

## Appendix 1.1

(Reference: Paragraph 1.1/Page 2)

**Gist of audit objections and recommendations of the Committee on previous performance audit (Nagarothana Phase-I)**

Sl. No.	Paragraph No.	Objection in brief	Recommendations of the Committee
1	4.2.8	Utilisation of interest for unauthorised purposes	Disciplinary action to be initiated against the DC who utilised the interest for unauthorised purposes. The Chief Secretary is directed to submit an action taken report to the Committee at the earliest,
2	4.2.11	Non-availability of basic information in respect of road works executed	An inquiry is to be initiated against the officials who have executed the works without prior approval of the authority.
3	4.2.12	Splitting up of works	Inquiry to be initiated against those responsible for splitting the works to avoid sanction of higher authority.
4	4.2.13	Other deficiencies in preparation of estimates	Inquiry to be initiated against those responsible for deficiencies in preparation of estimates.
5	4.2.14	Excess provision towards unforeseen and miscellaneous expenditure	Appropriate action to be initiated against the concerned and personal responsibility to be fixed.
6	4.2.15	Award of works	The relevant records should be made available to the audit officials whenever Audit is undertaken. Action to be taken against the erring officials who have flouted the rules in award of works.
7	4.2.17	Irregular fixing of criteria in selection of contractors	Action to be taken against the officers who were responsible for this irregularity.
8	4.2.21.6	Incomplete road work	The amount of ₹ 11.36 lakh to be recovered from the officers who were responsible for the lapse.
9	4.2.22.1	Irregularities in selection of third party consultancy	Due care should be taken for selecting the third party consultancy devoid of irregularities.
10	4.2.22.2	Irregular entrustment of third-party inspection	
11	4.2.23	Irregular refund of security deposit	Action to be taken against those responsible for tampering the contract clause and also to recover, from the contractor, the cost of maintenance of the road incurred from the second year.
12	4.2.24	Short recovery of royalty	Personal responsibility to be fixed against the officials in this regard and necessary action to be initiated to recover royalty charges.
13	4.2.27	Payment without the approval of the competent authority	Action to be initiated against the officials for the unauthorised payments. The Committee directed that the officials should follow the rules scrupulously in future.
14	4.2.28	Non-levy of liquidated damages	
15	4.2.29	Monitoring and evaluation	

Source: Recommendations of Committee on Local Bodies and Panchayat Raj Institutions

## Appendix 1.2

(Reference: Paragraph 1.5/Page 3)

## Category-wise and CC-wise details of works selected for test-check

Category of works	Total			Sample selected			Percentage of test-check		
	Number of packages	Number of works	Expr. (₹ in lakh)	Number of packages	Number of works	Expr. (₹ in lakh)	Packages	Works	Expr.
<b>Ballari</b>									
I	34	84	3,017	6	21	603	18	25	20
II	4	4	75	1	1	75	25	25	100
III	0	0	0	0	0	0	-	-	-
IV	0	0	0	0	0	0	-	-	-
V	9	24	874	2	3	409	22	13	47
<b>Total (Ballari)</b>	<b>47</b>	<b>112</b>	<b>3,966</b>	<b>9</b>	<b>25</b>	<b>1,087</b>	<b>19</b>	<b>22</b>	<b>27</b>
<b>Mysuru</b>									
I	48	124	5,320	8	19	991	17	15	19
II	10	10	936	8	8	663	80	80	71
III	13	55	1,397	3	16	348	23	29	25
IV	0	0	0	0	0	0	-	-	-
V	7	23	737	3	11	237	43	48	32
<b>Total (Mysuru)</b>	<b>78</b>	<b>212</b>	<b>8,390</b>	<b>22</b>	<b>54</b>	<b>2,239</b>	<b>28</b>	<b>25</b>	<b>27</b>
<b>Tumakuru</b>									
I	40	159	5,719	9	32	1,383	23	20	24
II	2	6	213	1	3	90	50	50	42
III	0	0	0	0	0	0	-	-	-
IV	0	0	0	0	0	0	-	-	-
V	4	19	690	2	8	200	50	42	29
<b>Total (Tumakuru)</b>	<b>46</b>	<b>184</b>	<b>6,622</b>	<b>12</b>	<b>43</b>	<b>1,673</b>	<b>26</b>	<b>23</b>	<b>25</b>
<b>Vijayapura</b>									
I	39	100	4,438	4	8	697	10	8	16
II	0	0	0	0	0	0	-	-	-
III	5	10	235	1	3	25	20	30	11
IV	6	6	423	2	5	151	33	83	36
V	6	19	369	2	7	124	33	37	34
<b>Total (Vijayapura)</b>	<b>56</b>	<b>135</b>	<b>5,465</b>	<b>9</b>	<b>23</b>	<b>997</b>	<b>16</b>	<b>17</b>	<b>18</b>
<b>Grand total</b>	<b>227</b>	<b>643</b>	<b>24,443</b>	<b>52</b>	<b>145</b>	<b>5,996</b>	<b>23</b>	<b>23</b>	<b>25</b>

Source: Progress reports of test-checked CCs

## Appendix 2.1

(Reference: Paragraph 2.1/Page 6)

Statement showing the details of submission of action plans in test-checked CCs

Sl. No.	CC	Date of approval by DLC	Date of forwarding to DMA	Date of forwarding to UDD	Date of approval by UDD
1.	Ballari	18.10.2013	28.11.2013	27.12.2013	14.02.2014
2.	Mysuru	10.02.2014	30.04.2014	26.06.2014	18.07.2014
3.	Tumakuru	22.12.2013	28.12.2013	09.01.2014	25.02.2014
4.	Vijayapura	13.12.2013	06.01.2014	17.02.2014	15.11.2014

Source: Information furnished by DMA

**Appendix 2.2**

**(Reference: Paragraph 2.2/Page 6)**

**Category-wise details of works included in original action plans but not taken up in test-checked CCs**

**(₹ in lakh)**

Category of works	Ballari		Mysuru		Tumakuru		Vijayapura		Total	
	No. of works	Estd. cost	No. of works	Estd. Cost	No. of works	Estd. Cost	No. of works	Estd. Cost	No. of works	Estd. Cost
I	10	813	2	70	2	74	3	286	17	1,243
II	3	640	14	1,124	49	1,500	12	1,082	78	4,346
III	-	-	7	207	-	-	7	1,289	14	1,496
IV	13	500	3	500	9	500	-	-	25	1,500
V	2	75	1	30	2	195	2	250	7	550
<b>Total</b>	<b>28</b>	<b>2,028</b>	<b>27</b>	<b>1,931</b>	<b>62</b>	<b>2,269</b>	<b>24</b>	<b>2,907</b>	<b>141</b>	<b>9,135</b>

Source: Original action plans and progress reports of CCs

## Appendix 2.3

(Reference: Paragraph 2.6/Page 10)

## Statement showing amount diverted for other schemes/works

(₹ in crore)

Sl. No.	Name of CC	Name of work	Other scheme/works name	Amount paid by CC
1	Ballari	Laying of pipeline from Allipur pump house to Allipur reservoir from chainage 2250 to 2900 and fixing 3 number of 250 HP motor	13th /14th FC	4.08
2		CC contribution to AMRUT Scheme	AMRUT	16.43
3		Extension work of UGD to the remote areas of Ballari City	AMRUT	15.00
4	Tumakuru	Construction of approach road by PWD from Bhadramma Circle to Shettihalli Railway Gate	Deposit contribution PWD	11.00
5		CC contribution to AMRUT Scheme	AMRUT	5.00
6		Deposit amount towards UIDSSMT Scheme 24*7 for water supply	UIDSSMT	12.28
7		Contribution towards Rajiv Gandhi Awas Yojana (RAY)	RAY	3.19
8	Vijayapura	Compensation for master plan roads	Master Plan 2006	19.86
9		CC contribution to AMRUT Scheme	AMRUT	10.82
10		Construction of Corporation office building	Kalaburagi Cabinet Grants	4.14
11		Construction of 10 MLD water purification plant at Bhootnal lake	KUWSDB	6.95
		<b>Total</b>		<b>108.75</b>

