52        | Revenue Department (Board of Revenue and other Expenditure)                | 416.46           | 468.34           | 1,547.59         | 1,134.72         | 1,189.95         |
| 15                   | 54        | Public Works Department (Establishment)                                    | 996.61           | 1,769.10         | 1,878.77         | 2,497.34         | 1,971.55         |
| 16                   | 60        | Forest Department  | 156.45           | 188.34           | 245.90           | 334.84           | 347.56           |
| 17                   | 69        | Vocational Education Department  | 185.31           | 101.54           | 124.91           | 303.76           | 310.20           |
| 18                   | 71        | Education Department (Primary Education)                                   | 17,493.77        | 14,921.22        | 14,407.71        | 13,745.25        | 13,969.60        |
| 19                   | 72        | Education Department (Secondary Education)                                 | 620.44           | 379.82           | 1,502.17         | 3,151.50         | 3,014.20         |
| 20                   | 73        | Education Department (Higher Education)                                    | 451.39           | 761.39           | 342.64           | 813.80           | 760.00           |
| 21                   | 80        | Social Welfare Department (Social Welfare and Welfare of Scheduled Castes) | 702.81           | 776.69           | 139.51           | 214.52           | 384.92           |
| 22                   | 83        | Social Welfare Department (Special Component Plan for Scheduled Castes)    | 5,573.74         | 4,085.46         | 4,255.67         | 4,486.13         | 7,052.22         |
| 23                   | 95        | Irrigation Department (Establishment)                                      | 701.41           | 906.14           | 1,344.21         | 1,618.46         | 1,517.73         |
| <b>Total</b>         |           |  | <b>56,198.83</b> | <b>37,825.43</b> | <b>47,801.39</b> | <b>53,290.45</b> | <b>52,515.92</b> |

| Sl. No.  | Grant no. | Name of the Grant  | 2017-18          | 2018-19          | 2019-20          | 2020-21          | 2021-22          |
|--|-----------|--|------------------|------------------|------------------|------------------|------------------|
| <b>Capital (Voted)</b>                           |           |  |                  |                  |                  |                  |                  |
| <b>1</b>   | 13        | Agriculture and other Allied Departments (Rural Development) | 5,179.06         | 9,278.13         | 9,371.66         | 7,380.90         | 5,605.88         |
| <b>2</b>   | 32        | Medical Department (Allopathy)                               | 225.40           | 204.87           | 344.64           | 267.39           | 1,936.66         |
| <b>3</b>   | 40        | Planning Department  | 354.32           | 251.01           | 1,021.53         | 897.57           | 2,274.74         |
| <b>4</b>   | 42        | Judicial Department  | 855.26           | 983.23           | 1,377.26         | 1,687.20         | 1,819.57         |
| <b>5</b>   | 48        | Minorities Welfare Department                                | 106.53           | 314.54           | 368.09           | 691.54           | 508.57           |
| <b>Total</b>                                     |           |  | <b>6,720.57</b>  | <b>11,031.78</b> | <b>12,483.18</b> | <b>10,924.60</b> | <b>12,145.42</b> |
| <b>Grand Total (Revenue Voted+Capital Voted)</b> |           |  | <b>62,919.40</b> | <b>48,857.21</b> | <b>60,284.57</b> | <b>64,215.05</b> | <b>64,661.34</b> |

**Appendix-3.6**  
**(Referred to in paragraph 3.3.5)**

**Schemes for which Supplementary provisions could not be utilised**

(₹ in crore)

| Sl. No                     | Grant No. | Name of Department  | Major Head     | Name of scheme   | Original Provision | Supplementary Provision | Total           | Expenditure   | Saving          |
|----------------------------|-----------|---|----------------|--|--------------------|-------------------------|-----------------|---------------|-----------------|
| <b>2021-22</b>             |           |   |                |  |                    |                         |                 |               |                 |
| <b>Revenue Voted</b>       |           |   |                |  |                    |                         |                 |               |                 |
| 1.                         | 14        | Agriculture and other Allied Departments (Panchayati Raj) | 2515-101-01    | Central Sponsored Schemes  | 1,816.72           | 120.00                  | 1,936.72        | 493.70        | 1,443.02        |
| 2.                         |           |   | 2515-101-89    | Relevant State Share of Centrally Sponsored Scheme   | 0.00               | 80.00                   | 80.00           | 0.00          | 80.00           |
| 3.                         | 22        | Sports Department   | 2204-001-03    | Sports and Games Directorate   | 52.11              | 1.25                    | 53.36           | 39.57         | 13.79           |
| 4.                         |           |   | 2204-104-06    | Expenditure on Resident Sportsmen of Sports Hostel (For Boys)                                | 5.60               | 2.45                    | 8.05            | 0.07          | 7.98            |
| 5.                         |           |   | 2204-104-23    | Grant to Sports College  | 18.68              | 4.12                    | 22.80           | 9.86          | 12.94           |
| 6.                         | 26        | Home Department (Police)                                  | 2055-110-03    | Village Police Establishment   | 348.43             | 47.88                   | 396.31          | 186.97        | 209.34          |
| 7.                         | 67        | Legislative Council Secretariat                           | 2011-02-103-03 | Legislative Council Secretariat  | 40.88              | 2.53                    | 43.41           | 32.64         | 10.77           |
| 8.                         | 71        | Education Department (Primary Education)                  | 2202-01-102-23 | Payment of Honorarium to Shiksha Mitra (District Plan)                                       | 163.00             | 123.55                  | 286.55          | 149.02        | 137.53          |
| 9.                         |           |   | 2202-01-111-89 | Relevant State share of Centrally Sponsored Schemes  | 0.00               | 30.55                   | 30.55           | 0.00          | 30.55           |
| <b>Total Revenue Voted</b> |           |   |                |  | <b>2,445.42</b>    | <b>412.33</b>           | <b>2,857.75</b> | <b>911.83</b> | <b>1,945.92</b> |
| <b>Capital Voted</b>       |           |   |                |  |                    |                         |                 |               |                 |
| 1.                         | 7         | Industries Department (Heavy and Medium Industries)       | 5054-03-337-10 | Balia Link Express-way Project   | 50.00              | 50.00                   | 100.00          | 0.00          | 100.00          |
| 2.                         | 9         | Power Department  | 4801-06-190-06 | Share Capital for electricity distribution work under Deen Dayal Upadhyay Gram Jyoti Scheme) | 395.00             | 300.00                  | 695.00          | 121.97        | 573.03          |



| Sl. No                                     | Grant No. | Name of Department   | Major Head     | Name of scheme                                    | Original Provision | Supplementary Provision | Total           | Expenditure     | Saving          |
|--|-----------|--|----------------|---|--------------------|-------------------------|-----------------|-----------------|-----------------|
| 3.   | 13        | Agriculture and other Allied Departments (Rural Development) | 4515-102-02    | National Rural Employment Guarantee Scheme        | 3827.84            | 40.62                   | 3868.46         | 2177.74         | 1690.72         |
| 4.   | 41        | Election Department  | 4059-01-051-04 | Godown construction for storage of EVM/VVPAT      | 12.50              | 3.00                    | 15.50           | 9.61            | 5.89            |
| 5.   | 67        | Legislative Council Secretariat                              | 4070-800-01    | Central Sponsored Schemes                         | 0.00               | 4.94                    | 4.94            | 0.00            | 4.94            |
| 6.   |           |  | 4070-800-89    | Relevant State Share of Central Sponsored Schemes | 0.00               | 3.29                    | 3.29            | 0.00            | 3.29            |
| <b>Total (Capital Voted)</b>               |           |  |                |   | <b>4,285.34</b>    | <b>401.85</b>           | <b>4,687.19</b> | <b>2,309.32</b> | <b>2,377.87</b> |
| <b>Total (Revenue Voted+Capital Voted)</b> |           |  |                |   | <b>6,730.76</b>    | <b>814.18</b>           | <b>7,544.94</b> | <b>3,221.15</b> | <b>4,323.79</b> |

**Appendix-3.7**  
**(Referred to in paragraph 3.3.6)**  
**Unnecessary Re-appropriations**

(₹ in crore)

| Sl. No.        | Grant No. | Head of Accounts   | Total Provision | Re-Appropriation | Total  | Expenditure | Savings |
|----------------|-----------|--|-----------------|------------------|--------|-------------|---------|
| <b>2021-22</b> |           |  |                 |                  |        |             |         |
| 1              | 1         | 2039-State Excise<br>001-Direction and Administration<br>05-Distilleries   | 219.80          | 3.00             | 222.80 | 202.84      | 19.96   |
| 2              | 2         | 2070-Other Administrative Services<br>800-Other expenditure-<br>03-Establishment of Prescribed Officers  | 10.43           | 0.02             | 10.45  | 7.30        | 3.15    |
| 3              | 6         | 2851-Village and small Industries 108-Power loom Industries- 04-Re-imbursement of rebate in electricity rate to powerloom weavers  | 250.00          | 51.36            | 301.36 | 250.00      | 51.36   |
| 4              | 8         | 2058-Stationery and Printing<br>001-Direction and Administration- 03-Establishment (Headquarters)  | 56.42           | 3.00             | 59.42  | 56.06       | 3.36    |
| 5              | 8         | 2058-Stationery and Printing<br>103-Direction and Administration- 06-Government Press Rampur   | 8.76            | 0.04             | 8.80   | 7.56        | 1.24    |
| 6              | 10        | 2406-Forestry and Wild Life-<br>02-Environmental Forestry and Wild Life- 112-Public Gardens- 03-Gardens  | 44.72           | 0.56             | 45.28  | 38.05       | 7.23    |
| 7              | 10        | 2415-Agricultural Research and Education-80-General-004-Research- 06-Research and Training Centre  | 17.64           | 0.11             | 17.75  | 12.62       | 5.13    |
| 8              | 11        | 2401-Crop Husbandry-111-Agricultural Economics and Statistics-04-Study and Designing of Computerisation in Agriculture Department for Agricultural Statistics and Management           | 0.33            | 0.01             | 0.34   | 0.29        | 0.05    |
| 9              | 11        | 2415-Agricultural Research and Education-01-Crop Husbandry- 004-Research- 03-Laboratories for analysis of samples collected under Fertilizer Control Order, Seeds and Insecticides Act | 0.64            | 0.01             | 0.65   | 0.62        | 0.03    |
| 10             | 11        | 2435-Other Agricultural Programmes-01-Marketing and quality control- 101-Marketing facilities-04-Market Regularity and Training Centre   | 3.91            | 0.02             | 3.93   | 3.68        | 0.25    |

| Sl. No. | Grant No. | Head of Accounts   | Total Provision | Re-Appropriation | Total    | Expenditure | Savings |
|---------|-----------|--|-----------------|------------------|----------|-------------|---------|
| 11      | 13        | 2515-Other Rural Development Programmes-102-Community Development- 03-Main Establishment   | 890.30          | 3.04             | 893.34   | 750.88      | 142.46  |
| 12      | 15        | 2403-Animal Husbandry-101-Veterinary Services and Animal Health-09-Multipurpose Mobile Veterinary Services (State Plan)  | 28.32           | 0.19             | 28.51    | 28.10       | 0.41    |
| 13      | 15        | 2403-Animal Husbandry-106-Other Live Stock Development-03-Government Livestock and Agriculture related region  | 61.17           | 2.79             | 63.96    | 58.55       | 5.41    |
| 14      | 23        | 2401-Crop Husbandry-001-Direction and Administration-03-Establishment of Cane Commissioner   | 14.88           | 0.05             | 14.93    | 11.12       | 3.81    |
| 15      | 23        | 2401-Crop Husbandry-001-Direction and Administration-04-Regional Offices   | 8.64            | 0.14             | 8.78     | 8.34        | 0.44    |
| 16      | 25        | 4070-Capital Outlay on other Administrative Services800-Other expenditure-16-Establishment of Video Conferencing System in Jails and District Courts of State- | 0.00            | 0.98             | 0.98     | 0.00        | 0.98    |
| 17      | 26        | 2055-Police-001-Direction and Administration- 03-Main  | 48.48           | 0.90             | 49.38    | 30.49       | 18.89   |
| 18      | 26        | 2055-Police-003-Education and Training- 04-Education and Training Main   | 291.85          | 1.90             | 293.75   | 168.40      | 125.35  |
| 19      | 26        | 2055-Police-101- Criminal Investigation and Vigilance-03-Intelligence Section-Main   | 349.13          | 27.57            | 376.70   | 304.74      | 71.96   |
| 20      | 26        | 2055-Police-101- Criminal Investigation and Vigilance-04- Research Section   | 419.79          | 18.88            | 438.67   | 349.74      | 88.93   |
| 21      | 26        | 2055-Police-104- Special Police- 03- State Arms Constabulary -Main   | 3,114.63        | 167.97           | 3,282.60 | 2,926.68    | 355.92  |
| 22      | 26        | 2055-Police-104- Special Police-06- Formation of India Reserve Battalion   | 76.16           | 0.23             | 76.39    | 68.81       | 7.58    |
| 23      | 26        | 2055-Police-104- Special Police-07-Uttar Pradesh Vishesh Parikshetra Suraksha Vahini   | 49.81           | 0.14             | 49.95    | 48.55       | 1.40    |
| 24      | 26        | 2055-Police-108-State Headquarters Police- 03-State Police Headquarters  | 110.76          | 0.15             | 110.91   | 89.83       | 21.08   |
| 25      | 26        | 2055-Police-109- District Police-04- State Radio Section-Main  | 505.99          | 6.25             | 512.24   | 331.24      | 181.00  |

| Sl. No. | Grant No. | Head of Accounts   | Total Provision | Re-Appropriation | Total    | Expenditure | Savings |
|---------|-----------|--|-----------------|------------------|----------|-------------|---------|
| 26      | 26        | 2055-Police-109- District Police-15- Women Power Line-1090   | 6.92            | 0.23             | 7.15     | 4.79        | 2.36    |
| 27      | 26        | 2055-Police-111- Railway Police-03- Main   | 504.59          | 3.65             | 508.24   | 385.41      | 122.82  |
| 28      | 26        | 2055-Police-113- Welfare of Police Personnel-04- Hospital Expenses   | 69.08           | 0.40             | 69.48    | 47.26       | 22.22   |
| 29      | 26        | 2055-Police-114-Wireless and Computers- 03- police Computer Centre   | 179.54          | 1.35             | 180.89   | 142.63      | 38.26   |
| 30      | 26        | 2055-Police-115- Modernisation of Police Force- 03- Expenditure to be borne by State Government  | 93.06           | 0.15             | 93.21    | 62.88       | 30.33   |
| 31      | 26        | 2070- Other Administrative services108- Fire Protection and Control- 03- Administration  | 519.36          | 50.45            | 569.81   | 471.85      | 97.96   |
| 32      | 26        | 2251- Secretariat-Social Services-090- Secretariat- 03- Strengthening of Machinery for implementation of Civil Rights protection Act                                     | 8.98            | 0.23             | 9.21     | 8.01        | 1.20    |
| 33      | 26        | 4055-Capital Outlay on Police-207- State Police- 01- Central Sponsored Schemes   | 647.45          | 1.24             | 648.69   | 63.02       | 585.67  |
| 34      | 26        | 4070- Capital Outlay on other Administrative Services-800- Other Expenditure-09-District police (Main)   | 57.00           | 50.00            | 107.00   | 42.56       | 64.44   |
| 35      | 28        | 2251- Secretariat-Social Services-200-Secretariat Social Servises-03- Freedom Fighter's Seva Sadan   | 1.01            | 0.22             | 1.23     | 0.64        | 0.59    |
| 36      | 31        | 4210-Capital Outlay on Medical and Public Health-03- Medical Education, Training & Research-105- Allopathy- 39-Ganesh Shankar Vidyarthi Memorial Medical College, Kanpur | 25.00           | 4.99             | 29.99    | 13.10       | 16.89   |
| 37      | 32        | 2210-Medical and Public Health-03-Rural Health Services-Allopathy- 110- Hospitals and Dispensaries- 10- Allopathy Hospital and Dispensaries                              | 4,157.77        | 38.00            | 4,195.77 | 3,553.77    | 642.00  |
| 38      | 33        | 2210-Medical and Public Health-02-Urban Health Services-Other systems of medicine-101- Ayurveda-04- Departmental Drug Manufacture  | 16.42           | 1.50             | 17.92    | 14.12       | 3.81    |
| 39      | 39        | 2202-General Education-05- Language Development-102- Promotion of Modern Indian  | 1.21            | 0.03             | 1.24     | 1.18        | 0.06    |

| Sl. No. | Grant No. | Head of Accounts   | Total Provision | Re-Appropriation | Total  | Expenditure | Savings |
|---------|-----------|--|-----------------|------------------|--------|-------------|---------|
|         |           | Languages and Literature-08-Grant to Punjabi Academy, Uttar Pradesh  |                 |                  |        |             |         |
| 40      | 40        | 3454-Census Surveys and Statistics-02- Surveys and Statistics-001- Direction and Administration-04-State Strategic Statistical Plan  | 0.00            | 0.35             | 0.35   | 0.00        | 0.35    |
| 41      | 40        | 4575-Capital Outlay on Other Special Areas Programmes-02-Backward Areas-800-Other Expenditure-04-Special Schemes of Bundelkhand  | 176.81          | 48.19            | 225.00 | 87.81       | 137.19  |
| 42      | 41        | 2015-Elections 103-Preparation and Printing of Electoral rolls-05-Establishment Expenditure of Election  | 69.24           | 0.54             | 69.78  | 47.74       | 22.04   |
| 43      | 42        | 2014-Administration of Justice 105-Civil and Session Courts-01-Central Sponsored Schemes   | 81.80           | 8.98             | 90.78  | 62.07       | 28.71   |
| 44      | 42        | 2014-Administration of Justice 105-Civil and Session Courts-09-Family Court  | 86.89           | 13.47            | 100.36 | 75.31       | 25.05   |
| 45      | 42        | 2014-Administration of Justice 105-Civil and Session Courts-15-Establishment of Courts for quick disposal of cases relating to Women victimisation                           | 28.48           | 6.25             | 34.73  | 23.11       | 11.62   |
| 46      | 42        | 2014-Administration of Justice 105-Civil and Session Courts-16-Commercial Court  | 11.15           | 3.06             | 14.21  | 5.31        | 8.90    |
| 47      | 42        | 2014-Administration of Justice 114-Legal Advisers and Counsels-03-Advocate General   | 9.21            | 1.34             | 10.55  | 8.26        | 2.29    |
| 48      | 42        | 2235-Social Security and Welfare- 60-Other Social Security and Welfare Programmes-200-Other Programmes-04-State Legal Service Authority and District Legal Service Authority | 24.40           | 2.57             | 26.97  | 19.53       | 7.44    |
| 49      | 44        | 5452-Capital Outlay on Tourism 80-General- 104-Promotion and Publicity-06-Development and beautification of tourism facilities in Varanasi                                   | 0.00            | 0.50             | 0.50   | 0.50        | 0.00    |
| 50      | 48        | 2070-Other Administrative Services-001-Direction and Administration-06-Registrar/Inspector Arbi Farsi Madarsa, Uttar Pradesh, Prayagraj                                      | 1.03            | 0.10             | 1.13   | 0.75        | 0.38    |

| Sl. No. | Grant No. | Head of Accounts   | Total Provision | Re-Appropriation | Total  | Expenditure | Savings |
|---------|-----------|--|-----------------|------------------|--------|-------------|---------|
| 51      | 49        | 2235-Social Security and Welfare-02-Social Welfare-102-Child Welfare-14-Integrated Child Development Scheme  | 542.00          | 80.00            | 622.00 | 537.94      | 84.06   |
| 52      | 49        | 4235-Capital Outlay on Social Security and Welfare-02-Social Welfare-102-Child Welfare-01-Central Sponsored Schemes  | 108.00          | 4.01             | 112.01 | 66.90       | 45.11   |
| 53      | 54        | 2059- Public Works-80-General- 001- Direction and Administration- 03-Direction   | 171.02          | 10.17            | 181.19 | 148.72      | 32.47   |
| 54      | 70        | 2810- New and Renewable Energy-02- Solar- 101- Solar Thermal Energy Programme-03- Science and Additional Energy Source   | 334.66          | 5.00             | 339.66 | 275.99      | 63.67   |
| 55      | 72        | 2071-Pensions and Other Retirement Benefits-01-Civil-117-Government Contribution for Defined Contribution Pension Scheme- 03- Contribution in Tier-I Account of Teacher/Non-Teaching staff of Secondary School granted by State Government | 373.00          | 15.00            | 388.00 | 346.43      | 41.57   |
| 56      | 76        | 2210- Medical and Public Health-01- Urban Health Services- Allopathy- 102-Employees State Insurance Scheme-04- Regional Offices  | 1.23            | 0.01             | 1.24   | 0.88        | 0.36    |
| 57      | 76        | 2210- Medical and Public Health-01- Urban Health Services- Allopathy- 102-Employees State Insurance Scheme-06-Dispensaries   | 85.54           | 0.11             | 85.65  | 79.28       | 6.37    |
| 58      | 76        | 2230- Labour, Employment and Skill Development-01-Labour-001- Direction and Administration-03-Establishment of Labour Commissioner   | 9.59            | 0.14             | 9.73   | 8.50        | 1.23    |
| 59      | 76        | 2230- Labour, Employment and Skill Development-01-Labour-101- Industrial Relations-04- Settlement of Disputes  | 66.17           | 1.45             | 67.62  | 60.95       | 6.67    |
| 60      | 77        | 2230-Labour, Employment and Skill Development-02-Employment Service- 001-Direction and Administration-03-Employment Directorate  | 18.07           | 3.95             | 22.02  | 16.61       | 5.41    |

| Sl. No. | Grant No. | Head of Accounts   | Total Provision  | Re-Appropriation | Total            | Expenditure      | Savings         |
|---------|-----------|--|------------------|------------------|------------------|------------------|-----------------|
| 61      | 79        | 2235-Social Security and Welfare-02-Social Welfare-101-Welfare of handicapped-03-Establishment of Headquarters/ Divisional/ District Offices   | 28.55            | 0.17             | 28.72            | 24.09            | 4.63            |
| 62      | 81        | 2225- Welfare of Scheduled Castes, Scheduled tribes, other Backwards Classes and Minorities-02- Welfare of Scheduled Tribes-796- Tribal area sub-plan-01- Central Plan/Centrally Sponsored Schemes | 56.22            | 0.50             | 56.72            | 4.77             | 51.95           |
| 63      | 81        | 2225- Welfare of Scheduled Castes, Scheduled tribes, other Backwards Classes and Minorities-02- Welfare of Scheduled Tribes-796- Tribal area sub-plan-17- Tribal sub-scheme                        | 3.92             | 0.01             | 3.93             | 1.89             | 2.04            |
| 64      | 83        | 2211-Family Welfare-789-Special Component Plan for Scheduled Castes-01-Central Sponsored Schemes-  | 1,298.04         | 146.30           | 1,444.34         | 1,198.62         | 245.72          |
| 65      | 94        | 2701-Medium Irrigation 07-Ken Nahar (Commercial)-101-Maintenance and Repairs-03-Other Maintenance Expenses   | 5.00             | 0.35             | 5.35             | 4.95             | 0.40            |
| 66      | 94        | 2701-Medium Irrigation 19-Dhasan Canal (Commercial)-101-Maintenance and Repairs-03-Other Maintenance Expenses  | 4.00             | 1.46             | 5.46             | 4.00             | 1.46            |
| 67      | 94        | 2701-Medium Irrigation 41-Chillimal Pump Canal (Commercial)- 101-Maintenance and Repairs- 03-Other Maintenance Expenses  | 0.50             | 0.02             | 0.52             | 0.50             | 0.02            |
| 68      | 94        | 2701-Medium Irrigation 58-Quolari Dam/Canals (Commercial)- 101-Maintenance and Repairs- 03-Other Maintenance Expenses  | 0.05             | 0.17             | 0.22             | 0.05             | 0.17            |
| 69      | 94        | 4711-Capital Outlay on Flood Control Projects- 03-Drainage-103-Civil works- 03-Drainage Scheme (State Sector)  | 42.40            | 11.90            | 54.30            | 37.16            | 17.14           |
| 70      | 95        | 2701-Medium Irrigation 02-Major Irrigation (Commercial)-001-Direction and Administration -04-Working Establishment   | 4,191.11         | 10.50            | 4,201.61         | 2,679.40         | 1,522.21        |
|         |           | <b>Total</b>   | <b>20,698.03</b> | <b>818.32</b>    | <b>21,516.35</b> | <b>16,393.73</b> | <b>5,122.62</b> |

