

APPENDICES

Appendix-1.1.1
(Reference: Paragraph 1.1.9.1; Page 4)

Position regarding receipt of ATNs on the paragraphs included in the ARs

Audit Reports	Year	Department (s)	ATNs pending as of 31 st March 2019	Date of presentation in the State Legislature	Due date for receipt of ATNs
Civil/Social, General and Economic Sectors (Non-PSUs)	2000-01	Environment Deptt.	01	23.06.2003	22.09.2003
		Total	01		
	2001-02	Finance Deptt	01	12.01.2005	11.04.2005
		Total	01		
	2002-03	Irrigation	01	05.10.2005	04.01.2006
		Financial & Social Welfare	01		
	Total		02		
	2003-04	Irrigation	01	19.04.2006	18.07.2006
		Panchayati Raj	01		
	Total		02		
	2004-05	Medical Health & Family Welfare	01	27.06.2007	26.09.2007
		P W D	01		
	Total		02		
	2005-06	Medical Deptt	01	07.03.2008	06.06.2008
		Food & Civil Supplies	01		
		Sports & Youth Welfare	01		
		Rural Development	01		
	Total		04		
	2006-07	Pay Jal Deptt.	01	13.07.2009	12.10.2009
		Information Deptt.	02		
		Civil Aviation Deptt.	01		
	Total		04		
	2007-08	Urban Development	01	22.09.2010	21.12.2010
		Technical Education	01		
		Information & Public Relations	01		
	Total		03		
	2008-09	Uttarakhand Pay Jal Nigam	02	29.03.2011	28.06.2011
		Revenue Deptt.	01		
		Rural Engg. Services	01		
		P P P	01		
Total		05			
2009-10	Tourism	01	11.12.2012	10.03.2013	
	Election	01			
Total		02			
2010-11	P W D	02	18.09.2013	17.12.2013	
	Uttarakhand Pay Jal Nigam	01			
	Police Deptt.	01			
	AYUSH	01			
Total		05			
2011-12	Election Deptt.	01	27.11.2014	26.02.2015	
	Department of Labour	01			
	Women Empowerment & Child Development	01			
	Social Welfare Deptt.	02			
	Deptt. of Higher Education	01			
	P W D	01			
Horticulture Deptt.	01				
Total		08			
2012-13	Medical Health & Family Welfare Deptt	02	03.11.2015	02.02.2016	
	Home Deptt.	01			
	Medical Education Deptt.	02			
	P W D	01			
	Technical Education Deptt.	01			
Total		07			
2013-14	Drinking Water And Sanitation Deptt.	01			
	Dairy Development Deptt.	01			
	Higher Education Deptt.	01			
	Medical Education Deptt.	01			
	Home Deptt.	01			
	Medical Health & Family Welfare Deptt.	02			
	P W D	01			
	Chief Minister Office	01			
Pay Jal Deptt.	01				

Audit Report (Social, General, Revenue and Economic Sectors) for the year ended 31 March 2019

		Sericulture Deptt.	01		
		Urban Development Deptt.	01		
		Uttarakhand Renewal Energy Development Agency	01		
		Total	13		
	2014-15	Education Deptt.	01	07.11.2016	06.02.2017
		Elementary Education Deptt.	01		
		Agriculture Deptt.	01		
		Civil Aviation Deptt.	01		
		Culture Deptt.	01		
		Medical, Health & Family Welfare Deptt.	01		
		Home Deptt.	01		
		Industrial Development Deptt.	01		
		Rural Development Deptt.	01		
		P W D	03		
		Revenue Deptt. (CLR)	01		
		Total	13		
	2015-16	Home Deptt.	01	02.05.2017	01.08.2017
		AYUSH Deptt.	01		
		Food Safety and Standards	01		
		Higher Education Deptt.	01		
		Horticulture Deptt.	01		
		Land Revenue Deptt.	01		
		Social Welfare Deptt.	01		
		Tourism Deptt.	01		
		Urban Development Deptt.	01		
		Women Empowerment and Child Development Deptt.	02		
		Total	11		
	2016-17	Pay Jal Deptt.	03	20-09-2018	19-12-2018
		Department of Energy	01		
		Medical, Health & Family Welfare Deptt.	01		
		P W D	04		
		Uttarakhand Peyjal Sansadhan Vikas Evam Nirman Nigam	01		
		Urban Development Department	01		
		Total	11		
		G. Total	94		
State Finances	2000-01	Finance and Misc. Departments	All Chapters	23.06.2003	22.09.2003
	2001-02	Finance and Misc. Departments	All Chapters	19.07.2004	18.10.2004
	2002-03	Finance and Misc. Departments	All Chapters	12.01.2005	11.04.2005
	2003-04	Finance and Misc. Departments	All Chapters	05.10.2005	04.01.2006
	2004-05	Finance and Misc. Departments	All Chapters	19.04.2006	18.07.2006
	2005-06	Finance and Misc. Departments	All Chapters	27.06.2007	26.09.2007
	2006-07	Finance and Misc. Departments	All Chapters	07.03.2008	06.06.2008
	2007-08	Finance and Misc. Departments	All Chapters	13.07.2009	12.10.2009
	2008-09	Finance and Misc. Departments	All Chapters	22.09.2010	21.12.2010
	2009-10	Finance and Misc. Departments	All Chapters	29.03.2011	28.06.2011
	2010-11	Finance and Misc. Departments	All Chapters	11.12.2012	10.03.2013
	2011-12	Finance and Misc. Departments	All Chapters	18.09.2013	17.12.2013
	2012-13	Finance and Misc. Departments	All Chapters	27.11.2014	26.02.2015
	2013-14	Finance and Misc. Departments	All Chapters	03.11.2015	02.06.2016
	2014-15	Finance and Misc. Departments	All Chapters	07.11.2016	06.02.2017
	2015-16	Finance and Misc. Departments	All Chapters	02.05.2017	01.08.2017
2016-17	Finance and Misc. Departments	All Chapters	26.03.2018	25.06.2018	
Hydropower Development Through Private Sector Participation	2008-09	Miscellaneous Departments	All Chapters	22.09.2010	21.12.2010
Nainital District	2011-12	Miscellaneous Departments	All Chapters	18.09.2013	17.12.2013
Natural Disaster in Uttarakhand, June 2013	2013-14	Miscellaneous Departments	All Chapters	03.11.2015	02.02.2016
Reconstruction of Infrastructure Post 2013 Disaster in Uttarakhand	2016-17	Miscellaneous Departments	All Chapters	22.02.2019	21.05.2019

Appendix-1.4.1
(Reference: Paragraph 1.4; Page 17)

Details of non-recovery of damages on delayed submission of Performance Security by the contractors

Sl. No.	Agreement No.	Date of Agreement	Name of work	Name of contractor	Contract Price (in ₹)	Date/Amount of Bank Guarantee (BG) submitted (in ₹)	Amount of contract price against which BG is submitted (in ₹)	Delay in submission of BG (in days)	Total recoverable amount of Damage (in ₹) (0.01% of Col. 8 x Col. 9)
1	2	3	4	5	6	7	8	9	10
1.	04/CE-NH&B/16	19-08-2016	Treatment of Landslide Hazard Zones of Saknidhar (km.-277.75 to 277.95), Devprayag Sinking Zone (km.-297.01 to 297.30), Srinagar River Bank Protection (km.-329.400 to 330.100).	M/s Bharat Construction, Dehradun	56,50,00,000	19-08-2016 60,95,000	12,19,00,000	0	0
						08-09-2016 2,21,55,000	44,31,00,000	10	4,43,100
2.	05/CE-NH&B/16	22-10-2016	Construction of 4 lane ROB in km.-161.00 on NH-72 (New NH-07) at Ajabpur	M/s Brahmaputra Infrastructure Ltd. New Delhi	49,80,00,000	23-11-2016 1,00,00,000	20,00,00,000	22	4,40,000
						10-11-2016 1,49,00,000	29,80,00,000	09	2,68,200
3.	11/CE-NH&B/17	10-07-2017	Widening and strengthening of existing single/2 lane to 2 lane with earthen shoulder configuration from km. 57.975 to km. 68.600 (Fata to Sitapur) of NH-109 (New No. 107) under EPC mode.	M/s Singla Construction Ltd. Chandigarh	58,90,00,000	30-11-2017 2,94,50,000	58,90,00,000	133	78,33,700
4.	13/CE-NH&B/17	27-01-2018	Reconstruction with geometric improvement of existing single / intermediate lane to 2 lane with paved shoulder configuration from km.-338.100 (Design km. 333.400) to km. 368.000 (Design km. 360.579) excluding existing km. 349.800 to km. 355.495 of NH-58 under EPC mode.	M/s R.C.C. Developers Ltd. Meerut	84,00,00,000	13-02-2018 4,20,00,000	84,00,00,000	07	5,88,000
5.	14/CE-NH&B/17	27-01-2018	Reconstruction with geometric improvement of existing single/intermediate lane to 2 lane with earthen shoulder configuration from existing km. 16.545 to km. 33.130 & Km. 41.260 to km. 57.975 (Design km. 18.700 to km. 35.130 & Km. 47.700 to km. 63.700) excluding Khat village from km. 53.425 to 55.530 for NH-109 under EPC mode.	M/s SCIW-R G Build well Engineer, Rajnagar, Ghaziabad	2,95,19,95,490	08-02-2018 14,75,99,775	2,95,19,95,490	02	5,90,400
6.	16/CE-NH&B/17	14-12-2018	Rehabilitation and up-gradation to 2 lane with paved shoulder configuration from km.-172.900 to km.-194.150 (Design chainage km.-49.300 to km.-70.300) on Dharasu to Yamunotri Road of NH-134 (old NH-94) under EPC mode.	M/s JSP Project Pvt. Ltd, Malviya Nagar, New Delhi	2,16,89,00,000	10-01-2019 10,84,45,000	2,16,89,00,000	17	36,87,130
Total								1,38,50,530	Say ₹1.39 crore

Note: The Agreement no.-11/CE-NH&B/17 dated 10-07-2017 (Sl.No.-3) should have been terminated with encashment of Bid Security on expiry of 60 days (30 days plus additional 30 days) as per T&C of the contract but no such action was taken by the CE-NH; hence, the calculation of damages was made until actual date of submission of the PS (30-11-2017).

