

APPENDICES

Appendix - 3.1
(Referred to in Para No- 3.1.1 (iv))
Statement showing collection of Taxes in cash by sampled units

Year	Name of RTO/ARTO	Total cash collected during 24 hours		Cash collected Beyond office hour (07:00 PM to 09:59AM)		(Amount in ₹)
		No. of Receipts	Amount (in crore)	No. of Receipts	Time of cash receipt beyond office hour	
2016-17 to 2020-21	RTO Bareilly	1,61,043	106.26	241	7:00:02 PM	0.16
	RTO Ghaziabad	1,59,962	124.26	170	7:00:00 PM	0.11
	RTO Gonda	22,276	21.87	9	7:58:51 PM	0.00
	RTO Kanpur Nagar	2,84,190	209.74	10,356	7:00:00 PM	8.70
	RTO Meerut	88,341	67.21	206	7:01:03 PM	0.20
	RTO Prayagraj	2,08,961	159.28	1,063	7:00:07 PM	1.47
	RTO Varanasi	2,37,268	198.49	80	7:01:44 PM	0.06
	ARTO Hamirpur	18,254	14.85	18	7:18:12 PM	0.01
	ARTO Kushi Nagar	28,870	29.53	0	0	0
	ARTO Lakhimpur	70,485	52.93	255	7:00:17 PM	0.21
ARTO Sant Ravidas Nagar	25,031	24.09	64	7:03:32 PM	0.10	
ARTO Sitapur	59,738	43.47	46	9:14:34 AM	0.02	
Total		13,64,419	1,051.99	12,508		11.04

Source: Information available on the basis of Audit finding

Appendix- 4.1
(Referred to in para no. 4.2.3)
Statement showing failure to map penalty on delayed payment of Additional Tax by UPSRTC

Sl. No.	Name of unit	No. of Cases	No. of Cases checked by Audit	No. of Cases in which observation found through data analysis	Amount deposited	Period (Penalty on Additional Tax leviable)	Delay in deposit in Months	(Amount in ₹)
1	RTO Bareilly	6,498	6,498	6,343	11,30,54,075	02/2018 to 03/2021	1 to 13	1,00,01,378
2	RTO Ghaziabad	1,301	1,301	1,295	5,20,73,419	09/2018 to 03/2021	1 to 29	1,04,89,248
3	RTO Gonda	1,168	1,168	1,165	2,25,06,150	01/2019 to 03/2021	1 to 13	20,57,841
4	RTO Kanpur Nagar	943	943	548	4,60,34,100	01/2020 to 03/2021	1 to 14	1,08,42,207
5	RTO Meerut	1,496	1,496	1,484	4,41,79,021	09/2019 to 05/2021	1 to 19	68,02,950
6	RTO Prayagraj	3	3	3	43,503	03/2020 to 01/2020	4 to 10	7,734
7	RTO Varanasi	891	891	891	2,30,27,000	02/2019 to 03/2021	1 to 13	34,72,269
8	ARTO Hamirpur	1,882	1,882	1,865	3,34,41,750	01/2018 to 02/2020	1 to 2	16,73,504
9	ARTO Kushinagar	705	705	661	1,17,04,500	08/2018 to 02/2020	1 to 4	5,93,925
10	ARTO Lakhimpur Kheri	2,157	2,157	2,137	5,05,54,375	11/2017 to 03/2021	1 to 26	60,80,856
11	ARTO Sant Ravidas Nagar	285	285	285	53,13,750	01/2018 to 03/2021	1 to 13	3,28,713
12	ARTO Sitapur	3,112	3,112	2,853	7,19,54,868	07/2017 to 04/2021	1 to 25	76,47,018
Total		20,441	20,441	19,530	47,38,86,511	07/2017 to 05/2021	1 to 29	5,99,97,643

Source: Information available on the basis of Audit finding

Appendix- 4.2
(Referred to in para no. 4.2.4)
Statement showing unauthorised exemption of tax on electric vehicles

Sl. No.	Name of the Unit		No. of vehicles Registered after 23.06.20	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which vehicles registered	Actual No. of vehicles in which objection found	(Amount in ₹)	
									Amount of Tax leviable	
1	RTO	Bareilly	2	2			06/2020 to 03/2021	2	1,54,399	
2	RTO	Ghaziabad	53	53			06/2020 to 03/2021	53	27,86,107	
3	RTO	Gonda	5	5	5		06/2020 to 03/2021	5	40,073	
4	RTO	Kanpur Nagar	46	46			06/2020 to 03/2021	46	11,03,229	
5	RTO	Meerut	53	53	20		06/2020 to 03/2021	53	6,14,450	
6	RTO	Prayagraj	34	34			06/2020 to 03/2021	34	3,28,469	
7	RTO	Varanasi	35	35	20		06/2020 to 03/2021	35	3,13,920	
8	ARTO	Kushinagar	9	9	8		06/2020 to 03/2021	9	76,522	
9	ARTO	Lakhimpur Kheri	1	1	1		06/2020 to 03/2021	1	7,144	
Total			238	238	54	54	06/2020 to 03/2021	238	54,24,313	

Source: Information available on the basis of Audit findings.