Source: Information furnished by CCs

Appendix 2.4

(Reference: Paragraph 2.9.1/Page 13)

Details of budget estimates and amounts released for loan repayment

(₹ in crore)

Financial Year	Budget allocation for Nagarothana Phase-III	Amount released by UDD for Nagarothana Phase-III	Excess (+) / savings (-) over budget	Percentage
2016-17	5.35	0	(-) 5.35	(-) 100
2017-18	29.94	7.36	(-) 22.58	(-) 75
2018-19	34.72	20.68	(-) 14.04	(-) 40
2019-20	95.57	59.18	(-) 36.39	(-) 38
2020-21	73.47	89.01	(+) 15.54	(+) 21
<b>Total</b>	<b>239.05</b>	<b>176.23</b>	<b>(-) 62.82</b>	<b>(-) 26</b>

Source: Information furnished by UDD and KWSPFT

## Appendix 2.5

(Reference: Paragraph 2.9.2/Page 13)

## Statement showing avoidable interest liability due to non-replenishment of amount to Phase-II from Phase-III (March 2021)

(₹ in crore)

Sl. No.	Amount to be replenished	Details of replenishment			Avoidable liability of interest		
		Amount	Month	Balance	Period in months	Rate of interest	Amount
1	100.00	0.00	-	100.00	3 (Apr 2017 to Jun 2017)	1.70	0.43
2	100.00	15.00	Jul 2017	85.00	9 (Jul 2017 to Mar 2018)	1.70	1.08
3	85.00	12.00	Apr 2018	73.00	20 (Apr 2018 to Nov 2019)	1.70	2.07
4	73.00	6.20	Dec 2019	66.80	16 (Dec 2019 to Mar 2021)	1.70	1.51
	<b>Total</b>	<b>33.20</b>					<b>5.09</b>

Source: Information furnished by KWSPFT

Note: In the absence of details of annual reset in rate of interest of Syndicate Bank and Bank of India, interest liability was calculated at the rates applicable on the date of diverting loan (9.95-8.25=1.70 per cent)

**Appendix 2.6**

(Reference: Paragraph 2.9.4/Page 14)

**Details of loan availed at higher rate of interest**

Sl. No.	Date	Amount required (₹ in crore)	Loan instalments availed		Available Rate of interest on the date of fund requirement		Avoidable liability of interest		
			From	Rate of interest (%)	SBI (%)	ICICI (%)	Excess rate of interest (%)	No. of months up to March 2021	Amount (₹ in crore)
1	27.04.2018	12.00	SBI	8.40	8.40	8.24	0.16	35	0.06
2	27.09.2018	26.50	SBI	8.40	8.40	8.24	0.16	30	0.10
3	29.09.2018	40.00	SBI	8.40	8.40	8.24	0.16	30	0.16
4	17.01.2019	6.50	SBI	8.40	8.40	8.24	0.16	26	0.02
	<b>Total</b>	<b>85.00</b>							<b>0.34</b>

Source: Information furnished by KWSPFT



## Appendix 2.7

(Reference: Paragraph 2.9.5/Page 15)

## Avoidable interest due to delays in repaying SBI loan instalments (as of March 2021)

Instalment	Amount (₹)	Due on	Paid on	Delay in days	Rate of Interest	Avoidable payment of interest (₹)
1	8,18,00,000	07.04.2019	18.06.2019	72	8.80	14,19,958
2	8,34,00,000	07.07.2019	27.09.2019	82	8.80	16,48,807
3	8,34,00,000	07.10.2019	24.12.2019	78	8.80	15,68,377
4	8,34,00,000	07.01.2020	18.03.2020	71	8.80	14,27,625
5	8,34,00,000	07.04.2020	26.06.2020	80	8.00	14,62,356
6	8,34,00,000	07.07.2020	28.09.2020	83	8.00	15,17,195
7	8,34,00,000	07.10.2020	30.12.2020	84	8.00	15,35,474
8	8,34,00,000	07.01.2021	23.03.2021	75	8.00	13,70,959
	<b>Total</b>					<b>1,19,50,751</b>

Source: Information furnished by KWSPFT

## Appendix 2.8

(Reference: Paragraph 2.9.5/Page 15)

### Avoidable interest due to delays in repaying ICICI loan instalments (as of March 2021)

Instalment	Amount (₹)	Due on	Paid on	Delay in days	Rate of interest	Avoidable payment of interest (₹)
1	6,42,00,000	02.02.2020	23.03.2020	50	8.24	7,24,668
2	6,40,41,666	01.04.2020	02.07.2020	92	8.24	13,30,102
3	7,08,59,849	01.07.2020	29.09.2020	90	8.24	14,39,717
4	7,08,59,848	01.10.2020	01.01.2021	92	8.24	14,71,711
5	7,08,59,848	01.01.2021	02.02.2021	32	8.24	5,11,899
	7,08,59,848	02.02.2021	23.03.2021	49	7.34	6,98,232
<b>Total</b>						<b>61,76,329</b>

Source: Information furnished by KWSPFT

## Appendix 2.9

(Reference: Paragraph 2.9.6/Page 17)

## Month-wise details of amount demanded in excess by KWSPFT for loan repayment (SBI)

(₹ in lakh)

Month	Amount paid by KWSPFT to bank				Amount demanded by KWSPFT/ amount released by UDD				Excess demand/ release	
	Outstanding loan	Rate of interest applicable	Amount paid	Date of payment	Outstanding loan considered	Rate of interest	Amount demanded	Date of receipt from UDD		
<b>For the year 2018-19</b>										
Jan-19	19,350.00	8.40	138.05	25.01.2019	19,350.00	8.40	138.05	11.02.2019		
	650.00	8.40	2.24		6,500.00	8.40	22.44	18.10.2019		
<b>Sub-total</b>			<b>140.29</b>				<b>160.49</b>			<b>20.20</b>
Feb-19	19,350.00	8.40	124.69	25.02.2019	19,350.00	8.40	124.69	18.02.2019		
	650.00	8.40	4.19		6,500.00	8.40	41.88	18.10.2019		
<b>Sub-total</b>			<b>128.88</b>				<b>166.57</b>			<b>37.69</b>
Mar-19	19,350.00	8.40	138.05	25.03.2019	19,350.00	8.40	138.05	08.03.2019		
	650.00	8.40	4.63		6,500.00	8.40	46.37	18.10.2019		
<b>Sub-total</b>			<b>142.68</b>				<b>184.42</b>			<b>41.74</b>
<b>Total (2018-19)</b>			<b>411.85</b>				<b>511.48</b>			<b>99.63</b>
<b>For the year 2019-20</b>										
Jun-19			152.16	18.06.2019	20,000.00	8.40	138.08	01.07.2019		
Arrears of Apr-Jun 19					20,000.00	0.40	19.92	01.08.2019		
<b>Total (2019-20)</b>			<b>152.16</b>				<b>158.00</b>			<b>5.84</b>
<b>For the year 2020-21</b>										
Jun-20	17,498.00	8.00	115.06	25.06.2020	17,498.00	8.80	126.56	24.06.2020		11.51
Jul-20			103.08	27.07.2020	15,835.71	8.00	107.60	19.08.2020		4.52
<b>Total (2020-21)</b>			<b>218.14</b>				<b>234.16</b>			<b>16.02</b>