**Appendix-3.8**  
**(Referred to in paragraph 3.3.8)**

**Schemes for which original provisions could not be utilised**

(₹ in crore)

| Sl. No.        | Grant No.   | Name of Department   | Major Head     | Name of scheme  | Original Provision | Expendi-<br>-ture   | Saving |
|----------------|-------------|--|----------------|---|--------------------|---|--------|
| <b>2021-22</b> |             |  |                |   |                    |   |        |
| 1.             | 1           | Excise Department  | 4047-800-03    | State Excise Duty-Supervision   | 1.00               | 0.00  | 1.00   |
| 2.             | 2           | Housing Department   | 4202-04-800-04 | Establishment of Jai Prakash Narayan International Centre in Gomti Nagar, Lucknow                         | 40.00              | 0.00  | 40.00  |
| 3.             |             |  | 4202-04-800-05 | Sanskriti School, Lucknow   | 20.00              | 0.00  | 20.00  |
| 4.             |             |  | 4216-01-106-03 | Construction of Towers of Civil Services Institute  | 25.00              | 0.00  | 25.00  |
| 5.             |             |  | 4217-60-190-09 | Metro rail project in Varansi, Gorakhpur and in other cities  | 100.00             | 0.00  | 100.00 |
| 6.             |             |  | 3              | Industries Department (Small Industry And Export Promotion)   | 2851-102-17        | Implementation of Industrial Placement (M.S.M.E. Cluster Park) Scheme | 100.00 |
| 7.             | 2851-102-29 | Micro, Small and Medium Enterprise Promotion Policy, 2017  |                |   | 10.00              | 0.00  | 10.00  |
| 8.             | 2851-800-05 | Chief Minister migrant worker entrepreneurship development scheme  |                |   | 100.00             | 0.00  | 100.00 |
| 9.             | 4851-102-03 | Development of infrastructure facilities to develop Udyamita Vikas Sansthan into Institute of Excellence |                |   | 3.88               | 0.00  | 3.88   |
| 10.            | 4851-104-03 | Carpet Market in Sant Ravidas Nagar (Bhadohi)  |                |   | 2.00               | 0.00  | 2.00   |
| 11.            | 7           | Industries Department (Heavy and Medium Industries)  | 2885-60-800-20 | New Industrial Policy   | 290.86             | 0.00  | 290.86 |
| 12.            |             |  | 2885-60-800-21 | Special Investment Board  | 1.00               | 0.00  | 1.00   |
| 13.            |             |  | 5054-03-337-11 | For Development of industrial corridor on both sides of Gorakhpur Link Express-Way in District Gorakhpur- | 200.00             | 0.00  | 200.00 |



| Sl. No. | Grant No. | Name of Department   | Major Head     | Name of scheme   | Original Provision | Expenditure | Saving   |
|---------|-----------|--|----------------|--|--------------------|-------------|----------|
| 14.     |           |  | 5054-03-337-12 | Purchase of land for Ganga Express-way in respect of monetisation of toll receipts of Agra-Lucknow Express-way               | 5,000.00           | 0.00        | 5,000.00 |
| 15.     | 11        | Agriculture and other Allied Departments (Agriculture)       | 2071-01-117-09 | Payment of Interest on late depositing subscriber contribution of employees of Agriculture Universities covered under N.P.S. | 2.00               | 0.00        | 2.00     |
| 16.     |           |  | 2401-109-12    | Scheme of incentive to Farmer producer organisation and commercial activities-   | 2.00               | 0.00        | 2.00     |
| 17.     |           |  | 2401-113-01    | Central Sponsored Schemes  | 100.00             | 0.00        | 100.00   |
| 18.     |           |  | 2402-101-06    | Vermi Compost Unit   | 10.00              | 0.00        | 10.00    |
| 19.     |           |  | 4435-01-101-06 | Implementation of Uttar Pradesh Agriculture Export Policy  | 5.01               | 0.00        | 5.01     |
| 20.     | 13        | Agriculture and other Allied Departments (Rural Development) | 4515-103-06    | Community Development-Main Establishment   | 3.00               | 0.00        | 3.00     |
| 21.     | 14        | Agriculture and other Allied Departments (Panchayati Raj)    | 2070-800-07    | Encouragement to Youth and Women Mangal Dal  | 20.00              | 0.00        | 20.00    |
| 22.     |           |  | 2070-800-10    | Expenditure related to training etc. of Prantiya Rakshak Dal   | 6.00               | 0.00        | 6.00     |
| 23.     |           |  | 4515-101-09    | Establishment of two Chandrasekhar Azad Rural Development Secretariat in each Nyay Panchayat                                 | 10.00              | 0.00        | 10.00    |
| 24.     | 15        | Agriculture and other Allied Departments (Animal Husbandry)  | 4403-101-01    | Central Sponsored Schemes  | 3.40               | 0.00        | 3.40     |
| 25.     |           |  | 4403-101-18    | Establishment of Veterinary Degree College in District Gorakhpur and Bhadohi   | 50.00              | 0.00        | 50.00    |
| 26.     | 16        | Agriculture and Other Allied Departments (Dairy Development) | 6404-190-08    | Establishment of new Dairy in Mathura  | 55.00              | 0.00        | 55.00    |

| Sl. No. | Grant No. | Name of Department                                    | Major Head     | Name of scheme  | Original Provision | Expenditure | Saving |
|---------|-----------|---|----------------|---|--------------------|-------------|--------|
| 27.     | 17        | Agriculture and Other Allied Departments (Fisheries)  | 2405-800-12    | Fishermen Welfare Fund  | 25.00              | 0.00        | 25.00  |
| 28.     | 19        | Personnel Department (Training and other Expenditure) | 4070-003-03    | Uttar Pradesh Administration and Management Academy   | 40.00              | 0.00        | 40.00  |
| 29.     | 22        | Sports Department                                     | 2204-104-07    | Uttar Pradesh sports development and incentive scheme   | 8.55               | 0.00        | 8.55   |
| 30.     |           |   | 2204-104-30    | Organisation of sports competitions on the occasion of birth centenary of Pandit Deen Dayal Upadhyay Ji | 2.09               | 0.00        | 2.09   |
| 31.     |           |   | 2204-104-36    | Eklavya Sports Fund   | 25.00              | 0.00        | 25.00  |
| 32.     |           |   | 4202-03-102-03 | Sports stadium situated at District Varansi   | 3.00               | 0.00        | 3.00   |
| 33.     |           |   | 4202-03-102-04 | Veer Bahadur Singh Sports College, Gorakhpur  | 5.00               | 0.00        | 5.00   |
| 34.     |           |   | 4202-03-102-05 | Sports stadium in District Chitrakoot   | 4.00               | 0.00        | 4.00   |
| 35.     |           |   | 4202-03-102-08 | Miscellaneous works in Sports Stadium Deoria  | 1.00               | 0.00        | 1.00   |
| 36.     |           |   | 4202-03-102-09 | 100-100 bedded hostel building for boys/girls in Dr. Bheemrao Ambedkar, Lalpur Sports Complex           | 5.00               | 0.00        | 5.00   |
| 37.     |           |   | 4202-03-800-26 | Construction of International sports complex in District Faizabad                                       | 2.00               | 0.00        | 2.00   |
| 38.     |           |   | 4202-03-800-48 | Construction of Cricket Stadium of District Kannauj   | 1.00               | 0.00        | 1.00   |
| 39.     |           |   | 4202-03-800-60 | Works for stadium and land purchase in District Chandauli   | 1.00               | 0.00        | 1.00   |
| 40.     |           |   | 4202-03-800-71 | Construction of hostel in Green Park, Kanpur  | 1.00               | 0.00        | 1.00   |
| 41.     |           |   | 4202-03-800-81 | Sports College in district Ballia   | 2.00               | 0.00        | 2.00   |

| Sl. No. | Grant No. | Name of Department                           | Major Head     | Name of scheme   | Original Provision | Expenditure | Saving |
|---------|-----------|--|----------------|--|--------------------|-------------|--------|
| 42.     |           |  | 4202-03-800-86 | Construction of Stadium in Saifai  | 1.00               | 0.00        | 1.00   |
| 43.     |           |  | 4202-03-800-91 | Construction of International Cricket Stadium in Saifai Sports College, Etawah             | 1.00               | 0.00        | 1.00   |
| 44.     | 24        | Cane Development Department (Sugar Industry) | 2852-08-201-08 | Concession/Rebate to Sugarcane Industry under Generation and Aaswani Promotion Policy 2013 | 25.00              | 0.00        | 25.00  |
| 45.     |           |  | 6860-04-190-13 | Loan for pension fund of personals of U.P. Council of Sugarcane Research, Shahjahanpur-    | 4.00               | 0.00        | 4.00   |
| 46.     | 25        | Home Department (Jails)                      | 4070-800-09    | Lumpsum provision for land purchase for construction of jails in newly created districts   | 50.00              | 0.00        | 50.00  |
| 47.     |           |  | 4070-800-22    | Arrangement of solar energy-based power plant, high mast and street light in jails-        | 10.00              | 0.00        | 10.00  |
| 48.     |           |  | 4070-800-26    | All Jails  | 2.00               | 0.00        | 2.00   |
| 49.     | 26        | Home Department (Police)                     | 2055-109-11    | Provision for transposition of Forces of Central/External States                           | 10.00              | 0.00        | 10.00  |
| 50.     |           |  | 2055-109-12    | Smart City Surveillance System   | 1.00               | 0.00        | 1.00   |
| 51.     |           |  | 2055-109-19    | Witness Protection Fund  | 4.65               | 0.00        | 4.65   |
| 52.     |           |  | 2055-116-04    | U.P. State Institute of Forensic Science, Lucknow  | 10.00              | 0.00        | 10.00  |
| 53.     |           |  | 2235-60-800-03 | Terrorist activities, Fire-accidents etc. affecting public arrangement                     | 1.00               | 0.00        | 1.00   |
| 54.     |           |  | 4055-207-12    | Purchase of CCTV and concomitant equipment for traffic management of districts             | 9.00               | 0.00        | 9.00   |
| 55.     |           |  | 4055-207-21    | Expenditure from Uttar Pradesh Road Safety Fund  | 25.00              | 0.00        | 25.00  |
| 56.     |           |  | 4055-210-03    | Uttar Pradesh Police forensic university   | 20.00              | 0.00        | 20.00  |

| Sl. No. | Grant No. | Name of Department                                  | Major Head     | Name of scheme  | Original Provision | Expenditure | Saving |
|---------|-----------|---|----------------|---|--------------------|-------------|--------|
| 57.     |           |   | 4055-211-04    | Establishment of Security Line in Lucknow   | 19.45              | 0.00        | 19.45  |
| 58.     |           |   | 4055-211-13    | Purchase of land for construction of police line in newly created districts   | 100.00             | 0.00        | 100.00 |
| 59.     |           |   | 4055-800-01    | Central Sponsored Schemes   | 15.87              | 0.00        | 15.87  |
| 60.     |           |   | 4070-800-08    | State Armed Constabulary-Main   | 20.00              | 0.00        | 20.00  |
| 61.     |           |   | 4070-800-11    | Prevention and Control from fire-Administration   | 50.00              | 0.00        | 50.00  |
| 62.     |           |   | 4070-800-24    | Establishment of Solar power plant on fire fighting centres   | 25.00              | 0.00        | 25.00  |
| 63.     | 31        | Medical Department (Medical Education and Training) | 4210-03-105-08 | Establishment of Para-Medical Institute in Rural Institute of Medical Sciences and Research, Saifai, Etawah                 | 7.00               | 0.00        | 7.00   |
| 64.     |           |   | 4210-03-105-09 | King George's Medical University  | 23.00              | 0.00        | 23.00  |
| 65.     |           |   | 4210-03-105-15 | Establishment of Hospital Management System in Government Medical Colleges  | 5.00               | 0.00        | 5.00   |
| 66.     |           |   | 4210-03-105-22 | J.K. Institute of Radiology and Cancer Research, Kanpur   | 11.00              | 0.00        | 11.00  |
| 67.     |           |   | 4210-03-105-25 | Establishment of Medical College in unserved Districts of State on the basis of Public Private Partnership                  | 48.00              | 0.00        | 48.00  |
| 68.     |           |   | 4210-03-105-26 | Maintenance/renovation/strengthening of attached hospitals from Medical College established by upgrading District Hospitals | 20.00              | 0.00        | 20.00  |
| 69.     |           |   | 4210-03-105-27 | National Digital Health Mission (N.D.H.M.)  | 20.00              | 0.00        | 20.00  |
| 70.     |           |   | 4210-03-105-76 | National Programme for the Elderly in K.G.M.U. Lucknow  | 2.50               | 0.00        | 2.50   |
| 71.     |           |   | 4210-03-105-87 | Establishment of Medical College in District Balrampur  | 25.00              | 0.00        | 25.00  |

| Sl. No. | Grant No. | Name of Department             | Major Head                       | Name of scheme  | Original Provision  | Expenditure | Saving   |        |
|---------|-----------|--------------------------------|----------------------------------|---|---|-------------|----------|--------|
| 72.     |           |                                | 6075-800-03                      | Revolving Fund for treatment of State Employees in S.G.P.G.I, Lucknow             | 1.00  | 0.00        | 1.00     |        |
| 73.     | 32        | Medical Department (Allopathy) | 2210-01-110-08                   | e-Hospital pilot project in Hospitals of District Lucknow                         | 5.00  | 0.00        | 5.00     |        |
| 74.     |           |                                | 2210-03-110-03                   | Operation of 108 E.M.T.S. "Swasthya Sewa"   | 10.00   | 0.00        | 10.00    |        |
| 75.     |           |                                | 2210-80-800-11                   | Biometric Attendance System   | 2.00  | 0.00        | 2.00     |        |
| 76.     |           |                                | 4210-01-110-04                   | Construction of T.B. Clinic Building  | 1.00  | 0.00        | 1.00     |        |
| 77.     |           |                                | 4210-01-110-13                   | Construction of Patients Shelter in Male/ Female District Hospitals               | 1.50  | 0.00        | 1.50     |        |
| 78.     |           |                                | 4210-01-110-16                   | Construction of 300 bedded hospital building in Aligarh                           | 1.00  | 0.00        | 1.00     |        |
| 79.     |           |                                | 4210-01-110-19                   | Building construction of Plastic Surgery and Burn Unit (District Plan)            | 3.00  | 0.00        | 3.00     |        |
| 80.     |           |                                | 4210-01-110-24                   | Establishment of 50 bedded Eye Hospital Dr. Ram Manohar Lohia at Vidhuna, Auraiya | 1.00  | 0.00        | 1.00     |        |
| 81.     |           |                                | 4210-01-110-55                   | Construction of 300 Bedded Joint Hospital on Kanpur Road in Lucknow-              | 1.73  | 0.00        | 1.73     |        |
| 82.     |           |                                | 4210-01-110-79                   | Extension of premises of Dr. Shyama Prasad Mukherjee Civil hospital, Lucknow      | 1.50  | 0.00        | 1.50     |        |
| 83.     |           |                                | 4210-02-110-07                   | Construction of building of 100 bedded hospital in Milkipur, District-Ayodhya     | 1.04  | 0.00        | 1.04     |        |
| 84.     |           |                                | 4210-80-800-03                   | 15th Finance Commission   | 1,497.48  | 0.00        | 1,497.48 |        |
| 85.     | 34        |                                | Medical Department (Homoeopathy) | 4210-02-800-03  | Construction of building of Homeopathic Hospitals (District Plan) | 4.00        | 0.00     | 4.00   |
| 86.     | 35        |                                | Medical Department               | 4210-80-800-03  | 15th Finance Commission   | 255.54      | 0.00     | 255.54 |

| Sl. No. | Grant No. | Name of Department                 | Major Head      | Name of scheme  | Original Provision | Expenditure | Saving |
|---------|-----------|------------------------------------|-----------------|---|--------------------|-------------|--------|
| 87.     |           | (Family Welfare)                   | 4211-103-800-01 | Central Sponsored Schemes   | 480.00             | 0.00        | 480.00 |
| 88.     |           |                                    | 4211-103-800-03 | Functional vehicle of State, Division, District and Primary Health Centre level                                       | 8.60               | 0.00        | 8.60   |
| 89.     | 36        | Medical Department (Public Health) | 2210-06-104-01  | Central Sponsored Schemes   | 11.85              | 0.00        | 11.85  |
| 90.     |           |                                    | 2210-06-800-03  | National Digital Health Mission (N.D.H.M.)  | 2.00               | 0.00        | 2.00   |
| 91.     |           |                                    | 4210-80-800-03  | 15th Finance Commission   | 76.53              | 0.00        | 76.53  |
| 92.     | 37        | Urban Development Department       | 2215-01-101-05  | Grant for construction work of Urban drinking water programmes  | 10.00              | 0.00        | 10.00  |
| 93.     |           |                                    | 2215-01-101-07  | Arrangement for drinking water in Firozabad   | 10.00              | 0.00        | 10.00  |
| 94.     |           |                                    | 2215-01-190-03  | Grant for Payment of interest and redemption of loan taken from Life Insurance Corporation of India by U.P. Jal Nigam | 40.00              | 0.00        | 40.00  |
| 95.     |           |                                    | 2215-02-106-01  | Central Plan/ Centrally Sponsored Schemes   | 20.22              | 0.00        | 20.22  |
| 96.     |           |                                    | 2217-03-191-01  | Centrally Sponsored Schemes   | 25.00              | 0.00        | 25.00  |
| 97.     |           |                                    | 2217-03-192-01  | Central Sponsored Schemes   | 10.00              | 0.00        | 10.00  |
| 98.     |           |                                    | 2217-03-193-01  | Central Sponsored Schemes   | 10.00              | 0.00        | 10.00  |
| 99.     |           |                                    | 2217-80-192-08  | Construction and development of Parks   | 10.00              | 0.00        | 10.00  |
| 100.    |           |                                    | 2217-80-800-03  | Advisory service under schemes implemented on Public private partnership mode   | 2.00               | 0.00        | 2.00   |
| 101.    |           |                                    | 4215-02-800-01  | Central Plan/Centrally Sponsored Schemes  | 5.77               | 0.00        | 5.77   |
| 102.    |           |                                    | 4217-60-800-03  | Establishment of Training Centre in district Ghaziabad  | 10.00              | 0.00        | 10.00  |

| Sl. No. | Grant No.      | Name of Department  | Major Head     | Name of scheme  | Original Provision | Expendi-<br>-ture | Saving   |
|---------|----------------|---|----------------|---|--------------------|-------------------|----------|
| 103.    | 40             | Planning Department   | 2575-02-800-03 | Special Schemes of Bundelkhand  | 9.51               | 0.00              | 9.51     |
| 104.    |                |   | 5475-800-05    | Mukhyamantri Samagra Sampada Vikas Yojna  | 1,000.00           | 0.00              | 1,000.00 |
| 105.    | 41             | Election Department   | 2015-105-04    | Bye-election  | 5.98               | 0.00              | 5.98     |
| 106.    | 42             | Judicial Department   | 2014-105-20    | Scanning and digitization of cause records of Subordinate courts                | 17.40              | 0.00              | 17.40    |
| 107.    |                |   | 2014-105-22    | Training of Public Prosecutors  | 2.97               | 0.00              | 2.97     |
| 108.    |                |   | 2235-60-200-12 | Grant to Trustee Committee of Uttar Pradesh Advocate Welfare Fund               | 20.00              | 0.00              | 20.00    |
| 109.    |                |   | 2235-60-200-13 | Corpus Fund for Young Advocates   | 5.00               | 0.00              | 5.00     |
| 110.    |                |   | 4059-01-051-04 | Construction in Hon'ble High Court  | 6.50               | 0.00              | 6.50     |
| 111.    |                |   | 4059-01-051-10 | Construction of office building of Uttar Pradesh State Legal Services Authority | 20.00              | 0.00              | 20.00    |
| 112.    |                |   | 4059-01-051-12 | Construction of Public Toilets in Lower Courts of the State                     | 20.00              | 0.00              | 20.00    |
| 113.    |                |   | 4059-01-051-14 | Penal Court   | 1.61               | 0.00              | 1.61     |
| 114.    |                |   | 4059-01-052-05 | Purchase of generator of 500 KVA in Hon'ble High Court Allahabad                | 20.00              | 0.00              | 20.00    |
| 115.    |                |   | 4070-800-03    | Payment of compensation of acquired land for construction of Judicial Buildings | 200.00             | 0.00              | 200.00   |
| 116.    |                |   | 4070-800-04    | Acquirement of land for new premises of District Court, Varanasi                | 200.00             | 0.00              | 200.00   |
| 117.    | 4216-01-700-10 | Construction of Residential Buildings for employees of Hon'ble High Court | 100.00         | 0.00  | 100.00             |                   |          |
| 118.    | 47             | Technical Education Department  | 2203-103-01    | Central Sponsored Schemes   | 3.37               | 0.00              | 3.37     |
| 119.    |                |   | 2203-104-01    | Central Sponsored Schemes   | 1.36               | 0.00              | 1.36     |

| Sl. No. | Grant No. | Name of Department                 | Major Head     | Name of scheme   | Original Provision | Expenditure | Saving |
|---------|-----------|------------------------------------|----------------|--|--------------------|-------------|--------|
| 120.    |           |                                    | 4202-02-104-01 | Central Sponsored Schemes  | 41.32              | 0.00        | 41.32  |
| 121.    |           |                                    | 4202-104-50    | Different Construction works in Government Polytechnics (District Plan)  | 8.00               | 0.00        | 8.00   |
| 122.    |           |                                    | 4202-105-12    | Establishment of Engineering College in Mainpuri District-   | 4.00               | 0.00        | 4.00   |
| 123.    |           |                                    | 4202-105-16    | Harcort Butler Technological Institute, Kanpur   | 2.00               | 0.00        | 2.00   |
| 124.    |           |                                    | 4202-105-19    | Upgradation of Lab/Solar Power in engineering Colleges-  | 2.80               | 0.00        | 2.80   |
| 125.    | 48        | Minorities Welfare Department      | 2071-01-117-07 | Lumpsum payment of residual employer contribution upto 31.03.2019 of employees covered under N.P.S. of aided Arbi Farsi Madarsas | 1.00               | 0.00        | 1.00   |
| 126.    |           |                                    | 2225-80-800-01 | Central Sponsored Schemes  | 608.86             | 0.00        | 608.86 |
| 127.    |           |                                    | 4235-60-800-03 | Construction of Boundaries of Graveyards/ Cremation Places of Minorities   | 100.00             | 0.00        | 100.00 |
| 128.    | 49        | Women And Child Welfare Department | 2235-02-102-05 | Juvenile Justice Fund  | 7.00               | 0.00        | 7.00   |
| 129.    |           |                                    | 2235-02-103-03 | State Resource Centre for women and child  | 1.00               | 0.00        | 1.00   |
| 130.    |           |                                    | 2235-02-103-04 | Subsidiary grant scheme for abandoned women  | 10.05              | 0.00        | 10.05  |
| 131.    |           |                                    | 2235-02-103-23 | Operation of women houses for mentally retarded women above 18-year age group through voluntary organisations                    | 4.77               | 0.00        | 4.77   |
| 132.    |           |                                    | 2235-02-103-24 | Establishment of old age women ashram through voluntary organisations  | 6.50               | 0.00        | 6.50   |
| 133.    |           |                                    | 2235-02-103-26 | Government Shelter home for destitute women  | 3.80               | 0.00        | 3.80   |
| 134.    |           |                                    | 4235-02-102-03 | Construction of warehouses of project office   | 50.00              | 0.00        | 50.00  |



| Sl. No. | Grant No. | Name of Department   | Major Head     | Name of scheme  | Original Provision | Expenditure | Saving   |
|---------|-----------|--|----------------|---|--------------------|-------------|----------|
| 135.    |           |  | 4235-02-103-04 | Establishment of Old Age Ashram   | 5.00               | 0.00        | 5.00     |
| 136.    | 50        | Revenue Department (District Administration)                 | 4070-800-03    | Establishment of Collectorate   | 8.00               | 0.00        | 8.00     |
| 137.    |           |  | 4070-800-04    | Main Office   | 1.00               | 0.00        | 1.00     |
| 138.    | 51        | Revenue Department (Relief on account of Natural Calamities) | 2245-05-101-04 | Transfer of amount received from National Disaster Response Fund to State Disaster Response fund    | 1,000.00           | 0.00        | 1,000.00 |
| 139.    |           |  | 2245-05-800-05 | Expenditure from National Disaster Response Fund-   | 1,000.00           | 0.00        | 1,000.00 |
| 140.    |           |  | 4250-101-05    | Expenditure from State Disaster Mitigation Fund   | 2.50               | 0.00        | 2.50     |
| 141.    |           |  | 4250-101-06    | Expenditure from District Disaster Mitigation Fund  | 2.50               | 0.00        | 2.50     |
| 142.    |           |  | 4250-101-07    | Uttar Pradesh Calamity Management Authority   | 25.00              | 0.00        | 25.00    |
| 143.    | 52        | Revenue Department (Board Of Revenue and Other Expenditure)  | 2029-103-07    | For providing smartphone/laptop to Lekhpals   | 6.77               | 0.00        | 6.77     |
| 144.    |           |  | 4059-01-800-04 | Miscellaneous construction work in non-residential buildings of Board of Revenue, Lucknow/Prayagraj | 5.00               | 0.00        | 5.00     |
| 145.    |           |  | 4059-60-051-05 | Remaining work of Lekhpal Training School and Hostel, Gonda   | 2.81               | 0.00        | 2.81     |
| 146.    |           |  | 4059-60-051-06 | Upgradation of Lekhpal Training School and Hostel, Chinhat  | 1.00               | 0.00        | 1.00     |
| 147.    |           |  | 4070-800-01    | Central Sponsored Schemes   | 61.33              | 0.00        | 61.33    |
| 148.    |           |  | 4070-800-04    | State share for computerisation of land records of the State  | 4.55               | 0.00        | 4.55     |
| 149.    |           |  | 4216-01-700-03 | Residential Buildings of Board of Revenue   | 1.83               | 0.00        | 1.83     |
| 150.    | 55        | Public Works Department (Buildings)                          | 4059-80-051-22 | Construction of new Transit Hostels/Officers Hostels in different districts of the State            | 1.07               | 0.00        | 1.07     |