Appendix- 4.3
(Referred to in para no. 4.2.5.1)
Statement showing loss due to invalid omission of penalty provision on delay in renewal of fitness

Sl. No.	Name of the Unit	No. of vehicles Registered	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which fitness expired	No. of heavy, medium and others vehicles	Amount involved Equivalent to fitness fee in Heavy and Medium vehicles (₹ 600) Per vehicle	No. of commercial Light vehicles, three wheelers and two wheelers	Amount involved Equivalent to fitness fee in commercial Light vehicles, three wheelers and two wheelers (₹ 400, ₹ 200) Per vehicle	Total Vehicles	Total Amount involved	Delay range*	(Amount in ₹)	
														Total	Amount involved
1	RTO Bareilly	20,079	5,330	20	20	05/2019 to 01/2020	1,663	9,97,400	3,667	14,70,800	5,330	24,68,200	1 to 2310		
2	RTO Ghaziabad	34,285	7,013	20	20	05/2019 to 01/2020	1,410	8,45,000	5,603	22,41,000	7,013	30,86,000	1 to 2614		
3	RTO Gonda	6,243	1,071	20	20	05/2019 to 01/2020	240	1,44,000	831	3,35,200	1,071	4,79,200	1 to 3300		
4	RTO Kanpur Nagar	45,830	10,778	20	20	05/2019 to 01/2020	3,728	22,15,800	7,050	28,25,000	10,778	50,40,800	1 to 2634		
5	RTO Meerut	23,292	3,580	20	20	05/2019 to 01/2020	1,056	6,33,600	2,524	10,10,200	3,580	16,43,800	1 to 1866		
6	RTO Prayagraj	40,192	9,151	20	20	05/2019 to 01/2020	2,925	17,55,000	6,226	24,91,400	9,151	42,46,400	1 to 2909		
7	RTO Varanasi	46,734	10,507	20	20	05/2019 to 01/2020	2,276	13,64,800	8,231	32,97,400	10,507	46,62,200	1 to 3327		
8	ARTO Hamirpur	3,664	626	20	20	05/2019 to 01/2020	207	1,24,000	419	1,68,000	626	2,92,000	1 to 2750		
9	ARTO Kushi Nagar	8,108	1,784	20	20	05/2019 to 01/2020	375	2,25,000	1,409	5,70,200	1,784	7,95,200	1 to 2714		
10	ARTO Lakhimpur Kheri	7,487	1,580	20	20	05/2019 to 01/2020	638	3,82,600	942	3,76,800	1,580	7,59,400	1 to 2726		
11	ARTO Sant Ravidas Nagar	4,553	1,557	20	20	05/2019 to 01/2020	281	1,68,400	1,276	5,17,200	1,557	6,85,600	1 to 2749		
12	ARTO Sitapur	9,429	1,793	20	20	05/2019 to 01/2020	576	3,45,600	1,217	4,91,000	1,793	8,36,600	1 to 2608		
Total		2,49,896	54,770	240	240	05/2019 to 01/2020	15,375	92,01,200	39,395	1,57,94,200	54,770	2,49,95,400	1 to 3327		

Source: Information available on the basis of Audit findings

Note*: Delay upto 30 days - 17,332 vehicles, amount ₹ 79.92 lakh; delay between 31 to 180 days - 7,318 vehicles, amount ₹ 32.47 lakh; delay between 181 to 365 days - 8,689 vehicles, amount ₹ 41.14 lakh; and delay more than one year - 21,431 vehicles, amount ₹ 96.43 lakh.

