

Appendix and Annexures

Appendix 1 : Sample selection (Para 1.7/Chapter I)							
Sl.No	Name of RAs Selected	Total AA issued	CIF in Crores	Sample selected	MV in Crore	Non Production of Records (NPR) cases	MV of NPR cases in Crore
1	Delhi	13193	113776.92	504	13187.37	35	372.42
2	Mumbai	28362	232686.8	873	30163.22	268	7450.54
3	Chennai	4431	26797.35	369	1239.89	0	
4	Kolkata	2772	17024.48	158	6826.79	16	572.52
5	Ahmedabad	8673	32644.31	398	8924.28	63	1331.5
6	Bengaluru	6168	115357.56	424	91487.2	0	
7	Hyderabad	4628	42789.49	316	12770.54	0	
8	Pune	1558	11449.8	128	1660.6	16	80.59
9	Vadodara	3798	70600.27	179	31499.05	0	
10	Jaipur	1219	6133.41	98	1938.61	0	
11	Coimbatore	1209	2450.94	118	576.44	0	
12	Kochi	878	5247.3	106	1255.76	0	
13	Visakhapatnam	152	575.68	24	31.99	0	
14	Guwahati	3	58.65	3	58.65	0	
15	Kanpur	993	2835.65	71	826.99	0	
16	Varanasi	112	204.11	40	113.98	0	
17	Ludhiana	936	3632.8	69	1233.33	0	
18	Chandigarh	855	7799.11	60	1777.25	0	
19	Panipat	239	1901.25	15	764.93	0	
20	Cuttack	98	929.26	42	703.35	6	95.52
21	Patna	5	20.93	5	20.95	1	3.62
22	Bhopal	396	3343.89	6	414.57	0	
23	Indore	996	5748.17	42	649.82	0	
	Total	81674	704008.13	4048	208125.56	405	9906.73
24	Other 15 RAs	6483	54132.87				
Total of 38 RAs		88157	758141				

Annexure 1A (Para 2.2/Chapter II)														
SI	RA Name	RA Code	Year	No. of days taken to issue authorisation from the filing date								Total		
				No. issued	upto days	3	4	1month	1-3 month	3-6 month	6-12 month		1-2 years	more than 2yrs
1	Kolkata	2	2015-16	578	115		389	35	13	12	14	0	578	
			2016-17	692	138		428	78	16	18	11	3	692	
			2017-18	725	187		487	37	8	6	0	0	0	725
			2018-19	691	117		511	48	13	2	0	0	0	691
2	Mumbai	3	2015-16	6881	988		4551	886	246	141	61	8	6881	
			2016-17	7370	1297		4961	790	181	99	36	6	7370	
			2017-18	6982	1075		4896	701	189	69	16	16	36	6982
			2018-19	7127	1047		4961	869	145	68	29	8	8	7127
3	Chennai	4	2015-16	1065	262		640	134	20	3	5	1	1065	
			2016-17	1081	149		779	132	11	4	5	1	1	1081
			2017-18	1135	140		873	108	9	1	1	4	0	1135
			2018-19	1150	70		954	101	14	6	5	0	0	1150
4	Delhi	5	2015-16	2861	795		1782	217	49	14	1	3	2861	
			2016-17	3175	1035		1861	229	30	9	6	5	5	3175
			2017-18	3361	1260		1872	133	21	28	29	18	18	3361
			2018-19	3763	1490		2119	131	21	1	1	0	0	3763
5	Kanpur	6	2015-16	206	125		75	4	1	1	0	0	206	
			2016-17	241	145		94	1	1	0	0	0	0	241
			2017-18	250	144		100	5	1	0	0	0	0	250
			2018-19	282	184		97	1	0	0	0	0	0	282
6	Bangaluru	7	2015-16	1410	282		910	161	40	16	1	0	1410	

Annexure 1A (Para 2.2/Chapter II)														
SI	RA Name	RA Code	Year	No. of days taken to issue authorisation from the filing date										Total
				No. issued	upto days	3 days-1month	4 days-1month	1-3 month	3-6 month	6-12 month	1-2 years	more than 2yrs		
7	Ahmedabad	8	2016-17	1594	239	1130	192	26	5	2	0	1594		
			2017-18	1574	516	930	92	14	11	11	0	1574		
			2018-19	1593	612	883	77	13	7	0	1	1593		
8	Hyderabad	9	2015-16	2121	630	1266	161	44	9	0	11	2121		
			2016-17	2168	562	1308	218	55	20	5	0	2168		
			2017-18	2061	308	1354	312	69	15	2	1	2061		
			2018-19	2322	365	1567	238	89	43	19	1	2322		
9	Cochin	10	2015-16	1211	81	954	144	27	5	0	0	1211		
			2016-17	1193	55	968	138	20	10	2	0	1193		
			2017-18	1091	37	887	139	17	6	4	1	1091		
			2018-19	1104	93	852	126	26	5	1	1	1104		
10	Bhopal	11	2015-16	228	27	180	17	1	2	1	0	228		
			2016-17	237	48	183	5	0	1	0	0	237		
			2017-18	172	34	124	14	0	0	0	0	172		
11	Jaipur	13	2018-19	239	30	184	21	4	0	0	0	239		
			2015-16	42	8	28	4	2	0	0	0	42		
			2016-17	62	11	40	7	3	1	0	0	62		
			2017-18	55	18	27	5	2	3	0	0	55		
11	Jaipur	13	2018-19	237	102	126	5	0	0	1	3	237		
			2015-16	265	23	233	9	0	0	0	0	265		
			2016-17	301	46	249	4	0	1	1	0	301		