| Sl. No. | Grant No. | Name of Department   | Major Head     | Name of scheme   | Original Provision | Expenditure | Saving    |
|---------|-----------|--|----------------|--|--------------------|-------------|-----------|
| 151.    | 58        | Public Works Department<br>(Communication s-Roads)             | 3054-80-800-04 | Payment of decretal amount   | 50.05              | 0.00        | 50.05     |
| 152.    |           |  | 5054-03-800-03 | Uttar Pradesh State Highways Authority   | 50.00              | 0.00        | 50.00     |
| 153.    |           |  | 5054-04-337-07 | Obtaining Environment, Forest and Wild life related clearance, compensatory plantation and utility shifting etc. related work on Indo-Nepal Border | 10.00              | 0.00        | 10.00     |
| 154.    |           |  | 5054-80-004-04 | Strengthening/ Upgradation of Research Institute and laboratories of Quality Promotion Cell  | 1.00               | 0.00        | 1.00      |
| 155.    | 59        | Public Works Department<br>(Estate Directorate)                | 4059-80-051-04 | Upgradation/renovation work of air condition plant and power supply equipment in Jawahar Bhawan and Indira Bhawan                                  | 2.00               | 0.00        | 2.00      |
| 156.    |           |  | 4059-80-051-20 | Construction of underground parking in Jawahar Bhawan and Indira Bhawan  | 1.38               | 0.00        | 1.38      |
| 157.    | 61        | Finance Department<br>(Debt Services and Other Expenditure)    | 2049-01-200-03 | Interest on short terms loan taken from Reserve Bank of India  | 5.00               | 0.00        | 5.00      |
| 158.    |           |  | 2075-797-03    | Guarantee Redemption Fund  | 600.00             | 0.00        | 600.00    |
| 159.    |           |  | 4070-800-03    | Expenditure on DPR of Projects   | 5.00               | 0.00        | 5.00      |
| 160.    |           |  | 6003-110-03    | Repayment of Ways and Means Advances-  | 10,000.00          | 0.00        | 10,000.00 |
| 161.    | 62        | Finance Department<br>(Superannuation Allowances and Pensions) | 2071-01-115-05 | Encashment of Leave of Retired Officers of All India Services  | 2.45               | 0.00        | 2.45      |
| 162.    |           |  | 2071-01-117-08 | Interest on due residual employer contribution upto 31.03.2019/late deposited employer contribution  | 580.00             | 0.00        | 580.00    |
| 163.    |           |  | 2071-01-117-09 | Payment of interest on late depositing subscribers contribution  | 20.00              | 0.00        | 20.00     |

| Sl. No. | Grant No. | Name of Department                       | Major Head     | Name of scheme   | Original Provision | Expenditure | Saving   |
|---------|-----------|--|----------------|--|--------------------|-------------|----------|
| 164.    |           |  | 2071-01-800-03 | Domestic Servant Allowance to Retired Officers of Uttar Pradesh Judicial Services/Higher Judicial Services                               | 1.91               | 0.00        | 1.91     |
| 165.    |           |  | 2071-01-800-09 | Interest Payable on late payment of Retirement Benefits  | 1.00               | 0.00        | 1.00     |
| 166.    |           |  | 2071-01-800-10 | Amount payable to Uttarakhand Government due to apportionment of Pension liabilities under Uttar Pradesh State Re-organisation Act, 2000 | 2,000.00           | 0.00        | 2,000.00 |
| 167.    |           |  | 6075-800-03    | Loans for Voluntary Retirement Scheme to Sick Corporations, etc.   | 96.00              | 0.00        | 96.00    |
| 168.    | 69        | Vocational Education Department          | 2230-03-003-04 | Government Craftsmen Instructor Training Institute-  | 2.63               | 0.00        | 2.63     |
| 169.    |           |  | 2230-03-800-03 | Chief Minister Youth Entrepreneurship Development Campaign   | 50.00              | 0.00        | 50.00    |
| 170.    |           |  | 4250-203-04    | Craftsman Instructor Training Institute  | 4.00               | 0.00        | 4.00     |
| 171.    |           |  | 4250-203-13    | Strengthening and Renovation of Building of Directorate of Employment and Training-  | 1.40               | 0.00        | 1.40     |
| 172.    | 70        | Science and Technology Department        | 2810-60-800-06 | State share for solarisation of private pumps connected with grid relating to P.M. Kusum Yojna Part "C"                                  | 85.00              | 0.00        | 85.00    |
| 173.    | 71        | Education Department (Primary Education) | 4202-01-201-03 | Construction of office Buildings of Basic Education Officers in the districts (District Plan)  | 3.00               | 0.00        | 3.00     |
| 174.    |           |  | 4202-01-201-04 | Development of infrastructure facilities in primary and higher primary schools operated by Board of Basic Education                      | 100.00             | 0.00        | 100.00   |

| Sl. No. | Grant No.      | Name of Department   | Major Head  | Name of scheme   | Original Provision           | Expenditure | Saving |
|---------|----------------|--|---|--|------------------------------|-------------|--------|
| 175.    | 72             | Education Department (Secondary Education)   | 2202-02-105-03  | Serving teachers training for imparting quality education and third party evaluation | 1.50                         | 0.00        | 1.50   |
| 176.    | 2202-02-110-04 |  | Infrastructure facility in aided non-government aided secondary schools           | 200.00   | 0.00                         | 200.00      |        |
| 177.    | 2202-02-800-10 |  | Subsidiary grant to Raja Ram Mohan Roy Library Institute, Kolkata                 | 2.00   | 0.00                         | 2.00        |        |
| 178.    | 2202-02-800-13 |  | Operation of Sainik School.   | 7.95   | 0.00                         | 7.95        |        |
| 179.    | 2202-02-800-28 |  | Purchase of e-books/ establishment of e-Library                                   | 5.16   | 0.00                         | 5.16        |        |
| 180.    | 2204-104-04    |  | Arrangement for outside school and other Educational Programmes and Youth Welfare | 1.25   | 0.00                         | 1.25        |        |
| 181.    | 73             |  | Education Department (Higher Education)   | 2202-03-102-29   | Lucknow University, Lucknow. | 2.00        | 0.00   |
| 182.    | 2202-03-102-33 | Grant for organisation of Inter University Sports Competition  |   | 2.00   | 0.00                         | 2.00        |        |
| 183.    | 2202-03-104-07 | Grant for opening of Degree Colleges in unserved areas by Private Management System/Institution in the State |   | 10.00  | 0.00                         | 10.00       |        |
| 184.    | 2202-03-800-02 | National Higher Education Campaign   |   | 6.73   | 0.00                         | 6.73        |        |
| 185.    | 2202-03-800-17 | Ahilyabai Kanya free of cost Education Scheme  |   | 21.12  | 0.00                         | 21.12       |        |
| 186.    | 4202-01-203-11 | Sampurnanand Sanskrit University, Varanasi   |   | 3.67   | 0.00                         | 3.67        |        |
| 187.    | 4202-01-203-12 | Establishment of State University in Ballia  |   | 40.00  | 0.00                         | 40.00       |        |
| 188.    | 74             | Home Department (Home Guards)  | 4070-800-01   | Central Sponsored Schemes  | 1.58                         | 0.00        | 1.58   |
| 189.    | 76             | Labour Department (Labour Welfare)   | 2230-01-103-01  | Central Sponsored Schemes  | 25.00                        | 0.00        | 25.00  |

| Sl. No. | Grant No. | Name of Department  | Major Head     | Name of scheme   | Original Provision | Expenditure | Saving |
|---------|-----------|---|----------------|--|--------------------|-------------|--------|
| 190.    |           |   | 2230-01-111-03 | Deen Dayal Security Insurance Scheme for unorganised labour  | 1.25               | 0.00        | 1.25   |
| 191.    |           |   | 2230-01-111-08 | "Mukhyamantri Accident Insurance Scheme" for Unorganised Labour-   | 12.00              | 0.00        | 12.00  |
| 192.    |           |   | 2230-01-111-09 | "Mukhyamantri Jan Aarogya Yojana" For unorganised sector labour-   | 100.00             | 0.00        | 100.00 |
| 193.    | 79        | Social Welfare Department (Welfare of Handicapped and Backward Classes) | 4235-02-101-04 | Making hurdle free to government offices and public utility buildings by way of marking under Sugamya Bharat Abhiyan | 60.00              | 0.00        | 60.00  |
| 194.    | 81        | Social Welfare Department (Tribal Welfare)                              | 2401-796-02    | National Agriculture Development Scheme  | 7.45               | 0.00        | 7.45   |
| 195.    |           |   | 4225-02-796-06 | Government Ashram System Schools for Scheduled Tribes  | 2.05               | 0.00        | 2.05   |
| 196.    |           |   | 4401-796-02    | National Agriculture Development Scheme  | 10.00              | 0.00        | 10.00  |
| 197.    |           |   | 4515-796-01    | Central Sponsored Schemes-   | 4.34               | 0.00        | 4.34   |
| 198.    | 83        | Social Welfare Department (Special Component Plan for Scheduled Castes) | 2202-03-789-01 | Central Sponsored Schemes-   | 1.51               | 0.00        | 1.51   |
| 199.    |           |   | 2402-01-789-02 | National Agriculture Development Scheme  | 9.63               | 0.00        | 9.63   |
| 200.    |           |   | 4202-02-789-08 | Establishment of Engineering College in District Mainpuri  | 1.50               | 0.00        | 1.50   |
| 201.    |           |   | 4210-02-789-06 | Construction of building of Community Health Centre (District Plan)  | 6.00               | 0.00        | 6.00   |
| 202.    |           |   | 4210-02-789-09 | Purchasing of equipment for Community Health Centres-  | 6.68               | 0.00        | 6.68   |
| 203.    |           |   | 4210-03-789-09 | Government Medical College, Agra   | 4.24               | 0.00        | 4.24   |
| 204.    |           |   | 4210-03-789-10 | Government Medical College, Kanpur   | 4.24               | 0.00        | 4.24   |
| 205.    |           |   | 4210-03-789-11 | Government Medical College, Allahabad  | 4.24               | 0.00        | 4.24   |

| Sl. No. | Grant No. | Name of Department                | Major Head     | Name of scheme   | Original Provision | Expenditure | Saving |
|---------|-----------|-----------------------------------|----------------|--|--------------------|-------------|--------|
| 206.    |           |                                   | 4210-03-789-12 | Government Medical College, Meerut-  | 4.24               | 0.00        | 4.24   |
| 207.    |           |                                   | 4210-03-789-13 | Government Medical College, Jhansi   | 4.24               | 0.00        | 4.24   |
| 208.    |           |                                   | 4210-03-789-14 | Government Medical College, Gorakhpur  | 4.24               | 0.00        | 4.24   |
| 209.    |           |                                   | 4210-03-789-15 | Government Medical College, Jaunpur  | 12.73              | 0.00        | 12.73  |
| 210.    |           |                                   | 4210-03-789-21 | Cardiology Hospital in Medical College, Kannauj  | 3.18               | 0.00        | 3.18   |
| 211.    |           |                                   | 4210-03-789-22 | Cancer Hospital in Medical College, Kannauj  | 3.18               | 0.00        | 3.18   |
| 212.    |           |                                   | 4210-03-789-25 | Cordiological Institute established in Ganesh Shankar Vidharthi Memorial Medical College, Kanpur | 3.18               | 0.00        | 3.18   |
| 213.    |           |                                   | 4210-03-789-30 | Paramedical Institute, Saifai, Etawah  | 1.06               | 0.00        | 1.06   |
| 214.    |           |                                   | 4215-01-789-03 | Establishment of Handpumps in Scheduled Caste populated areas                                    | 5.00               | 0.00        | 5.00   |
| 215.    |           |                                   | 4215-01-789-06 | Chief Minister R.O. Drinking Water Scheme'   | 4.50               | 0.00        | 4.50   |
| 216.    |           |                                   | 4216-02-789-03 | Aasara Yojna (Residential Buildings)   | 5.00               | 0.00        | 5.00   |
| 217.    |           |                                   | 4702-789-06    | Construction of Ground Water Charging Check Dams under Minor Irrigation Scheme (District Plan)   | 6.00               | 0.00        | 6.00   |
| 218.    |           |                                   | 6225-01-789-03 | Interest free loans to persons of washermen community-   | 4.00               | 0.00        | 4.00   |
| 219.    | 84        | General Administration Department | 2250-101-05    | For the operation of Kailash Mansarovar Building Ghaziabad                                       | 4.10               | 0.00        | 4.10   |
| 220.    |           |                                   | 2250-101-09    | Vaidik Vigyan Kendra in Kashi Hindu University, Varanasi   | 6.09               | 0.00        | 6.09   |
| 221.    |           |                                   | 4250-800-06    | Establishment of Ved Science Centre in Kashi-  | 1.71               | 0.00        | 1.71   |

| Sl. No. | Grant No.      | Name of Department  | Major Head     | Name of scheme  | Original Provision | Expenditure              | Saving |
|---------|----------------|---|----------------|---|--------------------|--------------------------|--------|
| 222.    | 89             | Institutional Finance   | 2049-60-701-03 | Interest Payment on Deposit Account of Entry Tax-Charged  | 65.35              | 0.00                     | 65.35  |
| 223.    |                | Department (Commercial Tax)   | 4070-800-03    | Establishment of Commercial Tax Commissioner  | 5.00               | 0.00                     | 5.00   |
| 224.    | 91             | Institutional Finance   | 2030-03-001-05 | Scanning and Indexing of Old Documents  | 40.00              | 0.00                     | 40.00  |
| 225.    |                | Department (Stamps and Registration)  | 2030-03-001-06 | Setting of lease line of 10 MBPS on rental basis in the Dy. Registrar Offices                   | 5.00               | 0.00                     | 5.00   |
| 226.    | 93             | Namami Gange and Rural Water Supply   | 2702-80-800-09 | Collective Mini Green Tubewell Scheme   | 6.00               | 0.00                     | 6.00   |
| 227.    |                |   | 4215-01-102-04 | Chief Minister R.O. Drinking Water Scheme   | 17.00              | 0.00                     | 17.00  |
| 228.    |                |   | 4702-800-04    | Construction of Groundwater Charging Dams under Minor Irrigation (District Plan)                | 40.00              | 0.00                     | 40.00  |
| 229.    |                |   | 4702-800-05    | Strengthening/construction of the Building of Minor Irrigation and Water use Training Institute | 1.00               | 0.00                     | 1.00   |
| 230.    |                |   | 4702-800-06    | Establishment of Regional Minor Irrigation Training Institute                                   | 1.00               | 0.00                     | 1.00   |
| 231.    |                |   | 94             | Irrigation Department   | 2700-80-800-01     | Central Sponsored Scheme | 1.00   |
| 232.    | 4700-05-050-10 | Canals  |                |   | 1.00               | 0.00                     | 1.00   |
| 233.    | 4700-06-051-15 | Project of extension, renewal and beautification of quaysides of river situated at Vrindavan district Mathura |                |   | 50.00              | 0.00                     | 50.00  |
| 234.    | 4700-08-050-10 | Canals  |                |   | 1.00               | 0.00                     | 1.00   |
| 235.    | 4700-09-051-15 | Channelization of River Gomti in Lucknow  |                |   | 1.00               | 0.00                     | 1.00   |
| 236.    | 4700-14-050-10 | Canals  |                |   | 40.00              | 0.00                     | 40.00  |
| 237.    | 4700-23-051-10 | Canals  |                |   | 80.00              | 0.00                     | 80.00  |

| Sl. No. | Grant No. | Name of Department | Major Head     | Name of scheme   | Original Provision | Expendi-<br>-ture | Saving           |
|---------|-----------|--------------------|----------------|--|--------------------|-------------------|------------------|
| 238.    |           |                    | 4700-26-051-10 | Canals   | 5.00               | 0.00              | 5.00             |
| 239.    |           |                    | 4700-36-050-10 | Canals   | 10.00              | 0.00              | 10.00            |
| 240.    |           |                    | 4701-13-051-10 | Canals   | 25.00              | 0.00              | 25.00            |
| 241.    |           |                    | 4701-17-051-10 | Canals   | 7.47               | 0.00              | 7.47             |
| 242.    |           |                    | 4701-21-051-10 | Canals   | 1.00               | 0.00              | 1.00             |
| 243.    |           |                    | 4701-60-051-05 | Dam  | 1.95               | 0.00              | 1.95             |
| 244.    |           |                    | 4701-87-051-10 | Canals   | 6.29               | 0.00              | 6.29             |
| 245.    |           |                    | 4701-93-051-16 | Automated related work of water mechanical system of various barrages/dams | 9.53               | 0.00              | 9.53             |
| 246.    |           |                    | 4701-94-051-07 | Barrage  | 100.00             | 0.00              | 100.00           |
|         |           |                    | <b>Total</b>   |  | <b>28,813.13</b>   | <b>0.00</b>       | <b>28,813.13</b> |



**Appendix-3.9**  
**(Referred to in paragraph 3.3.8)**

**Details of Schemes for which Original provisions were re-appropriated to other schemes**

**(₹ in crore)**

| Sl. No. | Grant No. | Name of Department                                  | Major Head     | Name of Scheme   | Provision   | Re-appropriation                        | Total | Expenditure | Saving |
|---------|-----------|---|----------------|--|-------------|---|-------|-------------|--------|
| 1.      | 6         | Industries Department (Handloom Industry)           | 2851-108-06    | Re-imbusement of rebate in electricity tax to teasers  | 1.00        | -1.00                                   | 0.00  | 0.00        | 0.00   |
| 2.      | 7         | Industries Department (Heavy and Medium Industries) | 2852-07-202-05 | Prarambhik Nidhi (Seed Fund) for promoting to Incubators and Start-up  | 5.00        | -5.00                                   | 0.00  | 0.00        | 0.00   |
| 3.      |           |   | 2852-07-202-14 | Implementation of U.P. Start-up Policy 2020  | 5.00        | -5.00                                   | 0.00  | 0.00        | 0.00   |
| 4.      |           |   | 2852-07-202-31 | E-District Portal  | 2.00        | -2.00                                   | 0.00  | 0.00        | 0.00   |
| 5.      |           |   | 2852-800-08    | Dis-investment and Privatization of Public Private Partnership Projects and Public Sector & Co-operative Units                                     | 2.05        | -2.05                                   | 0.00  | 0.00        | 0.00   |
| 6.      |           |   | 2852-800-11    | Uttar Pradesh e-governance Action Plan   | 4.00        | -4.00                                   | 0.00  | 0.00        | 0.00   |
| 7.      |           |   | 2852-800-23    | Implementation of U.P. Data Center Policy 2020   | 5.00        | -5.00                                   | 0.00  | 0.00        | 0.00   |
| 8.      |           |   | 4859-02-800-14 | Establishment of Incubator in Lucknow  | 3.00        | -3.00                                   | 0.00  | 0.00        | 0.00   |
| 9.      |           |   | 4859-02-800-16 | Arrangement of e-Office in Government Offices  | 5.00        | -5.00                                   | 0.00  | 0.00        | 0.00   |
| 10.     |           |   | 15             | Agriculture and other Allied Departments (Animal Husbandry)  | 2403-107-06 | Additional fodder development programme | 2.00  | -2.00       | 0.00   |
| 11.     | 21        | Food and Civil Supplies Department                  | 2408-01-001-02 | F.P.S. Automation and D.B.T. Scheme  | 1.00        | -1.00                                   | 0.00  | 0.00        | 0.00   |
| 12.     | 24        | Cane Development Department (Sugar Industry)        | 6860-04-101-21 | Loan for works of Capacity expansion/modernisation/co-generation plant/establishment and renovation of distillery etc. of co-operative sugar mills | 25.00       | -25.00                                  | 0.00  | 0.00        | 0.00   |

| Sl. No. | Grant No.   | Name of Department   | Major Head     | Name of Scheme  | Provision   | Re-appropriation         | Total | Expenditure | Saving |
|---------|-------------|--|----------------|---|-------------|--------------------------|-------|-------------|--------|
| 13.     |             |  | 6860-04-190-12 | Loan for works of capacity expansion/modernisation/Co-generation plant/establishment and renovation of distillery etc. of corporation | 25.00       | -25.00                   | 0.00  | 0.00        | 0.00   |
| 14.     | 40          | Planning Department  | 4215-01-101-03 | Rapid Financial Development Scheme  | 50.00       | -50.00                   | 0.00  | 0.00        | 0.00   |
| 15.     |             |  | 4215-01-102-03 | Rapid Financial Development Scheme  | 50.00       | -50.00                   | 0.00  | 0.00        | 0.00   |
| 16.     |             |  | 4215-02-106-03 | Accelerated Economic Development Scheme   | 50.00       | -50.00                   | 0.00  | 0.00        | 0.00   |
| 17.     |             |  | 4515-800-05    | Provision for Capital nature development works  | 76.00       | -76.00                   | 0.00  | 0.00        | 0.00   |
| 18.     |             |  | 4575-02-800-03 | Special Schemes of Bundelkhand  | 48.19       | -48.19                   | 0.00  | 0.00        | 0.00   |
| 19.     |             |  | 4801-05-800-03 | Rapid Financial Development Scheme  | 10.00       | -10.00                   | 0.00  | 0.00        | 0.00   |
| 20.     |             |  | 4801-06-800-03 | Accelerated Economic Development Scheme   | 10.00       | -10.00                   | 0.00  | 0.00        | 0.00   |
| 21.     |             |  | 43             | Transport Department  | 3055-800-97 | Externally Aided Schemes | 2.00  | -2.00       | 0.00   |
| 22.     | 4047-800-01 | Central Plan/Centrally Sponsored Schemes   |                |   | 3.53        | -3.53                    | 0.00  | 0.00        | 0.00   |
| 23.     | 5055-800-06 | For operation of Vehicle location tracking Scheme by the received central share of "Nirbhaya fund" related State share |                |   | 6.00        | -6.00                    | 0.00  | 0.00        | 0.00   |
| 24.     | 5055-800-97 | External aided Schemes   |                |   | 2.50        | -2.50                    | 0.00  | 0.00        | 0.00   |
| 25.     | 44          | Tourism Department   | 5452-80-104-29 | Establishment of Mughal Museum in Agra  | 5.00        | -5.00                    | 0.00  | 0.00        | 0.00   |
| 26.     | 45          | Environment Department   | 3435-04-103-01 | Central Plan/Centrally Sponsored Schemes-   | 2.00        | -2.00                    | 0.00  | 0.00        | 0.00   |
| 27.     | 60          | Forest Department  | 2406-01-800-01 | Central Sponsored Schemes   | 2.91        | -2.91                    | 0.00  | 0.00        | 0.00   |
| 28.     |             |  | 2406-02-110-04 | Sponsoring Bird Festival  | 1.00        | -1.00                    | 0.00  | 0.00        | 0.00   |

| Sl. No.      | Grant No. | Name of Department  | Major Head     | Name of Scheme   | Provision     | Re-appropriation | Total       | Expenditure | Saving      |
|--------------|-----------|---|----------------|--|---------------|------------------|-------------|-------------|-------------|
| 29.          |           |   | 4406-02-110-06 | Development of Lion Safari Park and Babbar Sher fertilization centre in District Etawah            | 10.00         | -10.00           | 0.00        | 0.00        | 0.00        |
| 30.          |           |   | 4406-02-110-08 | Establishment of Eco Tourism and Bio Diversity centre under Kukrail Forest Area                    | 10.00         | -10.00           | 0.00        | 0.00        | 0.00        |
| 31.          |           |   | 4406-02-110-12 | Development of Dudhwa Tiger Reserve  | 2.00          | -2.00            | 0.00        | 0.00        | 0.00        |
| 32.          | 70        | Science and Technology Department                                       | 5425-800-04    | Modernisation of constellations  | 10.00         | -10.00           | 0.00        | 0.00        | 0.00        |
| 33.          | 79        | Social Welfare Department (Welfare of Handicapped and Backward Classes) | 2235-02-101-21 | Nurturer Scheme  | 25.00         | -25.00           | 0.00        | 0.00        | 0.00        |
| 34.          |           |   | 2235-02-101-38 | Establishment/ Operation of District Disabled Rehabilitation Centre (DDRC)                         | 4.00          | -4.00            | 0.00        | 0.00        | 0.00        |
| 35.          | 86        | Information Department  | 4059-01-051-03 | Construction of Headquarter Building of Information and Public Relation Department, Uttar Pradesh  | 6.00          | -6.00            | 0.00        | 0.00        | 0.00        |
| 36.          | 92        | Culture Department  | 4202-04-800-01 | Central Sponsored Schemes  | 7.07          | -7.07            | 0.00        | 0.00        | 0.00        |
| 37.          |           |   | 4202-04-800-06 | Arrangement of land and construction of new premises of Bhat Khande Music Institute cum University | 1.00          | -1.00            | 0.00        | 0.00        | 0.00        |
| 38.          |           |   | 4202-04-800-16 | Establishment of International Ram Lila Complex in Ayodhya   | 5.00          | -5.00            | 0.00        | 0.00        | 0.00        |
| 39.          |           |   | 4202-04-800-33 | Construction of Archival Gallery in the premises of Uttar Pradesh Rajkiya Abhilekhagar Lucknow     | 1.00          | -1.00            | 0.00        | 0.00        | 0.00        |
| 40.          |           |   | 4202-04-800-51 | Various works in Ayodhya   | 4.50          | -4.50            | 0.00        | 0.00        | 0.00        |
| <b>Total</b> |           |   |                |  | <b>489.75</b> | <b>-489.75</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> |

