

Appendix-1.1
(Referred to in Paragraph 1.9, 1.10 and Paragraph No. 1.13)
Summarised financial results of Power Sector Undertakings for the latest year for which accounts were finalised

(₹ in crore)

Sl. No.	Name of PSU	Year of finalised Account	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed ¹	Net Worth/ Shareholder Fund ²	Accumulated profit/ loss
A	Generation								
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2017-18	1257.79	128.95	9991.01	10796.79	21283.25	11595.58	1049.92
2	Uttar Pradesh Jal Vidyut Nigam Limited	2015-16	14.05	-8.48	93.73	434.53	251.11	99.03	-335.50
3	Yamuna Power Generation Company Limited (Associates of Sl. No. 15)	2015-16	0.00	0.00 ³	0	0.05	-2.46	-2.46	-2.51
4	Sonebhadra Power Generation Company Limited (Subsidiary of Sl. No. 15)	2015-16	-1.17	-1.17	0	0.07	-6.12	-6.12	-6.19
5	UCM Coal Company Limited (Joint Venture of Sl. No. 1)	2016-17	-0.24	-0.24	0	0.16	0.34	-0.16	-0.32
6	UPSIDC Power Company Limited (Subsidiary of UPSIDC)	2013-14	-0.02	-0.02	0	0.05	-0.20	-0.20	-0.25
7	Jawaharpur Vidyut Utpadan Nigam Limited (Subsidiary of Sl. No.1)	2017-18	0.51	0.51	0	251.05	906.60	250.79	-0.26
Sub Total (A)			1270.92	119.55	10084.74	11482.70	22432.52	11936.46	704.89
B	Transmission								
8	Uttar Pradesh Power Transmission Corporation Limited	2015-16	507.07	-27.13	1682.64	10091.20	16993.23	9154.56	-901.46
9	Southern UP Power Transmission Company Limited (Subsidiary of Sl. No. 15)	2015-16	0.00	0.00 ⁴	0	0.05	-1.52	-1.52	-1.57
Sub Total (B)			507.07	-27.13	1682.64	10091.25	16991.71	9153.04	-903.03

¹ Capital employed is the sum total of Shareholder fund and Long Term Loans.

² Net worth is the sum total of paid-up capital and free reserves and surplus minus accumulated losses and deferred revenue expenditure. DRE of ₹ 35.18 crore was deducted in case Uttar Pradesh Power Transmission Corporation Limited to arrive at the net worth figure.

³ Incurred loss of ₹ 91,611 only.

⁴ Earned profit of ₹ 682.02 only.

Sl. No.	Name of PSU	Year of finalised Account	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed	Net Worth/ Shareholder Fund	Accumulated profit/ loss
C	Distribution								
10	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 15)	2016-17	-446.74	-867.32	9320.19	13646.98	5790.76	-3899.27	-17546.25
11	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 15)	2016-17	-302.77	-468.00	13161.10	10995.20	4465.39	-2424.07	-13419.27
12	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 15)	2016-17	-622.71	-722.80	7980.60	12553.30	6746.43	-989.42	-13542.72
13	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 15)	2016-17	-766.80	-1443.48	7731.75	14084.31	4754.85	-9183.29	-23267.6
14	Kanpur Electricity Supply Company Limited (Subsidiary of Sl. No. 15)	2016-17	423.72	319.55	2330.62	1568.77	380.86	-1612.7	-3181.47
15	Uttar Pradesh Power Corporation Limited	2015-16	-15444.99	-15444.99	0	66429.38	-42497.71	-42497.71	-62483.53
Sub Total (C)			-17160.29	-18627.04	40524.26	119277.94	-20359.42	-60606.46	-133440.84
Grand Total (A+B+C)			-15382.30	-18534.62	52291.64	140851.89⁵	19064.81	-39516.96	-133638.98

⁵ Paid up capital of ₹ 1,40,851.89 crore includes an amount of ₹ 46,694.69 crore in holding companies at Sl. No. 1 (₹ 251.13 crore) and Sl. No. 15 (₹ 46,443.56 crore) which was given by the Government for their subsidiary/associates/joint venture companies at Sl. No. 3,4,5,7,9,10,11,12,13 and 14. Therefore, the amount of ₹ 46,694.69 crore has been excluded for calculation of net worth and capital employed.

Appendix-1.2
(Referred to in Paragraph 1.9)
Investment made by Government and others in 15 Power Sector PSUs as on 31 March 2018

(₹ in crore)

Sl. No.	Name of the PSUs	Number of PSU	Equity		Total Equity	Long Terms Loans			Total Long Terms Loans	Total Investment (Equity and Long term loans)
			State Govt.	Others		State Govt. loan	Central Govt. Loan	Holding Company/ Other Financial Institutions loan		
1.	UP Rajya Vidyut Utpadan Nigam Ltd. (including subsidiary Jawaharpur VUNL)	2	10796.79	0.00	10796.79	0.00	0.00	10343.48	10343.48	21140.27
2.	Yamuna Power Generation Company Ltd.	1	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.05
3.	UPSIDC Power Co. Ltd. (Accounts Received. Upto 2013-14 only)	1	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.05
4.	UP Jal Vidyut Nigam Ltd. (Accounts Received Upto 2016-17 only)	1	435.33	0.00	435.33	64.65	0.00	85.21	149.86	585.19
5.	UP Power Transmission Corporation Ltd.	1	12494.42	0.00	12494.42	0.00	0.00	10762.29	10762.29	23256.71
6.	UPPCL (including subsidiaries i.e. PuVVNL, PVVNL, DVVNL, MVVNL, KESCO. SPGCL and SUPPTCL)	8	82991.49	0.20	82991.69	9783.44	0.00	45444.18	55227.62	13821 9.31
7.	UCM Coal Company Limited	1	0.00	0.16	0.16	0.00	0.00	1.19	1.19	1.35
Total		15	106718.03	0.46	106718.49	9848.09	0.00	66636.35	76484.44	183202.93

Note: (i) Total investment in Power Sector PSUs = (Equity in PSUs) + (Loan in PSUs) = ₹ 1,06,718.49 crore + ₹ 76484.44 crore = ₹ 1,83,202.93 crore.

(ii) Total Govt. investment in Power Sector PSUs = (GoUP Equity in PSUs) + (GoUP Loan in PSUs) = ₹ 1,06,718.03 crore + ₹ 9,848.09 crore = ₹ 1,16,566.12 crore.

Appendix 1.3
(Referred to in Paragraph 1.7)

Statement showing position of State Government investment in State PSUs (Power Sector) accounts of which are in arrears during the period of arrears

(₹ in crore)

Sl. No.	Name of PSU	Period upto which Accounts finalised	Period for which accounts are in arrear	Paid up Capital including share application money	Investment made by State Government during the period for which accounts were in arrear (₹ in crore)				
					Equity	Loans	Grant	Subsidy	Total
1	Uttar Pradesh Power Corporation Limited (including five subsidiaries PuVVNL, PVVNL, DVVNL, MVVNL and KESCO)	2015-16	2016-17 to 2017-18	66429.38	16562.10	3700.32	9788.26	12609.03	42659.71
2	Uttar Pradesh Jal Vidyut Nigam Limited	2015-16	2016-17 to 2017-18	434.53	0.80	0.00	0.00	0.00	0.80
3	UP Power Transmission Corporation Limited	2015-16	2016-17 to 2017-18	10091.20	2403.22	0.00	13.56	0.00	2416.78
Total					18966.12	3700.32	9801.82	12609.03	45077.29

Appendix-1.4
(Referred to in Paragraph 1.10)
Details of Top Profit/Loss making PSUs during 2017-18

(₹ in crore)

Sl. No.	Name of PSUs	Year of Account	Amount
Top profit making PSUs			
1	Kanpur Electricity Supply Company Limited	2016-17	319.55
2	UP Rajya Vidyut Utpadan Nigam Limited	2017-18	128.95
3	Jawaharpur Vidyut Utpadan Nigam Limited	2017-18	0.51
Total			449.01
Top loss making PSUs			
1	Uttar Pradesh Power Corporation Limited	2015-16	15444.99
2	Dakshinanchal Vidyut Vitran Nigam Limited	2016-17	1443.48
3	Purvanchal Vidyut Vitran Nigam Limited	2016-17	867.32
4	Madhyanchal Vidyut Vitran Nigam Limited	2016-17	722.80
5	Paschimanchal Vidyut Vitran Nigam Limited	2016-17	468.00
6	Uttar Pradesh Power Transmission Corporation Limited	2015-16	27.13
7	Uttar Pradesh Jal Vidyut Nigam Limited	2015-16	8.48
8	Sonebhadra Power Generation Company Limited	2015-16	1.17
9	UCM Coal Company Limited	2016-17	0.24
10	UPSIDC Power Company Limited	2013-14	0.02
Total			18983.63

Details of marginal/ no Profit/Loss making PSUs during 2017-18

Sl. No.	Name of PSUs	Year of Account	Amount
1	Yamuna Power Generation Company Limited	2015-16	0.00 ⁶
2	Southern UP Power Transmission Company Limited	2015-16	0.00 ⁷
Total			0.00

⁶ Incurred loss of ₹ 91,611 only.

⁷ Earned profit of ₹ 682.02 only.

Appendix-1.5

(Referred to in Paragraph 1.12)

Statement showing State Government funds infused in the four Power Sector Undertakings from the year 2000-2001 to 2017-18

(₹ in crore)

Year	UPJVNL				UPRVUNL				UPPTCL				UPPCL				Grand Total			
	Equity	CG	IFL	IBL	Equity	CG	IFL	IBL	Equity	CG	IFL	IBL	Equity	CG	IFL	IBL	Equity	CG	IFL	IBL
Up to 2000-01	372.88	20.00	3.55	62.60	1764.25	0.00	0.00	187.00	0.00	0.00	0.00	0.00	4199.34	7.02	0.00	1562.09	6336.47	27.02	3.55	1811.69
2001-02	0.00	4.82	0.00	-1.50	32.82	24.59	0.00	24.59	0.00	0.00	0.00	0.00	282.21	173.23	0.00	152.23	315.03	202.64	0.00	175.32
2002-03	0.00		0.00	0.00	0.00	0.00	0.00	7.50	0.00	0.00	0.00	0.00	225.90	143.57	0.00	281.90	225.90	143.57	0.00	289.40
2003-04	21.06		0.00	0.00	335.85	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5694.39	140.62	0.00	-1673.23	6051.30	140.62	0.00	-1673.23
2004-05	10.50		0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	895.30	172.27	0.00	209.06	906.80	172.27	0.00	209.06
2005-06	10.65		0.00	0.00	389.89	0.00	0.00	0.00	0.00	0.00	0.00	0.00	394.06	67.82	0.00	89.91	794.60	67.82	0.00	89.91
2006-07	9.16		0.00	0.00	407.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2697.37	25.00	0.00	0.00	3113.53	25.00	0.00	0.00
2007-08	1.00		0.00	0.00	1006.00	0.00	0.00	0.00	2213.34	0.00	0.00	99.71	4039.91	99.48	0.00	-99.71	7260.25	99.48	0.00	0.00
2008-09	2.00		0.00	0.00	778.00	0.00	0.00	0.00	428.55	0.00	0.00	0.00	5013.79	315.94	0.00	0.00	6222.34	315.94	0.00	0.00
2009-10	3.50		0.00	0.00	812.20	0.00	0.00	0.00	891.57	0.00	0.00	0.00	3615.10	0.00	0.00	0.00	5322.37	0.00	0.00	0.00
2010-11	1.00		0.00	0.00	775.00	0.00	0.00	0.00	500.00	0.00	0.00	0.00	3107.52	0.00	0.00	-100.00	4383.52	0.00	0.00	-100.00
2011-12	0.00		0.00	0.00	555.75	0.00	0.00	-219.09	409.05	0.00	0.00	0.00	3349.56	0.00	0.00	0.00	4314.36	0.00	0.00	-219.09
2012-13	1.39		0.00	0.00	865.35	0.00	0.00	0.00	800.00	0.00	0.00	0.00	2158.79	17.00	0.00	0.00	3825.53	17.00	0.00	0.00
2013-14	1.39		0.00	0.00	117.89	0.00	0.00	0.00	1394.08	0.00	0.00	0.00	5067.59	69.77	0.00	-422.25	6580.95	69.77	0.00	-422.25
2014-15	0.00		0.00	0.00	351.90	0.00	0.00	0.00	2004.61	0.00	0.00	-99.71	9189.65	83.02	0.00	0.00	11546.16	83.02	0.00	-99.71
2015-16	0.00		0.00	0.00	1129.51	0.00	0.00	0.00	1450.00	0.00	0.00	0.00	16498.92	0.00	6083.12	0.00	19078.43	0.00	6083.12	0.00
2016-17	0.00		0.00	0.00	788.00	0.00	0.00	0.00	1695.00	0.00	0.00	0.00	9722.97	75.00	3700.32	0.00	12205.97	75.00	3700.32	0.00
2017-18	0.80		0.00	0.00	686.38	0.00	0.00	0.00	708.22	0.00	0.00	0.00	6839.12	83.40	0.00	0.00	8234.52	83.40	0.00	0.00
Total	435.33	24.82	3.55	61.1	10796.79	24.59	0.0	0.0	12494.42	0.0	0.0	0.0	82991.49	1473.14	9783.44	0.0	106718.03	1522.55	9786.99	61.10

Note: CG = Capital Grant, IFL = Interest Free Loan, IBL = Interest Bearing defaulted Loan.