Appendix- 4.4
(Referred to in para no. 4.2.5.2)
Statement showing fitness certificate of vehicles not renewed

Sl. No.	Name of the Unit	No. of vehicles Registered	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection* found	Period in which fitness expired	No. of heavy and Medium vehicles (₹ 600+ ₹ 200) Per vehicle	Amount involved in Heavy and Medium vehicles	No. of Light vehicles commercial, three wheelers and two wheelers (₹ 400+ ₹ 200) and (₹ 200+ ₹ 200) Per vehicle	Amount involved in Light vehicles commercial, three wheelers and two wheelers	Total Vehicles	Amount involved in all vehicles	Penalty	Total Amount involved
1	RTO Bareilly	20,079	671	20	11	12/2019 to 01/2020	66	92,400	497	4,97,000	563	5,89,400	28,15,000	34,04,400
2	RTO Ghaziabad	34,285	588	20	19	12/2019 to 01/2020	60	84,000	429	4,27,000	489	5,11,000	24,45,000	29,56,000
3	RTO Gonda	6,243	1,798	20	18	03/2017 to 01/2020	222	3,10,800	1,272	12,72,000	1,494	15,82,800	74,70,000	90,52,800
4	RTO Kanpur Nagar	45,830	1,153	20	5	12/2019 to 01/2020	186	2,60,400	761	7,59,800	947	10,20,200	47,35,000	57,55,200
5	RTO Meerut	23,292	227	20	19	01/2020 to 01/2020	24	33,600	164	1,64,000	188	1,97,600	9,40,000	11,37,600
6	RTO Varanasi	46,734	4,573	20	20	08/2019 to 01/2020	583	8,16,200	3,223	32,21,800	3,806	40,38,000	1,90,30,000	2,30,68,000
7	ARTO Hamirpur	3,664	1,131	20	16	03/2017 to 01/2020	167	2,33,800	759	7,59,000	926	9,92,800	46,30,000	56,22,800
8	ARTO Kushi Nagar	8,108	2,543	20	19	03/2017 to 01/2020	218	3,05,200	1,887	18,87,000	2,105	21,92,200	1,05,25,000	1,27,17,200
9	ARTO Lakhimpur Kheri	7,487	1,724	20	18	03/2017 to 01/2020	289	4,04,600	1,146	11,46,000	1,435	15,50,600	71,75,000	87,25,600
10	ARTO Sant Ravidas Nagar	4,553	1,621	20	18	03/2017 to 01/2020	254	3,55,600	1,104	11,04,000	1,358	14,59,600	67,90,000	82,49,600
11	ARTO Sitapur	9,429	1,910	20	20	03/2017 to 01/2020	250	3,50,000	1,329	13,28,600	1,579	16,78,600	78,95,000	95,73,600
Total		2,09,704	17,939	220	183	03/2017 to 01/2020	2,319	32,46,600	12,571	1,25,66,200	14,890	1,58,12,800	7,44,50,000	9,02,62,800

Source: Information available on the basis of Audit findings

- Audit validated 20 cases in each RTO/ARTO and found 183 cases out of 220 cases thus around 83 per cent cases have been taken as total objected vehicles. 17,939*83%=14,890 cases have been taken as total vehicles and bifurcation for RTOs/ARTOS, number of vehicles were selected after randomisation by IDEA software.

Appendix- 4.5
(Referred to in para no. 4.2.6.1)
Statement showing impact on revenue due to authorisation of National Permit not renewed

Sl. No.	Name of the Unit	Total no. of vehicles covered with National Permit	No. of Goods vehicles in which objection found through Data Analysis	No. of Goods vehicles validated by Audit	No. of Goods vehicles in which objection found correct	No. of Goods vehicles in which objection* found	Period of authorisation expired	Composite fee per vehicle	Renewal application fee per vehicle	Total fee per vehicle	Total revenue involved
1	RTO Bareilly	2,424	116	20	2	34	12/2019 to 01/2020	16,500	1,000	17,500	5,95,000
2	RTO Ghaziabad	5,961	1,377	20	8	403	02/2019 to 01/2020	16,500	1,000	17,500	70,52,500
3	RTO Gonda	2,015	71	20	5	14	04/2018 to 01/2020	16,500	1,000	17,500	2,45,000
4	RTO Kanpur Nagar	6,302	281	20	3	78	12/2019 to 01/2020	16,500	1,000	17,500	13,65,000
5	RTO Meerut	4,019	162	20	6	47	12/2019 to 01/2020	16,500	1,000	17,500	8,22,500
6	RTO Prayagraj	6,431	834	20	10	233	02/2019 to 01/2020	16,500	1,000	17,500	40,77,500
7	RTO Varanasi	2,729	231	20	6	69	01/2020 to 01/2020	16,500	1,000	17,500	12,07,500
Total		29,881	3,072	140	40	878	04/2018 to 01/2020				1,53,65,000

Source: Information available on the basis of Audit findings

*Audit validated 20 cases in each RTO and found 40 cases correct out of 140 cases thus 28.57 per cent cases have been taken as objected vehicles. 3,072*28.57%= 878 cases have been taken and bifurcation for RTOs/ARTOS, number of vehicles were selected after randomisation by IDEA software.