Source: Information furnished by KWSPFT

**Appendix 2.10**  
**(Reference: Paragraph 2.9.6/Page 17)**  
**Month-wise details of amount demanded in excess by KWSFFT for loan repayment (ICICI bank)**

(₹ in lakh)

Month	Amount paid by KWSFFT to bank				Amount demanded by KWSFFT/ amount released by UDD				Excess demand/ release	
	Outstanding loan	Rate of interest applicable	Amount paid	Date of payment	Outstanding loan considered	Rate of interest applicable	Amount demanded	Date of receipt from UDD		
<b>For the year 2018-19</b>										
Jan-19	6,400.00	8.34	45.33	25.01.2019	16,400.00	8.34	116.17	11.02.2019		
	1,350.00	8.34	4.01		1,350.00	8.84	4.25	16.05.2019		
<b>Sub-total</b>			<b>49.34</b>				<b>120.42</b>			<b>71.08</b>
Feb-19	6,400.00	8.34	40.95	25.02.2019	16,400.00	8.34	104.92	18.02.2019		
	1,350.00	8.34	8.64		1,350.00	8.84	9.15	16.05.2019		
<b>Sub-total</b>			<b>49.59</b>				<b>114.07</b>			<b>64.48</b>
Mar-19	6,400.00	8.84	48.05	25.03.2019	16,400.00	8.34	116.17	08.03.2019		
	1,350.00	8.84	10.14		1,350.00	8.84	10.14	16.05.2019		
			0.27							
<b>Sub-total</b>			<b>58.46</b>				<b>126.31</b>			<b>67.85</b>
<b>Total (2018-19)</b>			<b>157.39</b>				<b>360.80</b>			<b>203.41</b>
<b>For the year 2019-20</b>										
Jan-20	12,870.00	8.84	96.63	22.01.2020	12,870.00	8.84	96.63	25.02.2020		
					500.00	8.84	0.24	13.05.2020		
<b>Sub-total</b>			<b>96.63</b>				<b>96.87</b>			<b>0.24</b>
Feb-20			83.85	20.02.2020	12,870.00	8.84	90.39	25.02.2020		
			3.38	24.02.2020	500.00	8.84	3.51	13.05.2020		
	500.00	8.24	2.71	26.02.2020	2,000.00	8.84	13.08	13.05.2020		
<b>Sub-total</b>			<b>89.94</b>				<b>106.98</b>			<b>17.04</b>
Mar-20	12,870.00	8.24	90.07	18.03.2020	12,870.00	8.84	96.63	11.03.2020		
	500.00	8.24	3.50		500.00	8.84	3.75	13.05.2020		
	2,000.00	8.24	14.00		2,000.00	8.84	15.02	13.05.2020		
	Principal		642.00	23.03.2020			640.42	13.05.2020		
<b>Sub-total</b>			<b>749.57</b>				<b>755.82</b>			<b>6.25</b>
<b>Total (2019-20)</b>			<b>936.14</b>				<b>959.67</b>			<b>23.53</b>

Source: Information furnished by KWSFFT

## Appendix 2.11

(Reference: Paragraph 2.9.6/Page 17)

## Avoidable interest liability due to non-remittance of excess amount received from UDD

(₹ in lakh)

Bank	Excess amount	Date of receipt from UDD	No. of months from month of receipt to March 2021	Calculation of avoidable interest liability	
				Months and applicable rate of interest	Amount
SBI	99.63	18.10.2019	17	5 months at 8.80 % and 12 months at 8.00 %	11.63
SBI	5.84	01.08.2019	20	8 months at 8.80 % and 12 months at 8.00 %	0.81
SBI	11.51	24.06.2020	9	9 months at 8.00 %	0.69
	4.52	19.08.2020	7	7 months at 8.00 %	0.21
ICICI	203.41	11.02.2019 to 08.03.2019	24	10 months at 8.84%, 12 months at 8.24% and 2 months at 7.34%	34.23
ICICI	23.54	13.05.2020	10	8 months at 8.24% and 2 months at 7.34%	1.58
		Total			49.15

Source: Information furnished by KWSPFT and UDD

Appendix 2.12

(Reference: Paragraph 2.10.1/Page 18)

Details of avoidable interest on land compensation paid by CC,  
Vijayapura on 30.11.2017

(Amount in ₹)

Sl. No.	CTS No.	Name of landowner (Ms./Mr.)	Land Value	24% interest on land value	70% of 24% interest on land value	Annual interest @ 15% for delayed days on 70% amount
1	413/B	Prema Shetty & 7 others	26,33,945	6,32,147	4,42,503	6,38,237
2	410/B	Jaabir Nihal Wati Alta	25,54,200	6,13,008	4,29,106	7,63,190
3	410/A	Wati Mohammad Sayeed Wati Altaf Mohammad	15,30,788	3,67,389	2,57,172	9,08,130
4	99	Sadashiv Shivram Kembhavi & Surendra	17,56,645	4,21,595	2,95,116	4,84,134
5	100 A	Sambhaji S Langoti & Others	11,15,728	2,67,775	1,87,442	2,67,569
6	120 A	Sanjay S Jadhav & Parashuram Shivaji	10,01,728	2,40,415	1,68,290	2,80,376
7	120 B	Indumati Arjun & Others	9,63,918	2,31,340	1,61,938	3,25,704
8	121 A	Shanjay Shivaji Jadhav & Parshuram Shivaji	5,31,525	1,27,566	89,296	1,35,918
9	121 B	Indumati Arjun & Others	5,45,823	1,30,997	91,698	1,59,555
10	216	Mohan Venkatesh Kulkarni	37,810	9,074	6,352	19,551
11	217	Mohan Venkatesh Kulkarni	11,11,120	2,66,669	1,86,668	3,06,552
12	231/C4	Anita Mallappa Nidoni	34,51,445	8,28,347	5,79,843	8,36,442
13	241/C1	Rajaksaheb, Badshah Rajesab Sanglikar	9,87,430	2,36,983	1,65,888	2,82,744
14	241/C1/A	Rajaksaheb, Rajesab & others	16,74,328	4,01,839	2,81,287	3,87,084
15	1454	Ambalpadi Mahabal Ramanna Shetty	21,93,598	5,26,463	3,68,524	6,21,201
16	445	Mohammad Shafeeq Sofilal Bangi	34,63,614	8,31,267	5,81,887	7,99,459
17	260 B	Gopal Ramarao Bagalkotkar	12,58,323	3,01,997	2,11,398	3,44,494
18	260C	Gopal Ramarao Bagalkotkar	9,54,228	2,29,015	1,60,310	2,09,677
19	269	Basavaraj Savalgi	23,92,955	5,74,309	4,02,016	6,73,603
20	270	Basavaraj Savalgi	15,95,145	3,82,835	2,67,984	4,47,912
21	296 B1	Ramesh Bhaurao Kharande	19,93,813	4,78,515	3,34,961	5,64,077
22	260 D	Satish Ramarao Bagalkotkar	7,26,275	1,74,306	1,22,014	3,09,628
23	260 E	Satish Ramarao Bagalkotkar	17,52,038	4,20,489	2,94,342	2,16,765
24	260F	Satish Ramarao Bagalkotkar	2,65,763	63,783	44,648	32,881
25	271	Narasimha K Kulkarni	16,62,120	3,98,909	2,79,236	3,83,562
26	468/3	Basheer Ahamad Mohammad Sutar	7,92,000	1,90,080	1,33,056	1,73,520
27	468/3	Prahlad Shamarao Havaladar	8,55,905	2,05,417	1,43,792	1,43,396
28	462	Basheer Ahamad Mohammad Sutar	5,14,503	1,23,481	86,437	1,15,619
29	220/1A	Mahesh Gangadhar Hiredesai	16,18,610	3,88,466	2,71,926	6,91,610
30	220/1B	Umesh Gangadhar Hiredesai	6,83,335	1,64,000	1,14,800	2,31,127
31	220/2B	Umesh Gangadhar Hiredesai	5,21,788	1,25,229	87,660	1,84,288
32	1120	Samarathmal Jessaji Bati	7,21,668	1,73,200	1,21,240	3,11,742
33	1121	Samarathmal Jessaji Bati	4,27,263	1,02,543	71,780	91,131
34	272	Vijay Jahagirdhar	20,89,383	5,01,452	3,51,016	2,75,487
35	296/A1	Kishor, Arun Kembhavi	22,22,240	5,33,338	3,73,336	4,71,778
36	296/A2	Kishor Gopalrao Kembhavi	17,28,525	4,14,846	2,90,392	3,60,778