Appendix-1.6
(Referred to in Paragraph 1.12)

Statement showing details of investment by the State Government and present value (PV) of Government funds without considering defaulted interest bearing loan

(₹ in crore)

Financial year	Present value of total investment at the beginning of the year	Equity infused by the state government during the year	Total of Interest free Loans and capital grants given by the state government during the year	Total investment during the year	Average rate of interest on government borrowings (in per cent)	Total investment at the end of the year	Present value of total investment at the end of the year	Minimum expected return to recover cost of funds for the year	Total Earnings for the year
1	2	3	4	5 (Col. 3 + Col. 4)	6	7 (Col. 2 + Col. 5)	8 Col 7 * (1+ Col 6 /100)	9 (Col. 7 * Col. 6/100)	10
up to 2000-01		6336.47	30.57	6367.04	9.58	6367.04	6977.00	609.96	
2001-02	6977.00	315.03	202.64	517.67	9.49	7494.67	8205.92	711.24	-1562.66
2002-03	8205.92	225.90	143.57	369.47	7.22	8575.39	9194.53	619.14	-1453.67
2003-04	9194.53	6051.30	140.62	6191.92	9.13	15386.45	16791.23	1404.78	-1420.28
2004-05	16791.23	906.80	172.27	1079.07	9.47	17870.30	19562.62	1692.32	-2404.25
2005-06	19562.62	794.60	67.82	862.42	6.49	20425.04	21750.63	1325.59	-3146.92
2006-07	21750.63	3113.53	25.00	3138.53	6.74	24889.16	26566.68	1677.53	-4288.59
2007-08	26566.68	7260.25	99.48	7359.73	6.43	33926.41	36107.88	2181.47	-7931.01
2008-09	36107.88	6222.34	315.94	6538.28	6.29	42646.16	45328.61	2682.44	-10585.24
2009-10	45328.61	5322.37	0.00	5322.37	6.16	50650.98	53771.08	3120.10	-8916.25
2010-11	53771.08	4383.52	0.00	4383.52	6.67	58154.60	62033.51	3878.91	-8682.32
2011-12	62033.51	4314.36	0.00	4314.36	6.62	66347.87	70740.10	4392.23	-11914.56
2012-13	70740.10	3825.53	17.00	3842.53	6.73	74582.63	79602.04	5019.41	-13151.15
2013-14	79602.04	6580.95	69.77	6650.72	6.43	86252.76	91798.81	5546.05	-17719.95
2014-15	91798.81	11546.16	83.02	11629.18	6.40	103427.99	110047.38	6619.39	-19110.96
2015-16	110047.38	19078.43	6083.12	25161.55	6.35	135208.93	143794.70	8585.77	-18127.40
2016-17	143794.70	12205.97	3775.32	15981.29	6.82	159775.99	170672.71	10896.72	-17986.14
2017-18	170672.71	8234.52	83.40	8317.92	6.54	178990.63	190696.62	11705.99	-18534.62
Total		106718.03	11309.54	118027.57					

Appendix-2.1
(Referred to in Paragraph 2.4)
Statement showing role of various wings of the Company

Planning wing

- Conceptualisation and identification of the projects.
- Obtaining the proposals for creation of transmission projects from field units.
- Assessing the technical and financial viability of the proposals (DPRs) of the projects.
- Obtaining the approvals from the Transmission Works Committee (TWC), BoD of the Company and the State Government (Projects valuing above ₹ 10 crore are sent to the State Government for approval). TWC is headed by the Managing Director of the Company with the Directors Finance, Planning, Operation and Works & Projects as members.

Design and Procurement wing

- Invitation and finalisation of tenders for execution of works and procurement of materials
- Eradication of the bottlenecks in the project.
- Monitoring of the projects from the headquarters

Fund Wing

- Submission of proposals for arrangement of funds required for execution of the projects.
- Availing the equity from the Government and loans from the Financial Institutions.

Field wings

- Preparation of estimates/DPR/deposit related estimates/demand/drawal of deposit funds.
- Execution of the projects as per terms and conditions of the turn-key agreements/departmentally.
- Releasing the payment to the contractors.
- Monitoring the progress of the projects at field level.

Appendix 2.2

{Referred to in Paragraph 2.8 (i)}

Statement showing details of physical position of Sub-stations and Lines at the beginning of 2013-14 and additions during 2013-14 to 2017-18

Status of sub-stations (SSs)									(in MVA)	
Nos. and capacity of SSs at the beginning of 2013-14	765 KV SSs		400 KVSSs		220 KV SSs		132 KV SSs		Total	
	No.	Capacity	No.	Capacity	No.	Capacity	No.	Capacity	No.	Capacity
	1	1000	14	9010	71	22050	274	26590	360	58650
Construction of new sub-station during 2013-14 to 2017-18										
Year	No. of SSs	Capacity added during the year in MVA	No. of SSs	Capacity added during the year in MVA	No. of SSs	Capacity added during the year in MVA	No. of SSs	Capacity added during the year in MVA	No. of S/s	Capacity added during the year in MVA
2013-14	0	0	0	0	4	1000	13	740	17	1740
2014-15	0	0	0	0	8	1380	31	1550	39	2930
2015-16	0	0	2	980	5	1100	25	1220	32	3300
2016-17	1	3000	1	315	10	1640	38	1952	50	6907
2017-18	0	0	3	1545	8	2040	23	1583	34	5168
Total new constructed	1	3000	6	2840	35	7160	130	7045	172	20045
Total sub-stations at the end of 2017-18									532	
Augmentation of capacity of existing sub-station										
2013-14	1	1000	1	185	8	520	53	1519	63	3224
2014-15	0	0	1	500	4	260	47	1239	52	1999
2015-16	0	0	4	1280	24	1760	60	1599	88	4639
2016-17	0	0	2	685	16	990	136	3783	154	5458
2017-18	1	1000	10	1980	33	2690	85	2648	129	8318
Total augmented	2	2000	18	4630	85	6220	381	10788	486	23638
Total SSs and Transformation capacity addition in 2013-14 to 2017-18		5000		7470		13380		17833		43683
Capacity at the end of 2017-18		6000		16480		35430		44423		102333
Status of Lines									(in Ckm)	
	765 KV		400 KV		220 KV		132 KV		Total	
Length of lines upto 2012-13 (at the beginning of TA period)	410.93		4259.42		8090.77		13913		26674.12	
Construction of new lines (Added during TA period i.e. 2013-14 to 2017-18)										
2013-14	0		518.312		84.434		351.167		953.913	
2014-15	2.63		136.1		558.558		691.672		1388.96	
2015-16	0		71.6		522.55		1013.29		1607.44	
2016-17	336.81		233.74		730.216		1136.049		2436.815	
2017-18	335		343.38		601.408		1810.959		3090.747	
Total lines constructed	674.44		1303.132		2497.166		5003.137		9477.875	
Total length of lines as on March 2018	1085.37		5562.552		10587.936		18916.137		36151.995	

Appendix 2.3
(Referred to in Paragraph 2.10)

Statement showing the position of the construction of new SSs and augmentation of existing SSs planned during 2013-14 to 2017-18

Year	Capacity of SS	Details of New substations				Details of augmentation of existing substations			
		No. of new SSs planned	Capacity of newly planned SSs (in MVA)	Status of newly planned SSs (in No.)		No. of SSs planned for augmentation	Capacity of newly augmented SSs (in MVA)	Status of SSs planned for augmentation (in No.)	
				Completed	WIP			Completed	WIP
2013-14	765	-	-	-	-	1	1000	1	-
	400		-	-	-	-	-	-	-
	220	2	720	1	1	9	355	9	-
	132	12	720	11	1	28	728	28	
2014-15	765	-	-	-	-	-	-	-	-
	400	3	3240	-	3	1	150	1	-
	220	18	6220	9	9	9	752	8	1
	132	31	2658	20	11	18	487	18	-
2015-16	765	-	-	-	-	-	-	-	-
	400	2	2350	-	2	6	1165	6	-
	220	16	4000	7	9	37	2659	33	4
	132	16	1206	13	3	99	2802	96	3
2016-17	765	-	-	-	-	-	-	-	-
	400	4	4910	-	4	5	840	4	1
	220	3	1180	-	3	26	1976	21	5
	132	14	1304	1	13	63	1813	59	4
2017-18	765	-	-	-	-	-	-	-	-
	400	1	1000	-	1	7	1925	-	7
	220	11	3626	-	11	17	1512	1	16
	132	22	1886	-	22	57	1643	12	45
Total		155	35020	62	93	383	19807	297	86

Appendix 2.4
{{(Referred to in Paragraph 2.14 (i))}

Statement showing the connected load of new SSs which were overloaded within a year of construction

Statement showing the position of new SSs (220 KV) overloaded within an year of construction									
Sl. No.	Name of Division	Name of SS	Capacity (in MVA)	Date of approval by TWC	Date of commissioning	Sanctioned Cost (₹ in crore)	80 per cent of the installed capacity	Connected load up to November 2018	Percentage of connected load against 80 per cent of the installed capacity
1	ETD-I,Kanpur	220 KV, Sikandara	100	09.07.2011	28.05.2018	33.12	80	90	112.50
2	ETC-Kanpur (ETD-Fatehgarh)	220 KV, Neebkarori	200	02.02.2015	31.03.2018	109.00	160	200	125.00
Total						142.12			

Statement showing the position of new SSs (132 KV) overloaded within an year of construction									
Sl. No.	Name of Division	Name of SS	Capacity (in MVA)	Date of TWC	DOC	Sanctioned Cost (₹ in crore)	80 per cent of the installed capacity	Connected load	Percentage of connected load against 80 per cent of the installed capacity
1	ETD-II, Agra	132 KV, Bichpuri	80	08.04.2013	19.06.2017	16.17	64	65	101.56
2	ETD-Robertsganj	132 KV, Pasahi	40	08.07.2015	18.05.2018	28.90	32	38	118.75
3	ETD-Basti	132 KV, Nathnagar	40	27.08.2008	Mar-16	12.57	32	40	125.00
4	ETC-Kanpur (ETD-Fatehgarh)	132 KV, Talegram	80	05.05.2016	16.03.2018	34.00	64	80	125.00
5	ETD-I,Kanpur	132 KV, Rasoolabad	80	13.02.2014	04.03.2016	32.94	64	80	125.00
6	ETD, Sitapur	132 KV, Neri	60	06.07.2012	09.03.2016	12.00	48	75	156.25
7	ETC, Kanpur (ETD-Etawah)	132 KV, Takha	40	06.07.2012	08.06.2016	10.30	32	50	156.25
8	ETD-Jhansi	132 KV, Gurusarai	40	07.09.2011	02.12.2016	18.54	32	63	196.88
9	ETD, Sitapur	132 KV, Mahmoodabad	20	06.07.2012	23.03.2017	12.00	16	45	281.25
Total						177.42			

Appendix 2.5

{{(Referred to in Paragraph 2.14 (ii))}

(A) Statement showing the position of the overloaded 220 KV SSs

Sl. No.	Name of the division	Name of the SS	Installed capacity (in MVA)	80 per cent of the installed capacity (in MVA)	Connected load upto November 2018 (in MVA)	Overload in MVA	Percentage of overload (against 80 per cent Capacity)
1	ETD-Basti	220 KV, Basti	360	288	370	82	28
2	ETD-II, Gorakhpur	220 KV, Barahuwa	520	416	569	153	37
3	ETD-Sitapur	220 KV, Sitapur	300	240	479	239	100
4	ETD-II, Prayagraj	220 KV, Rewa Road	520	416	831	415	100
5	ETD-Sitapur	220 KV, Sitapur	143	114	145	31	27

(B) Statement showing the position of the overloaded 132 KV SSs

Sl. No.	Name of the division	Name of the SS	Installed capacity (in MVA)	80 per cent of the installed capacity (in MVA)	Connected load (in MVA)	Overload in MVA	Percentage of overload (against 80 per cent Capacity)
1	ETD-Basti	132 KV, Naugarh	60	48	60	12	25
2	ETD-Basti	132 KV, Dumariaganj	80	64	80	16	25
3	ETD-Basti	132 KV, Nathnagar	40	32	40	8	25
4	ETD-I, Gorakhpur	132 KV, Rajapakar	80	64	85	21	33
5	ETD-Basti	132 KV, Bansi	60	48	65	17	35
6	ETD-II, Gorakhpur	132 KV, Kauriram	103	82	113	31	38
7	ETD-Sitapur	132 KV, Sidhauri	60	48	66	18	38
8	ETD-I, Lucknow	132 KV, TRT	189	151	210	59	39
9	ETD-I, Gorakhpur	132 KV, FCI, Gorakhpur	166	133	185	52	39
10	ETD, Aligarh	132 KV, Aligarh-III	120	96	135	39	41
11	ETD-Basti	132 KV, Khalilabad	80	64	90	26	41
12	ETD-Basti	132 KV, Mehdawal	40	32	45	13	41
13	ETD-Jhansi	132 KV, Lalitpur	100	80	116	36	45
14	ETD-Jhansi	132 KV, Hansari	143	114	170	56	49
15	ETD-Jhansi	132 KV, Mehrauni	40	32	48	16	50
16	ETD-Sitapur	132 KV, Neri	60	48	75	27	56
17	ETD-Sitapur	132 KV, Biswan	40	32	50	18	56
18	ETD, Aligarh	132 KV, Aligarh-V	80	64	104	40	63