Annexure 1A (Para 2.2/Chapter II)														
Sl	RA Name	RA Code	Year	No. of days taken to issue authorisation from the filing date										Total
				No. issued	upto days	3 days-1month	1-3 month	3-6 month	6-12 month	1-2 years	more than 2yrs			
			2017-18	310	57	241	9	3	0	0	0	0	0	310
			2018-19	319	98	215	6	0	0	0	0	0	0	319
12	Guwahati	14	2015-16	0	0	0	0	0	0	0	0	0	0	0
			2016-17	2	0	1	1	0	0	0	0	0	0	2
			2017-18	1	0	1	0	0	0	0	0	0	0	1
			2018-19	0	0	0	0	0	0	0	0	0	0	0
13	Varanasi	15	2015-16	33	11	17	5	0	0	0	0	0	33	
			2016-17	28	5	21	2	0	0	0	0	0	28	
			2017-18	22	3	16	3	0	0	0	0	0	22	
			2018-19	29	8	21	0	0	0	0	0	0	29	
14	Patna	21	2015-16	1	0	1	0	0	0	0	0	0	1	
			2016-17	1	0	0	1	0	0	0	0	0	1	
			2017-18	0	0	0	0	0	0	0	0	0	0	
			2018-19	3	0	1	2	0	0	0	0	0	3	
15	Chandigarh	22	2015-16	218	63	120	25	5	2	3	0	0	218	
			2016-17	193	93	89	7	1	1	1	1	1	193	
			2017-18	178	68	91	14	3	1	1	0	1	178	
			2018-19	240	58	154	18	9	0	1	0	0	240	
16	Cuttack	23	2015-16	8	5	3	0	0	0	0	0	0	8	
			2016-17	11	8	1	1	1	0	0	0	0	11	
			2017-18	51	37	13	1	0	0	0	0	0	51	

Annexure 1A (Para 2.2/Chapter II)														
SI	RA Name	RA Code	Year	No. of days taken to issue authorisation from the filing date									Total	
				No. issued	upto days	3 days-1month	4 days-1month	1-3 month	3-6 month	6-12 month	1-2 years	more than 2yrs		
17	Visakhapatnam	26	2018-19	26	13	0	12	1	9	0	0	0	0	26
			2015-16	31	0	21	0	21	1	9	0	0	0	31
			2016-17	37	5	28	4	28	0	4	0	0	0	37
			2017-18	38	0	21	12	21	3	12	3	1	0	38
			2018-19	42	1	29	5	29	1	5	1	6	0	42
18	Ludhiana	30	2015-16	220	120	84	84	6	6	8	2	0	220	
			2016-17	201	83	93	93	10	5	5	5	0	201	
			2017-18	219	136	64	64	9	7	2	1	0	219	
			2018-19	279	189	78	78	9	2	0	1	0	279	
			2015-16	537	117	337	337	73	6	1	3	0	537	
19	Pune	31	2016-17	408	83	251	251	31	18	3	4	408		
			2017-18	305	91	172	172	29	7	4	2	0	305	
			2018-19	308	108	169	169	21	6	2	2	0	308	
			2015-16	216	29	170	170	16	0	0	1	0	216	
20	Coimbatore	32	2016-17	252	42	188	188	17	5	0	0	252		
			2017-18	288	95	186	186	7	0	0	0	0	288	
			2018-19	448	241	200	200	7	0	0	0	0	448	
			2015-16	51	10	33	33	6	1	1	0	0	51	
21	Panipat	33	2016-17	60	10	42	42	8	0	0	0	60		
			2017-18	48	15	32	32	1	0	0	0	48		
			2018-19	79	28	47	47	3	0	1	0	79		