Appendix-2.2.1
(Reference: Paragraph 2.2; Page 32)

Statement showing non recovery of five times of royalty from the contractors during 2017-18

Sl. No.	Name of the auditee entity	Number of executive/ construction agencies (information provided by the department)	One time royalty deducted from the bills of the contractors (not having Form MM-11) (Amount in ₹)	Five times of the royalty to be recovered from Contractors (Amount in ₹)
1.	2.	3.	4.	5. (5 x amount in column 4)
1.	District Mining Officer, Haldwani (Nainital)	12	3,61,08,012	18,05,40,060
2.	District Mining Officer, New Tehri	16	8,91,32,323	44,56,61,615
3.	District Mining Officer, Rudrapur	06	3,05,18,727	15,25,93,635
4.	District Mining Officer, Haridwar	05	1,20,56,071	6,02,80,355
5.	District Mining Officer, Uttarkashi	11	4,56,64,101	22,83,20,505
6.	District Mining Officer, Rudraprayag	09	3,43,59,104	17,17,95,520
7.	District Mining Officer, Dehradun	16	6,04,17,029	30,20,85,145
8.	District Mining Officer, Pithoragarh	15	8,73,43,238	43,67,16,190
9.	District Mining Officer, Pauri	14	7,85,96,124	39,29,80,620
Total		104	₹47,41,94,729	₹237,09,73,645

Appendix-2.4.1
(Reference: Paragraph 2.4; Page 35)

List of dealers

Sl. No.	Name of dealer	Turnover in the respective Assessment Year (in ₹)	Assessment Year/Date of Assessment	Month	Tax Payable (in ₹)	Tax paid (in ₹)	Prescribed date for payment of tax	Actual date of payment of tax	Minimum Rate of Penalty (in per cent)	Minimum Penalty (in ₹)	Delay in payment of tax
1.	M/s A.S.P. Ceiling Products Ltd., Kichcha, (Udham Singh Nagar) (Tin 05007290448)	State 11,21,17,125	2013-14 18.10.17	July 2013	17,59,558	17,59,558	25.08.2013	30.09.2013	10%	1,75,956	1 month 6 days
		Central 14,61,38,268		September 2013	10,30,917(CST) 1,26,397 (VAT) 11,57,314 (Total)	11,57,314	25.10.2013	29.10.2013	10%	1,15,731	4 days
		Total 25,82,55,393		November 2013	94,828 (CST) 7,05,172 (VAT) 8,00,000 (Total)	8,00,000	25.12.2013	10.01.2014	10%	80,000	16 days
				December 2013	1,31,513 (CST) 6,68,487 (VAT) 8,00,000 (Total)	8,00,000	25.01.2014	03.02.2014	10%	80,000	9 days
				January 2014	1,27,920 (CST) 3,72,080 (VAT) 5,00,000 (Total)	5,00,000	25.02.2014	06.03.2014	10%	50,000	10 days
					11,55,988	11,55,988	25.02.2014	08.03.2014	10%	1,15,599	12 days
				March 2014	3,56,354 (CST) 11,43,646 (VAT) 15,00,000 (Total)	15,00,000	25.04.2014	24.05.2014	10%	1,50,000	29 days
Total (i)										7,67,286	
2.	M/s Bankey Bihari Ispat Private Ltd., Kichcha (Tin 05004558249)	State 64,60,50,515	2013-14 18.10.17	2013-14 Q1 (April-June)	9,00,000	9,00,000	25.07.2013	22.12.2013	10%	90,000	4 months 28 days
		Central 22,93,36,295		June	7,40,454	7,40,454	25.07.2013	24.12.2013	10%	74,045	5 months
		Total 87,53,86,810		July	7,00,000	7,00,000	25.08.2013	13.01.2014	10%	70,000	4 months 19 days
					3,00,000	3,00,000	25.08.2013	27.01.2014	10%	30,000	5 months 2 days
					3,65,350	3,65,350	25.08.2013	29.01.2014	10%	36,535	5 months 4 days
				August	2,50,000	2,50,000	25.09.2013	12.04.2014	10%	25,000	6 months 18 days
					6,59,263	6,59,263	25.09.2013	15.04.2014	10%	65,926	6 months 21 days
				2013-14 Q2 (July-Sep)	9,00,000	9,00,000	25.10.2013	24.03.2014	10%	90,000	5 months
					8,00,000	8,00,000	25.01.2014	02.06.2014	10%	80,000	4 months 8 days
				2013-14 Q3 (Oct-Dec)	9,00,000	9,00,000	25.01.2014	03.06.2014	10%	90,000	4 months 9 days
					10,00,000	10,00,000	25.01.2014	04.06.2014	10%	1,00,000	4 months 10 days
					4,04,259	4,04,259	25.01.2014	28.06.2014	10%	40,426	5 months 3 days
				2013-14 Q4 (Jan-March)	15,00,000	15,00,000	25.04.2014	30.06.2014	10%	1,50,000	2 months 5 days
					5,95,351	5,95,351	25.04.2014	01.07.2014	10%	59,535	2 months 6 days
Total (ii)										10,01,467	
3.	M/s Bankey Bihari Ispat Private Ltd., Kichcha (Tin 05004558249)	State 68,55,36,258	2014-15 23.03.18	Q1 (April-June)	3,00,000	3,00,000	20.07.2014	14.09.2014	10%	30,000	1 month 25 days
		Central 23,24,59,519			7,00,000	7,00,000	20.07.2014	15.09.2014	10%	70,000	1 month 26 days
		Total 91,79,95,777		Q2 (July-Sep)	7,00,000	7,00,000	20.10.2014	12.12.2014	10%	70,000	1 month 22 days
					5,00,000	5,00,000	20.10.2014	13.12.2014	10%	50,000	1 month 23 days
				October	8,50,000	8,50,000	20.11.2014	22.01.2015	10%	85,000	2 months 02 days
					5,50,000	5,50,000	20.11.2014	23.01.2015	10%	55,000	2 months 03 days
Total (iii)										3,60,000	

Audit Report (Social, General, Revenue and Economic Sectors) for the year ended 31 March 2019

Sl. No.	Name of dealer	Turnover in the respective Assessment Year (in ₹)	Assessment Year/Date of Assessment	Month	Tax Payable (in ₹)	Tax paid (in ₹)	Prescribed date for payment of tax	Actual date of payment of tax	Minimum Rate of Penalty (in per cent)	Minimum Penalty (in ₹)	Delay in payment of tax
4.	M/s Guru Nanak Stone Industries, Kichcha (Tin 05005586061)	State 2,02,53,953	2015-16 09.03.18	Q4 (Jan-March)	3,060 (CST) 11,81,770 (VAT) 11,84,830 (Total)	11,84,830	20.04.2016	22.04.2016	5%	59,242	02 days
		Central 1,52,880									
		Total 2,04,06,833									
Total (iv)										59,242	
5.	M/s Guru Nanak Rice Mill, Kichcha (Tin No.05004446796)	State 6,57,81,371	2013-14 18.10.17	July 2013	21,377 (CST)	21,377 (CST)	25.08.2013	30.08.2013	10%	2,138	05 days
		Central 4,05,66,282		August 2013	1,00,101 (CST)	1,00,101 (CST)	25.09.2013	28.09.2013	10%	10,010	03 days
		Total 10,63,47,653		Total (v)							
										12,148	
6.	M/s J.K. Cement Ltd., Kichcha (Tin 05004456981)	State 18,18,86,792	2014-15 18.04.17	April 2014	17,92,017	17,92,017	20.05.2014	25.05.2014	10%	1,79,202	05 days
		Central Nil		May 2014	21,42,672	21,42,672	20.06.2014	25.06.2014	10%	2,14,267	05 days
		Total 18,18,86,792		June 2014	21,93,251	21,93,251	20.07.2014	24.07.2014	10%	2,19,325	04 days
				July 2014	16,92,561	16,92,561	20.08.2014	25.08.2014	10%	1,69,256	05 days
				August 2014	18,86,067	18,86,067	20.09.2014	25.09.2014	10%	1,88,607	05 days
				October 2014	21,19,232	21,19,232	20.11.2014	25.11.2014	10%	2,11,923	05 days
				November 2014	21,09,504	21,09,504	20.12.2014	24.12.2014	10%	2,10,950	04 days
				December 2014	21,56,224	21,56,224	20.01.2015	22.01.2015	10%	2,15,622	02 days
				January 2015	19,09,378	19,09,378	20.02.2015	25.02.2015	10%	1,90,938	05 days
				February 2015	21,92,810	21,92,810	20.03.2015	25.03.2015	10%	2,19,281	05 days
Total (vi)										20,19,371	
7.	M/s J.K. Cement Ltd., Kichcha (Tin 05004456981)	State 52,72,91,819	2015-16 02.06.17	April 2015	22,28,856	22,28,856	20.05.2015	25.05.2015	5%	1,11,443	05 days
		Central Nil		May 2015	24,40,529	24,40,529	20.06.2015	25.06.2015	5%	1,22,026	05 days
		Total 52,72,91,819		June 2015	22,50,113	22,50,113	20.07.2015	23.07.2015	5%	1,12,506	03 days
				July 2015	18,58,478	18,58,478	20.08.2015	25.08.2015	5%	92,924	05 days
				August 2015	57,07,861	57,07,861	20.09.2015	24.09.2015	5%	2,85,393	04 days
				September 2015	53,57,465	53,57,465	20.10.2015	24.10.2015	5%	2,67,873	04 days
				October 2015	87,07,688	87,07,688	20.11.2015	24.11.2015	5%	4,35,384	04 days
				November 2015	79,94,751	79,94,751	20.12.2015	23.12.2015	5%	3,99,738	03 days
				January 2016	94,79,600	94,79,600	20.02.2016	25.02.2016	5%	4,73,980	05 days
				February 2016	83,34,260	83,34,260	20.03.2016	22.03.2016	5%	4,16,713	02 days
	March 2016	77,28,171	77,28,171	20.04.2016	25.04.2016	5%	3,86,409	05 days			
Total (vii)										31,04,389	
8.	M/s Guru Nanak Motors, Kichcha (Tin 05006096863)	State 3,25,43,888	2015-16 (Deemed assessment)	April 2015	3,22,865	3,22,865	20.05.2015	29.05.2015	5%	16,143	09 days
		Central Nil		May 2015	10,54,532	10,54,532	20.06.2015	25.06.2015	5%	52,727	05 days
		Total 3,25,43,888		June 2015	8,66,117	8,66,117	20.07.2015	25.07.2015	5%	43,306	05 days
				July 2015	7,52,001	7,52,001	20.08.2015	25.08.2015	5%	37,600	05 days
				September 2015	8,13,528	8,13,528	20.10.2015	29.10.2015	5%	40,676	09 days
				October 2015	9,03,997	9,03,997	20.11.2015	30.11.2015	5%	45,200	10 days
				November 2015	10,83,900	10,83,900	20.12.2015	29.12.2015	5%	54,195	09 days
				January 2016	5,94,779	5,94,779	20.02.2016	25.02.2016	5%	29,739	05 days
Total (viii)										3,19,586	
Grand Total (i + ii + iii + iv + v + vi + vii + viii)										76,43,489	

Appendix-2.8.1
(Reference: Paragraph 2.8; Page 39)