Sl. No.	Name of the division	Name of the SS	Installed capacity (in MVA)	80 per cent of the installed capacity (in MVA)	Connected load (in MVA)	Overload in MVA	Percentage of overload (against 80 per cent Capacity)
19	ETD-I, Gorakhpur	132 KV, Kasia, Kushinagar	166	133	218	85	64
20	ETD-II, Lucknow	132 KV, Khurram Nagar	120	96	160	64	67
21	ETD, Aligarh	132 KV, Jattari	80	64	108	44	69
22	ETD, Aligarh	132 KV, Boner	120	96	163	67	70
23	ETD-II, Lucknow	132 KV, NKN	120	96	166	70	73
24	ETD, Aligarh	132 KV, Sarsol	143	114	215	101	89
25	ETD-III, Lucknow	132 KV, Barabanki	126	101	193	92	91
26	ETD-Jhansi	132 KV, Gurusarai	40	32	63	31	100
27	ETD-Sitapur	132 KV, Laherpur	20	16	35	19	119
28	ETD-I, Lucknow	132 KV, Mohan Road	80	64	168	104	163
29	ETD-Sitapur	132 KV, Mahmoodabad	20	16	45	29	181

Appendix 2.6
(Referred to in Paragraph 2.16)

Statement showing the position of procurement of material without synchronising with erection activities

Sl. No.	Name of the division	Name of the project	Name of the material	Quantity of material	Date of receipt of supply	Value of the material (₹ in crore)	Date of utilisation	Period (months) for which the material was lying idle leaving three months from the date of receipt up to November 2018	Loss of interest on 70 per cent of the value of the Material (₹ in crore)
1	ETD-Mau	Augmentation of 132 KV S/s Mau New	63 MVA transformer	1 Nos.	Nov-15	1.8	Sep-16	6	0.07
		132 KV Dohright-Kathmanglahroo Line	Panther Conductor	24.322 Km	Mar-18	0.38	Not used till date	4	0.01
2	ETD-II, Gorakhpur	132 KV Anand Nagar-Naugarh Line	ACSR Panther Conductor	116.16 Km	Oct-17	1.14	Not used till date	8	0.05
		220 KV Gola Line	Zebra Conductor	250.757 Km	Sep-17	5.26	Apr-18	3	0.10
		132 KV Kauriram- dohrighat line	ACSR Panther Conductor	82.495 Km	Mar-18	1.06	Not used till date	4	0.01
		132 KV Bansi-Nautanwa Line	ACSR Panther Conductor	195.95 Km	Feb-18	2.4	Not used till date	4	0.04
3	ETD-Aligarh	220 KV SC line, Aligarh-Boner	Zebra Conductor	23.998	Dec-15	0.52	Not used till date	32	0.10
		132 KV SC Line Khair-Iglas	Panther Conductor	83.519	May-16	1.04	Not used till date	27	0.17
4	ETD-II, Varanasi	132 KV Bhadaura-Kundesgarh Line	ACSR Panther Conductor	106.979 Km	Dec-15	1.4	Not used till date	31	0.26
			Other materials		Till May 2016	2.54	Not used till date	26	0.40
5	ETD-II, Agra	Augmentation of 220 KV S/s Sikandara	60 MVA transformer	1 nos.	Dec-14	2.74	Feb-16	10	0.17
6	ETD- Azamgarh	132 KV Azamgarh	63 MVA transformer	1 No.	Jul-13	1.73	Nov-14	12	0.13
			63 MVA transformer	1 No.	Oct-16	1.73	Apr-17	2	0.02
		220 KV Azamgarh	160 MVA transformer	1 No.	May-17	5.74	Jan-18	5	0.18
7	ETD-Baharaich	132 KV Begampur	40 MVA transformer	1 No.	Jun-16	1.47	Jan-17	3	0.03
			40 MVA transformer	1 No.	Jun-16	1.47	Jan-17	8	0.07
8	ETD-Banda	220 KV Banda	40 MVA transformer	1 No.	Aug-12	1.67	Jan-16	37	0.38

Sl. No.	Name of the division	Name of the project	Name of the material	Quantity of material	Date of receipt of supply	Value of the material (₹ in crore)	Date of utilisation	Period (months) for which the material was lying idle leaving three months from the date of receipt up to November 2018	Loss of interest on 70 per cent of the value of the Material (₹ in crore)
9	ETD-Faizabad	220 KV PGCIL Sohawal –New Tanda DC line	ACSR Zebra conductor	425.88 kms.	Up to July 2013	7.71	Aug-14	9	0.43
		132 KV Akbarpur	63 MVA transformer	1 No.	Feb-17	1.89	Jul-17	1	0.01
10	ETD-I, Lucknow	132 KV Neebu Park	63 MVA transformer	1 No.	Jul-14	1.9	Feb-15	3	0.03
		220 KV SS, Hardoi Road	200 MVA transformer	1 No.	Jul-15	4.77	Jan-16	2	0.06
		132 KV SS, TRT	63 MVA transformer	1 No.	Mar-16	1.75	Dec-16	5	0.05
11	ETD-II,Allahabad	132 KV GIS, Old power House	63 MVA transformer	2 No.	May-14	5.66	Mar-16	18	0.62
		220 KV SS, Rewa Road	40 MVA transformer	1 No.	Dec-16	1.46	Jul-18	15	0.13
		132 KV SS, Salaykhurd	40 MVA transformer	1 No.	Aug-16	1.46	Jul-18	19	0.17
12	ETD II Kanpur	220 KV SS, Sarh	40 MVA transformer	1 No.	May-17	1.35	Mar-18	6	0.05
		132 KV SS, Krishna Nagar	63 MVA transformer	1 No.	Apr-17	1.74	Sep-17	1	0.01
13	ETD-III, Varanasi	220 KV SS Sahupuri	200 MVA transformer	1 No.	Aug-17	4.5	Feb-18	2	0.06
		132 KV Jeonathpur (Mughalsarai)	40 MVA transformer	2 No.	Apr-12	4.76	May-14	21	0.61
14	ETD-Sitapur	220 KV Sitapur Nighasan line	ACSR Zebra conductor	367.51 kms.	Up to February 2012	6.67	Dec-13	18	0.74
		132 KV lakhimpur-Laharpur line	ACSR Panther conductor	99.05 kms.	Up to Nov 2012	1.18	May-16	38	0.27
		220 KV SS Sitapur	200 MVA transformer	1 No.	Jul-17	4.37	Dec-17	1	0.03
Total						85.26			5.45

Appendix 2.7
{{(Referred to in Paragraph 2.17 (i))}
Statement showing the details of Transformers of various capacities procured through TKCs

Sl. No.	Name of SSs	LoI No. and date	Transformer capacity (in MVA)	No. of transformer	Rate awarded to TKC per transformer (₹ in crore)	Total awarded value of transformer (₹ in crore)	Date of supply of transformer by TKC	Date of procurement of transformer by the Company (independent Supply)	Rate per transformer (independent supply) (₹ in crore)	Difference in rate (₹ in crore)	Rate of TKC higher by (in per cent)	Total excess exp. (₹ in crore)
1	2	3	4	5	6	7	8	9	10	11 (col 6-col 10)	12 (11* 100/10)	13 (col 11*col 5)
1	Chhata	64/4.3.14	160	2	5.49	10.98	15.3.16, 20.10.18	30.12.13	3.69	1.80	49	3.60
2	Sikandrarahathras	69/10.4.15	160	2	5.48	10.96	28.4.16, 23.7.16	7.1.15	3.47	2.01	58	4.02
3	Partapur	126/13.7.15	160	2	5.83	11.66	16.2.17, 25.3.17	7.1.15	3.47	2.36	68	4.72
4	Sultanpur road Lucknow	151/22.3.16	60	5	3.37	16.85	5.2017, 8.2017	27.2.16	2.71	0.66	24	3.30
Total						50.45						15.64

Appendix 3.1
(Referred to in Paragraph 3.1)
Statement showing loss of revenue due to incorrect billing to the Consumer

Sl. No.	Month	Recorded demand in (kVA)	Amount of bill raised by the Division (in ₹)	Amount of bill verified by the Lift Division (in ₹)	Bill to be raised as per provision of Tariff Order (in ₹)	Short (+)/Excess (-) charged by the Division (in ₹)	Remarks for incorrect billing
1	2	3	4	5	6	7 (col 6-col 5)	8
1	January 15	1800	1193132	864409	2066625	1202216	Minimum charge
2	February 15	1240	1474193	1216079	2066625	850546	Minimum charge
3	March 15	1040	1066379	711393	2066625	1355232	Minimum charge
4	April 15	120	1027802	829511	2066625	1237114	Minimum charge
5	May 15	480	1523792	1452315	2066625	614310	Minimum charge
6	June 15	920	1821386	1774455	2066625	292170	Minimum charge
7	July 15	1440	2480094	2480094	2311914	-168180	Billable Demand
8	August 15	1680	3433339	3433339	3265159	-168180	Billable Demand
9	September 15	1960	7688022	7520337	7188220	-332117	Billable Demand
10	October 15	2760	4877769	4771379	4966141	194762	Excess demand
11	November 15	2200	1191360	745598	2242400	1496802	Minimum charge
12	December 15	280	2052615	2007845	2242400	234555	Minimum charge
13	January 16	960	1659239	1623049	2242400	619351	Minimum charge
14	February 16	880	2124138	2077808	2242400	164592	Minimum charge
15	March 16	1640	1414869	1414869	2242400	827531	Minimum charge
16	April 16	560	2240369	2032738	2242400	209662	Minimum charge
17	May 16	1720	1656259	1656259	2242400	586141	Minimum charge
18	June 16	920	2301103	2142400	2139922	-2478	Billable Demand
19	July 16	920	3018954	2142400	2859957	717557	Billable Demand
20	August 16	2240	8474042	2142400	8910522	6768122	Billable Demand & Tariff change
21	September 16	8480	6294332	2142400	12075792	9933392	Excess demand
22	October 16	2560	2847484	2142400	3190590	1048190	Excess demand
23	November 16	1800	1469907	1469907	2185600	715693	Minimum charge

Sl. No.	Month	Recorded demand in (kVA)	Amount of bill raised by the Division (in ₹)	Amount of bill verified by the Lift Division (in ₹)	Bill to be raised as per provision of Tariff Order (in ₹)	Short (+)/Excess (-) charged by the Division (in ₹)	Remarks for incorrect billing
1	2	3	4	5	6	7 (col 6-col 5)	8
24	December 16	280	2481292	2142400	2548082	405682	Tariff change
25	January 17	1080	1761348	1761348	2185600	424252	Minimum charge
26	February 17	1040	1391438	1391438	2185600	794162	Minimum charge
27	March 17	760	1467095	1464095	2185600	721505	Minimum charge
28	April 17	560	2355858	2085600	2164618	79018	Billable Demand
29	May 17	640	2704461	2085600	2513221	427621	Billable Demand
30	June 17	600	2546005	2085600	2354765	269165	Billable Demand
31	July 17	1280	2973837	2085600	2782596	696996	Billable Demand
32	August 17	1200	11262618	2085600	10819485	8733885	Billable Demand
33	September 17	9480	8633664	2085600	14485137	12399537	Excess demand
34	October 17	14302	2532935	2085600	12696628	10611028	Excess demand
35	November 17	1640	1622040	1622040	2185600	563560	Minimum charge
36	December 17	200	2383820	2383820	2590994	207174	Minimum charge
37	January 18	1560	1599348	1599348	2732000	1132652	Minimum charge
38	February 18	1120	1340019	1340019	2732000	1391981	Minimum charge
39	March 18	NA	1310844	1310844	2732000	1421156	Minimum charge
40	April 18	0	1288153	1288153	2732000	1443847	Minimum charge
41	May 18	640	1210353	1210353	2732000	1521647	Minimum charge
42	June 18	600	2075867	2075867	2732000	656133	Minimum charge
43	July 18	1320	3038258	2607000	2732000	125000	Minimum charge
44	August 18	2280	1647973	1647973	2732000	1084027	Minimum charge
45	September 18	880	2503761	2503761	2732000	228239	Minimum charge
Total			123461566	89741043	163476292.8	73735250	

Appendix 3.2(a)
{Referred to in paragraph 3.1(i)}
Statement showing loss of revenue due to non- applying provision of Minimum charges in Bills

Sl. No.	Month	Amount of bill raised by the Division (in ₹)	Amount of bill to be raised by applying Minimum charges ⁸ (in ₹)	Short charged in billed amount against Minimum charges(in ₹)
1	2	3	4	5 (col 4-col 3)
1	January 15	1193132	2066625	873493
2	February 15	1474193	2066625	592432
3	March 15	1066379	2066625	1000246
4	April 15	1027802	2066625	1038823
5	May 15	1523792	2066625	542833
6	June 15	1821386	2066625	245239
7	November 15	1191360	2242400	1051040
8	December 15	2052615	2242400	189785
9	January 16	1659239	2242400	583161
10	February 16	2124138	2242400	118262
11	March 16	1414869	2242400	827531
12	April 16	2240369	2242400	2031
13	May 16	1656259	2242400	586141
14	November 16	1469907	2185600	715693
15	January 17	1761348	2185600	424252
16	February 17	1391438	2185600	794162
17	March 17	1467095	2185600	718505
18	November 17	1622040	2185600	563560
19	December 17	2383820	2590994	207174
20	January 18	1599348	2732000	1132652
21	February 18	1340019	2732000	1391981
22	March 18	1310844	2732000	1421156
23	April 18	1288153	2732000	1443847
24	May 18	1210353	2732000	1521647
25	June 18	2075867	2732000	656133
26	August 18	1647973	2732000	1084027
27	September 18	2503761	2732000	228239
Total		43517499	63471544	19954045

⁸ Minimum charges are computed at the rate of ₹ 750 per kVA ₹ 800 per kVA and ₹ 1000 per kVA w.e.f. 12.10.2014, 28.06.2015 and 09.12.2017 respectively on contracted load of 2500 kVA and further added on Electricity duty at the rate of 5 per cent and Regulatory surcharge at the rate of 5.22 per cent, 7.12 per cent and 4.28 per cent are applicable w.e.f. 12.10.14, 28.06.15 and 10.08.16 respectively.