## Appendix 2.12 (Concl.)

Sl. No.	CTS No.	Name of landowner (Ms./Mr.)	Land Value	24% interest on land value	70% of 24% interest on land value	Annual interest @ 15% for delayed days on 70% amount
37	296/A3	Arun, Kishor Gopalrao	25,92,313	6,22,155	4,35,509	5,20,324
38	296/A4	Arun Gopalrao Kembhave	18,51,693	4,44,406	3,11,084	3,47,555
39	289 &	Padmavati Joshi & Others	20,27,538	4,86,609	3,40,626	10,73,442
40	290	Padmavati Joshi & Others	20,65,348	4,95,683	3,46,978	
41	466	Prahlad Shamarao Havaladar	9,68,525	2,32,446	1,62,712	1,87,071
42	1501/D	Sanjaya Govind Chetra	5,12,620	1,23,029	86,120	1,29,630
43	122/1	Kantilal K Patidar	12,62,883	3,03,092	2,12,164	2,92,452
		<b>Total</b>	<b>6,16,10,434</b>	<b>1,47,86,504</b>	<b>1,03,50,553</b>	<b>1,59,99,395</b>

Source: Information furnished by CC, Vijayapura

Appendix 2.13

(Reference: Paragraph 2.10.1/Page 18)

Details of avoidable interest on land compensation paid by CC,  
Vijayapura on 13.07.2018 and 14.02.2019

(Amount in ₹)

Sl. No.	CTS No.	Name (Ms./Mr.)	Land Value	24% interest on land value	70% of 24% interest on land value	Annual interest @ 15% for delayed days on 70% amount
1	1497	Dilip Govind Chatre	13,44,155	3,22,597	2,25,818	8,50,894
2	1498	Dilip Govind Chatre	27,91,623	6,69,989	4,68,993	14,79,679
3	1500	Dilip Govind Chatre	5,55,560	1,33,334	93,334	2,03,480
4	413/A1	Jaya Hotel Complex	22,78,238	5,46,777	3,82,744	9,00,022
5	413/A3	Rajendra Builders	27,57,002	6,61,680	4,63,176	17,45,225
6	260/A	Ramarao BinduRao Bagalkotkar	77,28,831	18,54,919	12,98,444	38,81,732
7	123/2	Basavantrav Vittal Rao Patil	16,51,860	3,96,446	2,77,512	5,82,850
8	122/2	Baba Jahagirdar- Legal Heirs				3,70,235
<b>Total</b>			<b>1,91,07,268</b>	<b>45,85,742</b>	<b>32,10,021</b>	<b>1,00,14,117</b>

Source: Information furnished by CC, Vijayapura

Note: ₹ 6,60,095 was unpaid as five landowners were out of country



Appendix 3.1

(Reference: Paragraph 3.4/Page 25)

Pavement design as per IRC and actual execution in Package 19  
(Ballari)

(Units in mm)

Pavement Crust	Thickness as per IRC	Work 1		Work 3		Work 4	
		Estimate	Executed	Estimate	Executed	Estimate	Executed
GSB/Subgrade	265	300	300	300	300	250	300
Granular base/ WMM	225	150	150	150	150	150	200
BM	50	-	50	-	-	50	50
SDBC	20	40	25	40	40	25	25
<b>Total</b>	<b>560</b>	<b>490</b>	<b>525</b>	<b>490</b>	<b>490</b>	<b>475</b>	<b>575</b>

Source: Pavement design as per IRC 37 and MB of Package 19 (Ballari)

**Appendix 3.2**

(Reference: Paragraph 3.5.1/Page 26)

**Discrepancies in technical evaluation for PMC works by DMA**

**(i) M/s Civil Technologies India Pvt. Ltd. (lead) and M/s CADD Station Technologies Pvt. Ltd. (JV)**

Criteria as per tender document	Information furnished by PMC/Particulars				Audit remarks
	Year	Turnover (₹ in crore)	Wrongly updated to 2014-15 by DMA	Correct updated amount	
Minimum annual financial turnover of ₹ 2.60 crore in any two years in last five years (i.e., 2009-10 to 2013-14). Financial turnover was to be given a weightage of 10% per year to bring them to the price level of 2014-15.	2009-10	1.81	3.21	2.92	DMA gave additional 10% weightage and arrived at incorrect financial turnover (updated). PMC had minimum financial turnover of ₹ 2.60 crore only in one year instead of two years.
	2010-11	1.05	1.69	1.54	
	2011-12	1.83	2.68	2.44	
	2012-13	2.12	2.82	2.56	
	2013-14	Not furnished			
Consultants should have rendered supervision services for road, drain & culverts works of value not less than the ₹ 50 crore.	PMC services for formation of roads, minor bridges, CD works amounting to ₹ 85.70 crore during 2011-12 as per the certificate furnished by General Manager, Rajiv Gandhi Housing Corporation Ltd. (RGHCL).				RGHCL had certified (March 2012) that M/s Civil Technologies was appointed for projects of Teachers Quarters (Gurubhavan) at various locations in Karnataka and entire project cost was ₹ 85.70 crore. However, the share of road and drain works in the above project was not mentioned. Audit ascertained (January 2022) from RGHCL that the work was only for constructing quarters (buildings) and hence this criterion was not fulfilled.
As per pre-proposal conference queries and replies (July 2014), DMA stipulated that curriculum vitae (CV) of key professional staff could be used only for two CCs.	JV of M/s Civil Technologies India Pvt. Ltd. (lead) and M/s CADD Station Technologies Pvt. Ltd. proposed same key professional staff for all the three CCs.				Proposing same key professional staff for three CCs contravened the condition stipulated by DMA.

**(ii) M/s CADD Station Technologies Pvt. Ltd.**

Criteria as per tender document	Information furnished by PMC/Particulars	Audit remarks
Consultants should have prepared DPR for road, drain & culverts works of value not less than ₹ 50 crore.	DMA considered the work of construction of roads under MUDA limits in Mysuru City amounting to ₹ 88.60 crore on the basis of the certificate issued by Superintending Engineer, MUDA, during 2011-12.	Superintending Engineer, MUDA, had certified (15.06.2012) that M/s CADD Station had completed this work during the year 2007-08. This was not to be considered as only the works executed during the period 2009-14 were eligible.
Consultants should have rendered supervision services for works for road, drain & culverts works of value not less than ₹ 50 crore.		