**Appendix 4.1**  
**(Referred to in paragraph 4.14)**

**Details of pendency in finalisation of Accounts by various Autonomous Bodies and Authorities**

| Sl. No. | Name of Department                                   | Name of the Body/Authority  | Year(s) for which accounts had not been received | Total No. of pending annual accounts |
|---------|--|---|--|--------------------------------------|
| 1.      | Forest Department                                    | Compensatory Afforestation Fund Management and Planning Authority (CAMPA)       | 2014-15 to 2021-22                               | 08                                   |
| 2.      | Infrastructure and Industrial Development Department | New Okhla Industrial Development Authority                                      | 2018-19 to 2021-22                               | 04                                   |
| 3.      | -do-   | Greater Noida Development Authority   | 2020-21 to 2021-22                               | 02                                   |
| 4.      | -do-   | Yamuna Expressway Industrial Development Authority                              | 2005-06 to 2021-22                               | 17                                   |
| 5.      | -do-   | UP Expressway Industrial Development Authority                                  | 2017-18 to 2021-22                               | 05                                   |
| 6.      | -do-   | Satharia Industrial Development Authority                                       | 2005-06 to 2021-22                               | 17                                   |
| 7.      | -do-   | Gorakhpur Industrial Development Authority                                      | 2005-06 to 2021-22                               | 17                                   |
| 8.      | -do-   | UP State Industrial Development Authority                                       | 2018-19 to 2021-22                               | 04                                   |
| 9.      | -do-   | Lucknow Industrial Development Authority  | 2005-06 to 2021-22                               | 17                                   |
| 10.     | MSME   | UP Khadi and Village Industries Board   | 2019-20 to 2021-22                               | 03                                   |
| 11.     | Rural Development Department                         | Uttar Pradesh Rural Road Development Agency (UPRRDA)                            | 2003-04 to 2021-22                               | 19                                   |
| 12.     | Labour Department                                    | Secretary, UP Building and Other Construction Workers Welfare Board, Lucknow    | 1996-97 to 2021-22                               | 26                                   |
| 13.     | Agriculture Education and Research Department        | Narendra Deo Krishi Evam Prodyogik Vishwavidyalay, Ayodhya                      | 2019-20 to 2021-22                               | 03                                   |
| 14.     | -do-   | Chandrashekhar Azad Krishi Evam Prodyogik Vishwavidyalay, Kanpur                | 2019-20 to 2021-22                               | 03                                   |
| 15.     | -do-   | Sardar Vallabhbai Patel Krishi Evam Prodyogik Vishwavidyalay, Modipuram, Meerut | 2019-20 to 2021-22                               | 03                                   |
| 16.     | -do-   | Banda Patel Krishi Evam Prodyogik Vishwavidyalay, Banda                         | 2019-20 to 2021-22                               | 03                                   |
| 17.     | Animal Husbandary Department                         | Pt Deendayal Upadhyay Pashu Chikitsa Vigyan Vishwavidyalay                      | 2019-20 to 2021-22                               | 03                                   |

| Sl. No. | Name of Department                    | Name of the Body/Authority                           | Year(s) for which accounts had not been received | Total No. of pending annual accounts |
|---------|---------------------------------------|--|--|--------------------------------------|
|         |                                       | Evam Gou Anusandhan Sansthan, Mathura                |  |                                      |
| 18.     | Food and Civil Supply Department      | Uttar Pradesh State Employees Welfare Corporation    | 2012-13 to 2021-22                               | 10                                   |
| 19.     | Child Welfare Department              | U.P. State Commission for Protection of Child Rights | 2006-07 to 2021-22                               | 16                                   |
| 20.     | Handicapped welfare Department        | Dr. Shakuntala Mishra National Rehabilitation Rights | 2019-20 to 2021-22                               | 03                                   |
| 21.     | Housing and Urban Planning Department | UP Real Estate Regulatory Authority                  | 2020-21 to 2021-22                               | 02                                   |
| 22.     | -do-                                  | Lucknow Development Authority                        | 2017-18 to 2021-22                               | 05                                   |
| 23.     | -do-                                  | Ghaziabad Development Authority                      | 2017-18 to 2021-22                               | 05                                   |
| 24.     | -do-                                  | Agra Development Authority                           | 2017-18 to 2021-22                               | 05                                   |
| 25.     | -do-                                  | Meerut Development Authority                         | 2017-18 to 2021-22                               | 05                                   |
| 26.     | -do-                                  | Prayagraj Development Authority                      | 2017-18 to 2021-22                               | 05                                   |
| 27.     | -do-                                  | Hapur/Pilkhuan Development Authority                 | 2017-18 to 2021-22                               | 05                                   |
| 28.     | -do-                                  | Varanasi Development Authority                       | 2017-18 to 2021-22                               | 05                                   |
| 29.     | -do-                                  | Moradabad Development Authority                      | 2017-18 to 2021-22                               | 05                                   |
| 30.     | -do-                                  | Gorakhpur Development Authority                      | 2017-18 to 2021-22                               | 05                                   |
| 31.     | -do-                                  | Mathura Vrindavan Development Authority              | 2017-18 to 2021-22                               | 05                                   |
| 32.     | -do-                                  | Aligarh Development Authority                        | 2017-18 to 2021-22                               | 05                                   |
| 33.     | -do-                                  | Bareilly Development Authority                       | 2017-18 to 2021-22                               | 05                                   |
| 34.     | -do-                                  | Raibareilly Development Authority                    | 2017-18 to 2021-22                               | 05                                   |
| 35.     | -do-                                  | Saharanpur Development Authority                     | 2017-18 to 2021-22                               | 05                                   |
| 36.     | -do-                                  | Ayodhya/ Faizabad Development Authority              | 2017-18 to 2021-22                               | 05                                   |
| 37.     | -do-                                  | Firozabad/Shikohabad Development Authority           | 2017-18 to 2021-22                               | 05                                   |
| 38.     | -do-                                  | Kanpur Development Authority                         | 2017-18 to 2021-22                               | 05                                   |
| 39.     | -do-                                  | Rampur Development Authority                         | 2017-18 to 2021-22                               | 05                                   |
| 40.     | -do-                                  | Unnao Shuklaganj Development Authority               | 2017-18 to 2021-22                               | 05                                   |
| 41.     | -do-                                  | Jhansi Development Authority                         | 2017-18 to 2021-22                               | 05                                   |
| 42.     | -do-                                  | Muzzaffarnagar Development Authority                 | 2017-18 to 2021-22                               | 05                                   |
| 43.     | -do-                                  | Bulandshahar Development Authority                   | 2017-18 to 2021-22                               | 05                                   |

| Sl. No.      | Name of Department | Name of the Body/Authority                               | Year(s) for which accounts had not been received | Total No. of pending annual accounts |
|--------------|--------------------|--|--|--------------------------------------|
| 44.          | -do-               | Khurja Development Authority                             | 2017-18 to 2021-22                               | 05                                   |
| 45.          | -do-               | Urai Development Authority                               | 2017-18 to 2021-22                               | 05                                   |
| 46.          | -do-               | Banda Development Authority                              | 2017-18 to 2021-22                               | 05                                   |
| 47.          | -do-               | Baghpat Badaut Khekda Development Authority              | 2017-18 to 2021-22                               | 05                                   |
| 48.          | -do-               | Azamgarh Development Authority                           | 2017-18 to 2021-22                               | 05                                   |
| 49.          | -do-               | Basti Development Authority                              | 2017-18 to 2021-22                               | 05                                   |
| 50.          | -do-               | Special Area Development Authority, Shakti Nagar         | 2017-18 to 2021-22                               | 05                                   |
| 51.          | -do-               | Special Area Development Authority, Chitrakoot           | 2017-18 to 2021-22                               | 05                                   |
| 52.          | -do-               | Special Area Development Authority, Kapilvastu           | 2017-18 to 2021-22                               | 05                                   |
| 53.          | -do-               | Special Area Development Authority, Vindhyachal-Mirzapur | 2017-18 to 2021-22                               | 05                                   |
| 54.          | -do-               | Special Area Development Authority, Kushinagar           | 2017-18 to 2021-22                               | 05                                   |
| 55.          | Law Department     | Uttar Pradesh State Legal Services Authority             | 2021-22  | 01                                   |
| 56.          | -do-               | Uttar Pradesh Human Rights Commission                    | 1996-97 to 2021-22                               | 26                                   |
| 57.          | Energy Department  | Uttar Pradesh Electricity Regulatory Commission          | 2020-21 to 2021-22                               | 02                                   |
| <b>Total</b> |                    |  |  | <b>379</b>                           |

**Appendix 4.2**  
**(Referred to in paragraph 4.15)**  
**Status of finalisation of accounts of Departmental Commercial Undertakings**

(₹ in crore)

| Sl. No.                                   | Name of the undertaking                                   | Accounts finalised up to | Investment as per the last accounts finalised |
|---|---|--------------------------|---|
| <b>Irrigation Department</b>              |   |                          |   |
| 1.  | Irrigation Workshop Division, Kanpur                      | 2020-21                  | 2.04  |
| 2.  | Irrigation Workshop Division, Jhansi                      | 2020-21                  | 12.50   |
| 3.  | Irrigation Workshop Division, Bareilly                    | 2020-21                  | 13.44   |
| 4.  | Irrigation Workshop Division, Meerut                      | 2021-22                  | 2.26  |
| 5.  | Irrigation Workshop Division, Gorakhpur                   | 2020-21                  | 0.64  |
| 6.  | Irrigation Workshop Division, Prayagraj                   | 2020-21                  | 4.08  |
| <b>Food and Civil Supplies Department</b> |   |                          |   |
| 7.  | Food Commissioner and CAO                                 | 2015-16                  | 4,136.01                                      |
| <b>Animal Husbandry Department</b>        |   |                          |   |
| 8.  | Dy. Director, Animal Husbandry Farms Corporation          | 2018-19                  | 74.03   |
| <b>Health Department</b>                  |   |                          |   |
| 9.  | Dy. Director, State Ayurvedic & Unani Medicine Department | 1987-88                  | Not available                                 |
| <b>Total</b>                              |   |                          | <b>4,245.00</b>                               |

**Appendix-5.1**  
**(Referred to in Paragraph 5.2)**  
**Statement showing position of equity and outstanding loans relating to PSUs (submitted their accounts upto 2019-20 or onwards) as on 31 March 2022**

| Sl. No.   | Name of the PSUs   | Name of Department | Number of PSUs | Period of latest finalised accounts | Equity at close of the year 2021-22 |       |          | Long Terms Loans outstanding at close of the year 2021-22 |                  |                    |  | Total Investment (Equity and Long term loans) |             |
|---|--|--------------------|----------------|-------------------------------------|-------------------------------------|-------|----------|---|------------------|--------------------|--|---|-------------|
|   |  |                    |                |                                     | State Govt.                         | Govt. | Others   | Total   | State Govt. loan | Central Govt. Loan | Holding Company/ Other Financial Institutions loan |   | Total       |
| 1   | 2  | 3                  | 4              | 5                                   | 6 (a)                               | 6 (b) | 6 (c)    | 6 (d)   | 7 (a)            | 7 (b)              | 7 (c)  | 7 (d)   | 8           |
| <b>PSUs submitted their accounts upto 2019-20 and onwards</b> |  |                    |                |                                     |                                     |       |          |   |                  |                    |  |   |             |
| <b>Government Companies</b>                                   |  |                    |                |                                     |                                     |       |          |   |                  |                    |  |   |             |
| <b>X</b>  | <b>Power Sector PSUs</b>   |                    |                |                                     |                                     |       |          |   |                  |                    |  |   |             |
| 1   | Uttar Pradesh Raja Vidyut Utpadan Nigam Limited (including subsidiary JVUNL)     | Energy             | 2              | 2020-21                             | 18,580.82                           | 0.00  | 0.00     | 18,580.82   | 0.00             | 0.00               | 21,093.90  | 21,093.90                                     | 39,674.72   |
| 2   | Uttar Pradesh Jal Vidyut Nigam Limited   | Energy             | 1              | 2020-21                             | 435.33                              | 0.00  | 0.00     | 435.33  | 64.65            | 0.00               | 0.00   | 64.65   | 499.98      |
| 3   | Uttar Pradesh Power Transmission Corporation Limited                             | Energy             | 1              | 2020-21                             | 16,376.74 <sup>7</sup>              | 0.00  | 2,213.27 | 18,590.01   | 0.00             | 0.00               | 12,531.65  | 12,531.65                                     | 31,121.66   |
| 4   | U.P. Power Corporation Limited (including PuVVNL, PVVNL, DVVNL, MVVNL and KESCO) | Energy             | 6              | 2021-22                             | 1,09,997.10 <sup>8</sup>            | 0.00  | 0.00     | 1,09,997.10   | 369.27           | 0.00               | 70,733.50  | 71,102.77                                     | 1,81,099.87 |

<sup>7</sup> It includes ₹ 2,213.27 crore of GoUP investment obtained through UPPCL.



| Sl. No.  | Name of the PSUs  | Name of Department                        | Number of PSUs | Period of latest finalised accounts | Equity at close of the year 2021-22 |             |                 |                    | Long Terms Loans outstanding at close of the year 2021-22 |                    |  |                    | Total Investment (Equity and Long term loans) |
|----------|---|---|----------------|-------------------------------------|-------------------------------------|-------------|-----------------|--------------------|---|--------------------|--|--------------------|---|
|          |   |   |                |                                     | State Govt.                         | GoI         | Others          | Total              | State Govt. loan  | Central Govt. Loan | Holding Company/ Other Financial Institutions loan | Total              |   |
| 5        | UCM Coal Company Limited (Joint Venture of Sl. No. 1)   | Energy                                    | 1              | 2020-21                             | 0.00                                | 0.00        | 0.16            | 0.16               | 0.00  | 0.00               | 2.50   | 2.50               | 2.66  |
|          | <b>Sub Total -A(X)</b>  |   | <b>11</b>      |                                     | <b>1,45,389.99</b>                  | <b>0.00</b> | <b>2,213.43</b> | <b>1,47,603.42</b> | <b>433.92</b>   | <b>0.00</b>        | <b>1,04,361.55</b>                                 | <b>1,04,795.47</b> | <b>2,52,398.89</b>                            |
| <b>Y</b> | <b>Other than Power Sector PSUs</b>   |   |                |                                     |                                     |             |                 |                    |   |                    |  |                    |   |
| 6        | Uttar Pradesh Metro Rail Corporation Limited (Erstwhile Lucknow Metro Rail Corporation Limited) | Housing and Urban Planning                | 1              | 2021-22                             | 2,146.80                            | 1,999.94    | 0.00            | 4,146.74           | 1,305.20  | 7295.73            | 0.00   | 8,600.93           | 12,747.67                                     |
| 7        | Noida Metro Rail Corporation Limited  | Infrastructure and Industrial Development | 1              | 2021-22                             | 387.57                              | 687.62      | 325.05          | 1,400.24           | 0.00  | 283.00             | 1,521.80   | 1,804.80           | 3,205.04                                      |
| 8        | The Pradeshya Industrial and Investment Corporation of UP Limited                               | Infrastructure and Industrial Development | 1              | 2019-20                             | 110.58                              | 0.00        | 25.00           | 135.58             | 1,134.43  | 4.10               | 0.00   | 1,138.53           | 1,274.11                                      |
| 9        | Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)            | Information Technology and Electronics    | 1              | 2020-21                             | 0.00                                | 0.00        | 0.77            | 0.77               | 0.00  | 0.00               | 0.00   | 0.00               | 0.77  |

<sup>8</sup> It excludes ₹ 2,213.27 crore of GoUP investment in UPPTCL through UPPCL as the same is included in GoUP investment in UPPTCL. Further, it excludes ₹ 2.22 crore of GoUP investment in SUPPTCL through UPPCL as SUPPTCL is covered in Appendix 5.2 (Sl. No. 36). Further, out of ₹ 109997.10 crore, ₹ 0.20 crore are related to promoters' shares allotted to UPPCL by DISCOMs i.e. 500 equity shares valuing to ₹ 0.05 crore (500 shares @ ₹ 1000/- each) to four DISCOMs.

| Sl. No. | Name of the PSUs   | Name of Department                                       | Number of PSUs | Period of latest finalised accounts | Equity at close of the year 2021-22 |      |        |       | Long Terms Loans outstanding at close of the year 2021-22 |                    |  |        | Total Investment (Equity and Long term loans) |
|---------|--|--|----------------|-------------------------------------|-------------------------------------|------|--------|-------|---|--------------------|--|--------|---|
|         |  |  |                |                                     | State Govt.                         | GoI  | Others | Total | State Govt. loan  | Central Govt. Loan | Holding Company/ Other Financial Institutions loan | Total  |   |
| 10      | Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation) | Information Technology and Electronics                   | 1              | 2020-21                             | 0.00                                | 0.00 | 1.47   | 1.47  | 0.00  | 0.00               | 0.00   | 0.00   | 1.47  |
| 11      | U.P. Electronics Corporations Limited  | Information Technology and Electronics                   | 1              | 2019-20                             | 91.54 <sup>9</sup>                  | 0.00 | 0.00   | 91.54 | 111.27 <sup>10</sup>                                      | 0.00               | 0.00   | 111.27 | 202.81  |
| 12      | U.P. Purva Samik Kalyan Nigam Limited  | Social Welfare   | 1              | 2020-21                             | 0.43                                | 0.00 | 0.00   | 0.43  | 0.00  | 0.00               | 0.00   | 0.00   | 0.43  |
| 13      | Uttar Pradesh Police Avas Nigam Limited  | Home   | 1              | 2019-20                             | 3.00                                | 0.00 | 0.00   | 3.00  | 0.00  | 0.00               | 0.00   | 0.00   | 3.00  |
| 14      | Uttar Pradesh Export Promotion Council   | Micro, Small and Medium Enterprises and Export Promotion | 1              | 2021-22                             | 0.05                                | 0.00 | 0.00   | 0.05  | 5.00  | 0.00               | 0.00   | 5.00   | 5.05  |
| 15      | Uttar Pradesh Medical Supply Corporation Limited                               | Medical Health & Family Welfare                          | 1              | 2019-20                             | 11.83                               | 0.00 | 0.00   | 11.83 | 0.00  | 0.00               | 0.00   | 0.00   | 11.83   |

<sup>9</sup> Government of UP Investment in the form of Equity of ₹ 91.54 crore in holding company at SI No. 11 includes an amount of ₹ 8.95 crore (₹ 6.35 crore and ₹ 2.60 crore) which was given for its subsidiary companies at SI No. 9 and 10. The same has been excluded from Equity of these subsidiary companies to avoid double counting of investment.

<sup>10</sup> GoUP investment in form of long term loan of ₹ 111.27 crore in holding company at SI. No. 11 includes an amount of ₹ 4.13 crore which was given to its subsidiary company at SI. No. 9 (₹ 1.66 crore) and at SI. No. 10 (₹ 2.47 crore). The same has been excluded from long term loan of these subsidiary companies to avoid double counting of investment.

| Sl. No.  | Name of the PSUs   | Name of Department | Number of PSUs | Period of latest finalised accounts | Equity at close of the year 2021-22 |                 |                 |                    | Long Terms Loans outstanding at close of the year 2021-22 |                    |  |                    | Total Investment (Equity and Long term loans) |
|----------|--|--------------------|----------------|-------------------------------------|-------------------------------------|-----------------|-----------------|--------------------|---|--------------------|--|--------------------|---|
|          |  |                    |                |                                     | State Govt.                         | Govt            | Others          | Total              | State Govt. loan  | Central Govt. Loan | Holding Company/ Other Financial Institutions loan | Total              |   |
| 16       | Prayagraj City Transport Services Limited  | Transport          | 1              | 2019-20                             | 0.00                                | 0.00            | 4.91            | 4.91               | 0.00  | 0.00               | 6.57   | 6.57               | 11.48   |
| 17       | Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formely known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited) | Social Welfare     | 1              | 2019-20                             | 0.15                                | 0.00            | 0.00            | 0.15               | 0.00  | 0.00               | 0.00   | 0.00               | 0.15  |
|          | <b>Sub Total -A(Y)</b>   |                    | <b>12</b>      |                                     | 2,751.95                            | 2,687.56        | 357.20          | 5,796.71           | 2,555.90  | 7,582.83           | 1,528.37   | 11,667.10          | 17,463.81                                     |
|          | <b>Total - A</b>   |                    | <b>23</b>      |                                     | <b>1,48,141.94</b>                  | <b>2,687.56</b> | <b>2,570.63</b> | <b>1,53,400.13</b> | <b>2,989.82</b>   | <b>7,582.83</b>    | <b>1,05,889.92</b>                                 | <b>1,16,462.57</b> | <b>2,69,862.70</b>                            |
| <b>B</b> | <b>Government controlled other Companies</b>   |                    |                |                                     |                                     |                 |                 |                    |   |                    |  |                    |   |
| <b>X</b> | <b>Power Sector PSUs</b>   |                    |                |                                     | <b>0.00</b>                         | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>        | <b>0.00</b>   | <b>0.00</b>        | <b>0.00</b>  | <b>0.00</b>        | <b>0.00</b>                                   |
|          | <b>Sub Total -B(X)</b>   |                    |                |                                     | <b>0.00</b>                         | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>        | <b>0.00</b>   | <b>0.00</b>        | <b>0.00</b>  | <b>0.00</b>        | <b>0.00</b>                                   |
| <b>Y</b> | <b>Other than Power Sector PSUs</b>  |                    |                |                                     |                                     |                 |                 |                    |   |                    |  |                    |   |
| 18       | Agra Smart City Limited  | Urban Development  | 1              | 2021-22                             | 107.00                              | 109.00          | 0.00            | 216.00             | 0.00  | 0.00               | 0.00   | 0.00               | 216.00  |
| 19       | Aligarh Smart City Limited   | Urban Development  | 1              | 2019-20                             | 1.00                                | 1.00            | 0.00            | 2.00               | 0.00  | 0.00               | 0.00   | 0.00               | 2.00  |
| 20       | Bareilly Smart City Limited  | Urban Development  | 1              | 2020-21                             | 0.50                                | 0.00            | 0.50            | 1.00               | 0.00  | 0.00               | 0.00   | 0.00               | 1.00  |
| 21       | Prayagraj Smart City Limited*  | Urban Development  | 1              | 2020-21                             | 245.00                              | 245.00          | 0.00            | 490.00             | 0.00  | 0.00               | 0.00   | 0.00               | 490.00  |

| Sl. No.  | Name of the PSUs  | Name of Department                        | Number of PSUs | Period of latest finalised accounts | Equity at close of the year 2021-22 |               |                 |                 | Long Terms Loans outstanding at close of the year 2021-22 |                    |  |             | Total Investment (Equity and Long term loans) |
|----------|---|---|----------------|-------------------------------------|-------------------------------------|---------------|-----------------|-----------------|---|--------------------|--|-------------|---|
|          |   |   |                |                                     | State Govt.                         | Govt          | Others          | Total           | State Govt. loan  | Central Govt. Loan | Holding Company/ Other Financial Institutions loan | Total       |   |
| 22       | Varanasi Smart City Limited                               | Urban Development                         | 1              | 2020-21                             | 100.00                              | 100.00        | 0.00            | 200.00          | 0.00  | 0.00               | 0.00   | 0.00        | 200.00  |
| 23       | Jhansi Smart City Limited                                 | Urban Development                         | 1              | 2019-20                             | 0.25                                | 0.00          | 0.25            | 0.50            | 0.00  | 0.00               | 0.00   | 0.00        | 0.50  |
| 24       | Moradabad Smart City Limited                              | Urban Development                         | 1              | 2020-21                             | 50.25                               | 0.00          | 50.25           | 100.50          | 0.00  | 0.00               | 0.00   | 0.00        | 100.50  |
| 25       | Saharanpur Smart City Limited                             | Urban Development                         | 1              | 2020-21                             | 0.50                                | 1.86          | 0.00            | 2.36            | 0.00  | 0.00               | 0.00   | 0.00        | 2.36  |
| 26       | Almora Magnesite Limited (139 (5) & (7) Company)          | Geology & Mining                          | 1              | 2020-21                             | 0.00                                | 0.00          | 2.00            | 2.00            | 0.00  | 0.00               | 0.48   | 0.48        | 2.48  |
| 27       | Noida International Airport Limited                       | Civil Aviation                            | 1              | 2019-20                             | 2,807.19                            | 0.00          | 3,090.55        | 5,897.74        | 0.00  | 0.00               | 0.00   | 0.00        | 5,897.74                                      |
| 28       | DMIC Integrated Industrial Township Greater Noida Limited | Infrastructure and Industrial Development | 1              | 2020-21                             | 0.00                                | 0.00          | 2,940.50        | 2,940.50        | 0.00  | 0.00               | 0.00   | 0.00        | 2,940.50                                      |
|          | <b>Sub Total -B(Y)</b>                                    |   | <b>11</b>      |                                     | <b>3,311.69</b>                     | <b>456.86</b> | <b>6,084.05</b> | <b>9,852.60</b> | <b>0.00</b>   | <b>0.00</b>        | <b>0.48</b>  | <b>0.48</b> | <b>9,853.08</b>                               |
|          | <b>Total - B</b>  |   | <b>11</b>      |                                     | <b>3,311.69</b>                     | <b>456.86</b> | <b>6,084.05</b> | <b>9,852.60</b> | <b>0.00</b>   | <b>0.00</b>        | <b>0.48</b>  | <b>0.48</b> | <b>9853.08</b>                                |
| <b>C</b> | <b>Statutory Corporations</b>                             |   |                |                                     |                                     |               |                 |                 |   |                    |  |             |   |
| <b>X</b> | <b>Power Sector PSUs</b>                                  |   |                |                                     |                                     |               |                 |                 |   |                    |  |             |   |
|          | <b>Sub Total -C(X)</b>                                    |   |                |                                     | <b>0.00</b>                         | <b>0.00</b>   | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>   | <b>0.00</b>        | <b>0.00</b>  | <b>0.00</b> | <b>0.00</b>                                   |