Appendix 3.2(b)
{Referred to in paragraph 3.1(ii)}
Statement showing loss of revenue due to short verification of billed amount by the Consumer

Sl. No.	Month	Amount of bill raised by the Division (in ₹)	Amount of bill verified by the Lift Division (in ₹)	Short verified by Consumer against billed amount (in ₹)
1	2	3	4	5 (col 3-col 4)
1	January 15	1193132	864409	328723
2	February 15	1474193	1216079	258114
3	March 15	1066379	711393	354986
4	April 15	1027802	829511	198291
5	May15	1523792	1452315	71477
6	June 15	1821386	1774455	46931
7	September 15	7688022	7520337	167685
8	October 15	4877769	4771379	106390
9	November 15	1191360	745598	445762
10	December 15	2052615	2007845	44770
11	January 16	1659239	1623049	36190
12	February 16	2124138	2077808	46330
13	April 16	2240369	2032738	207631
14	June 16	2301103	2142400	158703
15	July 16	3018954	2142400	876554
16	August 16	8474042	2142400	6331642
17	September 16	6294332	2142400	4151932
18	October 16	2847484	2142400	705084
19	December 16	2481292	2142400	338892
20	March 17	1467095	1464095	3000
21	April 17	2355858	2085600	270258
22	May 17	2704461	2085600	618861
23	June 17	2546005	2085600	460405
24	July 17	2973837	2085600	888237
25	August 17	11262618	2085600	9177018
26	September 17	8633664	2085600	6548064
27	October 17	2532935	2085600	447335
28	July 18	3038258	2607000	431258
Total		92872134	59151611	33720523

Appendix 3.2(c)

{Referred to in Paragraph 3.1(iii)}

Statement showing short/ excess charge in billed amount due to non-considering billable demand and excess demand

Sl. No.	Month	Recorded demand(in kVA)	Amount of bill raised by the Division (in ₹)	Amount of bill to be raised by considering billable demand and excess demand (in ₹)	Short (+)/ excess (-) charge in billed amount (in ₹)
1	2	3	4	5	6 (col 5-col 4)
1	July 2015	1440	2480094	2311914	-168180
2	August 2015	1680	3433339	3265159	-165180
3	September 15	1960	7688022	7188220	-499802
4	October 15	2760	4877769	4966141	88372
5	June 16	920	2301103	2139922	-161181
6	July 16	920	3018954	2859957	-158997
7	August 16	2240	8474042	8910522	436480
8	September 16	8480	6294332	12075792	5781460
9	October 16	2560	2847484	3190590	343106
10	December 16	280	2481292	2548082	66790
11	April 17	560	2355858	2164618	-191240
12	May 17	640	2704461	2513221	-191240
13	June 17	600	2546005	2354765	-191240
14	July 17	1280	2973837	2782596	-191241
15	August 17	1200	11262618	10819485	-443133
16	September 17	9480	8633664	14485137	5851473
17	October 17	14302	2532935	12696628	10163693
18	July 18	1320	3038258	2732000	-306258
Total			79944067	100004749	20063682

Appendix-4.1

(Referred to in paragraph 4.1, 4.12 and 4.13)

Summarised financial results of PSUs (other than Power Sector) covered in this Chapter for the latest year for which accounts were finalised

(₹ in crore)

Sl. No.	Sector & Name of the PSU	Period of accounts	Year in which finalised	Net profit/ loss before dividend, interest & tax	Net profit/ loss after dividend, interest & tax	Turn over	Paid up capital (including share application)	Capital employed	Net Worth	Accumulated Profit/ loss
1	2	3	4	5	6	7	8	9	10	11
I.	PSUs working in Monopolistic environment									
A	Government Companies									
1	Lucknow Metro Rail Corporation Limited	2017-18	2017-18	-24.57	-25.38	5.55	1897.92	4580.72	1826.67	-71.25
2	NOIDA Metro Rail Corporation Limited	2017-18	2017-18	-4.94	-4.94	9.81	987.67	2104.14	974.14	-13.53
	Sub Total			-29.51	-30.32	15.36	2885.59	6684.86	2800.81	-84.78
B	Other Government controlled companies									
3	Almora Magnesite Limited (as per Sec 2 (45) read with 2 (27))	2017-18	2017-18	3.61	2.47	35.89	2.00	7.52	7.47	5.53
4	Lucknow Smart City Limited	2016-17	2017-18	-0.22	-0.22	0.00	0.50	0.28	0.28	-0.22
	Sub Total			3.39	2.25	35.89	2.50	7.80	7.75	5.31
C.	Statutory Corporations									
5	Uttar Pradesh Avas Evam Vikas Parishad	2016-17	2017-18	160.01	158.95	911.24	0.00	4906.20	4906.20	4906.20
6	Uttar Pradesh Forest Corporation	2016-17	2017-18	32.62	31.92	330.28	0.00	1478.19	1459.22	1459.22
7	Uttar Pradesh State Road Transport Corporation	2016-17	2017-18	108.80	97.19	3903.65	826.19	-229.32	-379.20	-1205.39
8	Uttar Pradesh State Warehousing Corporation	2015-16	2017-18	75.18	51.23	395.98	13.37	481.04	481.04	473.00
	Sub Total			376.61	339.29	5541.15	839.56	6636.11	6467.26	5633.03
II.	PSUs with Assured Source of Income from centage, commission, revenue grants/subsidies, etc.									
	Government Companies									
9	U.P. Projects Corporation Limited	2015-16	2017-18	35.86	23.08	790.29	6.40	93.32	93.32	86.92
10	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formely known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	2016-17	2017-18	2.42	1.62	692.29	0.15	73.31	73.31	73.16
11	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2016-17	2017-18	1.11	0.76	36.91	7.12	12.72	10.09	2.97

Sl. No.	Sector & Name of the PSU	Period of accounts	Year in which finalised	Net profit/ loss before dividend, interest & tax	Net profit/ loss after dividend, interest & tax	Turn over	Paid up capital (including share application)	Capital employed	Net Worth	Accumulated Profit/ loss
1	2	3	4	5	6	7	8	9	10	11
12	Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)	2016-17	2017-18	2.02	1.46	28.22	4.07	2.83	2.83	-1.24
13	Uttar Pradesh Electronics Corporation Limited	2016-17	2017-18	1.75	0.89	79.65	91.54	145.03	34.61	6.18
14	Uttar Pradesh Development Systems Corporation Limited	2016-17	2017-18	7.64	4.35	153.17	1.00	12.02	12.02	11.02
15	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2016-17	2017-18	38.73	38.73	321.59	0.43	219.22	219.22	218.79
16	Uttar Pradesh (Madhya) Ganna Beej EvamVikas Nigam Limited	2017-18	2017-18	0.04	0.04	0.18	0.25	1.97	-0.51	-0.76
17	Uttar Pradesh Bhumi Sudhar Nigam	2015-16	2017-18	0.08	0.08	0.21	1.50	2.32	2.32	0.82
18	Uttar Pradesh Police Avas Nigam Limited	2015-16	2016-17	16.50	10.53	26.98	3.00	28.24	28.24	25.24
19	Uttar Pradesh Export Promotion Council	2017-18	2017-18	0.22	0.22	0.66	0.05	0.49	0.49	0.44
	Sub Total			106.37	81.76	2130.15	115.51	591.47	475.94	423.54
III.	PSUs working in competitive environment									
A.	Government Companies									
20	Uttar Pradesh State Spinning Company Limited	2016-17	2017-18	-8.52	-11.69	0.17	93.24	-28.05	-167.53	-260.77
21	The Pradeshiya Industrial and Investment Corporation of UP Limited	2015-16	2017-18	0.16	-14.35	2.56	135.58	170.47	-233.97	-369.55
	Sub Total			-8.36	-26.04	2.73	228.82	142.42	-401.50	-630.32
	Grand Total			448.50	366.94	7725.28	4071.98⁹	14062.66	9350.26¹⁰	5346.78

⁹ Paid up capital of ₹ 4,071.98 crore includes an amount of ₹ 63.11 crore in holding Companies at SI No. 13 which was given by the Government for their subsidiary companies. Therefore, the amount of ₹ 63.11 crore has been excluded for calculation of net worth and capital employed.

¹⁰ Net worth is the sum total of the paid-up capital and free reserves and surplus minus accumulated losses and deferred revenue expenditure. DRE of ₹ 5.39 crore was deducted in case of Uttar Pradesh State Warehousing Corporation (₹ 5.33) and Almora Magnesite Limited (₹ 0.06 crore) to arrive at the net worth figure.

Appendix-4.2
(Referred to in paragraph 4.1)
Details of State PSUs (other than Power Sector) not covered in this Chapter

(₹ in crore)

Sl. No.	Sector & Name of the PSU	Period of latest finalised accounts	Year in which finalised	Net profit/loss after tax	Turn over	Paid up capital
1	2	3	4	5	6	7
I	Functional PSUs with arrears of accounts for three or more years/first accounts not received/not due					
A	Government Companies					
1	Uttar Pradesh State Industrial Development Corporation Limited	2013-14	2017-18	95.22	193.45	24.08
2	Uttar Pradesh Alpsankhyak Vitty Avam Vikas Nigam Limited	2005-06	2017-18	-1.10	3.32	30.00
3	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	2011-12	2014-15	-0.01	2.94	8.10
4	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2014-15	2017-18	-13.25	87.11	230.42
5	Uttar Pradesh Food and Essential Commodities Corporation Limited	2009-10	2017-18	9.97	1512.06	5.50
6	Uttar Pradesh Mahila Kalyan Nigam Limited	2013-14	2014-15	0.36	0.60	5.19
7	Uttar Pradesh Waqf Vikas Nigam Limited	2003-04	2016-17	-0.21	0.38	5.25
8	Uttar Pradesh State Agro Industrial Corporation Limited	2010-11	2016-17	10.26	793.26	46.30
9	Uttar Pradesh Rajkiya Nirman Nigam Limited	2012-13	2016-17	98.48	2588.10	1.00
10	Uttar Pradesh State Bridge Corporation Limited	2014-15	2016-17	27.91	1375.68	15.00
11	Uttar Pradesh Small Industries Corporation Limited	2007-08	2016-17	4.39	175.48	5.96
12	Uttar Pradesh Beej Vikas Nigam Limited	2012-13	2015-16	3.51	272.59	6.92
13	Uttar Pradesh Matsya Vikas Nigam Limited	2011-12	2017-18	1.10	5.94	1.07
14	Uttar Pradesh Drugs and Pharmaceuticals Limited	2009-10	2012-13	-8.53	0.33	1.10
15	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2014-15	2017-18	1.24	0.00	880.13
16	Uttar Pradesh State Sugar Corporation Limited	2014-15	2017-18	-26.95	82.26	1648.31
17	Uttar Pradesh Handicraft & Marketing Development Corporation Limited(Formerly Uttar Pradesh Export Corporation Limited)	2007-08	2017-18	-0.13	10.65	7.24
18	Uttar Pradesh State Tourism Development Corporation Limited	2014-15	2016-17	-5.13	31.08	18.60
19	Lucknow City Transport Services Limited**	-	-	-	-	-
20	Meerut City Transport Services Limited	2010-11	2012-13	0.00	0.00	0.05
21	Allahabad City Transport Services Limited	2014-15	2017-18	-7.21	16.11	0.05
22	Agra Mathura City Transport Services Limited**	-	-	-	-	-
23	Kanpur City Transport Services Limited**	-	-	-	-	-
24	Varanasi City Transport Services Limited**	-	-	-	-	-
	Sub Total			189.92	7151.34	2940.27