## Appendix 3.2(Conclld.)

## (iii) M/s Infra Support Engineering Consultants Pvt. Ltd.

Criteria as per tender document	Information furnished by PMC/Particulars	Audit remarks
Consultants should have rendered supervision services for water supply and UGD works including construction of OHT, GLSR & STP of value not less than ₹ 25 crore.	DMA considered the work of development of composite housing scheme at Surya Nagar Phase-II, Block 7 and 9, in Anekal Taluk amounting to ₹ 56.11 crore during 2009-10 updated to ₹ 90.36 crore (2014-15) based on the certificate issued by Executive Engineer, Karnataka Housing Board(KHB) [considering water supply and UGD component as 30 per cent - ₹ 27.11 crore].	As per the work done certificate furnished by Executive Engineer, KHB, work commenced on 14 September 2010 <i>i.e.</i> , during 2010-11 and not during 2009-10. Further, the cost of work done was ₹ 54.51 crore. Hence, the updated value (2014-15) would be ₹ 79.79 crore (instead of ₹ 90.36 crore) and 30% component of water supply and UGD would be ₹ 23.93 crore. This was less than the prescribed limit of ₹ 25 crore.

Source: Tender evaluation files for appointment of PMC

**Appendix 3.3**

**(Reference: Paragraph 3.5.3/Page 27)**

**Statement showing appointment of PMC in more than three packages**

<b>Sl. No.</b>	<b>Package</b>	<b>Name of PMC</b>
1	Shivamogga <i>Nagarothana</i> Phase-III	M/s Civil Technologies India Pvt. Ltd. (lead) and M/s CADD Station Technologies Pvt. Ltd. (JV)
2	Davanagere <i>Nagarothana</i> Phase-III	M/s Civil Technologies India Pvt. Ltd. (lead) and M/s CADD Station Technologies Pvt. Ltd. (JV)
3	Tumakuru <i>Nagarothana</i> Phase-III	M/s Civil Technologies India Pvt. Ltd. (lead) and M/s CADD Station Technologies Pvt. Ltd. (JV)
4	Mysuru <i>Nagarothana</i> Phase-III	M/s CADD Station Technologies Pvt. Ltd.
5	Kalaburagi <i>Nagarothana</i> Phase-III	M/s CADD Station Technologies Pvt. Ltd.
6	Mysuru Special Grant	M/s CADD Station Technologies Pvt. Ltd.

Source: Tender evaluation files of PMC

## Appendix 3.4

(Reference: Paragraph 3.5.4/Page 28)

## Statement showing variations in key professional staff proposed and deployed

Sl. No.	PMC	CC	Variations observed in proposed and employed key professional
1	M/s Infra Support Engineering Consultants Pvt. Ltd.	Belagavi	Team Leader, Deputy Team Leader, Survey Engineer
2	M/s Civil Technologies India Pvt. Ltd. (lead) and M/s CADD Station Technologies Pvt. Ltd. (JV)	Davanagere	Team Leader, Deputy Team Leader, Highway Engineer, Senior Quantity Surveyor, Structural Engineer, Survey Engineer
3		Tumakuru	Deputy Team Leader, Survey Engineer
4		Shivamogga	Team Leader
5	M/s CADD Station Technologies Pvt. Ltd.	Mysuru	Team Leader, Deputy Team Leader, Highway Engineer, Senior Quantity Surveyor, Structural Engineer

Source: Tender evaluation files of PMC

Appendix 3.5

(Reference: Paragraph 3.6.3/Page 33)

Details showing incorrect adoption of available tender capacity of three bidders and unjustified rejection of bids

Indent Number	38351	38073	38090	38091	38093	38102	38103	38104	38105	38106	38353	38110	38354	38116	38119	38120	38124	38126	38135
Package Number	1	3	4	5	7	8	9	10	12	13	14	15	16	19	21	24	25	26	28
Estimated cost in lakh	106.00	112.00	123.00	142.00	131.00	123.00	138.00	166.00	134.00	107.00	103.00	173.00	100.00	185.00	121.00	166.00	109.00	145.00	120.00
Amount put to tender in lakh (2015-16)	102.91	108.72	119.38	137.84	127.14	119.40	133.92	161.12	130.07	103.83	99.98	167.75	97.05	179.52	117.43	161.08	105.80	140.76	118.44
Amount put to tender in lakh as per CSR (2016-17)	102.83	108.67	119.38	137.72	127.12	119.34	133.89	161.12	130.03	103.79	99.89	167.61	97.05	178.49	117.31	160.93	105.71	140.66	118.31
Amount quoted by L1	109.40	105.21	118.30	135.00	134.92	126.93	142.36	172.12	138.27	114.63	105.38	186.41	97.02	183.83	130.05	178.99	111.51	152.75	124.83
Negotiated amount	109.15				134.91		142.13	171.44	138.03	110.53	105.37	178.50		183.81	124.94	171.39		149.52	
Award amount	102.83	105.21	118.30	135.00	127.12	119.34	133.89	171.44	130.03	103.79	99.89	167.61	97.02	178.49	117.31	160.93	105.71	140.66	118.31
Number of bids received	3	3	3	3	2	3	3	3	3	2	3	3	3	2	2	3	3	2	3
Bidders technically disqualified	2	2	0	2	1	2	2	1	2	1	2	2	0	1	1	2	2	1	2
Bidders technically disqualified due to insufficient tender capacity	2	1	0	1	0	2	2	1	2	1	2	1	0	1	0	1	2	0	2
<b>K Ravikumar</b>	√X	√X		√X	√√		√X		√X	√X					√√			√√	
<b>M Siddaramannagowda</b>	√X	√√	√√	√√		√X	√X	√X	√X		√X	√X	√√			√X	√X		√X
<b>V Chandramohan</b>						√X					√X	√√		√X		√√	√X		√X

√X Bid submitted but technically disqualified citing major shortage in assessed available tender capacities

√√ Bid submitted and technically qualified

## Appendix 3.6

(Reference: Paragraph 3.6.3/Page 34)

## Details of tender premium, negotiated rate and final award rate for 22 packages in Ballari

(₹ in lakh)

Contractor (Shri)	Package Number	Amount put to tender as per CSR (2016-17)	Amount quoted by L1	Negotiated amount	Award cost	Tender percent of quoted amount	Tender percent after negotiation	Award percent
B Srinivasraju	8	119.34	126.93	126.93	119.34	6.36	6.36	0.00
	14	99.89	105.38	105.37	99.89	5.50	5.49	0.00
	25	105.71	111.51	111.51	105.71	5.49	5.49	0.00
	28	118.31	124.83	124.83	118.31	5.51	5.51	0.00
K Ravikumar	7	127.12	134.92	134.91	127.12	6.14	6.13	0.00
	21	117.31	130.05	124.94	117.31	10.86	6.50	0.00
	26	140.66	152.75	149.52	140.66	8.60	6.30	0.00
Khaleel Ur Rahman	13	103.79	114.63	110.53	103.79	10.44	6.49	0.00
	19	178.49	183.83	183.81	178.49	2.99	2.98	0.00
N Nagaraj Reddy	1	102.83	109.40	109.15	102.83	6.39	6.15	0.00
	9	133.89	142.36	142.13	133.89	6.33	6.15	0.00
	12	130.03	138.27	138.03	130.03	6.34	6.15	0.00
S Guruva Reddy	10	161.13	172.12	171.44	171.44	6.82	6.40	6.40
	17	132.00	141.00	140.25	140.25	6.82	6.25	6.25
	18	111.62	119.22	118.76	118.76	6.81	6.40	6.40
V Chandramohan	15	167.61	186.41	178.50	167.61	11.22	6.50	0.00
	24	160.93	178.99	171.39	160.93	11.22	6.50	0.00
Y Picheshwara Rao	6	114.44	123.64	121.88	114.44	8.04	6.50	0.00
M Siddaramanna-gowda	3	108.67	105.21	105.21	105.21	-3.18	-3.18	-3.18
	4	119.38	118.30	118.30	118.30	-0.90	-0.90	-0.90
	5	137.72	135.00	135.00	135.00	-1.98	-1.98	-1.98
	16	97.05	97.02	97.02	97.02	-0.03	-0.03	-0.03