| Sl. No.                             | Name of the PSUs  | Name of Department                     | Number of PSUs | Period of latest finalised accounts | Equity at close of the year 2021-22 |                 |                 |                    | Long Terms Loans outstanding at close of the year 2021-22 |                    |  |                    | Total Investment (Equity and Long term loans) |  |
|-------------------------------------|---|--|----------------|-------------------------------------|-------------------------------------|-----------------|-----------------|--------------------|---|--------------------|--|--------------------|---|--|
|                                     |   |  |                |                                     | State Govt.                         | Govt            | Others          | Total              | State Govt. loan  | Central Govt. Loan | Holding Company/ Other Financial Institutions loan | Total              |   |  |
| <b>Other than Power Sector PSUs</b> |   |  |                |                                     |                                     |                 |                 |                    |   |                    |  |                    |   |  |
| Y                                   |   |  |                |                                     |                                     |                 |                 |                    |   |                    |  |                    |   |  |
| 29                                  | Uttar Pradesh State Road Transport Corporation                | Transport                              | 1              | 2019-20                             | 930.46                              | 0.00            | 60.01           | 990.47             | 26.72   | 0.00               | 0.00   | 26.72              | 1,017.19                                      |  |
| 30                                  | Uttar Pradesh Forest Corporation                              | Environment, Forest and Climate Change | 1              | 2020-21                             | 0.00                                | 0.00            | 0.00            | 0.00               | 0.00  | 0.00               | 0.00   | 0.00               | 0.00  |  |
| 31                                  | Uttar Pradesh Avas Evam Vikas Parishad                        | Housing and Urban Planning             | 1              | 2020-21                             | 0.00                                | 0.00            | 0.00            | 0.00               | 0.00  | 0.00               | 0.00   | 0.00               | 0.00  |  |
|                                     | <b>Sub Total -C(Y)</b>  |  | <b>3</b>       |                                     | <b>930.46</b>                       | <b>0.00</b>     | <b>60.01</b>    | <b>990.47</b>      | <b>26.72</b>  | <b>0.00</b>        | <b>0.00</b>  | <b>26.72</b>       | <b>1,017.19</b>                               |  |
|                                     | <b>Total - C</b>  |  | <b>3</b>       |                                     | <b>930.46</b>                       | <b>0.00</b>     | <b>60.01</b>    | <b>990.47</b>      | <b>26.72</b>  | <b>0.00</b>        | <b>0.00</b>  | <b>26.72</b>       | <b>1,017.19</b>                               |  |
|                                     | <b>Total of Power Sector PSUs = A(X)+B(X)+C(X)</b>            |  | <b>11</b>      |                                     | <b>1,45,389.99</b>                  | <b>0.00</b>     | <b>2,213.43</b> | <b>1,47,603.42</b> | <b>433.92</b>   | <b>0.00</b>        | <b>1,04,361.55</b>                                 | <b>1,04,795.47</b> | <b>2,52,398.89</b>                            |  |
|                                     | <b>Total of other than Power Sector PSUs = A(Y)+B(Y)+C(Y)</b> |  | <b>26</b>      |                                     | <b>6,994.10</b>                     | <b>3,144.42</b> | <b>6,501.26</b> | <b>16,639.78</b>   | <b>2,582.62</b>   | <b>7,582.83</b>    | <b>1,528.85</b>                                    | <b>11,694.30</b>   | <b>28,334.08</b>                              |  |
|                                     | <b>Grand Total of (A+B+C)</b>                                 |  | <b>37</b>      |                                     | <b>1,52,384.09</b>                  | <b>3,144.42</b> | <b>8,714.69</b> | <b>1,64,243.20</b> | <b>3,016.54</b>   | <b>7,582.83</b>    | <b>1,05,890.40</b>                                 | <b>1,16,489.77</b> | <b>2,80,732.97</b>                            |  |

Appendix-5.2

(Referred to in paragraph 5.2 and 5.2.1)

Statement showing position of equity and outstanding loans relating to PSUs (accounts in arrears for three years or more or were defunct/under liquidation or first accounts were not received) as on 31 March 2022

| Sl. No.  | Name of the PSUs   | Name of Department      | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |             |             |             | Long Term Loans outstanding at close of the year 2021-22 |                    |   |             | Total Investment (Equity and Long Term Loans) |
|----------|--|-------------------------|-------------------------------------|-------------------------|-------------------------------------|-------------|-------------|-------------|--|--------------------|---|-------------|---|
|          |  |                         |                                     |                         | State Govt.                         | GoI         | Others      | Total       | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total       |   |
| 1        | 2  | 3                       | 4                                   | 5                       | 6 (a)                               | 6 (b)       | 6 (c)       | 6 (d)       | 7 (a)  | 7 (b)              | 7 (c)   | 7 (d)       | 8   |
| <b>I</b> | <b>Functional PSUs</b>   |                         |                                     |                         |                                     |             |             |             |  |                    |   |             |   |
| <b>A</b> | <b>Government Companies</b>  |                         |                                     |                         |                                     |             |             |             |  |                    |   |             |   |
| <b>X</b> | <b>Power Sector PSUs</b>   |                         |                                     |                         |                                     |             |             |             |  |                    |   |             |   |
| <b>1</b> | UPSIDC Power Company Ltd.  | Energy                  | 2013-14                             | 2016-17                 | 0.00                                | 0.00        | 0.05        | 0.05        | 0.00   | 0.00               | 0.00  | 0.00        | 0.05  |
|          | <b>Sub Total-IA(X)</b>   |                         | <b>2013-14</b>                      | <b>2016-17</b>          | <b>0.00</b>                         | <b>0.00</b> | <b>0.05</b> | <b>0.05</b> | <b>0.00</b>  | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b> | <b>0.05</b>                                   |
| <b>Y</b> | <b>Other than Power Sector PSUs</b>  |                         |                                     |                         |                                     |             |             |             |  |                    |   |             |   |
| <b>2</b> | Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited                   | Minority Welfare & Waqf | 2005-06                             | 2017-18                 | 30.00                               | 0.00        | 0.00        | 30.00       | 0.00   | 10.00              | 0.00  | 10.00       | 40.00   |
| <b>3</b> | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited                 | State Backward Welfare  | 2016-17                             | 2022-23                 | 12.23                               | 0.00        | 0.00        | 12.23       | 76.30  | 0.00               | 0.00  | 76.30       | 88.53   |
| <b>4</b> | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | Social Welfare          | 2016-17                             | 2022-23                 | 134.18                              | 117.77      | 0.00        | 251.95      | 0.00   | 0.00               | 1,381.54  | 1,381.54    | 1,633.49                                      |
| <b>5</b> | Uttar Pradesh Food and Essential Commodities Corporation Limited           | Food & Civil Supplies   | 2010-11                             | 2018-19                 | 12.34                               | 0.00        | 0.00        | 12.34       | 0.73   | 0.00               | 0.00  | 0.73        | 13.07   |

| Sl. No. | Name of the PSUs   | Name of Department                                       | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |      |        |        | Long Term Loans outstanding at close of the year 2021-22 |                    |   |        | Total Investment (Equity and Long Term Loans) |
|---------|--|--|-------------------------------------|-------------------------|-------------------------------------|------|--------|--------|--|--------------------|---|--------|---|
|         |  |  |                                     |                         | State Govt.                         | GoI  | Others | Total  | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total  |   |
| 6       | Uttar Pradesh Bhumi Sudhar Nigam   | Parti Bhumi Vikas  | 2016-17                             | 2020-21                 | 1.50                                | 0.00 | 0.00   | 1.50   | 0.00   | 0.00               | 0.00  | 0.00   | 1.50  |
| 7       | Uttar Pradesh Mahila Kalyan Nigam Limited                                      | Women Welfare  | 2015-16                             | 2020-21                 | 4.71                                | 0.48 | 0.00   | 5.19   | 0.00   | 0.00               | 0.00  | 0.00   | 5.19  |
| 8       | Uttar Pradesh Waqf Vikas Nigam Limited   | Minority Welfare & Waqf                                  | 2003-04                             | 2016-17                 | 10.00                               | 0.00 | 0.00   | 10.00  | 0.00   | 0.00               | 0.00  | 0.00   | 10.00   |
| 9       | Uttar Pradesh State Agro Industrial Corporation Limited                        | Agriculture  | 2010-11                             | 2016-17                 | 58.32                               | 0.00 | 0.00   | 58.32  | 5.00   | 0.00               | 13.00   | 18.00  | 76.32   |
| 10      | Uttar Pradesh Rajkiya Nirman Nigam Limited                                     | Public Works Department                                  | 2014-15                             | 2020-21                 | 1.00                                | 0.00 | 0.00   | 1.00   | 0.00   | 0.00               | 0.00  | 0.00   | 1.00  |
| 11      | Uttar Pradesh State Bridge Corporation Limited                                 | Public Works Department                                  | 2017-18                             | 2020-21                 | 15.00                               | 0.00 | 0.00   | 15.00  | 0.00   | 0.00               | 0.00  | 0.00   | 15.00   |
| 12      | Uttar Pradesh Small Industries Corporation Limited                             | Micro, Small and Medium Enterprises and Export Promotion | 2011-12                             | 2022-23                 | 5.96                                | 0.00 | 0.00   | 5.96   | 4.75   | 0.00               | 0.00  | 4.75   | 10.71   |
| 13      | Uttar Pradesh Beej Vikas Nigam Limited   | Agriculture  | 2018-19                             | 2022-23                 | 6.25                                | 0.00 | 0.67   | 6.92   | 0.00   | 0.00               | 0.00  | 0.00   | 6.92  |
| 14      | Uttar Pradesh Matsya Vikas Nigam Limited                                       | Fisheries  | 2016-17                             | 2019-20                 | 1.07                                | 0.00 | 0.00   | 1.07   | 0.00   | 0.00               | 0.00  | 0.00   | 1.07  |
| 15      | Uttar Pradesh Drugs and Pharmaceuticals Limited                                | Health   | 2009-10                             | 2012-13                 | 1.10                                | 0.00 | 0.00   | 1.10   | 0.00   | 0.00               | 0.00  | 0.00   | 1.10  |
| 16      | Uttar Pradesh Rajya Chini Avam Gianna Vikas Nigam Limited (Subsidiary of Uttar | Sugar Industry and Cane Development                      | 2016-17                             | 2020-21                 | 880.13                              | 0.00 | 0.00   | 880.13 | 0.00   | 0.00               | 899.00  | 899.00 | 1779.13                                       |

| Sl. No. | Name of the PSUs  | Name of Department                                       | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |      |        |          | Long Term Loans outstanding at close of the year 2021-22 |                    |   |        | Total Investment (Equity and Long Term Loans) |  |
|---------|---|--|-------------------------------------|-------------------------|-------------------------------------|------|--------|----------|--|--------------------|---|--------|---|--|
|         |   |  |                                     |                         | State Govt.                         | GoI  | Others | Total    | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total  |   |  |
|         | Pradesh State Sugar Corporation Limited)  |  |                                     |                         |                                     |      |        |          |  |                    |   |        |   |  |
| 17      | Uttar Pradesh State Sugar Corporation Limited   | Sugar Industry and Cane Development                      | 2016-17                             | 2022-23                 | 1,648.31                            | 0.00 | 0.00   | 1,648.31 | 909.00   | 0.00               | 46.17   | 955.17 | 2,603.48                                      |  |
| 18      | U.P. Handicraft & Marketing Development Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited) | Micro, Small and Medium Enterprises and Export Promotion | 2008-09                             | 2022-23                 | 6.34                                | 0.90 | 0.00   | 7.24     | 12.44  | 0.00               | 0.00  | 12.44  | 19.68   |  |
| 19      | Uttar Pradesh State Tourism Development Corporation Limited   | Tourism  | 2017-18                             | 2022-23                 | 32.60                               | 0.00 | 0.00   | 32.60    | 0.00   | 0.00               | 0.00  | 0.00   | 32.60   |  |
| 20      | Lucknow City Transport Services Limited (incorporated on 01 February 2010)                                      | Transport  | Account not received                |                         | 17.84                               | 0.00 | 0.00   | 17.84    | 0.00   | 0.00               | 0.00  | 0.00   | 17.84   |  |
| 21      | Meerut City Transport Services Limited  | Transport  | 2013-14                             | 2019-20                 | 0.00                                | 0.00 | 4.04   | 4.04     | 0.00   | 0.00               | 0.00  | 0.00   | 4.04  |  |
| 22      | Agra Mathura City Transport Services Limited (incorporated on 08 July 2010)                                     | Transport  | Account not received                |                         | 0.05                                | 0.00 | 0.00   | 0.05     | 0.00   | 0.00               | 45.79   | 45.79  | 45.84   |  |
| 23      | Kanpur City Transport Services Limited (incorporated on 28 April 2010)  | Transport  | Account not received                |                         | 0.10                                | 0.00 | 0.00   | 0.10     | 0.00   | 0.00               | 0.53  | 0.53   | 0.63  |  |



| Sl. No.  | Name of the PSUs   | Name of Department                     | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |               |             |                 | Long Term Loans outstanding at close of the year 2021-22 |                    |   |                 | Total Investment (Equity and Long Term Loans) |
|----------|--|--|-------------------------------------|-------------------------|-------------------------------------|---------------|-------------|-----------------|--|--------------------|---|-----------------|---|
|          |  |  |                                     |                         | State Govt.                         | GoI           | Others      | Total           | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total           |   |
| 24       | Varanasi City Transport Services Limited (incorporated on 15 June 2010)                      | Transport                              | Account not received                |                         | 0.05                                | 0.00          | 0.00        | 0.05            | 0.00   | 0.00               | 4.77  | 4.77            | 4.82  |
| 25       | Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited (incorporated on 04 October 2018) | Co-operative Department                | Account not received                |                         | 0.05                                | 0.00          | 0.05        | 0.10            | 0.00   | 0.00               | 0.00  | 0.00            | 0.10  |
| 26       | Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited                                   | Sugar Industry and Cane Development    | 2017-18                             | 2018-19                 | 0.15                                | 0.00          | 0.10        | 0.25            | 0.00   | 0.00               | 2.48  | 2.48            | 2.73  |
| 27       | U. P. State Spinning Company Limited   | Handloom and Textiles                  | 2018-19                             | 2020-21                 | 93.24                               | 0.00          | 0.00        | 93.24           | 149.62   | 0.00               | 0.00  | 149.62          | 242.86  |
| 28       | Uttar Pradesh State Handloom Corporation Limited   | Handloom and Textiles                  | 2000-01                             | 2020-21                 | 36.45                               | 10.63         | 0.00        | 47.08           | 157.02   | 0.00               | 2.70  | 159.72          | 206.80  |
| 29       | Uttar Pradesh Development Systems Corporation Limited  | Information Technology and Electronics | 2018-19                             | 2019-20                 | 1.00                                | 0.00          | 0.00        | 1.00            | 0.00   | 0.00               | 0.00  | 0.00            | 1.00  |
| 30       | U.P. Projects Corporation Limited  | Irrigation                             | 2018-19                             | 2019-20                 | 5.40                                | 1.00          | 0.00        | 6.40            | 0.00   | 0.00               | 0.00  | 0.00            | 6.40  |
|          | <b>Sub Total of IA (Y)</b>   |  |                                     |                         | <b>3,015.37</b>                     | <b>130.78</b> | <b>4.86</b> | <b>3,151.01</b> | <b>1,314.86</b>  | <b>0.00</b>        | <b>2,395.98</b>                                   | <b>3,720.84</b> | <b>6,871.85</b>                               |
|          | <b>Total of IA= IA (X)+IA (Y)</b>  |  |                                     |                         | <b>3,015.37</b>                     | <b>130.78</b> | <b>4.91</b> | <b>3,151.06</b> | <b>1,314.86</b>  | <b>10.00</b>       | <b>2,395.98</b>                                   | <b>3,720.84</b> | <b>6,871.90</b>                               |
| <b>B</b> | <b>Government Controlled other Companies</b>   |  |                                     |                         |                                     |               |             |                 |  |                    |   |                 |   |
| <b>X</b> | <b>Power Sectors PSUs</b>  |  |                                     |                         |                                     |               |             |                 |  |                    |   |                 |   |
|          | <b>Sub Total - IB (X)</b>  |  |                                     |                         | <b>0.00</b>                         | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b>     | <b>0.00</b>  | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b>     | <b>0.00</b>                                   |

| Sl. No.   | Name of the PSUs                            | Name of Department                        | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |        |        | Long Term Loans outstanding at close of the year 2021-22 |                  |                    |   | Total Investment (Equity and Long Term Loans) |          |
|-----------|---|---|-------------------------------------|-------------------------|-------------------------------------|--------|--------|--|------------------|--------------------|---|---|----------|
|           |   |   |                                     |                         | State Govt.                         | Govt.  | Others | Total  | State Govt. Loan | Central Govt. Loan | Holding Company/ Other Financial Institution Loan |   | Total    |
| <b>Y</b>  | <b>Other than Power Sector PSUs</b>         |   |                                     |                         |                                     |        |        |  |                  |                    |   |   |          |
| <b>31</b> | Kanpur Smart City Limited                   | Urban Development                         | 2017-18                             | 2022-23                 | 279.00                              | 279.00 | 0.00   | 558.00   | 0.00             | 0.00               | 0.00  | 0.00  | 558.00   |
| <b>32</b> | Lucknow Smart City Limited                  | Urban Development                         | 2018-19                             | 2019-20                 | 186.00                              | 196.00 | 0.00   | 382.00   | 0.00             | 0.00               | 0.00  | 0.00  | 382.00   |
|           | Sub Total- IB (Y)                           |   |                                     |                         | 465.00                              | 475.00 | 0.00   | 940.00   | 0.00             | 0.00               | 0.00  | 0.00  | 940.00   |
|           | Total of IB= IB (X)+IB (Y)                  |   |                                     |                         | 465.00                              | 475.00 | 0.00   | 940.00   | 0.00             | 0.00               | 0.00  | 0.00  | 940.00   |
| <b>C</b>  | <b>Statutory Corporations</b>               |   |                                     |                         |                                     |        |        |  |                  |                    |   |   |          |
| <b>X</b>  | <b>Power Sectors PSUs</b>                   |   |                                     |                         |                                     |        |        |  |                  |                    |   |   |          |
|           | Sub Total - IC (X)                          |   |                                     |                         | 0.00                                | 0.00   | 0.00   | 0.00   | 0.00             | 0.00               | 0.00  | 0.00  | 0.00     |
| <b>Y</b>  | <b>Other than Power Sector PSUs</b>         |   |                                     |                         |                                     |        |        |  |                  |                    |   |   |          |
| <b>33</b> | Uttar Pradesh Financial Corporation         | Infrastructure and Industrial Development | 2012-13                             | 2015-16                 | 114.51                              | 0.00   | 64.78  | 179.29   | 345.94           | 0.00               | 481.92  | 827.86  | 1007.15  |
| <b>34</b> | Uttar Pradesh State Warehousing Corporation | Co-operative                              | 2017-18                             | 2020-21                 | 5.40                                | 0.00   | 5.40   | 10.80  | 0.00             | 0.00               | 129.83  | 129.83  | 140.63   |
| <b>35</b> | Uttar Pradesh Jal Nigam                     | Urban Development                         | 2016-17                             | 2018-19                 | 0.00                                | 0.00   | 0.00   | 0.00   | 537.96           | 0.00               | 0.00  | 537.96  | 537.96   |
|           | Sub Total- IC (Y)                           |   |                                     |                         | 119.91                              | 0.00   | 70.18  | 190.09   | 883.90           | 0.00               | 611.75  | 1,495.65                                      | 1,685.74 |
|           | Total of IC= IC (X)+IC (Y)                  |   |                                     |                         | 119.91                              | 0.00   | 70.18  | 190.09   | 883.90           | 0.00               | 611.75  | 1,495.65                                      | 1,685.74 |
|           | Total of Functional PSUs (Total -I)         |   |                                     |                         | 3,600.28                            | 605.78 | 75.09  | 4,281.15   | 2,198.76         | 10.00              | 3,007.73  | 5,216.49                                      | 9,497.64 |

| Sl. No.   | Name of the PSUs  | Name of Department                     | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |             |             |             | Long Term Loans outstanding at close of the year 2021-22 |                    |   |             | Total Investment (Equity and Long Term Loans) |             |
|-----------|---|--|-------------------------------------|-------------------------|-------------------------------------|-------------|-------------|-------------|--|--------------------|---|-------------|---|-------------|
|           |   |  |                                     |                         | State Govt.                         | GoI         | Others      | Total       | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total       |   |             |
| <b>II</b> | <b>Non-functional PSUs</b>  |  |                                     |                         |                                     |             |             |             |  |                    |   |             |   |             |
| <b>A</b>  | <b>Government Companies</b>   |  |                                     |                         |                                     |             |             |             |  |                    |   |             |   |             |
| <b>X</b>  | <b>Power Sectors PSUs</b>   |  |                                     |                         |                                     |             |             |             |  |                    |   |             |   |             |
| <b>36</b> | Southern UP Power Transmission Company Ltd.   | Energy                                 | 2020-21                             | 2021-22                 | 0.00                                | 0.00        | 2.22        | 2.22        | 0.00   | 0.00               | 0.00  | 0.00        | 0.00  | 2.22        |
|           | <b>Sub Total-IIA(X)</b>   |  |                                     |                         | <b>0.00</b>                         | <b>0.00</b> | <b>2.22</b> | <b>2.22</b> | <b>0.00</b>  | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b> | <b>0.00</b>                                   | <b>2.22</b> |
| <b>Y</b>  | <b>Other than Power Sector PSUs</b>   |  |                                     |                         |                                     |             |             |             |  |                    |   |             |   |             |
| <b>37</b> | Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)    | Information Technology and Electronics | 1997-98                             | 1998-99                 | 0.00                                | 0.00        | 1.06        | 1.06        | 0.00   | 0.00               | 4.15  | 0.00        | 4.15  | 5.21        |
| <b>38</b> | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)          | Sugar Industry and Cane Development    | 2017-18                             | 2022-23                 | 0.00                                | 0.00        | 81.38       | 81.38       | 0.00   | 0.00               | 29.42   | 0.00        | 29.42   | 110.80      |
| <b>39</b> | Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)       | Sugar Industry and Cane Development    | 2017-18                             | 2022-23                 | 0.00                                | 0.00        | 147.72      | 147.72      | 0.00   | 0.00               | 4.45  | 0.00        | 4.45  | 152.17      |
| <b>40</b> | Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | Sugar Industry and Cane Development    | 2017-18                             | 2022-23                 | 0.00                                | 0.00        | 256.80      | 256.80      | 0.00   | 0.00               | 0.00  | 0.00        | 0.00  | 256.80      |

| Sl. No. | Name of the PSUs   | Name of Department                                       | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |      |        |        | Long Term Loans outstanding at close of the year 2021-22 |                    |   |       | Total Investment (Equity and Long Term Loans) |
|---------|--|--|-------------------------------------|-------------------------|-------------------------------------|------|--------|--------|--|--------------------|---|-------|---|
|         |  |  |                                     |                         | State Govt.                         | GoI  | Others | Total  | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total |   |
| 41      | The Indian Turpentine and Rosin Company Limited  | Infrastructure and Industrial Development                | 2010-11                             | 2012-13                 | 0.22                                | 0.00 | 0.00   | 0.22   | 8.47   | 0.00               | 0.00  | 8.47  | 8.69  |
| 42      | Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | Infrastructure and Industrial Development                | 2001-02                             | Not Available           | 1.78                                | 0.15 | 0.00   | 1.93   | 36.77  | 0.17               | 0.51  | 37.45 | 39.38   |
| 43      | Uttar Pradesh State Brassware Corporation Limited  | Micro, Small and Medium Enterprises and Export Promotion | 1997-98                             | Not Available           | 5.38                                | 0    | 0.00   | 5.38   | 5.91   | 0.00               | 0.00  | 5.91  | 11.29   |
| 44      | Uttar Pradesh State Textile Corporation Limited  | Handloom and Textiles                                    | 2019-20                             |                         | 197.10                              | 0.00 | 0.00   | 197.10 | 92.05  | 0.00               | 0.00  | 92.05 | 289.15  |
| 45      | Uttar Pradesh State Leather Development and Marketing Corporation Limited  | Micro, Small and Medium Enterprises and Export Promotion | 2000-01                             |                         | 5.74                                | 0.00 | 0.00   | 5.74   | 3.42   | 0.00               | 0.00  | 3.42  | 9.16  |
| 46      | U. P. State Yarn Company Limited   | Handloom and Textiles                                    | 2020-21                             | 2022-23                 | 53.67                               | 0.00 | 0.00   | 53.67  | 66.36  | 0.00               | 0.00  | 66.36 | 120.03  |
| 47      | Uttar Pradesh Pashudhan Udyog Nigam Limited  | Animal Husbandry and Dairying                            | 2015-16                             | 2021-22                 | 2.73                                | 0.00 | 0.00   | 2.73   | 7.55   | 0.00               | 0.00  | 7.55  | 10.28   |
| 48      | Uttar Pradesh Poultry and Livestock Specialties Limited  | Animal Husbandry and Dairying                            | 2013-14                             | 2020-21                 | 2.88                                | 0.06 | 0.00   | 2.94   | 0.00   | 1.10               | 0.00  | 1.10  | 4.04  |