Sl. No.	Sector & Name of the PSU	Period of latest finalised accounts	Year in which finalised	Net profit/ loss after tax	Turn over	Paid up capital
1	2	3	4	5	6	7
B	Other Government Control Companies					
25	Agra Smart City Limited**	-	-	-	-	-
26	Jhansi Smart City Limited**	-	-	-	-	-
	Sub Total			0.00	0.00	0.00
C	Statutory Corporations					
27	Uttar Pradesh Jal Nigam	2011-12	2016-17	16.82	397.45	0.00
28	Uttar Pradesh Financial Corporation	2012-13	2015-16	17.38	22.22	179.28
	Sub Total			34.20	419.67	179.28
II	Non-functional PSUs					
A	Government Companies					
29	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1997-98	1998-99	-0.40	1.29	1.05
30	Uttar Pradesh State Mineral Development Corporation Limited	2013-14	2016-17	-0.48	0.00	59.43
31	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16	2017-18	-0.71	0.00	81.38
32	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2016-17	2017-18	-0.60	0.00	147.72
33	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16	2017-18	-0.33	0.00	256.80
34	The Indian Turpentine and Rosin Company Limited	2010-11	2012-13	-0.60	0.03	0.22
35	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	2001-02	2005-06	-0.29	0.16	1.93
36	Uttar Pradesh State Brassware Corporation Limited	1997-98	2007-08	2.39	0.53	5.38
37	Uttar Pradesh State Textile Corporation Limited	2016-17	2017-18	-8.80	0.00	197.10
38	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1995-96	1997-98	-32.12	97.15	53.16
39	Uttar Pradesh State Handloom Corporation Limited	1998-99	2016-17	-4.97	24.00	47.07
40	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2000-01	2002-03	0.26	3.60	5.74
41	Uttar Pradesh State Yarn Company Limited	2016-17	2017-18	-5.38	0.00	53.67
42	Uttar Pradesh Pashudhan Udyog Nigam Limited	2014-15	2017-18	0.30	0.00	2.73
43	Uttar Pradesh Poultry and Livestock Specialties Limited	2011-12	2017-18	-0.27	0.00	2.94
44	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	1984-85	1994-95	-0.67	0.27	1.90
45	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	2013-14	2014-15	-0.12	0.00	0.64

Sl. No.	Sector & Name of the PSU	Period of latest finalised accounts	Year in which finalised	Net profit/ loss after tax	Turn over	Paid up capital
1	2	3	4	5	6	7
46	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	1995-96	2012-13	-0.16	0.45	1.46
47	Agra Mandal Vikas Nigam Limited	1988-89	2007-08	-0.09	3.91	1.00
48	Allahabad Mandal Vikas Nigam Limited	1983-84	1992-93	-0.11	2.74	0.55
49	Bareilly Mandal Vikas Nigam Limited	1988-89	2011-12	-0.39	3.33	1.00
50	Gorakhpur Mandal Vikas Nigam Limited	1988-89	2013-14	-0.07	0.25	1.26
51	Lucknow Mandaliya Vikas Nigam Limited	1981-82	1992-93	0.01	1.70	0.50
52	Meerut Mandal Vikas Nigam Limited	2008-09	2010-11	-0.03	0.00	1.00
53	Moradabad Mandal Vikas Nigam Limited	1991-92	2011-12	-0.19	0.85	0.25
54	Tarai Anusuchit Janjati Vikas Nigam Limited	1982-83	1990-91	-0.04	0.01	0.25
55	Uttar Pradesh Bundelkhand Vikas Nigam Limited	2010-11	2016-17	0.09	0.00	1.23
56	Uttar Pradesh Chalchitra Nigam Limited	2009-10	2011-12	-0.38	0.12	8.18
57	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1987-88	1994-95	-0.14	1.30	1.15
58	Varanasi Mandal Vikas Nigam Limited	1987-88	1993-94	-0.03	1.47	0.70
	Sub Total			-54.32	143.16	937.39
B	Other Government Control Companies					
59	Command Area Poultry Development Corporation Limited (as per Sec 2 (45) read with 2 (27))	1994-95	-	0.01	0.96	0.24
	Sub Total			0.01	0.96	0.24
III	PSUs under liquidation					
A	Government Companies					
60	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	2002-03 (UL from 01-07-03)	2004-05	-0.18	0.04	0.31
61	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	2006-07 (UL from 01-07-03)	2008-09	-1.05	0.11	0.71
62	Uttar Pradesh Cement Corporation Limited	1995-96 (UL from 08-02-1999)	1996-97	-47.75	113.01	68.28
63	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1987-88 (UL from 28-11-2002)	1995-96	-0.12	0.00	0.00

Sl. No.	Sector & Name of the PSU	Period of latest finalised accounts	Year in which finalised	Net profit/ loss after tax	Turn over	Paid up capital
1	2	3	4	5	6	7
64	Auto Tractors Limited	1991-92 (UL from 14-02-2003)	1995-96	0.11	6.31	7.50
65	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	1994-95 (UL from 20-02-96)	0	-1.66	0.27	3.76
66	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1997-98 (UL from 01-04-2002)	2002-03	0.00	0.00	46.24
67	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	(UL from 10-06-1996)	-	0.00	0.05	0.00
68	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1975-76 (UL from 19-04-1996)	-	-0.02	0.00	0.05
69	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1992-93 (UL from 19-02-94)	-	-6.18	2.26	6.58
70	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1974-75 (UL from 11/2003)	1984-85	-0.01	0.04	0.01
	Sub Total			-56.86	122.09	133.44
B	Other Government Control Companies					
71	Electronics and Computers (India) Limited (as per Sec 2 (45) read with 2 (27))	(UL from 14-07-1981)	-	0.00	0.00	0.00
	Sub Total			0.00	0.00	0.00
	Total of all sectors			112.95	7837.22	4190.62

Note: ** indicate PSUs which have not submitted their first accounts.

Appendix- 4.3

(Referred to in paragraphs 4.3 and 4.12)

Statement showing position of equity and outstanding loans relating to State PSUs (other than Power Sector) covered in this Chapter as on 31 March 2018

(₹ in crore)

Sl. No.	Sector & Name of the PSU	Name of the Department	Month and year of incorporation	Equity ¹¹ at close of the year 2017-18				Long term loans outstanding at close of the year 2017-18			
				GoUP ¹²	GoI ¹³	Others	Total	GoUP	GoI	Others	Total
1	2	3	4	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)
I	PSUs working in Monopolistic environment										
	Government Companies										
1	Lucknow Metro Rail Corporation Limited	Housing and Urban Planning	11/25/2013	1037.95	859.92	0.05	1897.92	685.05	247.00	1822.00	2754.05
2	NOIDA Metro Rail Corporation Limited	Infrastructure and Industrial Development	5/11/2014	0.00	687.62	300.05	987.67	0.00	0.00	1130.00	1130.00
	Sub Total			1037.95	1547.54	300.10	2885.59	685.05	247.00	2952.00	3884.05
	Other Government controlled companies										
3	Almora Magnesite Limited (as per Sec 2 (45) read with 2 (27))		8/27/1971	0.00	0.00	2.00	2.00	0.00	0.00	0.05	0.05
4	Lucknow Smart City Ltd	Urban Development	8/16/2016	0.00	188.00	186.00	374.00	0.00	0.00	0.00	0.00
	Sub Total			0.00	188.00	188.00	376.00	0.00	0.00	0.05	0.05
	Statutory Corporations										
5	Uttar Pradesh Avas Evam Vikas Parishad	Housing and Urban Planning	3/4/1966	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
6	Uttar Pradesh Forest Corporation	Forest	11/25/1974	0.00	0.00	0.00	0.00	0.00	0.00	13.00	13.00
7	Uttar Pradesh State Road Transport Corporation	Transport	1/6/1972	816.18	60.01	0.00	876.19	46.72	0.00	0.00	46.72
8	Uttar Pradesh State Warehousing Corporation	Co-operative	3/19/1958	7.61	0.00	5.40	13.01	0.00	0.00	0.00	0.00
	Sub Total			823.79	60.01	5.40	889.20	46.72	0.00	13.00	59.72
	Sub Total of sector I			1861.74	1795.55	493.50	4150.79	731.77	247.00	2965.05	3943.82
II	PSUs with Assured Source of Income from centage, commission, revenue grants/subsidies, etc										
	Government Companies										
9	U.P. Projects Corporation Limited	Irrigation	5/26/1976	5.40	1.00	0.00	6.40	0.00	0.00	0.00	0.00

¹¹ Equity includes share application money

¹² Government of Uttar Pradesh

¹³ Government of India

Sl. No.	Sector & Name of the PSU	Name of the Department	Month and year of incorporation	Equity ¹¹ at close of the year 2017-18				Long term loans outstanding at close of the year 2017-18			
				GoUP ¹²	GoI ¹³	Others	Total	GoUP	GoI	Others	Total
1	2	3	4	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)
10	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	Samaj Kalyan	6/25/1976	0.15	0.00	0.00	0.15	0.00	0.00	0.00	0.00
11	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Information Technology and Electronics	10/2/1979	0.00	0.00	7.12	7.12	0.00	0.00	2.63	2.63
12	Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)	Information Technology and Electronics	10/4/1974	0.00	0.00	4.07	4.07	0.00	0.00	0.00	0.00
13	Uttar Pradesh Electronics Corporation Limited.	Information Technology and Electronics	3/20/1974	91.54	0.00	0.00	91.54	112.88	0.00	0.00	112.88
14	Uttar Pradesh Development Systems Corporation Limited	Information Technology and Electronics	3/15/1977	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00
15	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Samaj Kalyan	5/23/1989	0.43	0.00	0.00	0.43	0.00	0.00	0.00	0.00
16	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry and Cane Development	8/27/1975	0.15	0.00	0.10	0.25	0.00	0.00	2.48	2.48
17	Uttar Pradesh Bhumi Sudhar Nigam	Agriculture	3/30/1978	1.50	0.00	0.00	1.50	0.00	0.00	0.00	0.00
18	Uttar Pradesh Police Avas Nigam Limited	Home	3/27/1987	3.00	0.00	0.00	3.00	0.00	0.00	0.00	0.00
19	Uttar Pradesh Export Promotion Council	Laghu Udyog evam Niryat Protsahan	8/11/2016	0.05	0.00	0.00	0.05	5.00	0.00	0.00	5.00
Sub Total				103.22	1.00	11.29	115.51	117.88	0.00	5.11	122.99
Sub Total of sector II				103.22	1.00	11.29	115.51	117.88	0.00	5.11	122.99
III	PSUs working in Competitive environment										
	Government Companies										
20	Uttar Pradesh State Spinning Company Limited	Hathkargha evam Vastra Udhog	8/20/1976	93.24	0.00	0.00	93.24	139.20	0.00	0.00	139.20
21	The Pradeshia Industrial and Investment Corporation of UP Limited	Infrastructure and Industrial Development	3/29/1972	110.58	0.00	25.00	135.58	706.13	0.00	0.00	706.13
Sub Total				203.82	0.00	25.00	228.82	845.33	0.00	0.00	845.33
Sub Total of Sector III				203.82	0.00	25.00	228.82	845.33	0.00	0.00	845.33
Grand Total				2168.78	1796.55	529.79	4495.12	1694.98	247.00	2970.16	4912.14

Appendix-4.4
(Referred to in paragraph 4.7)

Statement showing difference between Finance Accounts of Government of Uttar Pradesh and Accounts of the State PSUs (other than Power Sector) in respect of balances of Equity, Loans and Guarantees as on 31 March 2018

(₹ in crore)

Sl. No.	Name of the PSU	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9=3-6	10=4-7	11=5-8
1	Lucknow Metro Rail Corporation Limited	1037.95	685.05	0	955.05	774.05	0	82.9	-89	0
2	NOIDA Metro Rail Corporation Limited	0.00	0.00	0.00	0	0	0	0	0	0
3	Almora Magnesite Limited (as per Sec 2 (45) read with 2 (27))	0.00	0.00	0.00	0	0	0	0	0	0
4	Lucknow Smart City Limited	0.00	0.00	0.00	0	0	0	0	0	0
5	Uttar Pradesh Avas Evam Vikas Parishad	0.00	0.00	0.00	0	0	0	0	0	0
6	Uttar Pradesh Forest Corporation	0.00	0.00	0.00	0	0	0	0	0	0
7	Uttar Pradesh State Road Transport Corporation	816.18	46.72	0.00	766.18	54.18	0	50	-7.46	0
8	Uttar Pradesh State Warehousing Corporation	7.61	0.00	0.00	7.79	0	0	-0.18	0	0
9	U.P. Projects Corporation Limited	5.40	0.00	0.00	5.40	0	0	0	0	0
10	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	0.15	0	0	0.15	0	0	0	0	0
11	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	0.00	0	0	0	0	0	0	0	0
12	Uptron Powertronics Ltd. (subsidiary of Uttar Pradesh Electronics Corporation)	0.00	0	0	0	0	0	0	0	0
13	Uttar Pradesh Electronics Corporation Limited.	91.54	112.88	0	91.54	34.08	0	0	78.8	0
14	Uttar Pradesh Development Systems Corporation Limited	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00
15	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	0.43	0	0	0.43	0	0	0	0	0
16	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	0.15	0	0	0.15	0	1.8	0	0	-1.8