Annexure 1A (Para 2.2/Chapter II)													
Sl	RA Name	RA Code	Year	No. of days taken to issue authorisation from the filing date									
				No. issued	upto days	3 days-1month	1-3 month	3-6 month	6-12 month	1-2 years	more than 2yrs	Total	
22	Vadodara	34	2015-16	882	302	517	37	15	8	3	0	882	
			2016-17	1041	197	735	80	20	8	1	0	1041	
			2017-18	902	106	627	114	34	11	10	0	902	
			2018-19	973	193	559	148	54	16	3	0	973	
23	Indore	56	2015-16	280	135	106	30	7	2	0	0	280	
			2016-17	210	101	77	28	4	0	0	0	210	
			2017-18	264	125	106	13	5	6	1	8	264	
			2018-19	214	64	104	23	17	5	1	0	214	
Total			2015-16	19345	4128	12417	1979	485	220	93	23	19345	
			2016-17	20558	4352	13527	1984	397	200	78	20	20558	
			2017-18	20032	4452	13120	1758	392	164	81	65	20032	
			2018-19	21468	5111	13843	1860	414	162	64	14	21468	

Annexure 1B : Delay in issuance of AAs (Para 2.2/Chapter II)				
Sl.No.	Name of RA	RA Code	No. of cases where DL issued	No. of cases where DL not issued
1	Ahmedabad	8	6	16
2	Vadodara	34	0	5
3	Jaipur	13	1	14
4	Bengaluru	7	3	0
5	Chennai	4	0	6
6	Coimbatore	32	0	5
7	Kochi	10	13	6
8	Delhi	5	0	37
9	Indore	56	0	25
10	Bhopal	11	0	4
11	Hyderabad	9	0	290
12	Vishakhapatnam	26	18	6
13	Cuttack	23	0	10
14	Kolkata	2	25	48
15	Guwahati	14	2	0
16	Kanpur	6	3	4
17	Varanasi	15	3	9
18	Patna	21	2	0
19	Mumbai	3	111	270
20	Pune	31	33	37
Total			220	792

Annexure 2 : Non observance of financial powers while issuing AAs (Para 2.7.1/Chapter II)					
Sl No.	RA Name	RA Code	AA Date	FOB in ₹. Cr	CIF in ₹ Cr
1	Bengaluru	7	12.05.15	631.482	622.15
2	Bengaluru	7	12.05.15	1014.421	999.43
3	Bengaluru	7	16.09.15	961.615	947.404
4	Bengaluru	7	16.09.15	963.362	949.125
5	Bengaluru	7	16.09.15	965.811	951.538
6	Bengaluru	7	13.10.16	959.52	945.34
7	Bengaluru	7	13.10.16	956.033	941.905
8	Bengaluru	7	20.10.16	952.553	938.476
9	Bengaluru	7	20.10.16	949.078	935.052
10	Bengaluru	7	08.12.16	1013.985	999
11	Bengaluru	7	08.12.16	1014.926	999.927

Annexure 3: Unauthorized import after expiry of validity period of authorisation (Para 3.1.1/Chapter III)				
Sl. No	Site ID	Duty (in ₹cr.)	CIF Value (in cr.)	No of cases
1	INAPL6	0.09	0.53	1
2	INBOM4	0.70	6.27	126
3	INCCU1	0.02	3.64	13
4	INCCU4	0.25	2.24	51
5	INCOK1	0.07	0.88	1
6	INCPR6	0.00	1.22	5
7	INDEL4	3.82	32.37	304
8	INFBD6	0.10	0.91	4
9	INGHR6	0.11	1.99	5
10	INHVD4	0.07	0.41	16
11	INHZA1	0.00	3.16	16
12	INIXY1	0.15	42.74	20
13	INLON6	0.00	0.69	3
14	INMAA1	0.02	0.38	18
15	INMAA4	0.09	0.60	6
16	INMUN1	0.03	2.28	10
17	INNML1	0.00	0.66	3
18	INNSA1	19.48	43.25	129
19	INPPG6	0.06	0.71	2
20	INPTL6	0.01	0.15	1
21	INSGF6	0.00	0.07	1
22	INSNF6	0.15	1.78	2
23	INTKD6	0.22	4.47	49
	Total	25.42	151.39	786

Annexure 4: Undue extension of EOP (Para 3.2.1.4/Chapter III)							
Sl. No.	RA Name	Licence Date	CIF Value (in Cr.)	FOB value (in Cr.)	Date of import	Date of application received for revalidation	Revalidation issue date
1	Varanasi	26.04.2017	2.90	3.75	26.04.2018	22.05.2018	27.09.2018
2	Varanasi	15.06.2017	2.30	3.17	15.06.2018	25.06.2018	02.07.2018
3	Varanasi	01.03.2018	4.47	5.28	01.03.2019	26.03.2019	05.04.2019
4	Varanasi	31.08.2017	4.52	5.33	31.08.2018	05.10.2018	12.10.2018
5	Varanasi	30.01.2017	2.74	3.75	30.01.2018	15.02.2018	08.03.2018
6	Varanasi	01.10.2015	1.06	1.48	01.10.2016	04.01.2017	18.01.2017
7	Varanasi	07.10.2016	1.75	2.08	07.10.2017	21.11.2017	12.01.2018