Appendix- 4.6
(Referred to in para no. 4.2.6.2)
Statement showing short levy of court fee on vehicles covered under All India Tourist Permit (AITP) and National Permit (NP)

Sl. No.	Name of the Unit	No. of vehicles covered with NP and AITP	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which court fee is to be levied	Amount of Court fee		Total Amount short levied
							(₹200) Per vehicle	(₹100) Per vehicle	
1	RTO Bareilly	20,224	20,224	20	20	04/2016 to 03/2021	40,44,800	20,22,400	20,22,400
2	RTO Gonda	2,253	2,253	20	20	04/2016 to 03/2021	4,50,600	2,25,300	2,25,300
3	RTO Kanpur Nagar	51,343	51,343	20	20	04/2016 to 03/2021	1,02,68,600	51,34,300	51,34,300
4	RTO Meerut	31,049	31,049	20	20	04/2016 to 03/2021	62,09,800	31,04,900	31,04,900
5	RTO Prayagraj	31,033	31,033	20	20	04/2016 to 03/2021	62,06,600	31,03,300	31,03,300
6	RTO Varanasi	22,239	22,239	20	20	04/2016 to 03/2021	44,47,800	22,23,900	22,23,900
7	TC Office (AITP)	2,864	2,864	20	20	04/2016 to 03/2021	5,72,800	2,86,400	2,86,400
Total		1,61,005	1,61,005	140	140	04/2016 to 03/2021	3,22,01,000	1,61,00,500	1,61,00,500

Source: Information available on the basis of Audit findings

Appendix- 4.7A
(Referred to in para no. 4.2.7)
Statement showing permit renewed with pending challan

Sl. No.	Name of the Unit	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which challan done	Period in which Permit issued	Range of days* between which permit was issued after challan	Amount not realised (Challan Amount)
1	RTO Bareilly	6			12/2020 to 03/2021	02/2021 to 03/2021	14 to 79	2,06,672
2	RTO Gonda	7			03/2020 to 02/2021	11/2020 to 03/2021	23 to 342	49,250
3	RTO Kanpur Nagar	122			07/2020 to 02/2021	10/2020 to 03/2021	7 to 246	60,28,500
4	RTO Meerut	5			02/2021 to 03/2021	02/2021 to 03/2021	2 to 23	55,500
5	RTO Prayagraj	34			02/2020 to 03/2021	11/2020 to 03/2021	2 to 361	11,55,150
6	RTO Varanasi	71			06/2017 to 03/2021	05/2018 to 03/2021	2 to 626	36,31,050
7	ARTO Hamirpur	88	20		02/2018 to 03/2021	04/2018 to 03/2021	3 to 990	34,09,175
8	ARTO Kushi Nagar	41			09/2018 to 03/2021	03/2019 to 03/2021	1 to 620	10,41,900
9	ARTO Lakhimpur Khiri	1			Jan-21	Feb-21	50	1,000
10	ARTO Sant Ravidas Nagar	8			10/2020 to 02/2021	12/2020 to 03/2021	3 to 149	5,52,500
11	ARTO Sitapur	7	7	7	10/2020 to 12/2020	11/2020 to 03/2021	9 to 171	1,76,000
	Total	390	27	27	06/2017 to 03/2021	04/2018 to 03/2021	1 to 990	1,63,06,697

Source: Information available on the basis of Audit findings

Note*: Delay upto 30 days - 41 vehicles, amount ₹ 34.73 lakh; delay between 31 to 180 days - 56 vehicles, amount ₹ 89.17 lakh; delay between 181 to 365 days - 210 vehicles, amount ₹ 23.18 lakh; and delay more than one year - 83 vehicles, amount ₹ 15.99 lakh.

Appendix- 4.7 B
(Referred to in para no. 4.2.7)
Statement showing Fitness renewed with pending challan