| Sl. No. | Name of the PSUs   | Name of Department                  | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |       |        |       | Long Term Loans outstanding at close of the year 2021-22 |                    |   |       | Total Investment (Equity and Long Term Loans) |
|---------|--|-------------------------------------|-------------------------------------|-------------------------|-------------------------------------|-------|--------|-------|--|--------------------|---|-------|---|
|         |  |                                     |                                     |                         | State Govt.                         | Govt. | Others | Total | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total |   |
| 49      | Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited | Horticulture and Food Processing    | 1984-85                             | 1988-89                 | 6.41                                | 0.00  | 0.62   | 7.03  | 3.34   | 0.00               | 0.56  | 3.90  | 10.93   |
| 50      | Uttar Pradesh (Paschim) Gamma Beej Evam Vikas Nigam Limited                          | Sugar Industry and Cane Development | 2013-14                             | 2014-15                 | 0.51                                | 0.00  | 0.15   | 0.66  | 0.00   | 0.00               | 0.00  | 0.00  | 0.66  |
| 51      | Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited                          | Panchayati Raj                      | 1995-96                             | 2012-13                 | 1.50                                | 0.00  | 0.00   | 1.50  | 0.00   | 0.00               | 0.00  | 0.00  | 1.50  |
| 52      | Agra Mandal Vikas Nigam Limited  | Bhumi Vikas and Jal Sansadhan       | 1988-89                             | 2007-08                 | 1.00                                | 0.00  | 0.00   | 1.00  | 0.18   | 0.00               | 0.00  | 0.18  | 1.18  |
| 53      | Allahabad Mandal Vikas Nigam Limited   | Bhumi Vikas and Jal Sansadhan       | 1983-84                             | 1991-92                 | 0.60                                | 0.00  | 0.00   | 0.60  | 0.00   | 0.00               | 0.51  | 0.51  | 1.11  |
| 54      | Bareilly Mandal Vikas Nigam Limited  | Bhumi Vikas and Jal Sansadhan       | 1988-89                             | 2005-06                 | 1.25                                | 0.00  | 0.00   | 1.25  | 0.00   | 0.00               | 0.65  | 0.65  | 1.90  |
| 55      | Gorakhpur Mandal Vikas Nigam Limited   | Bhumi Vikas and Jal Sansadhan       | 1989-90                             | 2017-18                 | 1.26                                | 0.00  | 0.00   | 1.26  | 0.65   | 0.00               | 0.29  | 0.94  | 2.20  |
| 56      | Lucknow Mandaliya Vikas Nigam Limited  | Bhumi Vikas and Jal Sansadhan       | 1981-82                             | 2010-11                 | 0.70                                | 0.00  | 0.00   | 0.70  | 0.00   | 0.00               | 0.00  | 0.00  | 0.70  |
| 57      | Meerut Mandal Vikas Nigam Limited  | Bhumi Vikas and Jal Sansadhan       | 2008-09                             | 2010-11                 | 1.00                                | 0.00  | 0.00   | 1.00  | 0.01   | 0.00               | 0.00  | 0.01  | 1.01  |
| 58      | Moradabad Mandal Vikas Nigam Limited   | Bhumi Vikas and Jal Sansadhan       | 1991-92                             | 2011-12                 | 0.25                                | 0.00  | 0.00   | 0.25  | 0.65   | 0                  | 0   | 0.65  | 0.90  |
| 59      | Tarai Anusuchit Janjati Vikas Nigam Limited  | Social Welfare                      | 1982-83                             | 06-06-1996              | 0.45                                | 0.00  | 0.00   | 0.45  | 1.25   | 1.00               | 0.00  | 2.25  | 2.70  |

| Sl. No. | Name of the PSUs  | Name of Department                        | Period of latest finalised accounts               | Year in which finalised | Equity at close of the year 2021-22 |      |        |       | Long Term Loans outstanding at close of the year 2021-22 |                    |   |        | Total Investment (Equity and Long Term Loans) |
|---------|---|---|---|-------------------------|-------------------------------------|------|--------|-------|--|--------------------|---|--------|---|
|         |   |   |   |                         | State Govt.                         | GoI  | Others | Total | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total  |   |
| 60      | Uttar Pradesh Bunkelkhand Vikas Nigam Limited   | Bhumi Vikas and Jal Sansadhan             | 2010-11   | 2016-17                 | 1.23                                | 0.00 | 0.00   | 1.23  | 0.05   | 0.00               | 0.00  | 0.05   | 1.28  |
| 61      | Uttar Pradesh Chalchitra Nigam Limited  | Commercial Tax                            | 2009-10   | Not Available           | 8.18                                | 0.00 | 0.00   | 8.18  | 7.98   | 0.00               | 0.00  | 7.98   | 16.16   |
| 62      | Uttar Pradesh Poorvanchal Vikas Nigam Limited   | Bhumi Vikas and Jal Sansadhan             | 1987-88   | 1995-96                 | 1.15                                | 0.00 | 0.00   | 1.15  | 0.43   | 0.00               | 0.00  | 0.43   | 1.58  |
| 63      | Varanasi Mandal Vikas Nigam Limited   | Bhumi Vikas and Jal Sansadhan             | 1987-88   | 1993-94                 | 0.70                                | 0.00 | 0.00   | 0.70  | 0.00   | 0.00               | 0.75  | 0.75   | 1.45  |
| 64      | Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited  | Sugar Industry and Cane Development       | 2002-03 (Under Liquidation from 1 September 2006) | 2003-04                 | 0.31                                | 0.00 | 0.00   | 0.31  | 1.70   | 0.00               | 0.00  | 1.70   | 2.01  |
| 65      | Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikas Nigam Limited                                      | Sugar Industry and Cane Development       | 2006-07 (Under Liquidation from 1 September 2006) | 2008-09                 | 0.38                                | 0.00 | 0.33   | 0.71  | 10.50  | 0.00               | 0.00  | 10.50  | 11.21   |
| 66      | Uttar Pradesh Cement Corporation Limited  | Infrastructure and Industrial Development | 1995-96 (Under Liquidation from 8 December 1999)  | 1996-97                 | 68.28                               | 0.00 | 0.00   | 68.28 | 124.77   | 0.00               | 0.00  | 124.77 | 193.05  |
| 67      | Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | Infrastructure and Industrial Development | 1987-88 (Under Liquidation from 28 November 2002) | 1995-96                 | 0.00                                | 0.00 | 0.00   | 0.00  | 0.00   | 0.00               | 0.84  | 0.84   | 0.84  |
| 68      | Auto Tractors Limited   | Infrastructure and Industrial Development | 1991-92 (Under Liquidation from 14 February 2003) | 1995-96                 | 5.63                                | 0.00 | 1.87   | 7.50  | 0.38   | 0.00               | 0.00  | 0.38   | 7.88  |

| Sl. No. | Name of the PSUs  | Name of Department                                       | Period of latest finalised accounts                  | Year in which finalised | Equity at close of the year 2021-22 |       |        |       | Long Term Loans outstanding at close of the year 2021-22 |                    |   |        | Total Investment (Equity and Long Term Loans) |        |
|---------|---|--|--|-------------------------|-------------------------------------|-------|--------|-------|--|--------------------|---|--------|---|--------|
|         |   |  |  |                         | State Govt.                         | Govt. | Others | Total | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total  |   |        |
| 69      | Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)                                   | Handloom and Textiles                                    | 1994-95 (Under Liquidation from 20 February 1996)    | Not Available           | 0.00                                | 0.00  | 3.76   | 3.76  | 0.00   | 0.00               | 0.00  | 0.00   | 0.00  | 3.76   |
| 70      | Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)             | Infrastructure and Industrial Development                | 1997-98 (Under Liquidation from 1 April 2002)        | 2002-03                 | 0.00                                | 0.00  | 46.24  | 46.24 | 0.00   | 0.00               | 138.85  | 138.85 | 0.00  | 185.09 |
| 71      | Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)                                    | Information Technology and Electronics                   | (Under Liquidation from 10 June 1996)                | Not Available           | 0.00                                | 0.00  | 0.00   | 0.00  | 0.00   | 0.00               | 0.00  | 0.00   | 0.00  | 0.00   |
| 72      | Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)                | Micro, Small and Medium Enterprises and Export Promotion | 1975-76 (Under Liquidation from 14 November 2003)    | Not Available           | 0.00                                | 0.00  | 0.05   | 0.05  | 0.00   | 0.00               | 0.00  | 0.00   | 0.00  | 0.05   |
| 73      | Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | Infrastructure and Industrial Development                | 1992-93 (Under Liquidation from 19 February 1994)    | Not Available           | 0.00                                | 0.00  | 6.59   | 6.59  | 0.00   | 0.00               | 0.00  | 0.00   | 11.02   | 17.61  |
| 74      | Uttar Pradesh Plant Protection Appliances   | Micro, Small and Medium Enterprises and                  | 1974-75 (Under Liquidation from 14 November 1984-85) | 1984-85                 | 0.00                                | 0.00  | 0.02   | 0.02  | 0.00   | 0.00               | 0.00  | 0.00   | 0.00  | 0.02   |

| Sl. No.   | Name of the PSUs   | Name of Department                     | Period of latest finalised accounts            | Year in which finalised | Equity at close of the year 2021-22          |             |               |               | Long Term Loans outstanding at close of the year 2021-22 |                    |   |               | Total Investment (Equity and Long Term Loans) |             |
|-----------|--|--|--|-------------------------|--|-------------|---------------|---------------|--|--------------------|---|---------------|---|-------------|
|           |  |  |  |                         | State Govt.                                  | GoI         | Others        | Total         | State Govt. Loan   | Central Govt. Loan | Holding Company/ Other Financial Institution Loan | Total         |   |             |
|           | (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | Export Promotion                       | 2003)  |                         |  |             |               |               |  |                    |   |               |   |             |
| 75        | Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)   | Information Technology and Electronics | 1995-96 (Under Liquidation from 26 March 2014) | 1997-98                 | 0.00   | 0.00        | 57.93         | 57.93         | 0.00   | 0.00               | 9.70  | 9.70          | 67.63   |             |
|           | <b>Sub Total-IIA(Y)</b>  |  |  |                         | <b>370.29</b>                                | <b>0.21</b> | <b>604.52</b> | <b>975.02</b> | <b>383.44</b>  | <b>2.27</b>        | <b>190.68</b>                                     | <b>576.39</b> | <b>1,551.41</b>                               |             |
|           | <b>Total of IIA = IIA (X)+IIA (Y)</b>  |  |  |                         | <b>370.29</b>                                | <b>0.21</b> | <b>606.74</b> | <b>977.24</b> | <b>383.44</b>  | <b>2.27</b>        | <b>190.68</b>                                     | <b>576.39</b> | <b>1,553.63</b>                               |             |
| <b>B.</b> |  |  |  |                         |  |             |               |               |  |                    |   |               |   |             |
| <b>X</b>  |  |  |  |                         | <b>Government Controlled other Companies</b> |             |               |               |  |                    |   |               |   |             |
| <b>Y</b>  |  |  |  |                         | <b>Power Sectors PSUs</b>                    |             |               |               |  |                    |   |               |   |             |
|           | <b>Sub Total - IIB (X)</b>   |  |  |                         | <b>0.00</b>                                  | <b>0.00</b> | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b>  | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b>   | <b>0.00</b>                                   | <b>0.00</b> |
|           | <b>Sub Total - IIB (Y)</b>   |  |  |                         | <b>0.24</b>                                  | <b>0.00</b> | <b>0.00</b>   | <b>0.24</b>   | <b>0.00</b>  | <b>0.00</b>        | <b>0.32</b>                                       | <b>0.32</b>   | <b>0.56</b>                                   |             |
| 76        | Command Area Poultry Development Corporation Limited (139 (5) & (7) company)         | Bhumi Vikas and Jal Sansadhan          | 1998-99  | Not Available           | 0.24   | 0.00        | 0.00          | 0.24          | 0.00   | 0.00               | 0.32  | 0.32          | 0.56  |             |
| 77        | Electronics and Computers (India) Limited (139 (5) & (7) Company)                    | Information Technology and Electronics | (UL from 14 July 1981)                         | Not Available           | 0.00   | 0.00        | 0.00          | 0.00          | 0.00   | 0.00               | 0.00  | 0.00          | 0.00  |             |
|           | <b>Sub Total - IIB (Y)</b>   |  |  |                         | <b>0.24</b>                                  | <b>0.00</b> | <b>0.00</b>   | <b>0.24</b>   | <b>0.00</b>  | <b>0.00</b>        | <b>0.32</b>                                       | <b>0.32</b>   | <b>0.56</b>                                   |             |
|           | <b>Total of IIB= IIB (X)+IIB (Y)</b>   |  |  |                         | <b>0.24</b>                                  | <b>0.00</b> | <b>0.00</b>   | <b>0.24</b>   | <b>0.00</b>  | <b>0.00</b>        | <b>0.32</b>                                       | <b>0.32</b>   | <b>0.56</b>                                   |             |



| Sl. No.  | Name of the PSUs                                | Name of Department | Period of latest finalised accounts | Year in which finalised | Equity at close of the year 2021-22 |               |               | Long Term Loans outstanding at close of the year 2021-22 |                  |                    |   | Total Investment (Equity and Long Term Loans) |                  |
|----------|---|--------------------|-------------------------------------|-------------------------|-------------------------------------|---------------|---------------|--|------------------|--------------------|---|---|------------------|
|          |   |                    |                                     |                         | State Govt.                         | GoI           | Others        | Total  | State Govt. Loan | Central Govt. Loan | Holding Company/ Other Financial Institution Loan |   | Total            |
| <b>C</b> | <b>Statutory Corporations</b>                   |                    |                                     |                         |                                     |               |               |  |                  |                    |   |   |                  |
| <b>X</b> | <b>Power Sectors PSUs</b>                       |                    |                                     |                         |                                     |               |               |  |                  |                    |   |   |                  |
|          | <b>Sub Total - IIC(X)</b>                       |                    |                                     |                         | <b>0.00</b>                         | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b>                                   | <b>0.00</b>      |
| <b>Y</b> | <b>Other than Power Sector PSUs</b>             |                    |                                     |                         |                                     |               |               |  |                  |                    |   |   |                  |
|          | <b>Sub Total - IIC(Y)</b>                       |                    |                                     |                         | <b>0.00</b>                         | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b>                                   | <b>0.00</b>      |
|          | <b>Total of IIC= IIC (X)+IIC (Y)</b>            |                    |                                     |                         | <b>0.00</b>                         | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b>                                   | <b>0.00</b>      |
|          | <b>Total of Non-functional PSUs (Total -II)</b> |                    |                                     |                         | <b>370.53</b>                       | <b>0.21</b>   | <b>606.74</b> | <b>977.48</b>  | <b>383.44</b>    | <b>2.27</b>        | <b>191.00</b>                                     | <b>576.71</b>                                 | <b>1554.19</b>   |
|          | <b>Total of Power Sector PSUs</b>               |                    |                                     |                         | <b>0.00</b>                         | <b>0.00</b>   | <b>2.27</b>   | <b>2.27</b>  | <b>0.00</b>      | <b>0.00</b>        | <b>0.00</b>                                       | <b>0.00</b>                                   | <b>2.27</b>      |
|          | <b>Total other than Power Sector PSUs</b>       |                    |                                     |                         | <b>3,970.81</b>                     | <b>605.99</b> | <b>679.56</b> | <b>5,256.36</b>  | <b>2,582.20</b>  | <b>12.27</b>       | <b>3,198.73</b>                                   | <b>5,793.20</b>                               | <b>11,049.56</b> |
|          | <b>Grand Total (Total- I + Total - II)</b>      |                    |                                     |                         | <b>3,970.81</b>                     | <b>605.99</b> | <b>681.83</b> | <b>5,258.63</b>  | <b>2,582.20</b>  | <b>12.27</b>       | <b>3,198.73</b>                                   | <b>5,793.20</b>                               | <b>11,051.83</b> |

Appendix-5.3

(Referred to in Paragraph 5.2.3)

Statement showing difference between Finance Accounts of Government of Uttar Pradesh and Accounts of the Public Sector Undertakings in respect of balances of Equity, Loans and Guarantees as on 31 March 2022

| Sl. No.   | Name of the PSUs  | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|-----------|---|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|           |   | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 1         | 2   | 3  | 4                | 5                     | 6  | 7                | 8                     | 9 = 3-6  | 10 = 4-7         | 11 = 5-8              |
| <b>I</b>  |   |  |                  |                       |  |                  |                       |  |                  |                       |
| <b>A.</b> | <b>Functional PSUs</b>  |  |                  |                       |  |                  |                       |  |                  |                       |
| <b>X</b>  | <b>Government Companies</b>   |  |                  |                       |  |                  |                       |  |                  |                       |
|           | <b>Power Sector PSUs</b>  |  |                  |                       |  |                  |                       |  |                  |                       |
| <b>1</b>  | Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited including Jawaharpur Vidut Utpadan Nigam Limited | 18,580.82  | 0.00             | 27,546.03             | 19,701.87  | 86.00            | 35,365.02             | (-) 1,121.05   | (-) 86.00        | (-) 7,818.99          |
| <b>2</b>  | Uttar Pradesh Jal Vidyut Nigam Limited  | 435.33   | 64.65            | 0.00                  | 435.33   | 0.00             | 0.00                  | 0.00   | 64.65            | 0.00                  |
| <b>3</b>  | Uttar Pradesh Power Transmission Corporation Limited  | 16,376.74  | 0.00             | 972.40                | 15,576.01  | 0.00             | 972.40                | 800.73   | 0.00             | 0.00                  |
| <b>4</b>  | Kanpur Electricity Supply Company Limited   | 0.00   | 0.00             | 0.00                  | 0.00 <sup>11</sup>   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  |
| <b>5</b>  | U.P. Power Corporation Limited  | 1,12,212.39  | 369.27           | 93,036.65             | 97,164.01  | 430.82           | 93,036.65             | 15,048.38  | (-) 61.55        | 0.00                  |
|           | <b>Sub Total A(X)</b>   | <b>1,47,605.28</b>   | <b>433.92</b>    | <b>1,21,555.08</b>    | <b>1,32,877.22</b>   | <b>516.82</b>    | <b>1,29,374.07</b>    | <b>14,728.06</b>   | <b>(-) 82.90</b> | <b>(-) 7,818.99</b>   |

<sup>11</sup> ₹ 7,000

| Sl. No.   | Name of the PSUs  | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|-----------|---|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|           |   | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| <b>Y</b>  |   | <b>Other than Power Sector PSUs</b>                          |                  |                       |  |                  |                       |  |                  |                       |
| <b>6</b>  | Uttar Pradesh Metro Rail Corporation Limited (erstwhile Lucknow Metro Rail Corporation Limited) | 2146.80  | 1,305.20         | 0.00                  | 1,278.00   | 1,841.60         | 0.00                  | 868.80   | (-) 536.40       | 0.00                  |
| <b>7</b>  | Noida Metro Rail Corporation Limited  | 387.57   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 387.57   | 0.00             | 0.00                  |
| <b>8</b>  | The Pradeshiya Industrial and Investment Corporation of UP Limited                              | 110.58   | 1,134.43         | 1.39                  | 110.58   | 411.26           | 1.39                  | 0.00   | 723.17           | 0.00                  |
| <b>9</b>  | U.P. Electronics Corporation Limited.   | 91.54  | 111.27           | 0.00                  | 91.54  | 31.23            | 0.00                  | 0.00   | 80.04            | 0.00                  |
| <b>10</b> | Uttar Pradesh Export Promotion Council  | 0.05   | 5.00             | 0.00                  | 0.00   | 0.95             | 0.00                  | 0.05   | 4.05             | 0.00                  |
| <b>11</b> | Uttar Pradesh Medical Supply Corporation Limited  | 11.83  | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 11.83  | 0.00             | 0.00                  |
| <b>12</b> | Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited  | 30.00  | 0.00             | 0.00                  | 30.00  | 15.18            | 0.00                  | 0.00   | (-) 15.18        | 0.00                  |
| <b>13</b> | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited                                      | 12.23  | 76.30            | 0.00                  | 13.56  | 0.00             | 52.65                 | (-) 1.33   | 76.30            | (-) 52.65             |
| <b>14</b> | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited                      | 134.18   | 0.00             | 0.00                  | 126.99   | 0.00             | 0.00                  | 7.19   | 0.00             | 0.00                  |

| Sl. No. | Name of the PSUs   | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|---------|--|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|         |  | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 15      | Uttar Pradesh Food and Essential Commodities Corporation Limited   | 12.34  | 0.73             | 0.00                  | 12.34  | 0.78             | 0.00                  | 0.00   | (-) 0.05         | 0.00                  |
| 16      | Uttar Pradesh State Agro Industrial Corporation Limited  | 58.32  | 5.00             | 0.00                  | 58.32  | 4.69             | 0.00                  | 0.00   | 0.31             | 0.00                  |
| 17      | Uttar Pradesh Small Industries Corporation Limited   | 5.96   | 4.75             | 0.00                  | 5.96   | 0.41             | 0.00                  | 0.00   | 4.34             | 0.00                  |
| 18      | Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited   | 880.13   | 0.00             | 200.00                | 880.13   | 0.00             | 0.00                  | 0.00   | 0.00             | 200.00                |
| 19      | Uttar Pradesh State Sugar Corporation Limited  | 1,648.31   | 909.00           | 183.96                | 1648.31  | 406.96           | 423.96                | 0.00   | 502.04           | (-) 240.00            |
| 20      | U. P. Handicraft & Marketing Development Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited) | 6.34   | 12.44            | 0.00                  | 6.34   | 5.00             | 0.00                  | 0.00   | 7.44             | 0.00                  |
| 21      | Uttar Pradesh State Tourism Development Corporation Limited  | 32.60  | 0.00             | 0.00                  | 32.60  | 2.76             | 0.00                  | 0.00   | (-) 2.76         | 0.00                  |
| 22      | Lucknow City Transport Services Limited  | 17.84  | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 17.84  | 0.00             | 0.00                  |
| 23      | Agra Mathura City Transport Services Limited   | 0.05   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 0.05   | 0.00             | 0.00                  |

| Sl. No.   | Name of the PSUs  | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|-----------|---|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|           |   | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 24        | Kanpur City Transport Services Limited (Incorporated w.e.f. 28-04-10)   | 0.10   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 0.10   | 0.00             | 0.00                  |
| 25        | Varanasi City Transport Services Limited (Incorporated w.e.f. 15-06-10) | 0.05   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 0.05   | 0.00             | 0.00                  |
| 26        | Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited              | 0.05   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 0.05   | 0.00             | 0.00                  |
| 27        | U.P. State Spinning Company Limited                                     | 93.24  | 149.62           | 0.00                  | 93.24  | 18.21            | 0.00                  | 0.00   | 131.41           | 0.00                  |
| 28        | Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited              | 0.15   | 0.00             | 0.00                  | 0.15   | 0.00             | 1.80                  | 0.00   | 0.00             | (-) 1.80              |
| 29        | Uttar Pradesh State Handloom Corporation Limited                        | 36.45  | 157.02           | 0.00                  | 36.45  | 12.53            | 2.34                  | 0.00   | 144.49           | (-) 2.34              |
|           | <b>Sub Total A(Y)</b>   | <b>5,716.71</b>  | <b>3,870.76</b>  | <b>385.35</b>         | <b>4,424.51</b>  | <b>2,751.56</b>  | <b>482.14</b>         | <b>1,292.20</b>  | <b>1,119.20</b>  | <b>(-) 96.79</b>      |
|           | <b>Sub Total A</b>  | <b>1,53,321.99</b>   | <b>4,304.68</b>  | <b>1,21,940.43</b>    | <b>1,37,301.73</b>   | <b>3,268.38</b>  | <b>1,29,856.21</b>    | <b>16,020.26</b>   | <b>1,036.30</b>  | <b>(-) 7,915.78</b>   |
| <b>B.</b> |   | <b>Government Controlled Other Companies</b>                 |                  |                       |  |                  |                       |  |                  |                       |
| <b>X</b>  |   | <b>Power Sector PSUs</b>                                     |                  |                       |  |                  |                       |  |                  |                       |
|           | <b>Sub Total B (X)</b>  | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           |
| <b>Y</b>  |   | <b>Other than Power Sector PSUs</b>                          |                  |                       |  |                  |                       |  |                  |                       |
| 30        | Agra Smart City Limited   | 107.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 107.00   | 0.00             | 0.00                  |
| 31        | Aligarh Smart City Limited  | 1.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 1.00   | 0.00             | 0.00                  |