Annexure 5: Non-declaration of actual imports by AH (Para 3.2.3.2/Chapter III)						
Sl.No	RA name	RA code	Licence DATE	Shortfall in FOB (in Cr.)	Composition Fee @ 1 per cent Payable	EODC Date
1	Chennai	4	20.06.16	0.23	22631	23.06.17
2	Chennai	4	08.02.17	0.18	18335	11.07.17
3	Chennai	4	08.08.17	0.15	14917	04.06.18
4	Chennai	4	14.09.17	0.69	68881	20.07.18
5	Chennai	4	21.06.17	0.18	17577	04.06.18
6	Chennai	4	14.08.17	0.73	73480	29.07.19
7	Chennai	4	08.12.16	0.91	90821	03.01.19
8	Chennai	4	19.07.17	0.78	78224	20.06.19
9	Chennai	4	18.07.17	0.40	39833	18.07.18
10	Chennai	4	12.05.17	0.53	53079	04.06.18
11	Coimbatore	32	26.09.16	-3.49	0	22.06.18
12	Coimbatore	32	07.04.16	-3.75	0	03.01.18
13	Coimbatore	32	10.02.16	0.02	0	09.11.17
					477778	

Annexure 6: Delay in submission of EODC application by the AH (Para 3.2.5.2/Chapter III)				
Sl.No	Name of RA	RA Code	No of cases	Delay ranging from (in days)
1	Chennai	4	19	6 to 546
2	Kochi	10	24	14 to 702
3	Indore	56	11	46 to 408
4	Hyderabad	9	100	5 to 749
5	Vishakhapatnam	26	4	203 to 792
6	Cuttack	23	3	36 to 69
7	Bengaluru	7	1	929
8	Jaipur	13	21	46 to 709
9	Ludhiana	30	3	203 to 432
10	Panipat	33	4	473 days delay in one case and in three cases EODC not applied
11	Chandigarh	22	3	EODC not applied
		Total	193	

Annexure 7: Non-linking of Export Shipping Bill/invoice with e-BRC (Para 3.2.7.2/Chapter III)				
S No.	RA Name	Licence Date	CIF (in Cr.)	FOB (in Cr.)
1	Kanpur	30.10.2015	31.00	56.91
2	Kanpur	13.04.2015	3.08	4.73
3	Kanpur	23.10.2017	3.05	4.49
4	Kanpur	08.05.2015	2.99	4.73
5	Kanpur	19.08.2015	2.88	4.55
6	Kanpur	26.12.2016	17.09	20.55
7	Kanpur	08.07.2016	14.22	32.94
8	Kanpur	22.09.2015	13.74	31.82
9	Kanpur	19.05.2015	12.96	30.01
10	Kanpur	17.03.2017	32.23	37.07
11	Kanpur	22.03.2016	26.92	30.95
12	Kanpur	05.05.2015	21.33	24.55
13	Kanpur	14.12.2016	19.89	22.90
14	Kanpur	26.04.2016	19.37	22.28
15	Kanpur	12.02.2016	17.43	20.05
16	Kanpur	12.02.2016	17.43	20.05

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S No.	RA Name	Licence Date	CIF (in Cr.)	FOB (in Cr.)
17	Kanpur	14.05.2015	15.20	17.63
18	Kanpur	22.07.2015	14.52	16.71
19	Kanpur	17.02.2016	14.30	16.45
20	Kanpur	07.01.2016	5.35	6.15
21	Kanpur	28.11.2017	4.20	4.83
22	Kanpur	13.01.2016	4.05	4.66
23	Kanpur	05.04.2016	3.07	3.53
24	Kanpur	05.04.2016	3.07	3.53
25	Kanpur	05.04.2016	3.07	3.53
26	Kanpur	09.11.2015	4.51	5.54
27	Kanpur	20.07.2016	4.50	5.40
28	Kanpur	09.02.2017	4.22	4.88
29	Kanpur	22.07.2016	3.89	4.70
30	Kanpur	20.02.2017	3.89	4.70
31	Kanpur	09.11.2015	3.87	4.62
32	Kanpur	18.11.2015	3.75	4.31
33	Kanpur	16.02.2017	3.50	4.03
34	Kanpur	18.08.2017	3.16	3.87
35	Kanpur	22.06.2016	3.04	4.08
36	Kanpur	20.04.2015	2.71	3.26
37	Kanpur	18.02.2016	2.54	3.40
38	Kanpur	05.04.2017	2.50	3.06
39	Kanpur	05.05.2017	2.50	3.06
40	Kanpur	01.01.2016	2.47	2.94
41	Kanpur	29.04.2016	2.47	2.94
42	Patna	13.10.2015	3.35	4.14