Statement showing non-levy of penalty due to delay in deposit of TDS

Sl. No.	Month of deduction of TDS	Due date of deposit of TDS	Date of deposit TDS	Delay in deposit of TDS (in days)	Amount of TDS deducted	Two times penalty on delayed deposited tax (in ₹)
1.	2.	3.	4.	5.	6.	7 (6 x 2 times)
M/s Alaknanda hydro power company limited, Dehradun						
Assessment Year-2013-14, Assessment Month-May 2017						
1.	April 13	31/05/2013	13/06/2013	13	2,81,169	5,62,338
2.	May 13	30/06/2013	17/07/2013	17	3,53,741	7,07,482
3.	August 13	30/09/2013	23/10/2013	23	1,27,666	2,55,332
4.	October 13	30/11/2013	02/01/2014	33	2,16,317	4,32,634
5.	December 13	31/01/2014	19/02/2014	19	11,78,674	23,57,348
8.	March 14	30/04/2014	20/06/2014	51	31,50,427	63,00,854
Total-A					53,07,994	1,06,15,988
M/s Lanco Infratech limited, Dehradun						
Assessment Year-2012-13, Assessment Month-June 2017						
1.	February 13	31/03/2013	25/04/2013	25	1,33,848	2,67,696
Assessment Year-2013-14, Assessment Month-June 2017						
2.	July 13	31/08/2013	24/10/2013	54	7,15,946	14,31,892
Total-B					8,49,794	16,99,588
Grand total (A+B)					61,57,788	1,23,15,576 Say ₹ 1.23 crore

Appendix-2.9.1

(Reference: Paragraph 2.9; Page 40)

Details of penalty to be imposed on firm on purchasing of such goods during 2012-13, 2013-14 and 2014-15 on Concessional Form 'C' for which it was not registered

Sl. No.	Form 'C' No.	Date of issue of form 'C'	Goods purchased	Cost of the purchased goods	Rate of tax in percentage	Tax payable (in ₹)	Penalty (one and half times of tax payable)
Assessment Year: 2012-13							
1.	0045771	16/06/2012	Spare parts	9,430.00	13.5	1,273.05	1,909.58
2.	0045772	16/06/2012	Spare parts	9,440.00	13.5	1,274.40	1,911.60
3.	0045773	16/06/2012	Spare parts	2,18,688.00	13.5	29,522.88	44,284.32
4.	0045777	28/07/2012	Spare parts	59,335.00	13.5	8,010.23	12,015.34
5.	0045778	28/07/2012	Spare parts	38,947.00	13.5	5,257.85	7,886.77
6.	0045779	28/07/2012	Spare parts	18,65,000.00	13.5	251,775.00	3,77,662.50
7.	0045780	28/07/2012	Spare parts	62,730.00	13.5	8,468.55	12,702.83
8.	0045782	31/10/2012	Spare parts	39,410.00	13.5	5,320.35	7,980.53
9.	0045783	12/11/2012	Spare parts	1,24,627.00	13.5	16,824.65	25,236.97
10.	0045785	16/02/2013	Spare parts	18,65,000.00	13.5	251,775.00	3,77,662.50
11.	0045786	16/02/2013	Spare parts	3,44,810.00	13.5	46,549.35	69,824.03
12.	0045789	11/03/2013	Spare parts	3,74,965.00	13.5	50,620.28	75,930.41
Total (Assessment Year: 2012-13)				50,12,382.00		6,76,671.59	10,15,007.38
Assessment Year: 2013-14							
1.	0045794	01/04/2013	DG Set 125 KVA	5,52,328.00	13.5	74,564.28	1,11,846.42
2.	0045796	27/09/2013	Tools & Dies	70,795.00	5	3,539.75	5,309.63
3.	0045797	27/09/2013	Tools & Dies	5,263.00	5	263.15	394.73
4.	0045798	27/09/2013	Machine	8,21,294.00	13.5	1,10,874.69	1,66,312.04
5.	0045801	24/10/2013	Machine spares	5,263.00	13.5	710.51	1,065.76
6.	0045802	24/10/2013	Machine spares	5,263.00	13.5	710.51	1,065.76
7.	0045803	24/10/2013	Machine spares	16,055.00	13.5	2,167.43	3,251.14
8.	0045805	15/01/2014	Machine spares	17,633.00	13.5	2,380.46	3,570.68
9.	0045806	15/01/2014	Machine spares	9,547.00	13.5	1,288.85	1,933.27
10.	0045807	15/01/2014	Machine spares	24,879.00	13.5	3,358.67	5,038.00
11.	0045808	15/01/2014	Machine spares	42,109.00	13.5	5,684.72	8,527.07
12.	0045809	12/02/2014	Machine spares	1,24,644.00	13.5	16,826.94	25,240.41
Total (Assessment Year: 2013-14)				16,95,073.00		2,22,369.96	3,33,554.91

Sl. No.	Form 'C' No.	Date of issue of form 'C'	Goods purchased	Cost of the purchased goods	Rate of tax in percentage	Tax payable (₹)	Penalty (one and half times of tax payable)
Assessment Year: 2014-15							
1.	0075348	27/10/2014	Machine Parts	58,140.00	13.5	7,848.90	11,773.35
2.	0075352	27/10/2014	Machine Parts	20,828.00	13.5	2,811.78	4,217.67
3.	0075355	27/10/2014	Machine Parts	63,822.00	13.5	8,615.97	12,923.96
4.	0075356	27/10/2014	Machine Parts	1,47,367.00	13.5	19,894.55	29,841.82
5.	0075360	11/12/2014	Machine Parts	69,360.00	13.5	9,363.60	14,045.40
6.	076477	19/12/2014	Machine Parts	7,85,636.00	13.5	1,06,060.86	1,59,091.29
7.	076478	19/12/2014	Machine Parts	11,09,942.00	13.5	1,49,842.17	2,24,763.26
8.	UKC111437272440	28/11/2014	Sewing Machine	1,73,400.00	5	8,670.00	13,005.00
Total (Assessment Year: 2014-15)				24,28,495.00		3,13,107.83	4,69,661.75
Grant Total				91,35,950.00		12,12,149.38	18,18,224.04 Say ₹18.18 lakh

Appendix-3.2.1

(Reference: Paragraph 3.2.1.8; Page 49 and Paragraph 3.2.1.9; Page 50)

Summarised financial results of Power Sector Undertakings for the latest year for which accounts were finalised

(₹ in crore)

Sl. No.	Activity & Name of the Power Sector Undertaking	Period of Accounts	Net Profit/loss before interest & tax	Net profit/loss after interest & tax	Turn Over	Paid up capital ³	Capital Employed	Net Worth [*]	Accumulated Profit/Loss
1	2	3	4	5	6	7	8	9	10
A.	Generation								
1.	Uttarakhand Jal Vidhyut Nigam Limited	2018-19	92.51	26.24	755.12	1,190.99	3,564.62	2,130.85	939.86
2.	Kishau Corporation Limited		Initial accounts yet to be received			0.01	0.01	0.01	-
B.	Transmission								
1.	Power Transmission Corporation of Uttarakhand Limited	2018-19	112.48	62.46	317.35	520.88	1,349.57	723.22	202.34
C.	Distribution								
1.	Uttarakhand Power Corporation Limited	2018-19	-433.93	-553.23	6,218.61	1,428.91	-844.91	-1693.04	-3,121.95
	Grand Total		-228.94	-464.53	7291.08	3,140.79	4,069.29	1,161.04	-1,979.75

*Net worth is the sum total of the paid-up capital and free reserves and surplus minus accumulated losses and deferred revenue expenditure.

Note 1: Net profit/ Loss after interest and tax are without considering Other Comprehensive Income incase of IND AS compliant Power Sector PSUs.

Note 2: Kishau Corporation Limited is incorporated on 16 January 2017 and it had not commenced any commercial activities till 31 March 2019.

Note3: Paid capital includes share application money pending allotment.

Appendix-3.2.2
(Reference: Paragraph 3.2.1.10; Page 52)

Statement showing State Government funds infused in the four power sector undertakings since inception till 31 March 2019

(₹ in crore)

Year	UJVNL			PTCUL			UPCL			Kishau Corporation Ltd.			Total		
	Equity	Interest Free Loan (IFL)	IFL converted into equity	Equity	Interest Free Loan (IFL)	IFL converted into equity	Equity	Interest Free Loan (IFL)	IFL converted into equity	Equity	Interest Free Loan (IFL)	IFL converted into equity	Equity	Interest Free Loan (IFL)	IFL converted into equity
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	5.00	0.00	0.00	0.00	0.00	0.00	5.00	0.00	0.00	0.00	0.00	0.00	10.00	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	139.36	0.00	0.00	29.3	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	168.66	0.00	0.00
2005-06	227.78	0.00	0.00	13.86	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	241.64	0.00	0.00
2006-07	97.42	0.00	0.00	22.56	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	119.98	0.00	0.00
2007-08	190.25	0.00	0.00	38.95	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	229.2	0.00	0.00
2008-09	52.33	0.00	0.00	19.7	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	72.03	0.00	0.00
2009-10	73.07	0.00	0.00	49.81	0.00	0.00	572.00	0.00	0.00	0.00	0.00	0.00	694.88	0.00	0.00
2010-11	16.59	0.00	0.00	15.12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	31.71	0.00	0.00
2011-12	3.67	0.00	0.00	38.11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	41.78	0.00	0.00
2012-13	68.11	0.00	0.00	56.33	0.00	0.00	391.91	0.00	0.00	0.00	0.00	0.00	516.35	0.00	0.00
2013-14	202.21	0.00	0.00	16.6	0.00	0.00	39.99	0.00	0.00	0.00	0.00	0.00	258.8	0.00	0.00
2014-15	8.10	0.00	0.00	95.15	0.00	0.00	68.00	0.00	0.00	0.00	0.00	0.00	171.25	0.00	0.00
2015-16	21.80	0.00	0.00	25.56	0.00	0.00	161.13	0.00	0.00	0.00	0.00	0.00	208.49	0.00	0.00
2016-17	47.00	0.00	0.00	37.00	0.00	0.00	46.00	0.00	0.00	0.01	0.00	0.00	130.01	0.00	0.00
2017-18	15.17	0.00	0.00	15.83	0.00	0.00	22.00	0.00	0.00	0.00	0.00	0.00	53.00	0.00	0.00
2018-19	23.13	0.00	0.00	47.00	0.00	0.00	122.88	0.00	0.00	0.00	0.00	0.00	193.01	0.00	0.00
Total	1,190.99	0.00	0.00	520.88	0.00	0.00	1,428.91	0.00	0.00	0.01	0.00	0.00	3,140.79	0.00	0.00

Note: Kishau Corporation Limited is incorporated on 16 January 2017 and it had not commenced any commercial activities till 31 March 2019. The paid up capital of Kishau Corporation Limited was ₹0.01 lakh and the same was not included in the total equity of the power sector PSUs.