Source: Tender files of work packages

Appendix 3.7

(Reference: Paragraph 3.6.3/Page 35)

Statement showing avoidable expenditure due to incorrect rejection of bid of Shri Y R Venugopal

(Amount in ₹)

Sl. No. of estimate	Unit	Executed quantity by Amrutha Constructions (Package 26)	Rate of Amrutha Constructions	Amount paid to Amrutha Constructions	Rate of Shri Y R Venugopal (Package 15)	Amount payable to Shri Y R Venugopal	Avoidable expenditure
1	Cum	1,317.89	114	1,50,239	84	1,10,703	39,536
2	Cum	179.688	5,200	9,34,378	3,973	7,13,900	2,20,478
3	Cum	424.57	6,279	26,65,875	5,222	22,17,105	4,48,770
4	Quintal	164.02	8,406	13,78,752	6,834	11,20,913	2,57,839
5	Sqm	3,175.56	314	9,97,126	215.50	6,84,333	3,12,793
7	Cum	196.88	127	25,004	94	18,507	6,497
17	Rmtr	97.00	488	47,336	416	40,352	6,984
		<b>Total</b>		<b>61,98,710</b>		<b>49,05,813</b>	<b>12,92,897</b>

Source: Package tender files

Note: Item no.6 was not included under Package 15.



### Appendix 3.8

(Reference: Paragraph 3.7/Page 36)

#### Details of packages and works costing less than the prescribed limit

Sl. No.	CC	Number of packages		Number of works	
		Total	Cost less than ₹ 100 lakh	Total	Cost less than ₹ 50 lakh
1.	Ballari	47	8	112	66
2.	Mysuru	78	12	212	141
3.	Tumakuru	46	5	184	162
4.	Vijayapura	56	7	135	83
	<b>Total</b>	<b>227</b>	<b>32</b>	<b>643</b>	<b>452</b>

Source: Progress reports of test-checked CCs

## Appendix 3.9

(Reference: Paragraph 3.8/Page 37)

## Details of non-availability of work sites leading to delay in completion of works or non-execution of works

## (a) Delay in completion of works

(₹ in lakh)

Sl. No.	CC	Package No.	Estd. Cost	Expr.	Delay in days	Reason for delay
1	Tumakuru	7	143.00	142.99	79	Shifting of electric poles and also due to ongoing work of 2 <sup>nd</sup> phase of UGD work
2	Tumakuru	26/2	105.00	82.35	115	Electric poles were not shifted and UGD works were going on
3	Mysuru	3	133.00	128.55	75	Shifting of electric poles and cutting of old trees led to delay in handing over site by four months.
4	Mysuru	13	120.00	111.04	179	Non-completion of UGD works before taking up the road works
5	Mysuru	41	150.00	145.36	303	Due to land issues, shifting of electric poles and UGD work
6	Ballari	19	185.00	155.95	547	Non-completion of UGD work by KUWSDB & KUIDFC
7	Ballari	10	166.00	154.83	122	Non-completion of UGD works by KUWSDB and 24X7 work by KUIDFC, Ballari
8	Ballari	1	106.00	71.70	179	Non-completion of UGD work by KUWSDB, Ballari
<b>Total</b>			<b>1,108.00</b>	<b>992.77</b>		

Source: Information furnished by test-checked CCs

## (b) Non-execution of works

(₹ in lakh)

Sl. No.	CC	Package No.	Estd. Cost	Expr.	Estd. cost of works not executed	Remarks
1	Tumakuru	38	147.00	89.88	60.00	Two out of three works not executed for which reasons were not on record.
2	Tumakuru	26	165.00	62.25	105.00	Three out of five works not executed due to pending work of laying of new UGD pipelines by KUWSDB.
3	Mysuru	4 packages	376.00	-	376.00	Four OHT packages – change of location
4						
5						
6						
7	Mysuru	81	165.00	50.33	115.00	Two out of four works not executed due to demolition of building.
<b>Total</b>			<b>853.00</b>		<b>656.00</b>	

Source: Information furnished by test-checked CCs

## Appendix 3.10

(Reference: Paragraph 3.9.2/Page 39)

## Details of payments made for same stretches two times (SJH Road) and four times (Sewage Road) in Package 3 at Mysuru

Item No./ MB Page	Item description	Road stretch	Length (m)	Quantity in cum	Rate (₹)	Total paid (₹)
2 (MB page 7)	Earthwork excavation by manual means in ordinary soil	Sewage Farm Road L/S	176.10	266.32	88.83	23,657
		Sewage Farm Road R/S	154.10	1,420.30	88.83	1,26,165
19 (MB page 22)	Earthwork excavation for roadway in soil by mechanical means	Sewage Farm Road L/S	176.10	234.50	59.82	14,028
		Sewage Farm Road R/S	154.10	1,162.86	59.82	69,562
		SJH Road L/S	379.90	353.14	59.82	21,125
		SJH Road R/S	379.90	368.61	59.82	22,050
EIRL 2 (MB page 25)	Removal of unserviceable soil including excavation, loading and disposal	Sewage Farm Road L/S (item 2)	176.10	266.32	30.82	8,208
		Sewage Farm Road R/S (item 2)	154.10	1,420.30	30.82	43,774
		Sewage Farm Road L/S (item 19)	176.10	234.50	30.82	7,227
		Sewage Farm Road R/S (item 19)	154.10	1,162.86	30.82	35,839
		SJH Road L/S	379.90	353.14	30.82	10,884
		SJH Road R/S	379.90	368.61	30.82	11,361
<b>Total</b>						<b>3,93,880</b>

Source: Measurement book of Package 3 (CC, Mysuru)

## Appendix 3.11

(Reference: Paragraph 3.9.3/Page 40)

## Details of unjustified expenditure on items paid for separately

Sl. No.	CC	Package No.	Amount (₹ in lakh)	Audit observation
<b>(i) Unjustified expenditure on cleaning the surface</b>				
1	Mysuru	13	0.04	As per Clause 507.9 of MORTH 5th Revision, unit rate for SDBC is inclusive of the cost of cleaning the surface. Despite this, ₹ 0.04 lakh was paid for cleaning the surface.
2	Tumakuru	15	0.53	As per Clause 504.8 of MORTH 5th Revision, unit rate for bituminous macadam is inclusive of the cost of cleaning the surface. Despite this, estimate included item of cleaning the existing Water Bound Macadam (WBM) road and black-topped surfaces.
3	Tumakuru	10	0.97	As per Clause 504.8 of MORTH 5th Revision, unit rate for bituminous macadam is inclusive of the cost of cleaning the surface. Despite this, estimate included item of cleaning the existing WBM road and black-topped surfaces.
4	Tumakuru	34	0.61	As per Clause 504.8 of MORTH 5th Revision, unit rate for bituminous macadam is inclusive of the cost of cleaning the surface. Despite this, estimate included item of cleaning the existing WBM road surface.
	<b>Sub-total</b>		<b>2.15</b>	
<b>(ii) Unjustified expenditure on excavation of soil</b>				
1	Ballari	19	1.88	Estimate included an item of removal of unserviceable soil which included excavation, loading and disposal. Despite this, contractor was paid separately for excavation of soil by mechanical means. Hence, payment of ₹ 1.88 lakh on excavating 2,639.867 cum soil (@ ₹ 71.40) was inadmissible.
2	Ballari	4	1.15	Estimate included an item of removal of unserviceable soil which included excavation, loading and disposal. Despite this, contractor was paid separately for excavation of soil by mechanical means. Hence, payment of ₹ 1.15 lakh on excavating 1,635.79 cum soil (@ ₹ 70.00) was inadmissible.
3	Ballari	1	0.96	Estimate included an item of removal of unserviceable soil which included excavation, loading and disposal. Despite this, contractor was paid separately for excavation of soil by mechanical means. Hence, payment of ₹ 0.96 lakh on excavating 1,351.68 cum soil (@ ₹ 71.34) was inadmissible.
	<b>Sub-total</b>		<b>3.99</b>	
	<b>Total</b>		<b>6.14</b>	