Sl. No.	Name of the PSU	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9=3-6	10=4-7	11=5-8
17	Uttar Pradesh Bhumi Sudhar Nigam	1.50	0	0	1.5	0	0	0	0	0
18	Uttar Pradesh Police Avas Nigam Limited	3.00	0	0	3	0	0	0	0	0
19	Uttar Pradesh Export Promotion Council	0.05	5	0	0	0	0	0.05	5	0
20	Uttar Pradesh State Spinning Company Limited	93.24	139.20	0	93.24	10.42	0	0	128.78	0
21	The Pradeshiya Industrial and Investment Corporation of UP Limited	110.58	706.13	0	135.58	467.33	5.09	-25	238.8	-5.09
22	Uttar Pradesh State Industrial Development Corporation Limited	24.08	1.98	0	24.08	143.45	0	0	-141.47	0
23	Uttar Pradesh Alpsankhyak Vitty Avam Vikas Nigam Limited	30.00	0	0	30	15.18	0	0	-15.18	0
24	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	12.23	0	52.65	12.23	0	52.65	0	0	0
25	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	126.55	0	0	126.55	0	0	0	0	0
26	Uttar Pradesh Food and Essential Commodities Corporation Limited	12.34	0.73	0	12.34	-7.31	0	0	8.04	0
27	Uttar Pradesh Mahila Kalyan Nigam Limited	4.71	0	0	4.71	0	0	0	0	0
28	Uttar Pradesh Waqf Vikas Nigam Limited	10.00	0	0	10	0	0	0	0	0
29	Uttar Pradesh State Agro Industrial Corporation Limited	59.01	5	0	59.01	4.69	0	0	0.31	0
30	Uttar Pradesh Rajkiya Nirman Nigam Limited	1.00	0	0	1	0	0	0	0	0
31	Uttar Pradesh State Bridge Corporation Limited	15.00	0	0	15	0	0	0	0	0
32	Uttar Pradesh Small Industries Corporation Limited	5.96	6.32	0	5.96	0.42	0	0	5.9	0
33	Uttar Pradesh Beej Vikas Nigam Limited	6.25	0	0	6.25	0	0	0	0	0
34	Uttar Pradesh Matsya Vikas Nigam Limited	1.07	0	0	1.07	0	0	0	0	0
35	Uttar Pradesh Drugs and Pharmaceuticals Limited	1.10	0	0	1.1	0	0	0	0	0

Sl. No.	Name of the PSU	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9=3-6	10=4-7	11=5-8
36	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0	0	880.13	0	0	-880.13	0	0
37	Uttar Pradesh State Sugar Corporation Limited	1648.31	166.8	73.96	1648.31	944.05	0	0	-777.25	73.96
38	Uttar Pradesh Handicraft & Marketing Development Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited)	6.34	12.44	0	6.34	5.77	0	0	6.67	0
39	Uttar Pradesh State Tourism Development Corporation Limited	32.60	0.66	0	32.6	2.76	0	0	-2.1	0
40	Lucknow City Transport Services Limited*	17.84	0	0	0	0	0	17.84	0	0
41	Meerut City Transport Services Limited	0.05	0	0	0	0	0	0.05	0	0
42	Allahabad City Transport Services Limited	0.05	0	0	0	0	0	0.05	0	0
43	Agra Mathura City Transport Services Limited*	0.05	0	0	0	0	0	0.05	0	0
44	Kanpur City Transport Services Limited*	0.05	0	0	0	0	0	0.05	0	0
45	Varanasi City Transport Services Limited*	0.05	0	0	0	0	0	0.05	0	0
46	Agra Smart City Limited*	0.00	0	0	0	0	0	0	0	0
47	Jhansi Smart City Limited*	0.00	0	0	0	0	0	0	0	0
48	Uttar Pradesh Jal Nigam	0.00	1196.19	0	0	88.77	0	0	1107.42	0
49	Uttar Pradesh Financial Corporation	114.17	292.99	27.46	114.17	13.81	27.46	0	279.18	0
	Sub Total of Functional PSUs	4297.59	3378.09	154.07	5051.86	2551.65	87	-754.27	826.44	67.07
	Non-functional PSUs									
50	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	0.00	0	0	0	0	0	0	0	0
51	Uttar Pradesh State Mineral Development Corporation Limited	59.43	18.24	0	59.43	6.83	0	0	11.41	0
52	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0	0	0	0	0	0	0	0
53	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0	0	0	0	0	0	0	0

Sl. No.	Name of the PSU	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9=3-6	10=4-7	11=5-8
54	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0	0	0	0	0	0	0	0
55	The Indian Turpentine and Rosin Company Limited	0.19	5.33	0	0.19	1.9	1.76	0	3.43	-1.76
56	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	0.09	5.55	0	0.09	0	0	0	5.55	0
57	Uttar Pradesh State Brassware Corporation Limited	5.28	1.94	0	5.28	0.15	0	0	1.79	0
58	Uttar Pradesh State Textile Corporation Limited	197.10	88.52	0	160.79	202.76	0	36.31	-114.24	0
59	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	0.00	0	0	0	0	0	0	0	0
60	Uttar Pradesh State Handloom Corporation Limited	36.44	123.71	0	36.44	12.53	0	0	111.18	0
61	Uttar Pradesh State Leather Development and Marketing Corporation Limited	5.74	1.91	0	5.74	1.4	0	0	0.51	0
62	Uttar Pradesh State Yarn Company Limited	53.67	66.12	0	31.91	13.17	0	21.76	52.95	0
63	Uttar Pradesh Pashudhan Udyog Nigam Limited	2.10	0.71	0	2.73	1.1	0	-0.63	-0.39	0
64	Uttar Pradesh Poultry and Livestock Specialties Limited	2.88	0	0	0.44	0	0	2.44	0	0
65	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	6.41	3.34	0.55	8.72	0	0.55	-2.31	3.34	0
66	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	0.51	0	0	0.51	0	0.7	0	0	-0.7
67	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	0.78	0	0	0.78	0	0	0	0	0
68	Agra Mandal Vikas Nigam Limited	1.00	0.05	0	1	0	0	0	0.05	0
69	Allahabad Mandal Vikas Nigam Limited	0.67	0.66	0	0.67	0	0	0	0.66	0
70	Bareilly Mandal Vikas Nigam Limited	1.25	0	0	1.25	0	0	0	0	0
71	Gorakhpur Mandal Vikas Nigam Limited	0.94	0.65	0	0.93	0	0	0.01	0.65	0

Sl. No.	Name of the PSU	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9=3-6	10=4-7	11=5-8
72	Lucknow Mandaliya Vikas Nigam Limited	0.70	0.86	0	0.7	0	0	0	0.86	0
73	Meerut Mandal Vikas Nigam Limited	1.00	0	0	1	0	0	0	0	0
74	Moradabad Mandal Vikas Nigam Limited	0.25	0.65	0	0.25	0	0	0	0.65	0
75	Tarai Anusuchit Janjati Vikas Nigam Limited	0.45	1.25	0	0.45	0	0	0	1.25	0
76	Uttar Pradesh Bundelkhand Vikas Nigam Limited	1.23	0.05	0	1.23	0	0	0	0.05	0
77	Uttar Pradesh Chalchitra Nigam Limited	8.18	2.47	0	8.18	0.31	0	0	2.16	0
78	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1.30	0.35	0	1.3	0	0	0	0.35	0
79	Varanasi Mandal Vikas Nigam Limited	0.70	0	0	0.7	0	0	0	0	0
80	Command Area Poultry Development Corporation Limited (as per Sec 2 (45) read with 2 (27))	0.00	0	0	0	0	0	0	0	0
	Sub Total	388.29	322.36	0.55	330.71	240.15	3.01	57.58	82.21	-2.46
	PSUs under liquidation									
	Government Companies									
81	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	0.23	1.7	0	0.23	1.7	0	0	0	0
82	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	0.38	6.55	0	0.38	0	0	0	6.55	0
83	Uttar Pradesh Cement Corporation Limited	68.28	124.77	0	68.28	37.45	0	0	87.32	0
84	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	0.00	0	0	0	0	0	0	0	0
85	Auto Tractors Limited	5.63	0.38	0	5.63	14.89	0	0	-14.51	0
86	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	0.00	0	0	0	0	0	0	0	0
87	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	0.00	0	0	0	0	0	0	0	0
88	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	0.00	0	0	0	0	0	0	0	0

Sl. No.	Name of the PSU	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9=3-6	10=4-7	11=5-8
89	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	0.00	0	0	0	0	0	0	0	0
90	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	0.00	11.02	0	0	0	0	0	11.02	0
91	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	0.00	0	0	0	0	0	0	0	0
92	Electronics and Computers (India) Limited (as per Sec 2 (45) read with 2 (27))	0.00	0	0	0	0	0	0	0	0
	Sub Total	74.52	144.42	0.00	74.52	54.04	0.00	0.00	90.38	0.00
	Sub Total of Non-Functional PSUs	462.81	466.78	0.55	405.23	294.19	3.01	57.58	172.59	-2.46
	Grand Total	4760.40	3844.87	154.62	5457.09	2845.84	90.01	-696.69	999.03	64.61

Appendix-4.5
(Referred to in paragraph 4.8.1)

Statement showing position of State Government investment in State PSUs (other than Power Sector) accounts of which were in arrears

(₹ in crore)

S. No.	Name of the PSU	Period upto which accounts finalised	Period for which accounts are in arrears	Paid up capital as per latest accounts finalised	Investment made by the State Government during the year for which Accounts are in arrears				
					Equity	Loans	Capital grant/ Other Grant	Subsidy	Total
1	2	3	4	5	6	7	8	9	10
A. Functional PSUs									
	I. Arrears up to 1 years								
1	Uttar Pradesh State Spinning Company Limited	2016-17	2017-18	93.24	0.00	7.99	0.00	0.00	7.99
2	Uttar Pradesh Development Systems Corporation Limited	2016-17	2017-18	1.00	0.00	0.00	1.00	0.00	1.00
3	Uttar Pradesh State Road Transport Corporation	2016-17	2017-18	826.19	50.00	0.00	0.00	0.00	50.00
Sub Total-I				920.43	50.00	7.99	1.00	0.00	58.99
	II. Arrears up to 02 years								
4	The Pradeshia Industrial and Investment Corporation of U P Limited	2015-16	2016-17 & 2017-18	135.58	0.00	30.27		0.00	30.27
Sub Total-II				135.58	0.00	30.27	0.00	0.00	30.27
	III. Arrears 03 years or more								
5	Uttar Pradesh Alpsankhyak Vittyva Avam Vikas Nigam Limited	2005-06	2006-07 to 2017-18	30.00	0.00	0.00	64.22	0.00	64.22
6	Uttar Pradesh Scheduled Caste Finance and Development Corporation Limited	2014-15	2015-16 to 2017-18	230.42	3.31	0.00	0.25	0.00	3.56
7	Uttar Pradesh Food & Essential Corporation Limited	2009-10	2010-11 to 2017-18	5.50	6.83	0.00	0.00	0.00	6.83
8	Uttar Pradesh Waqf Vikas Nigam Limited	2003-04	2004-05 to 2017-18	5.25	4.75	0.00	0.00	0.00	4.75
9	Uttar Pradesh State Agro Industrial Corporation Limited	2010-11	2011-12 to 2017-18	46.30	10.41	0.00	0.00	0.00	10.41
10	Uttar Pradesh State Sugar Corporation Limited	2014-15	2015-16 to 2017-18	1648.31	0.00	180.00	0.00	0.00	180.00
11	Uttar Pradesh Matsya Vikas Nigam Limited	2011-12	2012-13 to 2017-18	1.07	0.00	0.00	0.08	0.00	0.08

S. No.	Name of the PSU	Period upto which accounts finalised	Period for which accounts are in arrears	Paid up capital as per latest accounts finalised	Investment made by the State Government during the year for which Accounts are in arrears				
					Equity	Loans	Capital grant/ Other Grant	Subsidy	Total
1	2	3	4	5	6	7	8	9	10
12	U.P. Handicrafts & Marketing Development Corporation Limited	2007-08	2008-09 to 2017-18	7.24	0.00	5.00	13.19	0.00	18.19
13	Uttar Pradesh Mahila Kalyan Nigam Limited	2013-14	2014-15 to 2017-18	5.19	0.00	0.00	15.14	0.00	15.14
14	Lucknow City Transport Services Limited	Incorporated on 01-02-2010	2009-10 to 2017-18	0.00	17.84	0.00	19.30	0.00	37.14
15	Meerut City Transport Services Limited	2010-11	2012-13 to 2017-18	0.05	0.00	0.00	11.38	0.00	11.38
16	Allahabad City Transport Services Limited	2014-15	2015-16 to 2017-18	0.05	0.00	0.00	15.73	0.00	15.73
17	Agra Mathura City Transport Services Limited	Incorporated w.e.f. 08-07-10	2010-11 to 2017-18	0.00	0.05	0.00	22.57	0.00	22.62
18	Kanpur City Transport Services Limited	Incorporated w.e.f. 28-04-10	2010-11 to 2017-18	0.00	0.05	0.00	27.50	0.00	27.55
19	Varanasi City Transport Services Limited	Incorporated on 15-06-2010	2010-11 to 2017-18	0.00	0.05	0.00	22.66	0.00	22.71
20	Uttar Pradesh Jal Nigam	2011-12	2012-13 to 2017-18	0.00	0.00	440.61	10613.67	0.00	11054.28
Sub Total-III				1979.38	43.29	625.61	10825.69	0.00	11494.59
Total A				3035.39	93.29	663.87	10826.69	0.00	11583.85
B. Non-Functional PSUs									
I. Arrears up to 1 years									
21	Uttar Pradesh State Yarn Company	2016-17	2017-18	53.67	0.00	4.01	0.00	0.00	4.01
Sub Total-I				53.67	0.00	4.01	0.00	0.00	4.01
II. Arrears 03 years or more									
22	The Indian Turpentine and Rosin Company Limited	2010-11	2011-12 to 2017-18	0.22	0.00	0.34	0.00	0.00	0.34
23	Uttar Pradesh State Handloom Corporation Limited	1998-1999	1999-2000 to 2017-18	47.07	0.00	106.55	0.00	0.00	106.55
Sub Total-II				47.29	0.00	106.89	0.00	0.00	106.89
Total B				100.96	0.00	110.90	0.00	0.00	110.90
Grand Total				3136.35	93.29	774.77	10826.69	0.00	11694.75