Appendix-3.3.1
(Reference: Paragraph 3.3.1.3; Page 62)

Statement showing position of equity and outstanding loans relating to State PSUs (other than Power Sector) as on 31 March 2019

(₹ in crore)

Sl. No.	Sector & Name of the PSU	Name of the Department	Month and year of incorporation	Equity				Long term loans outstanding at close of the year 2018-19			
				GoU	GoI	Others	Total	GoU	GoI	Others	Total
1	2	3	4	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)
A Social Sector											
I-Working Government Companies											
1.	Uttarakhand Bahuddeshiya Vitta Evam Vikas Nigam Limited	Social Welfare	October 2001	17.46	5.99	0.00	23.45	0.00	0.00	1.46	1.46
2.	Uttarakhand Purv Saini Kalyan Nigam Limited	Public welfare	March 2004	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00
3.	Uttarakhand Alpsankhyak Kalyan Tatha Wakf Vikas Nigam (Initial accounts not received)	Development of economically weaker section of Minority (Finance)	January 2005	7.55	0.00	0.00	7.55	0.00	0.00	1.00	1.00
Total A-I				26.01	5.99	0.00	32.00	0.00	0.00	2.46	2.46
II-Non-working Government Companies											
1.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	Development of economically weaker section of Minority (Finance)	June 1974	0.20	0.00	0.30	0.50	1.17	0.04	0.00	1.21
2.	Kumaun Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaun Mandal Vikas Nigam Limited)	Development of economically weaker section of Minority (Finance)	June 1975	0.22	0.00	0.28	0.50	0.00	0.00	0.00	0.00
Total A-II				0.42	0.00	0.58	1.00	1.17	0.04	0.00	1.21
Total A (I+II)				26.43	5.99	0.58	33.00	1.17	0.04	2.46	3.67
B Competitive Environment sector											
I -Working Government Companies											
1.	Uttarakhand Seed & Tarai Development Corporation Ltd.	Agricultural	February 1969	1.2	0.84	2.04	4.08	12.50	0	0	12.50
2.	State Infrastructure and Industrial Development Corporation of Uttarakhand Limited	Industrial and Infrastructure Development	July 2002	26	0	2.5	28.5	0	0	180.75	180.75

3.	Bridge, Ropeway, Tunnel and other Infrastructure Development Corporation of Uttarakhand Limited earlier known as Uttarakhand State Infrastructure Development Corporation Limited	Industrial and Infrastructure Development	March 2008	4.00	0.00	0.00	4.00	3.00	0.00	0.00	3.00
4.	Doiwala Sugar Company Limited	Sugar and Cane	December 2001	6.00	0.00	0.00	6.00	127.99	5.31	3.15	136.45
5.	Kichha Sugar Company Limited	Sugar and Cane	February 1972	17.54	0	0.45	17.99	119.32	0	0	119.32
6.	Uttarakhand Project Development and Construction Corporation Limited	Irrigation	December 2010	1.07	0	0	1.07	0	0	0	0
7.	Kumaun Mandal Vikas Nigam Limited	Tourism	March 1971	13.42	0.00	0.00	13.42	28.07	0.00	0.00	28.07
8.	Garhwal Mandal Vikas Nigam Limited	Tourism	March 1976	6.64	0.00	0.00	6.64	0.42	0.00	0.23	0.65
9.	Ecotourism Development Corporation of Uttarakhand (Initial accounts not received)	Tourism	March 2017	0.05	0	0	0.05	0.00	0.00	6.00	6.00
Total B-I				75.92	0.84	4.99	81.75	291.30	5.31	190.13	486.74
II-Statutory Corporation											
1.	Uttarakhand Peyjal Sansadhan Vikas Evam Nirman Nigam	water supply and sewerage	November 2002	0	0	0	0	21.02	54.45	0	75.47
2.	Uttarakhand Parivahan Nigam	Transport	October 2003	229.36	9.24	0	238.6	0.74	1.93	50.96	53.63
Total B-II				229.36	9.24	0	238.60	21.76	56.38	50.96	129.10
Total B (I+II)				305.28	10.08	4.99	320.35	313.06	61.69	241.09	615.84
C Others											
I-Working Government Company											
1.	Uttar Pradesh Hill Electronics Corporation Limited	Electronics	June 1985	8.95	0.00	0.00	8.95	0.00	0.00	0.00	0.00
2.	Uttarakhand Metro Rail, Urban Infrastructure & Building Construction Corporation Limited (Initial accounts not received)	Urban Development	March 2017	0.10	0.00	0.00	0.10	0.00	0.00	0.00	0.00
3.	Dehradun Smart City Limited	Urban Development	September 2017	0.05	0.00	0.05	0.10	0.00	0.00	0.00	0.00
Total C-I				9.10	0.00	0.05	9.15	0.00	0.00	0.00	0.00
II-Non-working Government Company											
1.	Trans cables Limited (Subsidiary of Kumaun Mandal Vikas Nigam limited)	Electronics	November 1973	0.00	0.00	1.63	1.63	2.75	0.00	0.00	2.75
2.	Uttar Pradesh Digitals Limited (Subsidiary of Kumaun Mandal Vikas Nigam Limited)	Electronics	March 1978	0.00	0.00	0.35	0.35	1.40	18.52	0.00	19.92
3.	UPAI	Agricultural	April 1977	0.17	0.00	0.00	0.17	0.00	0.00	0.00	0.00
4.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited) (Not available)	Electronics	July 1987	0.00	0.00	0.03	0.03	0.00	0.00	0.00	0.00

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5.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation limited) (Not available)	Electronics	July 1989	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
6.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Electronics	April 1987	0.00	0.00	0.18	0.18	0.00	0.00	0.00	0.00
Total C-II				0.17	0.00	2.19	2.36	4.15	18.52	0.00	22.67
III -Statutory Corporation											
1.	Uttarakhand Forest Development Corporation	Forest	May 2001	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total C-III				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total C (I+II+III)				9.27	0.00	2.24	11.51	4.15	18.52	0.00	22.67
Grand Total (A+B+C)				340.98	16.07	7.81	364.86	318.38	80.25	243.55	642.18

Appendix-3.3.2

(Reference: Paragraph 3.3.1.8; Page 65)

Statement showing position of State Government Investment (Loans and grant/subsidy) in working PSUs (other than Power Sector) during the period for which accounts are in arrears

(₹ in crore)

Sl. No.	Name of PSU	Period upto which accounts finalised	Period for which accounts are in arrears	Paid up capital as per latest accounts finalised	Investment made by State Government during the period for which accounts are in arrears		
					Loans	Grant/Subsidy	Total
A -Working Government Companies							
1.	Uttarakhand Seeds & Tarai Development Corporation Limited	2015-16	2016-17 to 2018-19	4.08	0.00	0.00	0.00
2.	Uttarakhand Bahuddeshiya Vitta Evam Vikas Nigam Limited	2008-09	2009-10to 2018-19	8.43	0.00	48.30	48.30
3.	State Infrastructure and Industrial Development Corporation of Uttarakhand Limited	2016-17	2017-18 to 2018-19	28.50	0.00	0.00	0.00
4.	Bridge, Ropeway, Tunnel And Other Infrastructure Development Corporation Of Uttarakhand Limited (BRIDCUL) (formerly Uttarakhand State Infrastructure Development Corporation)	2016-17	2017-18to2018-19	4.00	0.00	0.00	0.00
5.	Uttar Pradesh Hill Electronics Corporation Limited	2013-14	2014-15 to 2018-19	8.95	0.00	21.09	21.09
6.	Kichha Sugar Company Limited	2017-18	2018-19	17.99	3.00	67.94	70.94
7.	Doiwala Sugar Company Limited	2017-18	2018-19	6.00	0.00	55.29	55.29
8.	Uttaranchal Project Development and Construction Corporation Limited	2017-18	2018-19	1.07	0.00	0.20	0.20
9.	Kumaun Mandal Vikas Nigam Limited	2005-06	2006-07 to 2018-19	13.42	9.81	0.00	9.81
10.	Garhwal Mandal Vikas Nigam Limited	2011-12	2012-13to 2018-19	6.80	0.00	0.00	0.00
11.	Uttarakhand Purva Sainik Kalyan Nigam Limited	2017-18	2018-19	1.00	0.00	0.00	0.00
12.	Uttarakhand Alpsankhyak Kalyan Tatha Wakf Vikas Nigam	First accounts not received	2004-05 to 2018-19	0.00	0.00	38.25	38.25
13.	Uttarakhand Metro Rail, Urban Infrastructure and Building Construction Corporation Limited	2017-18	2018-19	0.10	0.00	5.00	5.00
14.	Ecotourism Development Corporation of Uttarakhand Limited	First accounts not received	2017-18 and 2018-19	0.00	0.00	0.00	0.00
Total A				100.34	12.81	236.07	248.88
B -Working Statutory Corporations							
1.	Uttarakhand Peyjal Sansadhan Vikas Evam Nirman Nigam	2017-18	2018-19	0.00	0.00	956.78	956.78
2.	Uttarakhand Parivahan Nigam	2015-16	2016-17 to 2018-19	238.60	0.00	0.00	0.00
3	Uttarakhand Forest Development Corporation	2017-18	2018-19	0.00	0.00	0.00	0.00
Total B				238.60	0.00	956.78	956.78
Total (A+B)				338.94	12.81	1,192.85	1,205.66

Appendix-3.3.3

(Reference: Paragraph 3.3.1.12, 3.3.1.13 & 3.3.1.18; Page 67, 68 & 72)

Summarised financial results of State PSUs (other than Power Sector) for the latest year for which accounts were finalised

(₹ in crore)

Sl. No.	Sector, Type & Name of the PSU	Period of accounts	Year in which finalised	Net profit/ loss before dividend, interest & tax	Net profit/ loss after dividend, interest & tax	Turn over	Paid up capital	Capital employed	Net Worth	Accumulated Profit/ loss
1	2	3	4	5	6	7	8	9	10	11
A-Social Sector										
I-Working Government Companies										
1.	Uttarakhand Bahuddeshiya Vitta Evam Vikas Nigam Limited	2008-09	2018-19	1.00	0.86	1.03	8.43	14.19	10.96	2.53
2.	Uttarakhand Purv Sainik Kalyan Nigam Limited	2017-18	2018-19	8.60	8.60	359.99	1.00	54.82	54.82	53.82
3.	Uttarakhand Alpsankhyak Kalyan Tatha Wakf Vikas Nigam	Initial accounts not received		0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total A-I				9.60	9.46	361.02	9.43	69.01	65.78	56.35
II-Non-working Government Companies										
4.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	2000-01	2019-20	-0.26	-0.29	0.14	0.50	-0.38	-1.45	-1.95
5.	Kumaun Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaun Mandal Vikas Nigam Limited)	1986-87	2002-03	-0.02	-0.02	0.10	0.50	0.89	0.46	-0.04
Total A-II				-0.28	-0.31	0.24	1.00	0.51	-0.99	-1.99
Total A (I+II)				9.32	9.15	361.26	10.43	69.52	64.79	54.36
B-Competitive Environment Sector										
I-Working Government Companies										
6.	Uttarakhand Seed & Tarai Development Corporation Ltd.	2015-16	2018-19	-12.31	-15.62	102.43	4.08	-2.63	-5.13	-9.21
7.	State Infrastructure and Industrial Development Corporation of Uttarakhand Limited	2015-16	2019-20	9.89	6.45	19.24	28.50	515.76	415.76	387.26
8.	Bridge, Ropeway, Tunnel and other Infrastructure Development Corporation of Uttarakhand Limited earlier known as Uttarakhand State Infrastructure Development Corporation Limited	2016-17	2019-20	1.94	1.44	36.45	4.00	13.13	8.13	4.13
9.	Doiwala Sugar Company Limited	2017-18	2018-19	-23.48	-46.29	97.17	6.00	-215.22	-357.17	-363.17
10.	Kichha Sugar Company Limited	2017-18	2019-20	-32.36	-50.71	115.13	17.99	-180.68	-297.00	-314.99
11.	Uttarakhand Project Development and Construction Corporation Limited	2017-18	2018-19	0.01	0.01	3.62	1.07	0.85	0.85	-0.22
12.	Kumaun Mandal Vikas Nigam Limited	2005-06	2016-17	-0.30	-1.51	100.49	13.42	13.18	12.75	-0.67
13.	Garhwal Mandal Vikas Nigam Limited	2011-12	2019-20	-1.30	-1.54	147.25	6.80	58.51	50.27	43.47
14.	Ecotourism Development Corporation of Uttarakhand (Initial accounts not received)	Initial accounts not received		0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total B-I				-57.91	-107.77	621.78	81.86	202.90	-171.54	-253.40