Sl. No.	Name of the Unit	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which challan done	Period in which Fitness renewed	Range of days between which fitness was issued after challan	Amount not realised (Challan Amount) in ₹
1	RTO Bareilly	50			10/2020 to 03/2021	12/2020 to 03/2021	3 to 144	10,59,138
2	RTO Ghaziabad	6			02/2021 to 03/2021	02/2021 to 03/2021	2 to 50	1,00,250
3	RTO Gonda	32			10/2019 to 02/2021	11/2020 to 03/2021	4 to 532	4,94,000
4	RTO Kanpur Nagar	952			07/2020 to 03/2021	07/2020 to 03/2021	1 to 264	4,37,09,600
5	RTO Meerut	35			06/2017 to 03/2021	10/2017 to 03/2021	1 to 609	8,07,350
6	RTO Prayagraj	376			01/2020 to 03/2021	01/2020 to 03/2021	1 to 441	1,21,95,950
7	RTO Varanasi	457			06/2017 to 03/2021	09/2017 to 03/2021	1 to 1284	1,80,23,700
8	ARTO Hamirpur	732	20	20	07/2017 to 03/2021	03/2018 to 03/2021	1 to 1267	2,45,82,761
9	ARTO Kushi Nagar	346			09/2018 to 03/2021	10/2018 to 03/2021	1 to 909	1,21,26,125
10	ARTO Lakhimpur Khiri	11			Jan-21	01/2021 to 03/2021	11 to 81	74,000
11	ARTO Sant Ravidas Nagar	58			09/2020 to 03/2021	10/2020 to 03/2021	2 to 163	22,79,300
12	ARTO Sitapur	66	20	20	10/2020 to 03/2021	10/2020 to 03/2021	1 to 172	8,19,700
	Total	3,121	40	40	06/2017 to 03/2021	09/2017 to 03/2021	1 to 1284	11,62,71,874

Source: Information available on the basis of Audit findings

Note*: Delay upto 30 days – 422 vehicles, amount ₹ 1.51 crore; delay between 31 to 180 days - 1,671 vehicles, amount ₹ 6.52 crore; delay between 181 to 365 days – 549 vehicles, amount ₹ 2.18 crore; and delay more than one year- 479 vehicles, amount ₹ 1.43 crore.

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Total	390	27	27	06/2017 to 03/2021	04/2018 to 03/2021	1 to 990	1,63,06,697
Total	3,121	40	40	06/2017 to 03/2021	09/2017 to 03/2021	1 to 1284	11,58,04,374
4.7 TOTAL	3,511	67	67	06/2017 to 03/2021	09/2017 to 03/2021	1 to 1284	13,21,11,071

Note*: Delay upto 30 days - 463 vehicles, amount ₹ 1.85 crore; delay between 31 to 180 days - 1,727 vehicles, amount ₹ 7.41 crore; delay between 181 to 365 days - 759 vehicles, amount ₹ 2.41 crore; and delay more than one year - 562 vehicles, amount ₹ 1.59 crore.

Appendix- 4.8
(Referred to in para no. 4.2.8)
Statement showing challans sent to Hon'ble court with delay

Sl. No.	Name of the Unit	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which Challan done	Period in which Challans were forwarded to Court	Delay period in days*
1	RTO Bareilly	816			07/2017 to 11/2020	01/2018 to 03/2021	8 to 691
2	RTO Ghaziabad	246			06/2017 to 12/2020	12/2017 to 02/2021	8 to 546
3	RTO Gonda	188			07/2018 to 01/2021	08/2018 to 03/2021	8 to 498
4	RTO Kanpur Nagar	447			01/2020 to 01/2021	01/2020 to 03/2021	17 to 251
5	RTO Meerut	2,343			06/2017 to 01/2021	07/2017 to 03/2021	8 to 822
6	RTO Prayagraj	565			01/2020 to 01/2021	01/2020 to 03/2021	8 to 437
7	RTO Varanasi	648			06/2017 to 12/2020	02/2018 to 03/2021	8 to 1,184
8	ARTO Hamirpur	4,161	20	20	06/2017 to 02/2021	10/2017 to 03/2021	8 to 1,054
9	ARTO Kushi Nagar	536			04/2018 to 03/2021	07/2018 to 03/2021	8 to 1,026
10	ARTO Lakhimpur Kheri	3,486	20	20	06/2017 to 01/2021	08/2017 to 03/2021	8 to 842
11	ARTO Sant Ravidas Nagar	388			01/2020 to 01/2021	02/2020 to 03/2021	11 to 212
12	ARTO Sitapur	4,563	20	20	06/2017 to 02/2021	08/2017 to 03/2021	8 to 891
	Total	18,387	60	60	06/2017 to 03/2021	07/2017 to 03/2021	8 to 1,184

Source: Information available on the basis of Audit findings

Note*: Delay upto 30 days – 913 vehicles; delay between 31 to 180 days - 10,727 vehicles; delay between 181 to 365 days- 3,676 vehicles; and delay more than one year - 3,071 vehicles.