| Sl. No. | Name of the PSUs                               | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|---------|--|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|         |  | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 32      | Bareilly Smart City Limited                    | 0.50   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  |
| 33      | Prayagraj Smart City Limited                   | 245.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 245.00                | 0.00   | 0.00             | 0.00                  |
| 34      | Varanasi Smart City Limited                    | 100.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 100.00                | 0.00   | 0.00             | 0.00                  |
| 35      | Jhansi Smart City Limited                      | 0.25   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.25                  | 0.00   | 0.00             | 0.00                  |
| 36      | Moradabad Smart City Limited                   | 50.25  | 0.00             | 0.00                  | 0.00   | 0.00             | 50.25                 | 0.00   | 0.00             | 0.00                  |
| 37      | Saharanpur Smart City Limited                  | 0.50   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.50                  | 0.00   | 0.00             | 0.00                  |
| 38      | Kanpur Smart City Limited                      | 279.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 279.00                | 0.00   | 0.00             | 0.00                  |
| 39      | Lucknow Smart City Limited                     | 186.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 186.00                | 0.00   | 0.00             | 0.00                  |
| 40      | Noida International Airport Limited (NIAL)     | 2,807.19   | 0.00             | 0.00                  | 0.00   | 0.00             | 2,807.19              | 0.00   | 0.00             | 0.00                  |
|         | <b>Sub Total B (Y)</b>                         | <b>3,776.69</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>3,776.69</b>       | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           |
|         | <b>Sub Total B</b>                             | <b>3,776.69</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>3,776.69</b>       | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           |
| C.      | <b>Statutory Corporations</b>                  |  |                  |                       |  |                  |                       |  |                  |                       |
| X       | <b>Power Sector PSUs</b>                       |  |                  |                       |  |                  |                       |  |                  |                       |
|         | <b>Sub Total C (X)</b>                         | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           |
| Y       | <b>Other than Power Sector PSUs</b>            |  |                  |                       |  |                  |                       |  |                  |                       |
| 41      | Uttar Pradesh State Road Transport Corporation | 930.46   | 26.72            | 0.00                  | 766.18   | 34.65            | 164.28                | 0.00   | -7.93            | 0.00                  |
| 42      | Uttar Pradesh Awasth Evam Vikas Parishad       | 0.00   | 0.00             | 0.00                  | 0.00   | 0.31             | 0.00                  | 0.00   | -0.31            | 0.00                  |

| Sl. No. | Name of the PSUs  | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|---------|---|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|         |   | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 43      | Uttar Pradesh Financial Corporation   | 114.51   | 345.94           | 2.46                  | 114.51   | 15.11            | 2.46                  | 0.00   | 330.83           | 0.00                  |
| 44      | Uttar Pradesh State Warehousing Corporation   | 5.40   | 0.00             | 1.47                  | 7.60   | 0.63             | 146.86                | (-) 2.20   | (-) 0.63         | (-) 145.39            |
| 45      | Uttar Pradesh Jal Nigam   | 0.00   | 537.96           | 0.00                  | 0.00   | 388.77           | 0.00                  | 0.00   | 149.19           | 0.00                  |
|         | <b>Sub Total C (Y)</b>  | <b>1,050.37</b>  | <b>910.62</b>    | <b>3.93</b>           | <b>888.29</b>  | <b>439.47</b>    | <b>149.32</b>         | <b>162.08</b>  | <b>471.15</b>    | <b>(-) 145.39</b>     |
|         | <b>Sub Total C</b>  | <b>1,050.37</b>  | <b>910.62</b>    | <b>3.93</b>           | <b>888.29</b>  | <b>439.47</b>    | <b>149.32</b>         | <b>162.08</b>  | <b>471.15</b>    | <b>(-) 145.39</b>     |
|         | <b>Total of Functional PSUs(I)</b>  | <b>1,58,149.05</b>   | <b>5,215.30</b>  | <b>1,21,944.36</b>    | <b>1,38,190.02</b>   | <b>3,707.85</b>  | <b>1,30,005.52</b>    | <b>19,959.03</b>   | <b>1,507.45</b>  | <b>(-) 8,061.16</b>   |
| II      | <b>Non-Functional PSUs</b>  |  |                  |                       |  |                  |                       |  |                  |                       |
| A       | <b>Government Companies</b>   |  |                  |                       |  |                  |                       |  |                  |                       |
| X       | <b>Power Sector PSUs</b>  |  |                  |                       |  |                  |                       |  |                  |                       |
|         | <b>Sub Total A(X)</b>   | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           | <b>0.00</b>  | <b>0.00</b>      | <b>0.00</b>           |
| Y       | <b>Other than Power Sector PSUs</b>   |  |                  |                       |  |                  |                       |  |                  |                       |
| 46      | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)          | 0.00   | 0.00             | 0.00                  | 81.38  | 0.00             | 0.00                  | (-) 81.38  | 0.00             | 0.00                  |
| 47      | Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)       | 0.00   | 0.00             | 0.00                  | 147.72   | 0.00             | 0.00                  | (-) 147.72   | 0.00             | 0.00                  |
| 48      | Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 0.00   | 0.00             | 0.00                  | 256.80   | 0.00             | 0.00                  | (-) 256.80   | 0.00             | 0.00                  |

| Sl. No. | Name of the PSUs   | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|---------|--|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|         |  | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 49      | The Indian Turpentine and Rosin Company Limited  | 0.22   | 8.47             | 0.00                  | 0.30   | 1.99             | 1.76                  | 6.48   | (-) 0.08         | (-) 1.76              |
| 50      | Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 1.78   | 36.77            | 0.00                  | 0.09   | 0.00             | 0.00                  | 36.77  | 1.69             | 0.00                  |
| 51      | Uttar Pradesh State Brassware Corporation Limited  | 5.38   | 5.91             | 0.00                  | 5.28   | 0.15             | 0.00                  | 5.76   | 0.10             | 0.00                  |
| 52      | Uttar Pradesh State Textile Corporation Limited  | 197.10   | 92.05            | 0.00                  | 160.79   | 206.30           | 0.00                  | (-) 114.25   | 36.31            | 0.00                  |
| 53      | Uttar Pradesh State Leather Development and Marketing Corporation Limited  | 5.74   | 3.42             | 0.00                  | 5.74   | 1.40             | 0.00                  | 2.02   | 0.00             | 0.00                  |
| 54      | U.P. State Yarn Company Limited  | 53.67  | 66.36            | 0.00                  | 31.91  | 13.21            | 0.00                  | 53.15  | 21.76            | 0.00                  |
| 55      | Uttar Pradesh Pashudhan Udyog Nigam Limited  | 2.73   | 7.55             | 0.00                  | 2.73   | 1.10             | 0.00                  | 6.45   | 0.00             | 0.00                  |
| 56      | Uttar Pradesh Poultry and Livestock Specialties Limited  | 2.88   | 0.00             | 0.00                  | 0.44   | 0.00             | 0.00                  | 0.00   | 2.44             | 0.00                  |
| 57      | Uttar Pradesh State Horticulture Produce Marketing & Processing Corporation Limited                              | 6.41   | 3.34             | 0.56                  | 6.41   | 0.00             | 0.56                  | 3.34   | 0.00             | 0.000                 |



| Sl. No. | Name of the PSUs  | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|---------|---|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|         |   | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 58      | Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited           | 0.51   | 0.00             | 0.00                  | 0.51   | 0.00             | 0.70                  | 0.00   | 0.00             | -0.70                 |
| 59      | Uttar Pradesh Panchayati Raj Virta Evam Vikas Nigam Limited           | 1.50   | 0.00             | 0.00                  | 0.78   | 0.00             | 0.00                  | 0.72   | 0.00             | 0.00                  |
| 60      | Agra Mandal Vikas Nigam Limited                                       | 1.00   | 0.18             | 0.00                  | 1.00   | 0.00             | 0.00                  | 0.00   | 0.18             | 0.00                  |
| 61      | Allahabad Mandal Vikas Nigam Limited                                  | 0.60   | 0.00             | 0.00                  | 0.67   | 0.00             | 0.00                  | (-) 0.07   | 0.00             | 0.00                  |
| 62      | Gorakhpur Mandal Vikas Nigam Limited                                  | 1.26   | 0.65             | 0.00                  | 0.93   | 0.00             | 0.00                  | 0.33   | 0.65             | 0.00                  |
| 63      | Moradabad Mandal Vikas Nigam Limited                                  | 0.25   | 0.65             | 0.00                  | 0.25   | 0.00             | 0.00                  | 0.00   | 0.65             | 0.00                  |
| 64      | Tarai Anusuchit Janjati Vikas Nigam Limited                           | 0.45   | 1.25             | 0.00                  | 0.45   | 0.00             | 0.00                  | 0.00   | 1.25             | 0.00                  |
| 65      | Uttar Pradesh Bundelkhand Vikas Nigam Limited                         | 1.23   | 0.05             | 0.00                  | 1.23   | 0.00             | 0.00                  | 0.00   | 0.05             | 0.00                  |
| 66      | Uttar Pradesh Chalchitra Nigam Limited                                | 8.18   | 7.98             | 0.00                  | 8.18   | 0.31             | 0.00                  | 0.00   | 7.67             | 0.00                  |
| 67      | Uttar Pradesh Poorvanchal Vikas Nigam Limited                         | 1.15   | 0.43             | 0.00                  | 1.30   | 0.00             | 0.00                  | (-) 0.15   | 0.43             | 0.00                  |
| 68      | Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited            | 0.31   | 1.70             | 0.00                  | 0.23   | 1.63             | 0.00                  | 0.08   | 0.07             | 0.00                  |
| 69      | Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited | 0.38   | 10.50            | 0.00                  | 0.38   | 0.00             | 0.00                  | 0.00   | 10.50            | 0.00                  |

| Sl. No.   | Name of the PSUs  | As per records of PSUs                                       |                  |                       | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       | Difference   |                  |                       |
|-----------|---|--|------------------|-----------------------|--|------------------|-----------------------|--|------------------|-----------------------|
|           |   | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| 70        | Uttar Pradesh Cement Corporation Limited  | 68.28  | 124.77           | 0.00                  | 68.28  | 37.45            | 0.00                  | 87.32  | 0.00             | 0.00                  |
| 71        | Auto Tractors Limited   | 5.63   | 0.38             | 0.00                  | 5.63   | 14.89            | 0.00                  | (-) 14.51  | 0.00             | 0.00                  |
| 72        | Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | 0.00   | 11.02            | 0.00                  | 6.59   | 0.00             | 0.00                  | 11.02  | (-) 6.59         | 0.00                  |
| 73        | Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)                                      | 0.00   | 0.00             | 0.00                  | 0.00   | 2.85             | 0.00                  | (-) 2.85   | 0.00             | 0.00                  |
|           | <b>Sub Total A(Y)</b>   | 366.64   | 383.43           | 0.56                  | 796.00   | 281.28           | 3.02                  | 102.15   | (-) 429.36       | (-) 2.46              |
|           | <b>Sub Total A</b>  | 366.64   | 383.43           | 0.56                  | 796.00   | 281.28           | 3.02                  | 102.15   | (-) 429.36       | (-) 2.46              |
| <b>B.</b> | <b>Government controlled other Companies</b>  |  |                  |                       |  |                  |                       |  |                  |                       |
| <b>X</b>  | <b>Power Sector PSUs</b>  |  |                  |                       |  |                  |                       |  |                  |                       |
|           | <b>Sub Total B (X)</b>  | 0.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  |
| <b>Y</b>  | <b>Other than Power Sector PSUs</b>   |  |                  |                       |  |                  |                       |  |                  |                       |
| 74        | Command Area Poultry Development Corporation Limited (139 (5) & (7) company)  | 0.24   | 0.00             | 0.00                  | 0.00   | 2.10             | 0.00                  | (-) 2.10   | 0.24             | 0.00                  |
|           | <b>Sub Total B(Y)</b>   | 0.24   | 0.00             | 0.00                  | 0.00   | 2.10             | 0.00                  | (-) 2.10   | 0.24             | 0.00                  |
|           | <b>Sub Total B</b>  | 0.24   | 0.00             | 0.00                  | 0.00   | 2.10             | 0.00                  | (-) 2.10   | 0.24             | 0.00                  |
| <b>C.</b> | <b>Statutory Corporations</b>   |  |                  |                       |  |                  |                       |  |                  |                       |
| <b>X</b>  | <b>Power Sector PSUs</b>  |  |                  |                       |  |                  |                       |  |                  |                       |
|           | <b>Sub Total C (X)</b>  | 0.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  | 0.00   | 0.00             | 0.00                  |

| Sl. No.  | Name of the PSUs                    | As per records of PSUs                                       |                  |                       |             | As per Finance Accounts of Government of Uttar Pradesh       |                  |                       |           | Difference   |                  |                       |
|----------|-------------------------------------|--|------------------|-----------------------|-------------|--|------------------|-----------------------|-----------|--|------------------|-----------------------|
|          |                                     | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |             | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |           | Equity (including share application money pending allotment) | Loan Outstanding | Guarantee Outstanding |
| <b>Y</b> |                                     | <b>Other than Power Sector PSUs</b>                          |                  |                       |             |  |                  |                       |           |  |                  |                       |
|          | Sub Total C (Y)                     | 0.00   | 0.00             | 0.00                  | 0.00        | 0.00   | 0.00             | 0.00                  | 0.00      | 0.00   | 0.00             | 0.00                  |
|          | Sub Total C                         | 0.00   | 0.00             | 0.00                  | 0.00        | 0.00   | 0.00             | 0.00                  | 0.00      | 0.00   | 0.00             | 0.00                  |
|          | Total of Non-Functional PSUs(II)    | 366.88   | 383.43           | 0.56                  | 796.00      | 283.38   | 3.02             | (-) 429.12            | 100.05    | (-) 2.46   |                  |                       |
|          | Total of Power Sector               | 1,47,605.28  | 433.92           | 1,21,555.08           | 1,32,877.22 | 516.82   | 1,29,374.07      | 14,728.06             | (-) 82.90 | (-) 7,818.99   |                  |                       |
|          | Total of Other than Power Sector    | 10,910.65  | 5,164.81         | 389.84                | 6,108.80    | 3,474.41   | 634.47           | 4,801.85              | 1,690.40  | (-) 244.63   |                  |                       |
|          | Grand Total (Total-I + Total(-) II) | 1,58,515.93  | 5,598.73         | 1,21,944.92           | 1,38,986.02 | 3,991.23   | 1,30,008.54      | 19,529.91             | 1,607.50  | (-) 8,063.62   |                  |                       |

## Appendix 5.4

(Referred to in paragraph 5.3.2, 5.3.2.1 and 5.3.2.2)

## Details of pendency in finalisation of Accounts by various State Public Sector Undertakings

| Sl. No.    | Name of PSUs   | Latest finalised accounts  | Year(s) for which accounts had not been received | Total No. of pending annual accounts |
|------------|--|----------------------------|--|--------------------------------------|
| <b>I</b>   | <b>Functional PSUs</b>   |                            |  |                                      |
| <b>(a)</b> | <b>Government Companies</b>  |                            |  |                                      |
| 1.         | Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited   | 2020-21                    | 2021-22  | 1                                    |
| 2.         | Jawaharpur Vidyut Utpadan Nigam Limited  | 2020-21                    | 2021-22  | 1                                    |
| 3.         | Uttar Pradesh Jal Vidyut Nigam Limited   | 2020-21                    | 2021-22  | 1                                    |
| 4.         | Uttar Pradesh Power Transmission Corporation Limited   | 2021-22                    | 0  | 0                                    |
| 5.         | U.P. Power Corporation Limited   | 2021-22                    | 0  | 0                                    |
| 6.         | Purvanchal Vidyut Vitran Nigam Limited   | 2021-22                    | 0  | 0                                    |
| 7.         | Paschimanchal Vidyut Vitran Nigam Limited  | 2021-22                    | 0  | 0                                    |
| 8.         | Dakshinanchal Vidyut Vitran Nigam Limited  | 2021-22                    | 0  | 0                                    |
| 9.         | Madhyanchal Vidyut Vitran Nigam Limited  | 2021-22                    | 0  | 0                                    |
| 10.        | Kanpur Electricity Supply Company Limited  | 2021-22                    | 0  | 0                                    |
| 11.        | UCM Coal Company Limited   | 2020-21                    | 2021-22  | 1                                    |
| 12.        | UPSIDC Power Company Ltd.  | 2013-14                    | 2014-15 to 2021-22                               | 8                                    |
| 13.        | Uttar Pradesh Alpsankhyak Vittyva Avam Vikas Nigam Limited   | 2005-06                    | 2006-07 to 2021-22                               | 16                                   |
| 14.        | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited   | 2016-17                    | 2017-18 to 2021-22                               | 5                                    |
| 15.        | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited   | 2016-17                    | 2017-18 to 2021-22                               | 5                                    |
| 16.        | Uttar Pradesh Food and Essential Commodities Corporation Limited   | 2010-11                    | 2011-12 to 2021-22                               | 11                                   |
| 17.        | Uttar Pradesh Bhumi Sudhar Nigam   | 2017-18                    | 2018-19 to 2021-22                               | 4                                    |
| 18.        | Uttar Pradesh Mahila Kalyan Nigam Limited  | 2015-16                    | 2016-17 to 2021-22                               | 6                                    |
| 19.        | Uttar Pradesh Waqf Vikas Nigam Limited   | 2003-04                    | 2004-05 to 2021-22                               | 18                                   |
| 20.        | Uttar Pradesh State Agro Industrial Corporation Limited  | 2010-11                    | 2011-12 to 2021-22                               | 11                                   |
| 21.        | Uttar Pradesh Rajkiya Nirman Nigam Limited   | 2014-15                    | 2015-16 to 2021-22                               | 7                                    |
| 22.        | Uttar Pradesh State Bridge Corporation Limited   | 2017-18                    | 2018-19 to 2021-22                               | 4                                    |
| 23.        | Uttar Pradesh Small Industries Corporation Limited   | 2011-12                    | 2012-13 to 2021-22                               | 10                                   |
| 24.        | Uttar Pradesh Beej Vikas Nigam Limited   | 2018-19                    | 2019-20 to 2021-22                               | 3                                    |
| 25.        | Uttar Pradesh Matsya Vikas Nigam Limited   | 2016-17                    | 2017-18 to 2021-22                               | 5                                    |
| 26.        | Uttar Pradesh Drugs and Pharmaceuticals Limited  | 2009-10                    | 2010-11 to 2021-22                               | 12                                   |
| 27.        | Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 2016-17                    | 2017-18 to 2021-22                               | 5                                    |
| 28.        | Uttar Pradesh State Sugar Corporation Limited  | 2016-17                    | 2017-18 to 2021-22                               | 5                                    |
| 29.        | U. P. Handicraft & Marketing Development Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited)       | 2008-09                    | 2009-10 to 2021-22                               | 13                                   |
| 30.        | Uttar Pradesh State Tourism Development Corporation Limited  | 2017-18                    | 2018-19 to 2021-22                               | 4                                    |
| 31.        | Lucknow City Transport Services Limited  | First account not received | 2009-10 to 2021-22                               | 13                                   |

| Sl. No.    | Name of PSUs   | Latest finalised accounts  | Year(s) for which accounts had not been received | Total No. of pending annual accounts |
|------------|--|----------------------------|--|--------------------------------------|
| 32.        | Meerut City Transport Services Limited   | 2013-14                    | 2014-15 to 2021-22                               | 8                                    |
| 33.        | Agra Mathura City Transport Services Limited   | First account not received | 2010-11 to 2021-22                               | 12                                   |
| 34.        | Kanpur City Transport Services Limited   | First account not received | 2010-11 to 2021-22                               | 12                                   |
| 35.        | Varanasi City Transport Services Limited   | First account not received | 2010-11 to 2021-22                               | 12                                   |
| 36.        | Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited   | First account not received | 2018-19 to 2021-22                               | 4                                    |
| 37.        | Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited   | 2017-18                    | 2018-19 to 2021-22                               | 4                                    |
| 38.        | U. P. State Spinning Company Limited   | 2018-19                    | 2019-20 to 2021-22                               | 3                                    |
| 39.        | Uttar Pradesh State Handloom Corporation Limited   | 2000-01                    | 2001-02 to 2021-22                               | 21                                   |
| 40.        | Uttar Pradesh Development Systems Corporation Limited  | 2018-19                    | 2019-20 to 2021-22                               | 3                                    |
| 41.        | U.P. Projects Corporation Limited  | 2018-19                    | 2019-20 to 2021-22                               | 3                                    |
| 42.        | Uttar Pradesh Metro Rail Corporation Limited (Erstwhile Lucknow Metro Rail Corporation Limited)  | 2021-22                    | 0  | 0                                    |
| 43.        | Noida Metro Rail Corporation Limited   | 2021-22                    | 0  | 0                                    |
| 44.        | The Pradeshiya Industrial and Investment Corporation of UP Limited   | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
| 45.        | Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)  | 2020-21                    | 2021-22  | 1                                    |
| 46.        | Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)   | 2020-21                    | 2021-22  | 1                                    |
| 47.        | U. P. Electronics Corporations Limited   | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
| 48.        | U.P. Purva Sainik Kalyan Nigam Limited   | 2020-21                    | 2021-22  | 1                                    |
| 49.        | Uttar Pradesh Police Avas Nigam Limited  | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
| 50.        | Uttar Pradesh Export Promotion Council   | 2021-22                    | 0  | 0                                    |
| 51.        | Uttar Pradesh Medical Supply Corporation Limited   | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
| 52.        | Prayagraj City Transport Services Limited  | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
| 53.        | Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formely known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited) | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
|            | <b>Total I (a)</b>   |                            |  | 266                                  |
| <b>(b)</b> | <b>Government controlled other Companies</b>   |                            |  |                                      |
| 1.         | Agra Smart City Limited  | 2021-22                    | 0  | 0                                    |
| 2.         | Aligarh Smart City Limited   | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
| 3.         | Bareilly Smart City Limited  | 2020-21                    | 2021-22  | 1                                    |
| 4.         | Prayagraj Smart City Limited   | 2020-21                    | 2021-22  | 1                                    |
| 5.         | Varanasi Smart City Limited  | 2020-21                    | 2021-22  | 1                                    |
| 6.         | Jhansi Smart City Limited  | 2019-20                    | 2020-21 to 2021-22                               | 2                                    |
| 7.         | Moradabad Smart City Limited   | 2020-21                    | 2021-22  | 1                                    |
| 8.         | Saharanpur Smart City Limited  | 2020-21                    | 2021-22  | 1                                    |
| 9          | Kanpur Smart City Limited  | 2017-18                    | 2018-19 to 2021-22                               | 4                                    |
| 10         | Lucknow Smart City Limited   | 2018-19                    | 2019-20 to 2021-22                               | 3                                    |

| Sl. No.    | Name of PSUs   | Latest finalised accounts | Year(s) for which accounts had not been received | Total No. of pending annual accounts |
|------------|--|---------------------------|--|--------------------------------------|
| 11         | Almora Magnesite Limited (139 (5) & (7) Company)   | 2020-21                   | 2021-22  | 1                                    |
| 12.        | Noida International Airport Limited  | 2019-20                   | 2020-21 to 2021-22                               | 2                                    |
| 13.        | DMIC Integrated Industrial Township Greater Noida Limited  | 2020-21                   | 2021-22  | 1                                    |
|            | <b>Total I (b)</b>   |                           |  | <b>20</b>                            |
| <b>(c)</b> | <b>Statutory Corporation</b>   |                           |  |                                      |
| 1.         | Uttar Pradesh State Road Transport Corporation   | 2019-20                   | 2020-21 to 2021-22                               | 2                                    |
| 2.         | Uttar Pradesh Forest Corporation   | 2020-21                   | 2021-22  | 1                                    |
| 3.         | Uttar Pradesh Avas Evam Vikas Parishad   | 2020-21                   | 2021-22  | 1                                    |
| 4.         | Uttar Pradesh Financial Corporation  | 2012-13                   | 2013-14 to 2021-22                               | 9                                    |
| 5.         | Uttar Pradesh State Warehousing Corporation  | 2017-18                   | 2018-19 to 2021-22                               | 4                                    |
| 6.         | Uttar Pradesh Jal Nigam  | 2016-17                   | 2017-18 to 2021-22                               | 5                                    |
|            | <b>Total I (c)</b>   |                           |  | <b>22</b>                            |
|            | <b>Total of Functional PSUs (Total I)</b>  |                           |  | <b>308</b>                           |
| <b>II</b>  | <b>Non-Functional PSUs</b>   |                           |  |                                      |
| <b>(a)</b> | <b>Government Companies</b>  |                           |  |                                      |
| 1.         | Southern UP Power Transmission Company Ltd.  | 2020-21                   | 2021-22  | 1                                    |
| 2.         | Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)                 | 1997-98                   | 1998-99 to 2021-22                               | 24                                   |
| 3.         | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)                       | 2017-18                   | 2018-19 to 2021-22                               | 4                                    |
| 4.         | Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)                    | 2017-18                   | 2018-19 to 2021-22                               | 4                                    |
| 5.         | Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)              | 2017-18                   | 2018-19 to 2021-22                               | 4                                    |
| 6.         | The Indian Turpentine and Rosin Company Limited  | 2010-11                   | 2011-12 to 2021-22                               | 11                                   |
| 7.         | Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 2001-02                   | 2002-03 to 2021-22                               | 20                                   |
| 8.         | Uttar Pradesh State Brassware Corporation Limited  | 1997-98                   | 1998-99 to 2021-22                               | 24                                   |
| 9.         | Uttar Pradesh State Textile Corporation Limited  | 2019-20                   | 2020-21 to 2021-22                               | 2                                    |
| 10.        | Uttar Pradesh State Leather Development and Marketing Corporation Limited  | 2000-01                   | 2001-02 to 2021-22                               | 21                                   |
| 11.        | U. P. State Yarn Company Limited   | 2020-21                   | 2021-22  | 1                                    |
| 12.        | Uttar Pradesh Pashudhan Udyog Nigam Limited  | 2015-16                   | 2016-17 to 2021-22                               | 6                                    |
| 13.        | Uttar Pradesh Poultry and Livestock Specialties Limited  | 2013-14                   | 2014-15 to 2021-22                               | 8                                    |
| 14.        | Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited                             | 1984-85                   | 1986-87 to 2021-22                               | 37                                   |
| 15.        | Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited  | 2013-14                   | 2014-15 to 2021-22                               | 8                                    |
| 16.        | Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited  | 1995-96                   | 1996-97 to 2021-22                               | 26                                   |
| 17.        | Agra Mandal Vikas Nigam Limited  | 1988-89                   | 1989-90 to 2021-22                               | 33                                   |
| 18.        | Allahabad Mandal Vikas Nigam Limited   | 1983-84                   | 1984-85 to 2021-22                               | 38                                   |
| 19.        | Bareilly Mandal Vikas Nigam Limited  | 1988-89                   | 1989-90 to 2021-22                               | 33                                   |
| 20.        | Gorakhpur Mandal Vikas Nigam Limited   | 1988-89                   | 1989-90 to 2021-22                               | 33                                   |
| 21.        | Lucknow Mandaliya Vikas Nigam Limited  | 1981-82                   | 1982-83 to 2021-22                               | 40                                   |
| 22.        | Meerut Mandal Vikas Nigam Limited  | 2008-09                   | 2009-10 to 2021-22                               | 13                                   |