Appendix-4.6
(Referred to in Paragraph 4.15)

Statement showing State Government fund infused in State PSUs (other than Power Sector) during the period from 2000-01 to 2017-18

(₹ in crore)

Year	1. Lucknow Metro Rail Corporation Limited				2. Uttar Pradesh State Road Transport Corporation				3. Uttar Pradesh State Warehousing Corporation			
	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants
Up to 1999-2000	0.00	0.00	0	0.00	252.11		0.00	0.00	7.79			0.00
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	0.00	47.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	0.00	10.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	0.00	39.52	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	0.00	6.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2013-14	20.00	0.00	0.00	27.00	3.43	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	60.00	35.00	0.00	115.00	151.32	0.00	0.00	0.00	-0.18	0.00	0.00	0.00
2015-16	475.00	150.00	0.00	73.29	158.47	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2016-17	400.00	350.00	0.00	20.46	98.33	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2017-18	82.95	150.05	0.00	0.00	50.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	1037.95	685.05	0	235.75	816.18	0.00	0.00	0.00	7.61	0.00	0.00	0.00

Year	4. U.P. Projects Corporation Limited				5. Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)				6. Uttar Pradesh Electronics Corporation Limited			
	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants
Up to 1999-2000	5.40	0.00	0.00	0.00	0.15	0.00	0.00	0.00	77.51	24.99	10.45	0.00
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	17.75	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	10.15	18.75	0.00	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	6.25	0.00	0.00
2004-05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5.34	-0.42	0.00
2005-06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3.88	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	32.70	0.00	0.00
2011-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-0.28	0.00	0.00
2017-18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	5.40	0.00	0.00	0.00	0.15	0.00	0.00	0.00	91.54	105.50	10.03	0.00

Year	7. Uttar Pradesh Development Systems Corporation Limited				8. Uttar Pradesh Purva Sainik Kalyan Nigam Limited				9. Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited			
	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants
Up to 1999-2000	1.00	0.00	0.00	0.00	0.43	0.00		0.00	0.15	0.00	0.00	0.00
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2015-16	0.00	0.00	24.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	-24.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2017-18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	1.00	0.00	0.00	0.00	0.43	0.00	0.00	0.00	0.15	0.00	0.00	0.00

Year	10. Uttar Pradesh Bhumi Sudhar Nigam				11. Uttar Pradesh Police Avas Nigam Limited				12. Uttar Pradesh Export Promotion Council			
	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants
Up to 1999-2000	1.50	0.00	0.00	0.00	3.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.05	0.05	0.00	0.00
2017-18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	1.50	0.00	0.00	0.00	3.00	0.00	0.00	0.00	0.05	0.05	0.00	0.00

Year	13. Uttar Pradesh State Spinning Company Limited				14. The Pradeshiya Industrial and Investment Corporation of UP Limited			
	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants	Equity	Interest free Loans	Loans on which interest payment has been defaulted	Capital Grants
Up to 1999-2000	88.65	17.58	0.00	0.00	110.58	33.53	10.00	0.00
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	-33.53	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	7.50	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	4.59	5.83	0.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	8.66	0.00	0.00	0.00	59.88	0.00	0.00
2006-07	0.00	13.57	0.00	0.00	0.00	68.65	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	-0.44	0.00	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	2.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	9.74	2.00	0.00	0.00	9.08	0.00	0.00
2011-12	0.00	33.11	2.07	0.00	0.00	0.00	0.00	0.00
2012-13	0.00	9.88	2.11	0.00	0.00	0.00	0.00	0.00
2013-14	0.00	-0.94	2.40	0.00	0.00	0.00	-7.50	0.00
2014-15	0.00	0.00	0.55	0.00	0.00	0.00	0.00	0.00
2015-16	0.00	11.79	1.78	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	1.92	0.00	0.00	0.00	0.00	0.00
2017-18	0.00	4.19	2.38	0.00	0.00	30.27	0.00	0.00
Total	93.24	112.97	17.21	0.00	110.57	167.88	10.00	0.00

Appendix 5.1

(Referred to in Paragraph 5.3)

Statement showing loss to *Parishad* due to incorrect fixation of reserve price

Sl. No.	Plot No.	Date of Auction	Area (in sqm)	Reserve price fixed (in ₹ per Sqm)	Reserve price to be fixed (in ₹ per Sqm)	Auctioned rate (in ₹ per Sqm)	Difference in rate (in ₹ per Sqm)	Loss to <i>Parishad</i> (in ₹)
1	2	3	4	5	6	7	8 (col 6 – col 5)	9 (col 8* col 4)
1	16/Com-5	22.07.2016	442.00	35840	59500	41502	17998	7955116
2	16/Com-6	22.07.2016	372.76	35840	59500	40545	18955	7065666
3	16/Com-7	22.07.2016	450.00	35840	59500	42501	16999	7649550
Total								22670232

Appendix 5.2

(Referred to in Paragraph 5.4)

Statement showing loss to *Parishad* due to allowing interest free mobilisation advance without assessing its requirement

Sl. No.	Amount of Mobilisation Advance (in ₹)	Date of release	Period (in months) till September 2016	Rate of interest in per cent	Loss of interest (in ₹)
1	2	3	4	5	6 (col 2* col 4/12*5 per cent)
1	245176000	17.02.2016	6	8	9807040.00
2	143019800	30.03.2016	5	8	4767326.67
3	20432438	19.05.2016	3	8	408648.76
	408628238				14983015.43

Appendix 5.3
(Referred to in Paragraph 5.7)
Statement showing detail of excess payment made on execution of extra items

Particulars	Qty. executed (Sqm)	Rate paid (₹ per Sqm)	Amount paid (in ₹)	Rate to be paid (₹ per Sqm)	Amount to be paid (in ₹)	Excess payment (in ₹)	Remarks
1	2	3	4 (col.2*col.3)	5	6 (col.2*col 5)	7 (col 4-col 6)	8
Close timbering in 0 to 3.0 m depth	68661.054	482	33094628	170	11672379	21422249	As per the contract, the rate for close timbering in 3.0 to 6.0 m depth was ₹ 170 per sqm, hence, the rate for close timbering in 0 to 3.0 m depth cannot be in excess of that payable for 3.0 to 6.0 m depth.
Close timbering in 3 to 6.0 m depth left in trench with used timbering	1934.774	1981	3832787	910	1760644	2072143	As per the contract, the rate for close timbering in depth >6 m was ₹ 910 per sqm, hence, the rate for close timbering in 3.0 to 6.0 m depth cannot be in excess of that payable for depth >6 m.
Close timbering in 0 to 3.0 m depth left in trench with used timbering	2626.651	1206	3167741	910	2390252	777489	As per the contract, the rate for close timbering in depth >6 m was ₹ 910 per sqm, hence, the rate for close timbering in 0 to 3.0 m depth cannot be in excess of that payable for depth >6 m.
Total			40095156		15823275	24271881	
Less: Rate of Contractor after discount of 0.20 per cent						48544	
Net excess payment						24223337	

Appendix 5.4
(Referred to in Paragraph 5.7)

Statement showing detail of excess payment made on account of new timber as an extra item

Particulars	Qty. executed (Sqm)	Rate paid (₹ per Sqm)	Amount paid (in ₹)	Rate to be paid (₹ per Sqm)	Amount to be paid (in ₹)	Excess payment (in ₹)	Remarks
1	2	3	4 (col.2*col. 3)	5	6 (col 2*col 5)	7 (col.4-col.6)	8
Close timbering in 0 to 3.0 m depth left in trench with unused timber	5308.799	2975	15793677	910	4831007	10962670	As per the contract, the rate for close timbering in depth >6 m left in trench was ₹ 910 per sqm, hence, the rate for close timbering in 0 to 3.0 m depth cannot be in excess of that payable for depth >6 m.
Close timbering in 3 to 6.0 m depth left in trench with unused timber	1939.411	3690	7156427	910	1764864	5391563	As per the contract, the rate for close timbering in depth >6 m left in trench was ₹ 910 per sqm, hence, the rate for close timbering in 3.0 to 6.0 m depth cannot be in excess of that payable for depth >6 m.
Total			22950104		6595871	16354233	
Less: Rate of Contractor after discount of 0.20 per cent						32708	
Net excess payment						16321525	

Appendix-7.1

(Referred to in Paragraph 7.1.1)

Statement showing loss due to not levy of land use conversion charges from Hi-tech Township developers

A. Uppal Chaddha Hi-tech Township Developers Private limited					
Sl. No.	Name of village	Area (in acres)	Effective DM circle rate from August 2010 to 27 September 2011 and during 2013 (₹ per acre)	Factor¹⁴ (0.65*0.50) = 0.325	Total Land use conversion charges (in ₹)
1	2	3	4	5	6 (Col.3*Col.4*Col.5)
(i) As per layout dated 19.10.2010					
1	Mehrauli	202.978	10000000	0.325	659678500
2	Sadiqpur Kajipur	154.716	4000000	0.325	201130800
3	Naiphal	367.779	4000000	0.325	478112700
4	Kacherabarshabad	13.363	3238866	0.325	14066314
5	Duryai	401.283	3441296	0.325	448803414
6	Dasna	17.455	10000000	0.325	56728750
7	Bayana	343.889	2500000	0.325	279409813
8	Shahpur Bamheta	142.545	8000000	0.325	370617000
Total		1644.008			2508547291
(ii) As per layout dated 27.09.2011					
1	Mehrauli	3.687	10000000	0.325	11982750
2	Kacherabarshabad	476.835	3238866	0.325	501931518
3	Duryai	353.393	3441296	0.325	395242223
4	Dujana	280.502	3238866	0.325	295265227
5	Talabpur Hathipur	87.164	3441296	0.325	97486065
6	Girdharpur Sunarshi	66.106	3441296	0.325	73934352
Total		1267.687			1375842135
(iii) As per layout dated 07.10.2013					
1	Shadat Nagar Ekla	7.083	7085020	0.325	16309539
2	Kacherabarshabad	37.16	5263158	0.325	63563159
3	Dujana	14.3	5263158	0.325	24460527
4	Talabpur Hathipur	14.79	5060729	0.325	24325659
Total		73.333			128658884
Total-A {(i)+(ii)+(iii)}		2985.028			4013048310

¹⁴ Net Area {Total area – (Green belt+Roads)} x factor for conversion of agricultural land to residential land i.e. 50 per cent.

B. Suncity Hi-tech Infra Developers Private Limited					
(iv) as per layout dated 12.07.2011					
Sl. No.	Name of village	Area (in acres)	Effective DM circle rate 2011 (₹ per acre)	Factor¹⁵ (0.59*0.50)= 0.295	Total Land use conversion charges (in ₹)
1	2	3	4	5	6 (Col.3*Col.4*Col.5)
1	Dasna	507.457	10000000	0.295	1496998150
2	Naiphal	89.142	4000000	0.295	105187560
3	Bayana	94.056	2500000	0.295	69366300
4	Shadat Nagar Ekla	27.284	5000000	0.295	40243900
Total		717.939			1711795910
Total-B		717.939			1711795910
Grand Total- A+B		3702.967			5724844220

¹⁵ Net Area {Total area – (Green belt+Roads)} x factor for conversion of agricultural land to residential land i.e. 50 per cent.

Appendix-7.2
(Referred to in paragraph 7.1.2)

Statement showing non-levying of additional land use conversion charges on Uppal Chaddha Hi-tech Township Developers Private limited

Sl. No.	Name of Village	Area (in acre)	Area (in hectare)	DM circle rate of land at the time of approval of DPR in July 2011 (₹ in lakh per hectare)	Land use conversion charges as calculated by Authority at the time of approval of DPR in July 2011 ¹⁶ (₹ in lakh)	Effective DM circle rate of land at the time of approval of revised DPR in October 2013 (₹ in lakh per hectare)	Additional Land use conversion charges to be levied by the Authority at the time of approval of revised DPR in October 2013 ¹⁷ (₹ in lakh)
1	2	3	4	5	6	7	8
1	Dujana	530.70	214.77	80.00	5379.56	130.00	335.04
2	Kachera Barshabad	222.17	89.91	80.00	2252.07	130.00	140.26
3	Talabpur Hathipur	13.26	5.37	85.00	142.91	125.00	8.06
4	Talabpur Hathipur	63.62	25.75	85.00	685.30	125.00	38.63
5	Sadatnagar Ekla	189.47	76.68	123.55	2966.25	175.00	161.03
Total		1019.22	412.48		11426.09		683.02

¹⁶ As per DPR dated 12.07.2011(Area x 0.6262 x 0.5 x Rate)

¹⁷ As per revised DPR on difference of factor {Area x 0.024 (0.6502-0.6262) x 0.5 x Rate}

Appendix-7.3
(Referred to in paragraph 7.1.3)

**Statement showing non-revision and recovery of CDC from Uppal Chaddha Hi-tech Township
Developers Private Limited**

(₹ in lakh)

Sl. No.	Date of approval of layout	Total area of approved layout	Incremental area of layout above 1500 acre ¹⁸ (in acre)	Area for CDC charges ¹⁹ (in acre)	Cost index applicable	Rate of CDC per acre ²⁰	Rate of CDC per acre to be recovered ²¹	Amount of Short levy on account of CDC charges
1	2	3	4	5=4*0.65024	6	7	8	9=(8*4)
1	19.10.2010	1671.08	171.08	111.24	136	3.58	0.58	64.52
2	21.10.2011	2911.70	1240.62	806.70	151	3.97	0.97	782.50
3	20.09.2013	4004.25	1092.55	710.42	170	4.47	1.47	1044.32
Total				1628.36				1891.34

¹⁸ Original approved area of the Developer was 1500 acre. In April 2010, GoUP decided not to levy CDC on the original approved area of the developers, who were selected under the Policy, 2003 and executed Memorandum of Undertakings in 2005.