II- Statutory Corporation										
15.	Uttarakhand Peyjal Sansadhan Vikas Evam Nirman Nigam	2017-18	2018-19	9.21	-0.91	86.03	0.00	-157.39	-232.85	-232.85
16.	Uttarakhand Parivahan Nigam	2015-16	2017-18	-11.99	-11.99	309.12	238.60	-186.38	-189.05	-427.65
Total B-II				-2.78	-12.90	395.15	238.60	-343.77	-421.90	-660.50
Total B (I+II)				-60.69	-120.67	1016.93	320.46	-140.87	-593.44	-913.90
C Others										
I-Working Government Company										
17.	Uttar Pradesh Hill Electronics Corporation Limited	2013-14	2017-18	-2.21	-2.21	1.32	8.95	-15.98	-15.98	-24.93
18.	Uttarakhand Metro Rail, Urban Infrastructure & Building Construction Corporation Limited (Initial accounts not receive)	2017-18	2019-20	-0.04	-0.04	0.00	0.10	0.06	0.06	-0.04
19.	Dehradun Smart City Limited	2018-19	2019-20	0.00	0.00	0.00	0.10	0.10	0.10	0.00
Total C-I				-2.25	-2.25	1.32	9.15	-15.82	-15.82	-24.97
II-Non-working Government Companies										
20.	Trans cables Limited (Subsidiary of Kumaun Mandal Vikas Nigam limited)	1999-00	2002-03	-0.84	-0.84	2.80	1.63	-1.42	-4.17	-5.80
21.	Uttar Pradesh Digitals Limited (Subsidiary of Kumaun Mandal Vikas Nigam Limited)	1996-97	1997-98	-1.19	-1.19	0.00	0.35	13.32	-6.60	-6.95
22.	UPAI*	1988-89	1999-00	0.00	0.00	0.00	0.17	0.12	0.12	-0.05
23.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	Not available		0.00	0.00	0.00	0.00	0.00	0.00	0.00
24.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation limited) (Not available)	Not available		0.00	0.00	0.00	0.00	0.00	0.00	0.00
25.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	1989-90	1990-91	-0.02	-0.02	0.00	0.18	0.16	0.16	-0.02
Total C-II				-2.05	-2.05	2.80	2.33	12.18	-10.49	-12.82
III-Statutory Corporation										
26.	Uttarakhand Forest Development Corporation	2017-18	2018-19	-7.14	-7.46	601.69	0.00	350.40	350.40	350.40
Total C-III				-7.14	-7.46	601.69	0.00	350.40	350.40	350.40
Total C (I + II+III)				-11.44	-11.76	605.81	11.48	346.76	324.09	312.61
Grand Total (A+B+C)				-62.81	-123.28	1984.00	342.37	275.41	-204.56	-546.93
(i) Working Government Companies				-50.56	-100.56	984.12	100.44	256.09	-121.58	-222.02
(ii) Statutory Corporations				-9.92	-20.36	996.84	238.60	6.63	-71.50	-310.10
(iii) Working PSUs (i+ii)				-60.48	-120.92	1980.96	339.04	262.72	-193.08	-532.12
(iv) Non-working Government Companies				-2.33	-2.36	3.04	3.33	12.69	-11.48	-14.81
Grand Total (iii+iv)				-62.81	-123.28	1984.00	342.37	275.41	-204.56	-546.93

Annexure-3.3.4

(Reference: Paragraph 3.3.1.16; Page 71)

Year wise details of investment by the State Government for the period from 2001-02 to 2018-19

(₹ in crore)

A - Social Sector (1 & 2) & Competitive Sector 3									
Sl. No.	1.			2.			3.		
Year	Uttarakhand Bahuddeshiya Vitta Evam Vikas Nigam Limited			Uttarakhand Purv Sainik Kalyan Nigam Limited			Uttarakhand Seed & Tarai Development Corporation Ltd		
	Equity	IFL	Grant/Subsidy	Equity	IFL	Grant/Subsidy	Equity	IFL	Grant/Subsidy
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	2.20	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2002-03	1.10	0.00	0.00	0.00	0.00	0.00	1.20	0.00	0.00
2003-04	1.68	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.71	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.76	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2006-07	4.22	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	1.22	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2011-12	1.22	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2012-13	1.11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2013-14	1.11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	0.71	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2015-16	0.40	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2017-18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2018-19	1.02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	17.46	0.00	0.00	1.00	0.00	0.00	1.20	0.00	0.00
B - Competitive sector 4 to 6									
Sl. No.	4.			5.			6.		
Year	State Infrastructure and Industrial Development Corporation of Uttarakhand Limited			Uttarakhand Parivahan Nigam			Garhwal Mandal Vikas Nigam		
	Equity	IFL	Grant/ Subsidy	Equity	IFL	Grant/ Subsidy	Equity	IFL	Grant/Subsidy
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	6.79	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2002-03	16.00	0.00	0.00	0.00	1.93	0.00	0.00	0.00	0.00
2003-04	10.00	0.00	0.00	3.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	25.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	20.00	4.25	0.00	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	20.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	1.00	19.50	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	1.50	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	0.00	100.11	0.00	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	0.00	25.00	0.00	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	0.00	10.00	0.00	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	158.86	1.00	0.00	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	0.00	0.00	0.00	0.00	-0.15	0.00	0.00
2017-18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2018-19	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	26.00	0.00	0.00	229.36	161.79	0.00	6.64	0.00	0.00
B -Competitive Sector 7 to 9									
Sl. No.	7.			8.			9.		
Year	Uttarakhand Peyjal Sansadhan Vikas Evam Nirman Nigam			Bridge, Ropeway, Tunnel and other Infrastructure Development Corporation of Uttarakhand Limited earlier known as Uttarakhand State Infrastructure Development Corporation Limited			Doiwala Sugar Company Limited		
	Equity	IFL	Grant/ Subsidy	Equity	IFL	Grant/ Subsidy	Equity	IFL	Grant/ Subsidy
2000-01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	6.00	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	0.05	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	2.95	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	8.74
2016-17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5.67
2017-18	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	29.95
2018-19	0.00	0.00	98.00	0.00	0.00	0.00	0.00	0.00	55.06
Total	0.00	0.00	98.00	4.00	0.00	0.00	6.00	0.00	99.42
B - Competitive 10 to 12									
Sl. No.	10.			11.			12.		
Year	Kichha Sugar Company Limited			Uttarakhand Project Development and Construction Corporation Limited			Kumaun Mandal Vikas Nigam Limited		
	Equity	IFL	Grant/Subsidy	Equity	IFL	Grant/Subsidy	Equity	IFL	Grant/Subsidy
2000-01	17.54	0.00	0.00	0.00	0.00	0.00	13.42	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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2002-03	0.00	1.00	0.00	0.00	0.00	0.00	0.00	0.79	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5.47	0.00
2006-07	0.00	-0.25	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	3.92	0.00
2008-09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5.49	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.07	0.00	0.00	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.41	0.00
2012-13	0.00	0.00	0.00	0.95	0.00	0.00	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2015-16	0.00	8.00	10.46	0.05	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	7.29	0.00	0.00	0.00	0.00	0.00	0.00
2017-18	0.00	0.00	26.22	0.00	0.00	0.00	0.00	0.00	0.00
2018-19	0.00	0.00	67.94	0.00	0.00	0.00	0.00	0.00	0.00
Total	17.54	8.75	111.91	1.07	0.00	0.00	13.42	16.08	0.00
C – Others Sector 13 to 15									
Sl. No.	13.			14.			15.		
Year	Uttar Pradesh Hill Electronics Corporation Limited			Uttarakhand Forest Development Corporation			Uttarakhand Metro Rail, Urban Infrastructure and Building Construction Limited		
	Equity	IFL	Grant/Subsidy	Equity	IFL	Grant/Subsidy	Equity	IFL	Grant/Subsidy
2000-01	8.95	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2016-17	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
2017-18	0.00	0.00	0.00	0.00	0.00	0.00	0.10	0.00	5.00
2018-19	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	5.00
Total	8.95	0.00	0.00	0.00	0.00	0.00	0.10	0.00	10.00

A – Other Sector 16			
Sl. No.	16.		
Year	Dehradun Smart City		
	Equity	IFL	Grant/ Subsidy
2000-01	0.00	0.00	0.00
2001-02	0.00	0.00	0.00
2002-03	0.00	0.00	0.00
2003-04	0.00	0.00	0.00
2004-05	0.00	0.00	0.00
2005-06	0.00	0.00	0.00
2006-07	0.00	0.00	0.00
2007-08	0.00	0.00	0.00
2008-09	0.00	0.00	0.00
2009-10	0.00	0.00	0.00
2010-11	0.00	0.00	0.00
2011-12	0.00	0.00	0.00
2012-13	0.00	0.00	0.00
2013-14	0.00	0.00	0.00
2014-15	0.00	0.00	0.00
2015-16	0.00	0.00	0.00
2016-17	0.00	0.00	0.00
2017-18	0.05	0.00	0.00
2018-19	0.00	0.00	6.00
Total	0.05	0.00	6.00

Annexure-3.3.5
(Reference: Paragraph 3.3.1.16; Page 71)

Year wise details of investment by the State Government and present value (PV) of Government investment for the period from 2001-02 to 2018-19

(₹ in crore)