Appendix- 4.9
(Referred to in para no. 4.2.9)
Statement showing short realisation of compounding fee

Sl. No.	Name of the Unit	No. of vehicles whose challan was done	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which challan done	Penalty Due	Amount Deposited	Amount short realised
1	RTO Bareilly	1	1			January-21	17,000	14,000	3,000
2	RTO Ghaziabad	36	36			08/2019 to 03/2021	8,58,400	7,20,850	1,37,550
3	RTO Gonda	2	2	2	2	07/2019 to 08/2020	23,500	19,500	4,000
4	RTO Meerut	4	4	4	4	11/2020 to 03/2021	95,100	69,300	25,800
5	ARTO Hamirpur	1	1	1	1	December-19	42,750	40,750	2,000
6	ARTO Kushi Nagar	8	8			12/2019 to 08/2020	1,20,400	93,250	27,150
7	ARTO Lakhimpur Kheri	1	1	1	1	July-20	3,500	1,000	2,500
8	ARTO Sant Ravidas Nagar	1	1			March-21	80,000	8,000	72,000
9	ARTO Sitapur	1	1	1	1	August-19	5,500	3,100	2,400
Total		55	55	9	9	07/2019 to 03/2021	12,46,150	9,69,750	2,76,400

Source: Information available on the basis of Audit findings

Appendix- 4.10
(Referred to in para no. 4.2.10)
Statement showing permit fee, application fee and penalty not levied on transport vehicles plying without permit

Sl. No.	Name of the Unit	Type of Vehicles	No. of vehicles in which observation found through Data Analysis	Total No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which Permit is invalid	Application Fees	Permit Fees	Total Fees	Penalty at the rate of ₹ 10,000 per case	Total Amount
1	RTO Gonda	2 Wheeler Motorcycle	1	891	20	20	04/2016 to 01/2020	6,73,100	30,51,250	37,24,350	89,10,000	1,26,34,350
		3WT	388									
		Heavy Goods Vehicle	129									
		Heavy Passenger Vehicle	36									
		Light Goods Vehicle	40									
		Light Motor Vehicle	6									
		Light Passenger Vehicle	265									
		Medium Goods Vehicle	16									
		Medium Passenger vehicle	10									
		Light Passenger Vehicle	427									
2	Transport Commissioner Office	Light Motor Vehicle	2	449			10/2019 to 01/2020	2,81,400	10,08,000	12,89,400	44,90,000	57,79,400
		Medium Passenger vehicle	11									
		Heavy Passenger Vehicle	9									
		Total	1,340									

Source: Information available on the basis of Audit findings

Appendix- 4.11
(Referred to in para no. 4.2.11)
Statement showing delay in release of seized/detained vehicles

Sl. No.	Name of the Unit	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles in which objection found	Period in which Payment done	Period in which Vehicle released	Delay in days* after payment
1	RTO Bareilly	104			06/2017 to 02/2019	07/2017 to 01/2020	6 to 400
2	RTO Ghaziabad	8			06/2017 to 07/2017	Jul-17	7 to 45
3	RTO Gonda	7			07/2018 to 08/2018	01/2020 to 02/2020	512 to 546
4	RTO Kanpur Nagar	1			Jan-20	Jan-20	24
5	RTO Meerut	15			07/2017 to 06/2018	02/2018 to 10/2020	147 to 1,124
6	RTO Varanasi	3			08/2018 to 07/2019	Jan-20	207 to 524
7	ARTO Hamirpur	67	20	20	06/2017 to 02/2020	03/2018 to 01/2021	13 to 1,248
8	ARTO Kushi Nagar	45			05/2018 to 01/2020	11/2018 to 09/2020	7 to 637
9	ARTO Lakhimpur Kheri	14	12	12	07/2017 to 06/2020	09/2017 to 06/2020	7 to 245
10	ARTO Sant Ravidas Nagar	3			Jan-20	Feb-20	16 to 25
11	ARTO Sitapur	1	1	1	Feb-19	Jan-20	346
	Total	268	33	33	06/2017 to 06/2020	07/2017 to 10/2020	6 to 1,248

Source: Information available on the basis of Audit findings

Note*: Delay upto 30 days – 41 vehicles; delay between 31 to 180 days – 112 vehicles; delay between 181 to 365 days – 41 vehicles; and delay more than one year – 74 vehicles.