Source: Information furnished by test-checked CCs

## Appendix 3.12

(Reference: Paragraph 3.14/Page 45)

## Details of loss to Government due to excess SR rates (electrical items)

(Amount in ₹)

Sl. No.	Description of the work	Rate as per SR	Rate agreed upon	Rate as per original bill {Unit rate + Taxes + (overhead charges 10% + contractor's profit 10%)}	Difference	Number of items purchased	Loss due to excess payment
A	B	C	D	E	F (D-E)	G	H (F*G)
<b>(i) Packages 59 and 60 at CC, Tumakuru</b>							
1	Supplying High pressure.... Watts Metal halide street light with 250 watt. (PWD Ele SR 2010-11, Page no. 20, Item no. 13 III(a).	6,750	6,000	1,704 Unit Rate: 1,240 Add Taxes 14.5%: 180 Total 1,420 Add (10+10) 20%: 284 Total: 1,704 (Vide Invoice No. HTL/DEP/400109838/9839/ Dtd 25/05/2015)	4,296	1,615 (882+733) (For both the packages Indent 22729 & 22730)	69,38,040
2	Supplying Decorative horizontal type street light (PWD Ele SR 2010-11, Page no. 17, Item no. 6 II(a).	2,155	2,155	1,230 Unit Rate: 895 Add Taxes 14.5%: 130 Total 1,025 Add (10+10) 20%: 205 Total: 1,230 (Vide Invoice No. HTL/DEP/400109841/9842/9855/9887 Dtd 25/05/2015, 26/05/2015, 28/05/2015)	925	1,765 (806+959) (For both the packages Indent 22729 & 22730)	16,32,625
3	Supplying and fixing Microprocessor Based Astronomical timer Switch (PWD Ele SR 2010-11, Page no. 43, Item no. 45.	7,515	5,000 (for indent 22729)	2,166 Unit Rate: 1,573 Add Taxes 14.5%: 228 Total 1,801 Add (10+10) 20%: 360 Total: 2,166 (Vide Invoice No. 003, 009, 023 Dtd. 07/06/2015, 26/06/2015, 13/08/2015)	2,834	220 (for indent 22729)	6,23,480
			4,600 (for indent 22730)		2,434	247 (for indent 22730)	6,01,198
<b>Total</b>							<b>97,95,343</b>

## Appendix 3.12 (concl.)

Sl. No.	Description of the work	Rate as per SR	Rate agreed upon	Rate as per original bill {Unit rate + Taxes + (overhead charges 10%+ contractor's profit 10%)}	Difference	Number of items purchased	Loss due to excess payment
A	B	C	D	E	F (D-E)	G	H (F*G)
<b>(ii) Package 83 at CC, Mysuru</b>							
1	Supplying High pressure sodium vapour street light luminaire 250 watt. (PWD Ele SR 2014-15, Page no. 22, Item no. 4.16.	6,900	5,014 (average)	3,360 Unit Rate: 2,445 Add Taxes 14.5%: 355 Total 2,800 Add (10+10) 20%: 560 Total: 3,360 (Vide Invoice No. 56 Dtd 03/07/2015)	1,654	262  (212+20+30) (including extra items)	4,33,348
2	Supplying of LED street light with pressure... 90 watt. (PWD Ele SR 2014-15, Page no. 27, Item no. 4.36.1	31,250	19,000	12,128 Unit Rate: 8,825 Add Taxes 14.5%: 1,280 Total 10,107 Add (10+10) 20%: 2,021 Total: 12,128 (Vide Invoice No. 061 Dtd 08/07/2015).	6,872	126  (106+20) (including extra items)	8,65,872
3	Supplying of LED streetlight with pressure... 65 watt (actually installed 72 watt). (PWD Ele SR 2014-15, Page no. 27, Item no. 4.35.3	18,720	13,899.60	10,030 Unit Rate: 7,300 Add Taxes 14.5%: 1,058 Total 8,358 Add (10+10) 20%: 1,672 Total: 10,030 (Vide Invoice No. 087 Dtd 27/08/2015).	3,869.60	21  (EIRL)	81,262
<b>Total</b>							<b>13,80,482</b>
<b>(iii) Package 82 at CC, Mysuru</b>							
1	Supplying High pressure.... Watts Metal halide flood light with 250 watt. (PWD Ele SR 2014-15, Page no. 23, Item no. 4.18.2.	9,159	8,450	2,109  {(9,159/7,400)X1,704}	6,341	13	82,433
<b>Total</b>							<b>82,433</b>
<b>(iv) Package 56 at CC, Vijayapura</b>							
1	Supplying High pressure sodium vapour street light luminaire 250 watt. (PWD Ele SR 2014-15, Page no. 22, Item no. 4.16.	6,900	5,800	3,360 Unit Rate: 2,445 Add Taxes 14.5%: 355 Total 2,800 Add (10+10) 20%: 560 Total: 3,360 (Vide Invoice No. 56 Dtd 03/07/2015).	2,440	692	16,88,480
<b>Total</b>							<b>16,88,480</b>
<b>Grand Total</b>							<b>129,46,738</b>

Source: Work files and original invoices

## Appendix 4.1

(Reference: Paragraph 4.3/Page 48)

## Details of discrepancies noticed in PMC reports

(₹ in lakh)

Sl. No.	CC	Package No.	Estd. Cost	Expr.	Discrepancies in PMC reports
1	Ballari	19	185.00	155.95	Quantity of execution, sampling location/source, contractor representative signature in report, <i>etc.</i> , not available.
2	Ballari	50	299.79	288.19	As per PMC report, work was completed satisfactorily but rain water harvesting work was not completed; and quantity of work executed/EIRL items not mentioned.
3	Ballari	44	99.70	75.00	Soil test report dated prior to award of work, reports about completion of work not on record.
4	Ballari	32A	121.00	120.61	Quantity of executed work, dates in eMB not mentioned.
5	Ballari	10	166.00	154.83	Quantity of execution, sampling location/source, contractor representative signature in report <i>etc.</i> , not available.
6	Ballari	1	106.00	71.70	Quantity of execution, sampling location/source, contractor representative signature in report <i>etc.</i> , not available.
7	Ballari	38	78.00	41.45	Date on test reports, executed quantity not mentioned.
8	Ballari	36	101.00	71.67	Date on test reports, executed quantity not mentioned.
9	Mysuru	3	133.00	128.55	Date of sampling, date of testing, location/source, <i>etc.</i> , not available.
10	Mysuru	83	65.00	64.51	Did not specify quantity, quality, place of installation, <i>etc.</i> , for installed items.
11	Mysuru	82	145.00	122.56	Submission of bills before awarding of work as per report, quantity of execution not mentioned.
12	Mysuru	1	133.00	132.86	PMC report not on record.
13	Mysuru	14	130.00	128.61	Dates not mentioned on test reports, quantity and quality of executed work not mentioned.
14	Mysuru	8 packages	752.00	663.35	Dates in report prior to work order, quantity and quality of executed work not mentioned.
15					
16					
17					
18					
19					
20					
21					
22	Mysuru	4	147.00	146.51	Quantity and quality of executed work not mentioned.
23	Mysuru	3	101.90	102.64	Dates not mentioned on test reports, quantity and quality of executed work not mentioned.
24	Mysuru	17	95.00	95.00	Bill submitted before completion of work (Report v/s MB).
25	Mysuru	13	120.00	111.04	Date of sampling, date of testing, location/source, not available.