Year	Present value of total investment at the beginning of the year	Equity infused by the State Government during the year	Interest Free loans given by the State Government during the year	Interest free loan converted into Equity during the year	Grants/subsidies given by State Government for operational and administrative expenditure	Disinvestment by the State Government during the year at face value	Total Investment during the year	Total investment at the end of the year	Average rate of interest on government borrowings (in percentage)	Present value of total investment at the end of the year	Minimum expected return to recover cost of funds for the year	Total earning for the year
A	B	C	D	E	F	G	H=C+D-E+F-G	I=B+H	J	K=I*(1+J/100)	L=I*J/100	M
OB		46.70	0.00	0.00	0.00	0.00	46.70	46.70	0.00	46.70		
2001-02	46.70	8.20	0.00	0.00	0.00	0.00	8.20	54.90	8.36	59.49	4.59	-3.37
2002-03	59.49	18.30	3.72	0.00	0.00	0.00	22.02	81.51	10.40	89.99	8.48	-3.6
2003-04	89.99	14.68	0.00	0.00	0.00	0.00	14.68	104.67	8.51	113.57	8.91	-13.6
2004-05	113.57	26.71	0.00	0.00	0.00	0.00	26.71	140.28	9.10	153.05	12.77	-8.66
2005-06	153.05	20.76	9.72	0.00	0.00	0.00	30.48	183.53	7.47	197.24	13.71	-10.05
2006-07	197.24	24.22	-0.25	0.00	0.00	0.00	23.97	221.21	7.79	238.44	17.23	2.02
2007-08	238.44	2.22	23.42	0.00	0.00	0.00	25.64	264.08	7.99	285.18	21.10	11.51
2008-09	285.18	1.55	5.49	0.00	0.00	0.00	7.04	292.22	7.75	314.87	22.65	37.29
2009-10	314.87	2.95	0.00	0.00	0.00	0.00	2.95	317.82	7.64	342.10	24.28	37.29
2010-11	342.10	0.07	0.00	0.00	0.00	0.00	0.07	342.17	7.34	367.29	25.12	24.10
2011-12	367.29	2.22	100.52	0.00	0.00	0.00	102.74	470.03	7.83	506.83	36.80	-41.03
2012-13	506.83	2.06	25.00	0.00	0.00	0.00	27.06	533.89	8.50	579.27	45.38	-63.06
2013-14	579.27	1.11	10.00	0.00	0.00	0.00	11.11	590.38	7.57	635.07	44.69	-49.83
2014-15	635.07	159.57	1.00	0.00	0.00	0.00	160.57	795.64	7.73	857.14	61.50	-45.07
2015-16	857.14	0.45	8.00	0.00	19.20	0.00	27.65	884.79	8.19	957.26	72.46	-60.88
2016-17	957.26	-0.15	0.00	0.00	12.96	0.00	12.81	970.07	8.91	1056.50	86.43	-30.54
2017-18	1056.50	0.15	0.00	0.00	61.17	0.00	61.32	1117.82	8.27	1210.27	92.44	-102.29
2018-19	1210.27	1.02	0.00	0.00	232.00	0.00	233.02	1443.29	8.15	1560.91	117.63	-120.92
Total		332.79	186.62	0.00	325.33	0.00	844.74					

Note: The State Government had not converted Interest free loan into Equity. Grants/subsidies were given to Doiwala Sugar Company Limited, Kichha Sugar Company Limited for the year 2015-16 to 2018-19 and Dehradun Smart City Limited for the year 2018-19 for operational and administrative expenditure by the State Government during 2000-01 to 2018-19. Moreover, no disinvestment was made by the State Government during the same period.

Appendix-3.4.1

(Reference: Paragraph 3.4.1; Page 79 & Paragraph 3.4.5.3; Page 84)

Statement showing the details of timelines for providing a service under URTS Act, SoP and CC

Sl. No.	Electricity Services	As per Right to Service Act Uttarakhand	Time bound limit		Compensation payable to Individual consumer if the Event affects more than one Consumer as per SoP and CC
			As per citizen charter of UPCL	As per SoP-UERC	
1.	To issue application form for the new connection for which the electricity connection is feasible from the existing network a. Urban Distribution Central Office b. Rural Area	NA	Within 5 working days from submission complete relevant documents	NA	NA
2.	To provide new connections from the existing network after the deposit of the amount as per the application form a. Urban Distribution (Sub-Division/Division) Central Office b. Rural Distribution (Sub-Division/Division) Central Office	Within 15 days No provision of penalty	Within 30 working days Otherwise licensee shall pay a penalty of ₹ 10 per day per ₹ 1000 subject to a maximum of ₹ 1000 only	NA	NA
3.	For release of temporary connection upto 10 KW where requisite amount has been deposited & requires no extension in the existing network arrangement - a. Urban Distribution (Sub-Division/Division) Central Office b. Rural Distribution (Sub-Division/Division) Central Office	Within 15 days	Within 30 days	Within 30 working days	NA
4.	For issue of application form for load enhancement cases where complete relevant documents have been submitted & requires no extension in the existing network arrangement a. Urban Distribution (Sub-Division/Division) Central Office b. Rural Distribution (Sub-Division/Division) Central Office	NA	Within 5 working days	Within 5 working days	NA
5.	On deposit of the requisite amount after the supplementary agreement for load enhancement	Within 30 working days	Within 30 working days otherwise licensee shall pay a penalty of ₹ 500 per connection.	Within 30 working days otherwise licensee shall pay a penalty of ₹ 500 per connection.	NA
6.	First Bill	NA	Within four billing cycles Non-compliance attracts penalty @ ₹ 10 per cent of the billing amount subject to maximum of ₹ 250	Within four billing cycles Non-compliance attracts penalty @ ₹ 10 per cent of the billing amount subject to maximum of ₹ 250	NA

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7.	In case bills are raised even after disconnection on consumer's request.	NA	Non-compliance attracts penalty @ ₹ 250 per case	Non-compliance attracts penalty @ ₹ 250 per case	NA
8.	Change of consumer's name due to change in ownership/occupancy for property	NA	Within two billing cycle of acceptance of application. Non-compliance attracts penalty @ ₹ 50 for each day of default	Within two billing cycle of acceptance of application. Non-compliance attracts penalty @ ₹ 50 for each day of default	NA
9.	Transfer of consumer's name to legal heir	NA	Within two billing cycle of acceptance of application. Non-compliance attracts penalty @ ₹ 50 for each day of default.	Within two billing cycle of acceptance of application. Non-compliance attracts penalty @ ₹ 50 for each day of default.	NA
10.	Load reduction	NA	Within 30 days after receipt of the application. Non-compliance attracts penalty @ ₹ 50 for each day of default.	Within 30 days after receipt of the application. Non-compliance attracts penalty @ ₹ 50 for each day of default.	NA
11.	Change of category	NA	Within 10 days after receipt of the application. Non-compliance attracts penalty @ ₹ 50 for each day of default.	Within 10 days after receipt of the application. Non-compliance attracts penalty @ ₹ 50 for each day of default.	NA
12.	Consumer wanting disconnection	NA	Within 5 days of receiving such request. Non-compliance attracts penalty @ ₹ 50 for each day of default.	Within 5 days of receiving such request. Non-compliance attracts penalty @ ₹ 50 for each day of default.	NA
13.	Request for reconnection	NA	Within 5 days of receiving such request. Non-compliance attracts penalty @ ₹ 50 for each day of default.	Within 5 days of receiving such request. Non-compliance attracts penalty @ ₹ 50 for each day of default.	NA
14.	Testing of meter	45 days (30 days for checking and 15 days for replacement)	Within 30 days of receipt of complaint. Non-compliance attracts penalty @ ₹25 for each day of default.	Within 30 days of receipt of complaint. Non-compliance attracts penalty @ ₹25 for each day of default.	NA
15.	Replacement of burnt meter	3 days	Within 6 hours restoration of supply by bypassing the burnt meter. Meter to be replaced within 3 days .Non-compliance attracts penalty @ ₹50 for each day of default.	Within 6 hours restoration of supply by bypassing the burnt meter. Meter to be replaced within 3 days .Non-compliance attracts penalty @ ₹50 for each day of default.	NA
16.	Replacement of defective meter	45 working days (30 days for checking and 15 days for replacement)	45 working days (30 days for checking and 15 days for replacement) Non-compliance attracts penalty @ ₹ 25 per day	45 working days (30 days for checking and 15 days for replacement) Non-compliance attracts penalty @ ₹ 25 per day	NA

17.	Fuse blown out or MCB tripped (in case fuse or MCB belongs to Licensee i.e. pole or feeder pillar fuse)	NA	Within 4 hours for Urban areas and within 8 hours for rural areas. Non-compliance attracts penalty @ ₹10 each hour of default	Within 4 hours for Urban areas and within 8 hours for rural areas. Non-compliance attracts penalty @ ₹10 each hour of default	₹5 for each hour of default to each consumer affected
18.	Service line broken Service line snapped from the pole	NA	Within 6 hours for Urban areas and within 12 hours for rural areas. Non-compliance attracts penalty @ ₹ 10 each hour of default	Within 6 hours for Urban areas and within 12 hours for rural areas. Non-compliance attracts penalty @ ₹ 10 per hours	
19.	Fault in distribution line/system	NA	Restoration of normal power supply within 12 hours. Non-compliance attracts penalty @ ₹10 each hour of default	Within 6 hours for Urban areas and within 12 hours for rural areas. Non-compliance attracts penalty @ ₹ 10 each hour of default	
20.	Distribution transformer failed/burnt	NA	Replacement of failed transformer within 48 hours. Non-compliance attracts penalty @ ₹ 100 for each day of default	Restoration of normal power supply within 12 hours. Non-compliance attracts penalty @ ₹100 each hour of default	₹ 50 for each day of default to each consumer affected
21.	HT mains failed	NA	Rectification of fault within 12 hours. Non-compliance attracts penalty @ ₹ 200 for each day of default	Rectification of fault within 12 hours. Non-compliance attracts penalty @ ₹ 200 for each day of default	₹ 100 for each day of default to each consumer affected
22.	Problem in grid (33 kV or 66 kV) substation	NA	Restoration of supply within 48 hours. Non-compliance attracts penalty @ ₹200 for each day of default	Restoration of supply within 48 hours. Non-compliance attracts penalty @ ₹200 for each day of default	
23.	Failure of Power Transformer	NA	Rectification to be completed within 15 days. Non-compliance attracts penalty @ ₹500 for each day of default	Rectification to be completed within 15 days. Non-compliance attracts penalty @ ₹500 for each day of default	₹ 250 for each day of default to each consumer affected
24.	Local problem	Within 4 hours	Within 4 hours. Non-compliance attracts penalty @ ₹50 for each day of default	Within 4 hours. Non-compliance attracts penalty @ ₹50 for each day of default	₹25 for each day of default to each consumer affected
25.	Tap of transformer	03 days	Within 03 days. Non-compliance attracts penalty @ ₹ 50 for each day of default	Within 03 days. Non-compliance attracts penalty @ ₹ 50 for each day of default	
26.	Repair of distribution line/transformer/capacitor	30 days	Within 30 days. Non-compliance attracts penalty @ ₹ 100 for each day of default	Within 30 days. Non-compliance attracts penalty @ ₹ 100 for each day of default	₹ 50 for each day of default to each consumer affected
27.	Installation & UP-gradation of HT/LT System	Within 90 days	Within 90 days. Non-compliance attracts penalty @ ₹100 for each day of default	Within 90 days. Non-compliance attracts penalty @ ₹100 for each day of default	

*If apparatus of more than one consumer in close neighborhood are affected.