Appendix- 5.1
(Referred to in para no. 5.2.1)
Statement showing misclassification of maxi cabs as motor cabs

Sl. No.	Name of the units	Total number of objected vehicles which were misclassified	Number of vehicles validated by Audit	No. of vehicles out of validated data in which objection was found correct	Range of actual seating capacity as shown in Vahan application
1	RTO Bareilly	5	4	4	8
2	RTO Ghaziabad	64	20	20	8 to 9
3	RTO Kanpur Nagar	7	7	7	8
4	RTO Meerut	43	20	20	8 to 13
5	RTO Prayagraj	4	3	3	8
6	RTO Varanasi	9	7	7	8
7	ARTO Hamirpur	13	9	9	8 to 10
8	ARTO Kushinagar	7	6	6	8 to 9
9	ARTO Lakhimpur Kheri	9	9	9	8
10	ARTO Sant Ravidas Nagar	13	13	13	8
11	ARTO Sitapur	93	20	20	8 to 14
TOTAL		267	118	118	8 to 14

Source: Information available on the basis of Audit findings

Appendix- 5.2
(Referred to in para no. 5.2.2 (ii))
Statement showing incorrect mention of Cubic Capacity

Sl. No.	Name of the Unit		No. of vehicles registered showing cubic capacity as 'zero'	No. of vehicles validated by Audit	No. of vehicles out of validated data in which objection was found correct
1	RTO	Bareilly	103	20	20
2	RTO	Ghaziabad	959	20	20
3	RTO	Gonda	10	10	10
4	RTO	Kanpur Nagar	709	20	20
5	RTO	Meerut	260	20	20
6	RTO	Prayagraj	90	20	20
7	RTO	Varanasi	59	20	20
8	ARTO	Hamirpur	26	20	20
9	ARTO	Kushi Nagar	134	20	20
10	ARTO	Lakhimpur Kheri	57	20	20
11	ARTO	Sant Ravidas Nagar	1	1	1
12	ARTO	Sitapur	149	20	20
Total			2,557	211	211

Source: Information available on the basis of Audit findings

Appendix- 5.3
(Referred to in para no. 5.2.2 (ii))
Statement showing incorrect seating capacity under maxi cab category of vehicles

Sl. No.	Name of the Unit	No. of vehicles in which observation found through data analysis	No. of vehicles validated by Audit	No. of vehicles out of validated data in which objection was found correct	Type of vehicles in which objection was found	Authorised maximum seating capacity allowed	Range of actual seating capacity as shown in Vahan application
1	RTO Ghaziabad	22	18	18	Maxi cabs	12	14 to 15
2	RTO Meerut	2	1	1	Maxi cabs	12	14
3	RTO Varanasi	1	1	1	Maxi cabs	12	32
4	ARTO Lakhimpur Khiri	1			Maxi cabs	12	14
5	ARTO Sitapur	1	1	1	Maxi cabs	12	14
Total		27	21	21		12	14 to 32

Source: Information available on the basis of Audit findings

Appendix- 5.4
(Referred to in para no. 5.2.2 (iii))
Statement showing invalid date of registration of vehicles

Sl. No.	Name of the Unit	No. of vehicles in which observation found through Data Analysis	No. of vehicles validated by Audit	No. of vehicles out of validated data in which objection was found correct	Range of period in which registration was shown before purchase of vehicle (in days*)
1	RTO Bareilly	1	1	1	344
2	RTO Ghaziabad	3	1	1	01 to 710
3	RTO Kanpur Nagar	4	3	3	26 to 208
4	RTO Meerut	1			2
5	RTO Prayagraj	5	3	3	1 to 123
6	RTO Varanasi	3	2	2	7 to 10
7	ARTO Kushi Nagar	3	3	3	02 to 364
8	ARTO Lakhimpur Khiri	1	1	1	2
Total		21	14	14	1 to 710

Source: Information available on the basis of Audit findings

Note*: Delay upto 30 days – 13 vehicles; delay between 31 to 180 days – 3 vehicles; delay between 181 to 365 days – 4 vehicles; and delay more than one year - 1 vehicle.

Appendix- 5.5
(Referred to in para no. 5.2.2 (iv))
Statement showing vehicles where Next Inspection Date for fitness not mentioned

Sl. No.	Name of the Unit		No. of vehicles in which observation found through data analysis	No. of vehicles validated by Audit	No. of vehicles out of validated data in which objection was found correct
1	RTO	Bareilly	5,851	20	20
2	RTO	Ghaziabad	6,583	20	20
3	RTO	Gonda	1,353	20	20
4	RTO	Kanpur Nagar	244	20	20
5	RTO	Meerut	7,817	20	20
6	RTO	Prayagraj	9,219	20	20
7	RTO	Varanasi	11,098	20	20
8	ARTO	Hamirpur	897	20	20
9	ARTO	Kushi Nagar	1,426	20	20
10	ARTO	Lakhimpur Kheri	1,449	20	20
11	ARTO	Sant Ravidas Nagar	1,216	20	20
12	ARTO	Sitapur	1,670	20	20
Total			48,823	240	240