¹⁹ After deducting green and road area factor as per GoUP Order of April 2010

²⁰ Considering cost index on 114 (in August 2008) for ₹ 3.00 lakh.

²¹ After deducting CDC already recovered at the rate of ₹ 3.00 lakh per acre.

Appendix-7.4
(Referred to in paragraph 7.3.1)

Statement showing loss to the Authority due to not fixing reserve price of group housing plot as per allowed FAR

Name of Scheme	Area (sqm)	Date of Auction	Applicable sector rate (₹ per sqm)	Allowed Basic FAR	Reserve price fixed (₹ per sqm)	Reserve price to be fixed (₹ per sqm)	Final auction price (₹ per sqm)	Difference (₹ per sqm)	Loss to the Authority (in ₹)
1	2	3	4	5	6 (Col 4*1.5)	7 (Col 4* Col 5)	8	9 (Col 7 - Col 6)	10 (Col 2 * Col 9)
Karpuripuram	12620.00	18.12.2014	22000	2.0	33000	44000	34400	9600	121152000
Vaishali	7766.00	18.12.2014	50000	2.5	75000	125000	77000	48000	372768000
Govindpuram	14675.00	23.08.2012	20000	2.0	30000	40000	35600	4400	64570000
Indrapuram	2811.88	08.02.2011	15000	2.5	22500	37500	30670	6830	19205140
Kaushambi	3136.00	13.12.2010	16000	2.5	24000	40000	25500	14500	45472000
Indrapuram	12148.92	18.11.2010	15000	2.5	22500	37500	30570	6930	84192015
Total									707359155

Appendix-7.5
(Referred to in paragraph 7.3.2)

Statement showing loss due to non-inclusion of corner charges in the reserve price of auction of corner assets

Sl. No.	Name of the Scheme	Plot No.	Date of Auction	Area (in sqm)	Reserve price (₹ per sqm)	Reserve Price to be fixed (including corner charges at the rate of 10 per cent) (₹ per sqm)	Actual auctioned price (₹ per sqm)	Difference of reserve price to be fixed and actual auctioned price (₹ per sqm)	Loss due to not levy of corner charges (in ₹)
1	2	3	4	5	6	7 (Col.6*1.10)	8	9 (Col.7-Col.8)	10 (Col.9*Col.5)
1	Vaishali	GH-18A	18.12.14	7766.00	75000.00	82500.00	77000.00	5500.00	42713000
2	Karpuripuram	ML-1	18.12.14	12620.00	33000.00	36300.00	34400.00	1900.00	23978000
3	Tulasi Niketan*	-	17.08.13	1252.05	11000.00	12100.00	11500.00	600.00	751230
4	Nehru Vikas Minar at GT Road^	-	12.07.06	45936.00	14000.00	15400.00	14530.00	870.00	39964320
Total									107406550

*As per the sale brochure 1331.33 sqm area was available for auction at Tulasi Niketan Scheme where as in actual measurement, 1252.05 sqm was measured.

^As per the sale brochure Nehru Vikas Minar Commercial Complex situated on GT road was available for auction. However, as per the Costing Guidelines corner charges was calculated only on the approx plot area i.e. 45936.00 sqm.

Appendix-7.6

{Referred to in Paragraph 7.3.3(i)}

Statement showing loss due to non-levy and non-recovery of Infrastructure Surcharge

Sl. No.	Name of the Scheme	Plot No.	Date of Auction	Area (in sqm)	Final auction rate (₹ per sqm)	Value of plot (in ₹)	Amount of Infrastructure surcharge at the rate of 10 per cent of plot value (in ₹)
1	2	3	4	5	6	7 (Col.5*Col.6)	8 (Col.7*10 per cent)
(A) Plots Auctioned after Board decision (October 2014) to June 2018							
1	Pratap Vihar	P Block Convenient Shopping Plot	22.10.2016	3212.22	38500	123670470	12367047
2	Indirapuram	School Plot Shakti Khand-4	11.08.2016	5269	50500	266084500	26608450
3	Vaishali	GH-1 (18A)	18.12.2014	7768	77000	598136000	59813600
4	Koyal Enclave	GH-7A	18.12.2014	6400	28551	182726400	18272640
5	Karpuripuram	ML-01	18.12.2014	12620	34400	434128000	43412800
6	Koyal Enclave	GH-3A	22.11.2014	5250	28525	149756250	14975625
Total (A)						1754501620	175450162
(B) Plots Auctioned before Board decision (October 2014)							
7	Indraprasth D Block	4	23.08.2012	4500	18000	81000000	8100000
8	Govindpuram	CP 1/A	23.08.2012	14675	35600	522430000	52243000
9	Govindpuram	CP 1/B	23.08.2012	5273.22	42000	221475240	22147524
10	Indirapuram Vistar	GH-2	24.12.2011	17507.095	40050	701159155	70115915
11	Indirapuram Vistar	GH-3	23.12.2011	16350	40050	654817500	65481750
12	Vaishali	RC-1/2	20.10.2011	24462	40300	985818600	98581860
13	Indirapuram Vistar	GH-1	25.05.2011	7607	30750	233915250	23391525
14	Kaushambi	GH plot near Neelam Cooperative Awas Samiti	20.12.2010	3136	25500	79968000	7996800
15	Kaushambi	Commercial Plot no1	29.06.2010	598.71	104250	62415518	6241551
16	G.T Road	Nehru Vikas Minar	12.07.2006	45936	14529.77	667439515	66743951
Total (B)						4210438777	421043876
Grand Total (A)+(B)				180564.245		5964940397	596494038

Appendix-7.7
{Referred to in paragraph 7.3.3(ii)}
Statement showing loss due to non-levy of Infrastructure Surcharge

Sl. No.	Name of the Scheme	Plot No./ Type	Date of Auction/ Lottery	Area (in sqm.)	Final auction rate (₹ per sqm.)	Value of plot (in ₹)	Amount of Infrastructure Surcharge at the rate of 10 per cent on plot value (in ₹)
1	2	3	4	5	6	7 (Col.5*Col.6)	8 (Col.7*10 per cent)
1	Dr. Ram Manohar Loiya Nagar Scheme, Pocket-D	DC-04/ Commercial	31-01-2017	1041	27150	28263150	2826315
2	Rakshapuram Yojna Sec-1	R-01/ Residential	21-09-2016	300	21350	6405000	640500
3	Sports Goods Complex (Major Dhyanchand Nagar) Scheme Pocket-A	PC-01/ Commercial	28-06-2016	420	25250	10605000	1060500
4	Shradha Puri Phase-II, Pocket-D	BP-05 / Residential	22-06-2016	200	26850	5370000	537000
5	Sports Goods Complex (Major Dhyanchand Nagar) Scheme Pocket-A	PC-03/ Commercial	21-06-2016	420	25000	10500000	1050000
6	Sports Goods Complex (Major Dhyanchand Nagar) Scheme Pocket-A	PC-02/ Commercial	24-05-2016	420	25100	10542000	1054200
7	Shradha Puri Phase-II, Pocket-D	C-01/ Commercial	24-05-2016	325.42	28100	9144302	914430
8	Shradha Puri Phase-II, Pocket-D	BP-01/ Residential	17-05-2016	200	24700	4940000	494000
9	Shradha Puri Phase-II, Pocket-D	BP-04/ Residential	17-05-2016	200	22500	4500000	450000
10	Shradha Puri Phase-II, Pocket-D	BP-03/ Residential	17-05-2016	200	20901	4180200	418020
11	Shradha Puri Phase-II, Pocket-D	BP-02/ Residential	17-05-2016	200	22500	4500000	450000

Sl. No.	Name of the Scheme	Plot No./ Type	Date of Auction/ Lottery	Area (in sqm.)	Final auction rate (₹ per sqm.)	Value of plot (in ₹)	Amount of Infrastructure Surcharge at the rate of 10 per cent on plot value (in ₹)
1	2	3	4	5	6	7 (Col.5*Col.6)	8 (Col.7*10 per cent)
12	Shradha Puri Phase-II, Pocket-D	BP-06/ Residential	17-05-2016	200	27200	5440000	544000
13	Shradha Puri Phase-II, Pocket-D	BP-07/ Residential	17-05-2016	200	28600	5720000	572000
14	Shradha Puri Phase-II, Pocket-D	C-02/ Commercial	16-05-2016	405	28200	11421000	1142100
15	Sports Goods Complex (Major Dhyanchand Nagar) Scheme Pocket-A	PC-04/ Commercial	16-05-2016	420	25100	10542000	1054200
16	Dr. Ram Manohar Loiya Nagar Scheme, Pocket-E	ES-25/ Commercial	26-04-2016	253.88	23900	6067732	606773
17	Pallavpuram scheme Pocket-J	C-24/ Commercial	09-06-2015	200	23250	4650000	465000
Total				5611.30		142790384	14279038

Appendix-7.8
{Referred to in paragraph 7.3.3(iii)}
Statement showing non-levy of Infrastructure Surcharge on sale of Commercial Plots

Sl. No.	Plot No. and Name of the Scheme	Date of Auction	Area of Plot (Sq. Meter)	Rate of Auction (per Sq. Meter)	Value of Plot (in ₹)	Amount of Infrastructure Surcharge @10 per cent to be levied (in ₹)
1	2	3	4	5	6 (Col.4*Col.5)	7 (Col.6*10 per cent)
1	CP-2, Vastu Khand, Gomati Nagar Scheme	04.05.2016	1010.00	48000.00	48480000.00	4848000.00
2	1/41, Vardan Khand, Gomati Nagar Extn. Scheme	04.05.2016	1490.00	50000.00	74500000.00	7450000.00
3	4/17, Basant Khand, Gomti Nagar Extn. Scheme	04.05.2016	5103.84	47142.00	240605225.28	24060522.53
4	C.P. -228, Viraj Khand, Gomati Nagar Scheme	20.07.2016	447.90	60700.00	27187530.00	2718753.00
5	CP-47-A, Sitapur, Road Scheme	20.07.2016	600.00	40000.00	24000000.00	2400000.00
6	CP-225, Viraj Khand, Gomati Nagar Scheme	20.07.2016	447.90	51895.00	23243770.50	2324377.05
7	CP-16, Sec. D-1, Kanpur Road Scheme	20.07.2016	478.50	30000.00	14355000.00	1435500.00
8	K.V.C.-30, Kanpur Road Scheme	06.10.2016	726.00	31500.00	22869000.00	2286900.00
9	C-7, Kanpur Road Scheme	06.10.2016	864.00	36005.00	31108320.00	3110832.00
10	TC-49-V-XVII, Vibhuti Khand, Gomati Nagar Scheme	06.10.2016	1159.00	80250.00	93009750.00	9300975.00
11	4/31-C, Basant Khand Sec-4, Gomati Nagar Extn. Scheme	09.01.2018	2326.50	41200.00	95851800.00	9585180.00
12	CP-222, Viraj Khand, Gomti Nagar Scheme	09.01.2018	447.90	54000.00	24186600.00	2418660.00
13	4/4, Basant Khand, Gomti Nagar Extn Scheme	11.04.2018	4843.04	46188.94	223694907.00	22369490.70
Total					943091902.78	94309190.28

Appendix-7.9
(Referred to in paragraph 7.6)
Statement showing amount of liquidated damages not levied on contractors

Sl. No.	Contractor	Total SPPs to be supplied as per work order	Date of issue of work order	Scheduled date of completion/ extended date of completion	SPPs not supplied	Value of SPPs not supplied (in ₹)	Date of termination of contract	Lapses of time from the extended completion date to date of termination of contract (in weeks)	Per cent of liquidated damages to be levied (1 per cent per week or part of week maximum to 10 per cent)	Amount of liquidated damages to be levied (in ₹)
1	2	3	4	5	6	7 (Col. 6 x ₹ 26,200)	8	9 (Col. 8 – Col. 5) /7	10	11 (Col. 7 * Col. 10) / 100
1	Firm A	37980	12.02.16	11-June-16/ 20-Aug-16	3413	89420600	23-Nov-16	13.43	10	8942060
					666	17449200	24-Aug-16 ²²	0.43	1	174492
Sub Total (A)		37980			4079	106869800				9116552
2	Firm B	9565	12.02.16	11-June-16/ 20-Aug-16	5269	138047800	19-Sep-16	4.14	5	6902390
					948	24837600	30-Aug-16	1.29	2	496752
Sub Total (B)		9565			6217	162885400				7399142
3	Firm C	8723	12.02.16	11-June-16/ 20-Aug-16	2812	73674400	26-Aug-16	0.71	1	736744
Sub Total (C)		8723			2812	73674400				736744
Grand Total(A+B+C)		56268			13108	343429600				17252438

²² Date of approval of termination of contract by UPNEDA