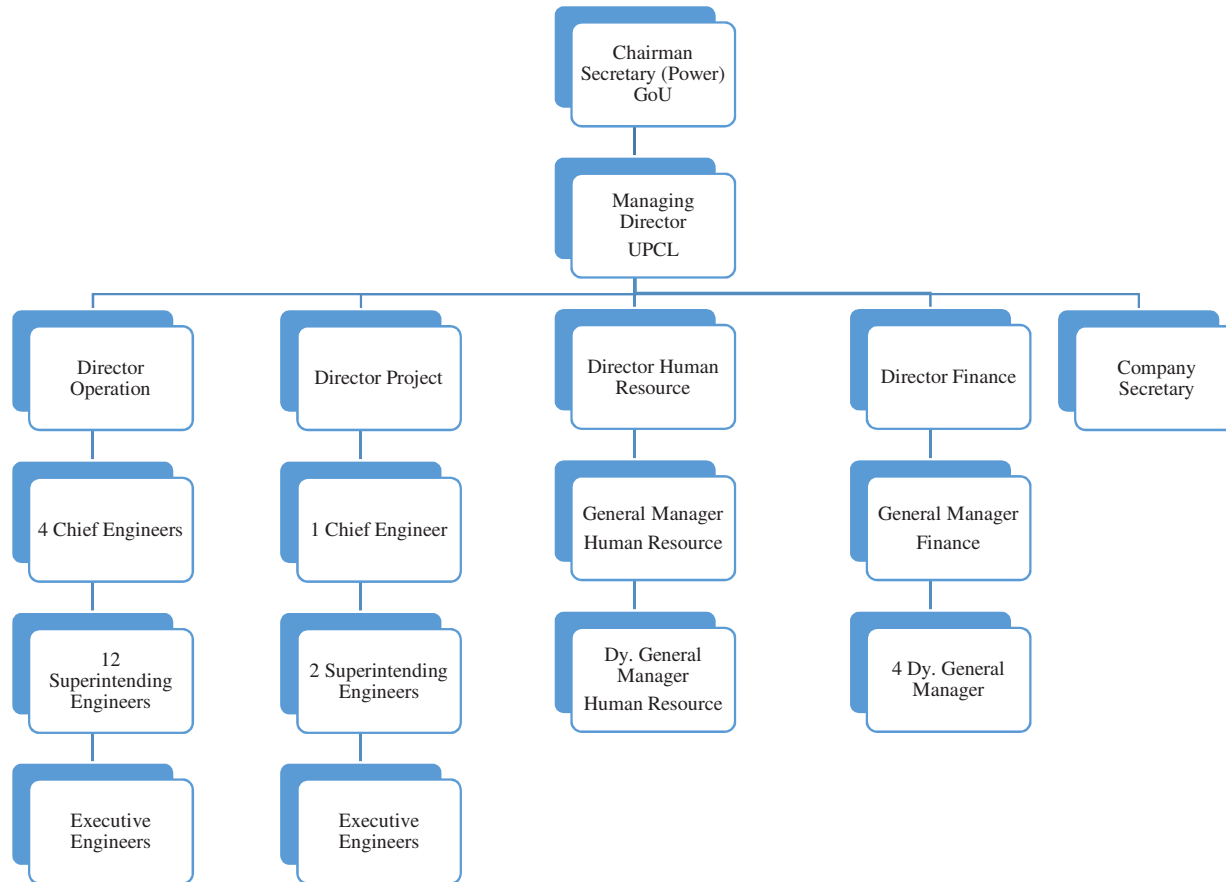
Appendix-3.4.2
(Reference: Paragraph 3.4.2; Page 80)

Statement showing details of divisions selected based on Stratified Sampling Method

Sl. No.	Name of Division	Category of Division like Urban, Rural and Industrial	Number of Consumers
Garhwal Zone			
1.	EDD (S), Dehradun	Urban	82,392
2.	EDD, Tehri	Hill/Rural	86,317
3.	EDD, Kotdwar	Industrial	98,063
Haridwar Zone			
4.	EDD, Jwalapur	Urban	77,449
5.	EDD (R), Roorkee	Rural	51,799
6.	EDD, Bhagwanpur	Industrial	40,140
Kumaun Zone			
7.	EDD (R), Haldwani	Industrial	73,057
8.	EDD, Almora	Urban/Hill	65,527
9.	EDD, Kashipur	Urban	55,106
Rudrapur Zone			
10.	EDD, Pithoragarh	Hill/Rural	69,741
11.	EDD, Rudrapur	Industrial	1,12,942
12.	EDD, Sitarganj	Urban	94,725

Appendix-3.4.3
(Reference Paragraph 3.4.4; Page 80)

Organisational Structure of Uttarakhand Power Corporation Limited



Appendix-3.4.4

(Reference: Paragraph 3.4.5.2 (a); Page 82)

Statement showing Replacement of burnt meters for the period 2016-19

(Amount in ₹)

Name of Unit	2016-17			2017-18			2018-19		
	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount
Almora	7	20 to 893	1,58,400	8	14 to 1058	1,30,750	7	7 to 185	33,500
Bhagwanpur	64	4 to 309	3,25,750	248	1 to 706	7,03,400	311	1 to 143	6,43,700
Dehradun South	870	1 to 292	11,58,800	804	1 to 311	18,84,300	860	1 to 510	26,70,800
Haldwani (R)	156	1 to 99	97,300	159	1 to 64	92,300	183	1 to 134	82,900
Jwalapur	324	1 to 468	7,00,150	319	1 to 258	4,03,500	395	1 to 241	6,49,400
Kashipur	122	1 to 411	1,65,750	130	1 to 233	1,11,300	181	1 to 21	53,950
Kotdwar	104	1 to 456	2,26,750	83	1 to 496	2,13,400	103	1 to 271	1,26,900
Pithoragarh	00	0 0	00	5	4 to 236	26,100	11	10 to 413	58,450
Roorkee Rural	174	1 to 518	8,39,150	317	1 to 1090	8,43,900	403	2 to 308	9,33,200
Rudrapur	353	4 to 539	18,63,750	537	1 to 266	9,71,600	688	1 to 285	20,78,750
Sitarganj	48	1 to 622	3,23,850	90	1 to 262	1,60,650	129	1 to 141	2,93,700
Tehri	83	1 to 563	5,83,950	93	1 to 466	4,99,750	139	1 to 194	5,02,700
Total	2,305		64,43,600	2,793		60,40,950	3,410		81,27,950
Total complaints received	2,476	-	-	3,088	-	-	3,567	-	-

Appendix-3.4.5
(Reference: Paragraph 3.4.5.2 (b); Page 82)

Statement showing Testing of Meters for the period 2016-19

(Amount in ₹)

Name of Unit	2016-17			2017-18			2018-19		
	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount
Almora	40	4 to 271	69,150	54	1 to 1017	2,54,675	34	2 to 205	45,250
Bhagwanpur	36	1 to 277	53,900	31	4 to 427	62,800	29	1 to 42	8,950
Dehradun South	440	1 to 1156	3,57,400	727	1 to 272	10,33,525	597	1 to 374	10,17,925
Haldwani (Rural)	92	1 to 371	55,225	131	1 to 569	81,255	150	1 to 1081	1,07,300
Jwalapur	280	1 to 386	3,00,825	255	1 to 597	2,49,750	246	1 to 358	1,66,800
Kashipur	00	00	00	30	2 to 93	20,500	129	1 to 306	1,00,875
Kotdwar	93	1 to 425	61,200	58	1 to 679	95,300	21	3 to 612	50,025
Pithoragarh	50	1 to 262	64,175	62	4 to 259	98,075	14	1 to 81	8,350
Roorkee (Rural)	143	1 to 411	2,62,075	115	1 to 906	1,97,675	90	1 to 632	1,00,200
Rudrapur	174	1 to 453	2,95,525	298	1 to 128	1,77,550	197	1 to 492	2,35,925
Sitarganj	31	5 to 104	24,500	18	1 to 614	31,375	6	4 to 266	11,200
Tehri	179	1 to 620	4,11,825	142	3 to 599	2,96,125	150	1 to 510	2,27,125
Total	1,558	-	19,55,800	1,921	-	25,98,605	1,663	-	20,79,925
Total complaints received	3,505	-	-	4,497	-	-	3,441	-	-

Appendix-3.4.6
(Reference: Paragraph 3.4.5.2 (c); Page 83)

Statement showing Replacement of Defective Meters for the period 2016-19

(Amount in ₹)

Name of Unit	2016-17			2017-18			2018-19		
	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount
Almora	20	7 to 676	2,05,350	19	9 to 948	2,42,550	10	4 to 304	45,100
Bhagwanpur	170	2 to 383	8,84,550	184	1 to 486	6,79,100	351	1 to 153	5,54,000
Dehradun South	1,242	1 to 244	18,24,850	1,932	1 to 464	49,44,600	1,918	1 to 565	79,13,750
Haldwani (R)	129	1 to 268	1,83,000	161	1 to 160	2,39,050	215	1 to 141	2,44,900
Jwalapur	445	1 to 347	15,37,000	222	1 to 291	3,18,650	628	1 to 271	11,99,300
Kashipur	54	1 to 406	1,50,850	7	4 to 26	4,400	13	1 to 35	9,100
Kotdwar	151	1 to 354	3,78,500	257	1 to 800	7,59,250	119	1 to 147	1,82,150
Pithoragarh	9	6 to 340	52,450	33	12 to 626	5,24,150	9	5 to 187	32,600
Roorkee Rural	1,160	1 to 522	67,39,500	459	1 to 543	15,22,150	580	1 to 364	17,06,900
Rudrapur	779	1 to 566	30,72,200	256	1 to 838	4,94,400	851	1 to 311	17,43,600
Sitarganj	26	2 to 632	2,04,200	68	1 to 666	2,56,050	286	1 to 194	3,21,800
Tehri	210	1 to 987	30,72,700	320	1 to 1203	41,25,350	405	1 to 555	19,11,400
Total	4,395		1,83,05,150	3,918		1,41,09,700	5,385		1,58,64,600
Total complaints received	12,143	-	-	15,589	-	-	18,029	-	-

Appendix-3.4.7
(Reference: Paragraph 3.4.5.2 (d); Page 83)

Statement showing Conversion of Service for the period 2016-19

(Amount in ₹)

Name of Unit	2016-17			2017-18			2018-19		
	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount	No. of Cases	Time Taken (in days)	Amount
Almora	35	2 to 604	1,84,500	4	14 to 295	19,450	18	1 to 161	40,600
Bhagwanpur	1	92	4,600	4	12 to 72	7,700	6	6 to 108	12,400
Dehradun (South)	0	0	0	34	1 to 262	1,21,950	72	2 to 235	2,13,150
Haldwani (Rural)	23	4 to 502	1,06,750	26	1 to 557	1,51,900	41	1 to 205	1,06,850
Jwalapur	21	1 to 303	54,500	44	1 to 191	90,950	21	5 to 81	31,150
Kashipur	40	2 to 571	1,11,850	34	1 to 341	1,22,300	25	8 to 257	67,900
Kotdwar	51	2 to 377	1,97,450	38	1 to 693	2,25,500	27	1 to 93	48,800
Pithoragarh	12	3 to 288	30,350	4	14 to 295	19,450	10	4 to 162	16,850
Roorkee (Rural)	3	6 to 146	12,050	2	3 to 47	2,500	0	0	0
Rudrapur	23	2 to 467	88,800	0	0	0	25	1 to 161	55,600
Sitarganj	7	7 to 142	18,800	3	10 to 66	6,250	2	13 to 51	3,200
Tehri	20	1 to 305	78,300	10	3 to 743	76,600	26	1 to 228	49,200
Total	236	-	8,87,950	203	-	8,44,550	273	-	6,45,700
Total complaints received	2,932	-	-	3,027	-	-	3,013	-	-