Source: Information available on the basis of Audit findings

Appendix- 5.6
(Referred to in para no. 5.2.2 (v))
Statement showing vehicles for which fitness certificate issued for more than one/two year

Sl. No.	Name of the Unit	No. of vehicles in which observation found through data analysis	No. of vehicles validated by Audit	No. of vehicles out of validated data in which objection was found correct	Range of validity of certificates issued for more than one/two year (in days*)
1	RTO Bareilly	4,933	20	20	3 to 1824
2	RTO Ghaziabad	7,501	20	20	3 to 731
3	RTO Gonda	763	20	20	3 to 405
4	RTO Kanpur Nagar	11,237	20	20	3 to 4795
5	RTO Meerut	5,371	20	20	3 to 736
6	RTO Prayagraj	9,778	20	20	3 to 4616
7	RTO Varanasi	11,681	20	20	3 to 3334
8	ARTO Hamirpur	518	20	20	3 to 386
9	ARTO Kushi Nagar	1,436	20	20	3 to 5021
10	ARTO Lakhimpur Kheri	1,688	20	20	3 to 737
11	ARTO Sant Ravidas Nagar	1,338	20	20	3 to 732
12	ARTO Sitapur	2,304	20	20	3 to 737
	Total	58,548	240	240	3 to 5,021

Source: Information available on the basis of Audit findings

Note*: Delay upto 30 days - 5,474 vehicles; delay between 31 to 180 days - 3 vehicles; delay between 181 to 365 days - 20 vehicles; and delay more than one year - 53,051 vehicles.

Appendix- 5.7
(Referred to in para no. 5.2.4)
Statement showing tax arrears pending as well as deficiency in data shown in Vahan application regarding tax defaulters

Sl. No.	Name of the unit		Arrear of taxes as shown in Vahan application		Number of vehicles in whose cases amount of tax arrear is being shown as 'NA'
			No. of cases (count)	Amount (in crore ₹)	
1	RTO	Bareilly	11,940	82.34	2,585
2	RTO	Ghaziabad	35,423	199.21	3,645
3	RTO	Gonda	3,293	24.21	1,739
4	RTO	Kanpur Nagar	17,514	148.70	3,093
5	RTO	Meerut	19,135	101.44	2,219
6	RTO	Prayagraj	26,652	170.98	5,408
7	RTO	Varanasi	25,347	162.81	9,790
8	ARTO	Hamirpur	2,577	13.74	444
9	ARTO	Kushinagar	1,999	8.18	580
10	ARTO	Lakhimpur Kheri	2,519	30.65	672
11	ARTO	Sant Ravidas Nagar	2,093	11.88	651
12	ARTO	Sitapur	3,837	31.96	987
TOTAL			1,52,329	986.10	31,813

Source: Information available on the basis of Audit findings

Appendix- 5.8
(Referred to in para no. 5.2.6 (iii))
Statement showing vehicles plying on road without PUC certificate

Sl. No.	Name of RTO/ARTO	Total Registered Vehicles as on 31.03.2021	Total number of vehicles whose PUC expired between 2019-21	No. of vehicles registered between April 2019 to February 2020 but have not applied for PUC even once after their registration	Percentage of vehicles with expired PUC to total registered vehicles as on 31.03.2021	No. of vehicles validated by Audit	No. of vehicles out of validated data against which objection was found correct
1	RTO Bareilly	9,05,302	85,119	51,657	15.11	20	20
2	RTO Ghaziabad	12,19,917	1,87,218	25,325	17.42	20	20
3	RTO Gonda	4,14,667	12,479	35,145	11.48	20	20
4	RTO Kanpur Nagar	15,23,518	1,19,584	71,711	12.56	20	20
5	RTO Meerut	9,34,613	1,43,742	24,022	17.95	20	20
6	RTO Prayagraj	14,42,769	1,48,275	91,251	16.60	20	20
7	RTO Varanasi	11,72,366	1,50,946	65,269	18.44	20	20
8	ARTO Hamirpur	1,54,375	6,776	12,202	12.29	20	20
9	ARTO Kushinagar	4,59,955	10,804	46,345	12.42	20	20
10	ARTO Lakhimpur Khiri	5,52,141	39,818	43,962	15.17	20	20
11	ARTO Sant Ravi Das Nagar	2,36,849	19,452	16,807	15.31	20	20
12	ARTO Sitapur	5,09,563	25,620	47,838	14.42	20	20
TOTAL		95,26,035	9,49,833	5,31,534	14.93	240	240

Source: Information available on the basis of Audit findings