| Sl. No.    | Name of PSUs   | Latest finalised accounts | Year(s) for which accounts had not been received | Total No. of pending annual accounts |
|------------|--|---------------------------|--|--------------------------------------|
| 23.        | Moradabad Mandal Vikas Nigam Limited   | 1991-92                   | 1992-93 to 2021-22                               | 30                                   |
| 24.        | Tarai Anusuchit Janjati Vikas Nigam Limited  | 1982-83                   | 1983-84 to 2021-22                               | 39                                   |
| 25.        | Uttar Pradesh Bundelkhand Vikas Nigam Limited  | 2010-11                   | 2011-12 to 2021-22                               | 11                                   |
| 26.        | Uttar Pradesh Chalchitra Nigam Limited   | 2009-10                   | 2010-11 to 2021-22                               | 12                                   |
| 27.        | Uttar Pradesh Poorvanchal Vikas Nigam Limited  | 1987-88                   | 1988-89 to 2021-22                               | 34                                   |
| 28.        | Varanasi Mandal Vikas Nigam Limited  | 1987-88                   | 1988-89 to 2021-22                               | 34                                   |
| 29.        | Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited   | 2002-03                   | Nil  | 0                                    |
| 30.        | Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited  | 2006-07                   | Nil  | 0                                    |
| 31.        | Uttar Pradesh Cement Corporation Limited   | 1995-96                   | 1996-97 to 1998-99                               | 3                                    |
| 32.        | Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)                      | 1987-88                   | 1988-89 to 2002-03                               | 15                                   |
| 33.        | Auto Tractors Limited  | 1991-92                   | 1992-93 to 2002-03                               | 11                                   |
| 34.        | Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)  | 1994-95                   | 1995-96  | 1                                    |
| 35.        | Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)                    | 1997-98                   | 1998-99 to 2001-02                               | 4                                    |
| 36.        | Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)   | UL from 10 June 1996      | 1978-79 to 1996-97                               | 19                                   |
| 37.        | Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)                       | 1975-76                   | 1976-77 to 1986-87                               | 11                                   |
| 38.        | Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)        | 1992-93                   | 1993-94  | 1                                    |
| 39.        | Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | 1974-75                   | 1975-76 to 2003-04                               | 29                                   |
| 40.        | Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)   | 1995-96                   | 1996-97 to 2013-14                               | 18                                   |
|            | <b>Total II (a)</b>  |                           |  | <b>663</b>                           |
| <b>(b)</b> | <b>Government controlled other Companies</b>   |                           |  |                                      |
| 41.        | Command Area Poultry Development Corporation Limited (139 (5) & (7) company)   | 1994-95                   | 1995-96 to 2021-22                               | 27                                   |
| 42.        | Electronics and Computers (India) Limited (139 (5) & (7) Company)  | 1973-74                   | 1974-75 to 1981-82                               | 8                                    |
|            | <b>Total II (b)</b>  |                           |  | <b>35</b>                            |
| <b>(c)</b> | <b>Statutory Corporation</b>   |                           |  |                                      |
|            | <b>Total I (c)</b>   |                           |  | <b>-</b>                             |
|            | <b>Total of Non-functional PSUs (Total II)</b>   |                           |  | <b>698</b>                           |
|            | <b>Grand Total (Total I + Total II)</b>  |                           |  | <b>1006</b>                          |

Appendix 5.5

(Referred to in Paragraph 5.3.2.4)

Statement showing position of State Government investment in State Public Sector Undertakings during the period for which their accounts were in arrears (₹ in crore)

| Sl No.   | Name of PSU   | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |       |       |         |               |
|----------|---|----------------|--------------------------------------|--|---|---|-------|-------|---------|---------------|
|          |   |                |                                      |  |   | Equity  | Loans | Grant | Subsidy | Total         |
| 1        | 2   | 3              | 4                                    | 5  | 6   | 7   | 8     | 9     | 10      | 11 (7+8+9+10) |
| <b>I</b> | <b>Functional PSUs</b>                                |                |                                      |  |   |   |       |       |         |               |
| <b>A</b> | <b>Government companies</b>                           |                |                                      |  |   |   |       |       |         |               |
| <b>X</b> | <b>Power Sector PSUs</b>                              |                |                                      |  |   |   |       |       |         |               |
| <b>1</b> | Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited      | 1              | 2020-21                              | 2021-22                                  | 16,545.77   | 2,035.05  | 0.00  | 0.00  | 0.00    | 2,035.05      |
|          | <b>Sub Total -IA(X)</b>                               | <b>1</b>       |                                      |  | 16,545.77   | 2,035.05  | 0.00  | 0.00  | 0.00    | 2,035.05      |
| <b>Y</b> | <b>Other than Power Sector PSUs</b>                   |                |                                      |  |   |   |       |       |         |               |
| <b>2</b> | Uttar Pradesh Medical Supply Corporation Limited      | 1              | 2019-20                              | 2020-21 to 2021-22                       | 10.16   | 0.83  | 0.00  | 0.00  | 0.00    | 0.83          |
| <b>3</b> | U. P. Electronics Corporation Limited.                | 1              | 2019-20                              | 2020-21 to 2021-22                       | 91.54   | 0.00  | 0.00  | 90.87 | 0.00    | 90.87         |
| <b>4</b> | Uttar Pradesh Development Systems Corporation Limited | 1              | 2018-19                              | 2019-20 to 2021-22                       | 1.00  | 0.00  | 0.00  | 1.00  | 0.00    | 1.00          |
| <b>5</b> | U.P. State Spinning Company Limited                   | 1              | 2018-19                              | 2019-20 to 2021-22                       | 93.24   | 0.00  | 8.43  | 0.00  | 0.00    | 8.43          |



| Sl No.   | Name of PSU  | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |          |          |           |               |
|----------|--|----------------|--------------------------------------|--|---|---|----------|----------|-----------|---------------|
|          |  |                |                                      |  |   | Equity  | Loans    | Grant    | Subsidy   | Total         |
|          |  |                |                                      |  |   | 7   | 8        | 9        | 10        | 11 (7+8+9+10) |
| <b>1</b> | <b>2</b>   | <b>3</b>       | <b>4</b>                             | <b>5</b>                                 | <b>6</b>  | <b>7</b>  | <b>8</b> | <b>9</b> | <b>10</b> | <b>11</b>     |
| 6        | Lucknow City Transport Services Limited (Incorporated w.e.f. 1 February 2010)  | 1              | Nil                                  | 2009-10 to 2021-22                       | 0.00  | 17.84   | 0.00     | 182.67   | 0.00      | 200.51        |
| 7        | Meerut City Transport Services Limited   | 1              | 2013-14                              | 2014-15 to 2021-22                       | 4.04  | 0.00  | 0.00     | 29.88    | 0.00      | 29.88         |
| 8        | Prayagraj City Transport Services Limited                                      | 1              | 2019-20                              | 2020-21 to 2021-22                       | 4.91  | 0.00  | 0.00     | 31.14    | 0.00      | 31.14         |
| 9        | Agra Mathura City Transport Services Limited (Incorporated w.e.f. 8 July 2010) | 1              | Nil                                  | 2010-11 to 2021-22 <sup>12</sup>         | 0.00  | 0.00  | 0.00     | 0.00     | 7.25      | 7.25          |
| 10       | Kanpur City Transport Services Limited (Incorporated w.e.f. 28 April 2010)     | 1              | Nil                                  | 2010-11 to 2021-22                       | 0.00  | 0.05  | 0.00     | 67.03    | 60.89     | 127.97        |
| 11       | Varanasi City Transport Services Limited (Incorporated w.e.f. 15 June 2010)    | 1              | Nil                                  | 2010-11 to 2021-22                       | 0.00  | 0.05  | 0.00     | 35.85    | 0.00      | 35.90         |

<sup>12</sup> Information for the investment of State Government for the year 2021-22 has not been furnished to audit.

| Sl No. | Name of PSU   | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |       |       |         |               |
|--------|---|----------------|--------------------------------------|--|---|---|-------|-------|---------|---------------|
|        |   |                |                                      |  |   | Equity  | Loans | Grant | Subsidy | Total         |
|        |   |                |                                      |  |   | 7   | 8     | 9     | 10      | 11 (7+8+9+10) |
| 1      | 2   | 3              | 4                                    | 5  | 6   | 7   | 8     | 9     | 10      | 11            |
| 12     | Uttar Pradesh Alpsankhyak Vitya Avam Vikas Nigam Limited                  | 1              | 2005-06                              | 2006-07 to 2021-22                       | 30.00   | 0.00  | 0.00  | 64.22 | 0.00    | 64.22         |
| 13     | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited                | 1              | 2016-17                              | 2017-18 to 2021-22                       | 12.23   | 0.00  | 57.43 | 0.00  | 0.00    | 57.43         |
| 14     | Uttar Pradesh Scheduled Caste Finance and Development Corporation Limited | 1              | 2016-17                              | 2017-18 to 2021-22                       | 251.95  | 9.95  | 2.21  | 0.00  | 345.37  | 357.53        |
| 15     | Uttar Pradesh Food & Essential Corporation Limited                        | 1              | 2010-11                              | 2011-12 to 2021-22                       | 5.50  | 6.83  | 0.00  | 0.00  | 0.00    | 6.83          |
| 16     | Uttar Pradesh Mahila Kalyan Nigam Limited                                 | 1              | 2015-16                              | 2016-17 to 2021-22 <sup>13</sup>         | 5.19  | 0.00  | 0.00  | 12.83 | 0.00    | 12.83         |
| 17     | Uttar Pradesh Waqf Vikas Nigam Limited                                    | 1              | 2003-04                              | 2004-05 to 2021-22                       | 5.25  | 4.75  | 0.00  | 0.00  | 0.00    | 4.75          |
| 18     | Uttar Pradesh State Agro Industrial Corporation Limited                   | 1              | 2010-11                              | 2011-12 to 2021-22                       | 46.30   | 10.41   | 0.00  | 0.00  | 0.00    | 10.41         |

<sup>13</sup> Information for the investment of State Government for the year 2021-22 has not been furnished to audit.

| Sl No.   | Name of PSU  | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |                 |               |               |                 |             |
|----------|--|----------------|--------------------------------------|--|---|---|-----------------|---------------|---------------|-----------------|-------------|
|          |  |                |                                      |  |   | Equity  | Loans           | Grant         | Subsidy       | Total           |             |
|          |  |                |                                      |  |   | 7   | 8               | 9             | 10            | 11 (7+8+9+10)   |             |
| <b>1</b> | <b>2</b>   | <b>3</b>       | <b>4</b>                             | <b>5</b>                                 | <b>6</b>  | <b>7</b>  | <b>8</b>        | <b>9</b>      | <b>10</b>     | <b>11</b>       |             |
| 19       | Uttar Pradesh State Sugar Corporation Limited                | 1              | 2016-17                              | 2017-18 to 2021-22 <sup>14</sup>         | 1,648.31  | 0.00  | 899.00          | 0.00          | 0.00          | 899.00          |             |
| 20       | U.P. Handicrafts & Marketing Development Corporation Limited | 1              | 2008-09                              | 2009-10 to 2021-22 <sup>15</sup>         | 7.24  | 0.00  | 5.00            | 2.68          | 0.00          | 7.68            |             |
| 21       | Uttar Pradesh Beej Vikas Nigam Limited                       | 1              | 2018-19                              | 2019-20 to 2021-22                       | 6.92  | 0.00  | 0.00            | 17.27         | 0.00          | 17.27           |             |
| 22       | Uttar Pradesh Bhumii Sudhar Nigam                            | 1              | 2017-18                              | 2018-19 to 2021-22                       | 1.50  | 0.00  | 0.00            | 150.00        | 0.00          | 150.00          |             |
| 23       | Uttar Pradesh Matsya Vikas Nigam Limited                     | 1              | 2016-17                              | 2017-18 to 2021-22                       | 1.07  | 0.00  | 0.00            | 52.78         | 0.00          | 52.78           |             |
| 24       | Uttar Pradesh State Handloom Corporation Limited             | 1              | 2000-01                              | 2001-02 to 2021-22 <sup>16</sup>         | 47.07   | 0.00  | 109.51          | 0.00          | 0.00          | 109.51          |             |
|          | <b>Sub Total -IA(Y)</b>                                      | <b>23</b>      |                                      |  | <b>2,273.42</b>   | <b>50.71</b>  | <b>1,081.58</b> | <b>738.22</b> | <b>413.51</b> | <b>2,284.02</b> |             |
|          | <b>Total of IA=IA(X)+IA(Y)</b>                               | <b>24</b>      |                                      |  | <b>18,819.19</b>  | <b>2,085.76</b>   | <b>1,081.58</b> | <b>738.22</b> | <b>413.51</b> | <b>4,319.07</b> |             |
| <b>B</b> | <b>Government controlled other Companies</b>                 |                |                                      |  |   |   |                 |               |               |                 |             |
| <b>X</b> | <b>Power Sector PSUs</b>                                     |                |                                      |  |   |   |                 |               |               |                 |             |
|          | <b>Sub Total -IB(X)</b>                                      | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b>     | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b>     | <b>0.00</b> |

<sup>14</sup> Information for the investment of State Government for the year 2021-22 has not been furnished to audit.

<sup>15</sup> Information for the investment of State Government for the year 2021-22 has not been furnished to audit.

<sup>16</sup> Information for the investment of State Government for the year 2021-22 has not been furnished to audit.

| Sl No.    | Name of PSU                                | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |             |               |             |                 |
|-----------|--|----------------|--------------------------------------|--|---|---|-------------|---------------|-------------|-----------------|
|           |  |                |                                      |  |   | Equity  | Loans       | Grant         | Subsidy     | Total           |
| 1         | 2  | 3              | 4                                    | 5  | 6   | 7   | 8           | 9             | 10          | 11 (7+8+9+10)   |
| <b>Y</b>  | <b>Other than Power Sector PSUs</b>        |                |                                      |  |   |   |             |               |             |                 |
| <b>25</b> | Aligarh Smart City Limited                 | 1              | 2019-20                              | 2020-21 to 2021-22                       | 2.00  | 0.00  | 0.00        | 176.50        | 0.00        | 176.50          |
| <b>26</b> | Lucknow Smart City Limited                 | 1              | 2018-19                              | 2019-20 to 2021-22                       | 382.00  | 0.00  | 0.00        | 98.00         | 0.00        | 98.00           |
| <b>27</b> | Prayagraj Smart City Limited               | 1              | 2020-21                              | 2021-22                                  | 0.50  | 0.00  | 0.00        | 97.00         | 0.00        | 97.00           |
| <b>28</b> | Varanasi Smart City Limited                | 1              | 2020-21                              | 2021-22                                  | 200.00  | 0.00  | 0.00        | 97.50         | 0.00        | 97.50           |
| <b>29</b> | Noida International Airport Limited (NIAL) | 1              | 2019-20                              | 2020-21 to 2021-22                       | 0.01  | 1,273.35  | 0.00        | 0.00          | 0.00        | 1,273.35        |
| <b>30</b> | Bareilly Smart City Limited                | 1              | 2020-21                              | 2021-22                                  | 0.50  | 0.00  | 0.00        | 136.00        | 0.00        | 136.00          |
| <b>31</b> | Kanpur Smart City Limited                  | 1              | 2017-18                              | 2018-19 to 2021-22                       | 558.00  | 46.50   | 0.00        | 2.00          | 0.00        | 48.50           |
| <b>32</b> | Jhansi Smart City Limited                  | 1              | 2019-20                              | 2020-21 to 2021-22                       | 0.50  | 0.50  | 0.00        | 159.50        | 0.00        | 160.00          |
|           | <b>Sub Total -IB(Y)</b>                    | <b>8</b>       |                                      |  | <b>1,143.51</b>   | <b>1,320.35</b>   | <b>0.00</b> | <b>766.50</b> | <b>0.00</b> | <b>2,086.85</b> |
|           | <b>Total of IB=IB(X)+IB(Y)</b>             | <b>8</b>       |                                      |  | <b>1,143.51</b>   | <b>1,320.35</b>   | <b>0.00</b> | <b>766.50</b> | <b>0.00</b> | <b>2,086.85</b> |
| <b>C</b>  | <b>Statutory Corporations</b>              |                |                                      |  |   |   |             |               |             |                 |
| <b>X</b>  | <b>Power Sector PSUs</b>                   |                |                                      |  |   |   |             |               |             |                 |
|           | <b>Sub Total -IC(X)</b>                    | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b>     |

| Sl No.    | Name of PSU                                     | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |                 |                 |               |                 |
|-----------|---|----------------|--------------------------------------|--|---|---|-----------------|-----------------|---------------|-----------------|
|           |   |                |                                      |  |   | Equity  | Loans           | Grant           | Subsidy       | Total           |
| 1         | 2   | 3              | 4                                    | 5  | 6   | 7   | 8               | 9               | 10            | 11 (7+8+9+10)   |
| <b>Y</b>  | <b>Other than Power Sector PSUs</b>             |                |                                      |  |   |   |                 |                 |               |                 |
| <b>33</b> | Uttar Pradesh State Road Transport Corporation  | 1              | 2019-20                              | 2020-21 to 2021-22                       | 963.62  | 29.05   | 0.00            | 0.00            | 0.00          | 29.05           |
| <b>34</b> | Uttar Pradesh Jal Nigam                         | 1              | 2016-17                              | 2017-18 to 2021-22                       | 0.00  | 0.00  | 0.00            | 2,037.75        | 0.00          | 2,037.75        |
| <b>35</b> | Uttar Pradesh Financial Corporation             | 1              | 2012-13                              | 2013-14 to 2021-22                       | 179.28  | 0.00  | 102.60          | 0.00            | 0.00          | 102.60          |
|           | <b>Sub Total -IC(Y)</b>                         | <b>3</b>       |                                      |  | 1,142.90  | 29.05   | 102.60          | 2,037.75        | 0.00          | 2,169.40        |
|           | <b>Total of IC=IC(X)+IC(Y)</b>                  | <b>3</b>       |                                      |  | 1,142.90  | 29.05   | 102.60          | 2,037.75        | 0.00          | 2,169.40        |
|           | <b>Total of Functional PSUs (Total-I)</b>       | <b>35</b>      |                                      |  | <b>21,105.60</b>  | <b>3,435.16</b>   | <b>1,184.18</b> | <b>3,542.47</b> | <b>413.51</b> | <b>8,575.32</b> |
| <b>II</b> | <b>Non-Functional PSUs</b>                      |                |                                      |  |   |   |                 |                 |               |                 |
| <b>A</b>  | <b>Government companies</b>                     |                |                                      |  |   |   |                 |                 |               |                 |
| <b>X</b>  | <b>Power Sector PSUs</b>                        |                |                                      |  |   |   |                 |                 |               |                 |
|           | <b>Sub Total -IIA(X)</b>                        | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>   | <b>0.00</b>     |
| <b>Y</b>  | <b>Other than Power Sector PSUs</b>             |                |                                      |  |   |   |                 |                 |               |                 |
| <b>36</b> | Uttar Pradesh State Textile Corporation Limited | 1              | 2019-20                              | 2020-21 & 2021-22                        | 197.10  | 0.00  | 1.19            | 0.00            | 0.00          | 1.19            |

| Sl No.   | Name of PSU                                     | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |             |             |             |               |
|----------|---|----------------|--------------------------------------|--|---|---|-------------|-------------|-------------|---------------|
|          |   |                |                                      |  |   | Equity  | Loans       | Grant       | Subsidy     | Total         |
| 1        | 2   | 3              | 4                                    | 5  | 6   | 7   | 8           | 9           | 10          | 11 (7+8+9+10) |
| 37       | The Indian Turpentine and Rosin Company Limited | 1              | 2010-11                              | 2011-12 to 2021-22 <sup>17</sup>         | 0.22  | 0.00  | 0.34        | 0.00        | 0.00        | 0.34          |
| 38       | U. P. State Yarn Company Limited                | 1              | 2020-21                              | 2021-22                                  | 53.67   | 31.91   | 1.76        | 0.00        | 0.00        | 33.67         |
|          | <b>Sub Total -IIA(Y)</b>                        | <b>3</b>       |                                      |  | <b>250.99</b>   | <b>31.91</b>  | <b>3.29</b> | <b>0.00</b> | <b>0.00</b> | <b>35.20</b>  |
|          | <b>Total of IIA=IIA(X)+IIA(Y)</b>               | <b>3</b>       |                                      |  | <b>250.99</b>   | <b>31.91</b>  | <b>3.29</b> | <b>0.00</b> | <b>0.00</b> | <b>35.20</b>  |
| <b>B</b> | <b>Government controlled other Companies</b>    |                |                                      |  |   |   |             |             |             |               |
| <b>X</b> | <b>Power Sector PSUs</b>                        |                |                                      |  |   |   |             |             |             |               |
|          | <b>Sub Total -IIB(X)</b>                        | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>   |
| <b>Y</b> | <b>Other than Power Sector PSUs</b>             |                |                                      |  |   |   |             |             |             |               |
|          | <b>Sub Total -IIB(Y)</b>                        | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>   |
|          | <b>Total of IIB=IIB(X)+IIB(Y)</b>               | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>   |
| <b>C</b> | <b>Statutory Corporations</b>                   |                |                                      |  |   |   |             |             |             |               |
| <b>X</b> | <b>Power Sector PSUs</b>                        |                |                                      |  |   |   |             |             |             |               |
|          | <b>Sub Total -IIC(X)</b>                        | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>   |
| <b>Y</b> | <b>Other than Power Sector PSUs</b>             |                |                                      |  |   |   |             |             |             |               |
|          | <b>Sub Total -IIC(Y)</b>                        | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>   |
|          | <b>Total of IIC=IIC(X)+IIC(Y)</b>               | <b>0</b>       |                                      |  | <b>0.00</b>   | <b>0.00</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>   |

<sup>17</sup> Information for the investment of State Government for the year 2021-22 has not been furnished to audit.

| Sl No. | Name of PSU                                    | Number of PSUs | Period upto which Accounts finalised | Period for which accounts are in arrears | Paid up Capital including share application money as per finalised accounts | Investment made by State Government during the period for which accounts were in arrear |                 |                 |               |                 |
|--------|--|----------------|--------------------------------------|--|---|---|-----------------|-----------------|---------------|-----------------|
|        |  |                |                                      |  |   | Equity  | Loans           | Grant           | Subsidy       | Total           |
| 1      | 2  | 3              | 4                                    | 5  | 6   | 7   | 8               | 9               | 10            | 11 (7+8+9+10)   |
|        | <b>Total of Non-Functional PSUs (Total-II)</b> | <b>3</b>       |                                      |  | <b>250.99</b>   | <b>31.91</b>  | <b>3.29</b>     | <b>0.00</b>     | <b>0.00</b>   | <b>35.20</b>    |
|        | <b>Total of Power Sector</b>                   | <b>1</b>       |                                      |  | <b>16,545.77</b>  | <b>2,035.05</b>   | <b>0.00</b>     | <b>0.00</b>     | <b>0.00</b>   | <b>2,035.05</b> |
|        | <b>Total of Other than Power Sector</b>        | <b>37</b>      |                                      |  | <b>4,810.82</b>   | <b>1,432.02</b>   | <b>1,187.47</b> | <b>3,542.47</b> | <b>413.51</b> | <b>6,575.47</b> |
|        | <b>Grand Total (Total-I + Total-II)</b>        | <b>38</b>      |                                      |  | <b>21,356.59</b>  | <b>3,467.07</b>   | <b>1,187.47</b> | <b>3,542.47</b> | <b>413.51</b> | <b>8,610.52</b> |