Appendix 4.1(Concl.)

Sl. No.	CC	Package No.	Estd. Cost	Expr.	Discrepancies in PMC reports
26	Mysuru	41	150.00	145.36	Dates not mentioned on test reports, quantity and quality of executed work not mentioned.
27	Mysuru	81	165.00	50.33	Date of sampling, date of testing, location/source, quantities of the work done were not mentioned. As per report, work was completed but work was not completed.
28	Mysuru	RDS/3/56	67.00	66.79	PMC report not on record.
29	Mysuru	29	188.00	183.27	Date on test reports, executed quantity not mentioned, report not submitted for 2nd and 3rd RA bill.
30	Tumakuru	59	160.00	99.51	Quality, brand name, place/location of installation, separate quantity of each items, etc., were not mentioned in the report.
31	Tumakuru	60	180.00	100.11	PMC report not on record.
32	Tumakuru	26	165.00	62.25	PMC report included sample testing report of item which was not executed. There was mismatch in bill submission date (Report v/s MB). As per report, all five works completed but three works were not completed. Executed works quantity not mentioned.
33	Tumakuru	15	140.00	141.51	Mismatch in bill submission dates (Report V/s MB), executed works quantity not mentioned.
34	Tumakuru	10	188.00	237.03	Mismatch in bill submission dates (Report V/s MB), DC order not followed for getting fresh test reports.
35	Tumakuru	5	132.00	145.20	Mismatch in bill submission dates (Report v/s MB), executed works quantity not mentioned.
36	Tumakuru	34	160.00	149.06	Mismatch in bill submission dates (Report v/s MB), executed works quantity not mentioned.
37	Tumakuru	9	193.00	215.76	Mismatch in bill submission dates (Report v/s MB), executed works quantity not mentioned, overwriting in reports.
38	Vijayapura	28	108.80	110.21	Photos taken during inspection in report after the completion of work as per report, work commenced before awarding work.
39	Vijayapura	65	186.35	170.18	Details of EIRL items not mentioned, confusing report stating work in progress and in same report stating work completed.
40	Vijayapura	53/4	83.22	80.17	Signature were not dated in report and MB.
41	Vijayapura	56	115.00	79.75	Place of installation of items were not mentioned.
42	Vijayapura	63	26.00	25.26	Quantity of executed work, EIRL items not mentioned, photos in the inspection report taken after completion of works.
	<b>Total</b>		<b>5,385.76</b>	<b>4,736.78</b>	

Source: Test-checked package files at four CCs



<b>Glossary</b>	
<b>AEE</b>	Assistant Executive Engineer
<b>AMRUT</b>	Atal Mission for Rejuvenation and Urban Transformation
<b>BBD</b>	Benkelman Beam Deflection
<b>BG</b>	Bank Guarantee
<b>BM</b>	Bituminous Macadam
<b>CBR</b>	California Bearing Ratio
<b>CC</b>	City Corporation
<b>CC</b>	Cement Concrete
<b>CD</b>	Cross-drainage
<b>CDP</b>	Comprehensive Development Plan
<b>CE</b>	Chief Engineer
<b>CSR</b>	Current Schedule of Rates
<b>CUM</b>	Cubic metre
<b>CV</b>	Curriculum Vitae
<b>DC</b>	Deputy Commissioner
<b>DG</b>	Diesel Generator
<b>DLC</b>	District Level Committee
<b>DMA</b>	Directorate of Municipal Administration
<b>DPR</b>	Detailed Project Report
<b>DUDC</b>	District Urban Development Cell
<b>EE</b>	Executive Engineer
<b>EIRL</b>	Extra Item Rate List
<b>EMB</b>	Electronic Measurement Book
<b>GB</b>	Granular Base
<b>GLSR</b>	Ground Level Storage Reservoir
<b>GSB</b>	Granular Sub-base
<b>HDMC</b>	Hubballi-Dharwad Municipal Corporation
<b>IRC</b>	Indian Roads Congress
<b>ITT</b>	Instructions to tenderers
<b>JPV</b>	Joint Physical Verification
<b>JV</b>	Joint Venture
<b>KHB</b>	Karnataka Housing Board
<b>KKRDB</b>	Kalyana Karnataka Regional Development Board
<b>KMABR</b>	Karnataka Municipal Accounting and Budgeting Rules
<b>KMDS</b>	Karnataka Municipal Data Society
<b>KPWD</b>	Karnataka Public Works Department
<b>KTPP</b>	Karnataka Transparency in Public Procurement
<b>KUIDFC</b>	Karnataka Urban Infrastructure Development and Finance Corporation
<b>KUWSDB</b>	Karnataka Urban Water Supply and Drainage Board
<b>KVA</b>	Kilovolt Ampere
<b>KWSPFT</b>	Karnataka Water and Sanitation Pooled Fund Trust
<b>LED</b>	Light-emitting Diode
<b>M&amp;UDAs</b>	Municipalities and Urban Development Authorities
<b>MB</b>	Measurement Book
<b>MCLR</b>	Marginal Cost of Funds-based Lending Rate

<b>MDD</b>	Maximum Dry Density
<b>MIS</b>	Management Information System
<b>MLA</b>	Member of Legislative Assembly
<b>MLD</b>	Millions of Litre per Day
<b>MORTH</b>	Ministry of Road Transport and Highways
<b>MOU</b>	Memorandum of Understanding
<b>MP</b>	Member of Parliament
<b>MS</b>	Mild Steel
<b>MUDA</b>	Mysuru Urban Development Authority
<b>NGO</b>	Non-Government Organisation
<b>NIT</b>	Notice Inviting Tender
<b>OHT</b>	Overhead Tank
<b>PCC</b>	Plain Cement Concrete
<b>PD</b>	Project Director
<b>PMC</b>	Project Management Consultant
<b>PPSWOR</b>	Probability Proportional to Size Without Replacement
<b>PSC</b>	Pre-stressed Concrete
<b>PVC</b>	Polyvinyl Chloride
<b>RA Bill</b>	Running Account Bill
<b>RAY</b>	Rajiv Gandhi Awas Yojana
<b>RCC</b>	Reinforced Cement Concrete
<b>RFP</b>	Request for Proposal
<b>RGHCL</b>	Rajiv Gandhi Housing Corporation Limited
<b>SBI</b>	State Bank of India
<b>SD</b>	Security Deposit
<b>SDBC</b>	Semi-Dense Bituminous Concrete
<b>SE</b>	Superintending Engineer
<b>SFC</b>	State Finance Commission
<b>SR</b>	Schedule of Rates
<b>STP</b>	Sewage Treatment Plant
<b>SWD</b>	Storm Water Drain
<b>TMT</b>	Thermo Mechanical Treatment
<b>UC</b>	Utilisation Certificate
<b>UDD</b>	Urban Development Department
<b>UGD</b>	Underground Drainage
<b>UIDSSMT</b>	Urban Infrastructure Development Scheme for Small and Medium Towns
<b>ULB</b>	Urban Local Body
<b>UPS</b>	Uninterrupted Power Supply
<b>WBM</b>	Water Bound Macadam
<b>WMM</b>	Water Mix Macadam
<b>WP</b>	Writ Petition