Appendix-3.4.8
(Reference: Paragraph 3.4.5.2 (e); Page 84)

Statement showing Disconnection/Reconnection of power supply for the period 2016-19

(Amount in ₹)

Name of Unit	2016-17			2017-18			2018-19		
	No. of Cases	Time Taken	Amount	No. of Cases	Time Taken	Amount	No. of Cases	Time Taken	Amount
Almora	81	1 to 760	6,88,600	56	1 to 199	1,64,800	85	1 to 421	61,650
Bhagwanpur	100	1 to 577	5,58,100	133	1 to 699	5,70,150	167	1 to 277	3,07,700
Dehradun (South)	874	1 to 926	19,88,350	790	1 to 1090	18,84,000	613	1 to 305	6,72,000
Haldwani (Rural)	425	1 to 500	16,85,700	301	1 to 635	10,97,150	239	1 to 993	8,14,500
Jwalapur	232	1 to 602	8,51,550	201	1 to 1048	10,71,050	89	1 to 348	1,77,500
Kashipur	135	1 to 638	4,61,650	172	1 to 219	3,09,700	148	1 to 381	2,67,700
Kotdwar	302	1 to 363	6,83,450	419	1 to 766	14,66,450	514	1 to 441	6,88,350
Pithoragarh	188	1 to 489	6,94,150	113	1 to 422	2,13,000	177	1 to 146	2,02,200
Rudrapur	595	1 to 1101	20,41,900	363	1 to 103	3,75,250	501	1 to 303	5,30,700
Roorkee (Rural)	112	2 to 1444	20,92,150	154	1 to 1090	30,87,750	225	1 to 1433	30,99,500
Sitarganj	12	1 to 679	81,350	70	1 to 1072	9,00,450	40	1 to 111	67,100
Tehri	192	1 to 490	4,03,600	286	1 to 1097	13,03,050	370	1 to 176	3,64,650
<i>Total</i>	<i>3,248</i>		<i>1,22,30,550</i>	<i>3,058</i>		<i>1,24,42,800</i>	<i>3,168</i>		<i>72,53,550</i>
<i>Total complaints received</i>	<i>5,744</i>	-	-	<i>6,522</i>	-	-	<i>5,770</i>	-	-

Appendix-3.4.9
(Reference: Paragraph 3.4.5.3 (a); Page 85)

Statement showing Fuse Blown or MCB trip for the period 2016-19

(Amount in ₹)

Sl. No.	Name of the division	2016-17			2017-18			2018-19		
		No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount
1.	Kotdwar	0	0	0	0	0	0	0	0	0
2.	Pithoragarh	0	0	0	0	0	0	0	0	0
3.	Roorkee (Rural)	1	54	540	0	0	0	0	0	0
4.	Rudrapur	0	0	0	3	5 to 12	250	4	14 to 45	1,030
5.	Sitarganj	0	0	0	0	0	0	1	6	60
6.	Tehri	1	330	3,300	6	4 to 75	2,030	3	9 to 83	1,080
7.	Almora	0	0	0	0	0	0	0	0	0
8.	Bhagwanpur	0	0	0	0	0	0	0	0	0
9.	Dehradun (South)	6	12 to 39	1,450	6	1 to 19	440	4	3 to 15	390
10.	Haldwani (Rural)	0	0	0	4	8 to 134	1,760	2	11 to 16	270
11.	Jwalapur (Haridwar)	0	0	0	4	2 to 44	560	6	3 to 64	1,530
12.	Kashipur	0	0	0	1	17	170	0	0	0
Total		08	-	5,290	24		5,210	20		4,360
Total complaints received		19	-	-	161	-	-	127	-	-

Appendix-3.4.10

(Reference: Paragraph 3.4.5.3 (b); Page 86)

Statement showing Service line broken for the period 2016-17 to 2018-19

(Amount in ₹)

Sl. No.	Name of the division	2016-17			2017-18			2018-19		
		No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount
1.	Kotdwar	43	2 to 336	16,950	29	1 to 159	6,760	23	1 to 68	4,280
2.	Pithoragarh	21	1 to 261	9,480	9	1 to 159	3,230	6	11 to 336	9,450
3.	Roorkee (Rural)	58	1 to 423	38,830	50	1 to 139	14,000	43	1 to 205	15,210
4.	Rudrapur	51	1 to 480	37,260	56	1 to 128	11,930	44	1 to 70	9,270
5.	Sitarganj	5	5 to 303	5,710	5	4 to 41	890	8	1 to 66	2,180
6.	Tehri	17	3 to 278	6,370	22	1 to 135	5,760	19	1 to 67	3,590
7.	Almora	28	1 to 160	11,920	13	1 to 159	5,500	19	1 to 67	3,590
8.	Bhagwanpur	22	1 to 311	14,200	15	1 to 121	3,270	34	1 to 762	15,220
9.	Dehradun (South)	861	1 to 409	2,14,720	343	1 to 425	70,310	214	1 to 783	39,230
10.	Haldwani (Rural)	46	1 to 344	23,640	49	1 to 135	10,050	38	1 to 87	7,400
11.	Jwalapur (Haridwar)	369	1 to 739	2,37,840	180	1 to 299	53,440	249	1 to 1143	77,550
12.	Kashipur	31	1 to 619	26,200	35	1 to 111	7,170	35	1 to 1273	21,030
	Total	1,552		6,43,120	806		1,92,310	732		2,08,000
	Total complaint received	4,977	-	-	9,680	-	-	12,195	-	-

Appendix-3.4.11
(Reference: Paragraph 3.4.5.3 (c); Page 86)

Statement showing Voltage related and local problem for the period 2016-19

(Amount in ₹)

Name of Unit	2016-17			2017-18			2018-19		
	No. of Cases	Time Taken (in hours)	Amount	No. of Cases	Time Taken (in hours)	Amount	No. of Cases	Time Taken (in hours)	Amount
Almora	11	1 to 809	1,945.80	2	1 to 16	35.41	6	2 to 66	279.17
Bhagwanpur	2	6 to 13	39.58	4	2 to 356	941.65	1	25	52.08
Dehradun (South)	208	1 to 1571	33,464.04	83	1 to 377	9,891.49	60	1 to 1170	5,849.94
Haldwani (Rural)	36	2 to 1758	12,503.99	25	1 to 278	1,922.90	31	1 to 200	2,962.48
Jwalapur	103	1 to 1551	17,433.10	49	1 to 693	4,658.25	75	1 to 504	5,337.48
Kashipur	20	1 to 926	6,912.40	8	1 to 28	254.17	13	1 to 92	320.82
Kotdwar	18	1 to 381	1,964.56	13	1 to 284	900.00	12	1 to 31	274.99
Pithoragarh	21	4 to 723	5,726.98	9	1 to 254	1,239.58	4	4 to 165	399.98
Roorkee (Rural)	5	7 to 581	2,606.21	5	9 to 25	197.92	3	1 to 20	54.17
Rudrapur	31	1 to 717	3,927.02	23	1 to 759	3,895.79	36	1 to 204	2,056.23
Sitarganj	6	1 to 136	602.07	2	1 to 8	18.75	3	7 to 185	435.41
Tehri	8	1 to 187	1,104.15	4	1 to 24	102.08	7	1 to 26	162.50
Total	469		88,229.90	227		24,057.99	251		18,185.25
Total complaint received	1,040	-	-	1,712	-	-	2,717	-	-

Appendix-3.4.12
(Reference: Paragraph 3.4.5.3 (d); Page 86)

Statement showing Fault in distribution line for the period 2016-17 to 2018-19

(Amount in ₹)

Sl. No.	Name of the division	2016-17			2017-18			2018-19		
		No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount
1.	Kotdwar	3	9 to 36	660	6	4 to 37	870	16	1 to 76	3,190
2.	Pithoragarh	3	11 to 16	390	2	1 to 14	150	7	1 to 34	840
3.	Roorkee (Rural)	1	548	5,480	3	37 to 109	2,100	8	1 to 36	980
4.	Rudrapur	3	14 to 473	6,980	18	2 to 15	1,380	28	2 to 227	7,110
5.	Sitarganj	1	211	2,110	2	2 to 14	160	0	0	0
6.	Tehri	9	1 to 34	1,070	4	6 to 27	550	12	3 to 83	3,340
7.	Almora	2	11 to 40	510	0	0	0	7	1 to 42	940
8.	Bhagwanpur	1	9	90	3	7 to 12	280	14	2 to 60	1,940
9.	Dehradun (South)	16	2 to 233	11,560	19	1 to 75	3,150	19	2 to 1116	13,990
10.	Haldwani (Rural)	0	0	0	10	1 to 38	860	30	1 to 59	4,950
11.	Jwalapur (Haridwar)	2	16 to 114	1,300	24	1 to 37	2,430	61	1 to 62	10,150
12.	Kashipur	1	9	90	1	2	20	5	2 to 884	9,160
Total		42		30,240	92		11,950	207		56,590
Total complaints received		129	-	-	3,550	-	-	8,961	-	-

Appendix-3.4.13
(Reference: Paragraph 3.4.5.3 (e); Page 87)

Statement showing HT main failed for the period 2016-17 to 2018-19

(Amount in ₹)

Sl. No.	Name of the division	2016-17			2017-18			2018-19		
		No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount	No. of cases	Time taken (in hours)	Amount
1.	Kotdwar	0	0	0	0	0	0	0	0	0
2.	Pithoragarh	0	0	0	0	0	0	0	0	0
3.	Roorkee (Rural)	0	0	0	0	0	0	0	0	0
4.	Rudrapur	0	0	0	0	0	0	0	0	0
5.	Sitarganj	0	0	0	0	0	0	0	0	0
6.	New Tehri	0	0	0	3	1 to 19	249.99	4	10 to 17	424.99
7.	Almora	0	0	0	2	8 to 9	141.66	0	0	0
8.	Bhagwanpur	0	0	0	0	0	0	1	8	66.66
9.	Dehradun (South)	0	0	0	0	0	0	0	0	0
10.	Haldwani (Rural)	0	0	0	0	0	0	1	9	75.00
11.	Jwalapur (Haridwar)	0	0	0	0	0	0	2	11 to 13	199.99
12.	Kashipur	0	0	0	0	0	0	0	0	0
	<i>Total</i>	<i>0</i>		<i>0</i>	<i>5</i>		<i>391.65</i>	<i>8</i>		<i>766.64</i>
	<i>Total complaints received</i>	<i>0</i>	<i>-</i>	<i>-</i>	<i>10</i>	<i>-</i>	<i>-</i>	<i>29</i>	<i>-</i>	<i>-</i>

Appendix-3.4.14
(Reference: Paragraph 3.4.5.4 (c); Page 89)

Statement showing Violation of the Yardstick for maintenance division for the period 2016-17 to 2018-19

2016-17

Sl. No.	Name of division	Consumer	Load (KW)
1.	EDD Dehradun (South)	77,637	2,26,000
2.	EDD Tehri	83,319	1,41,425
3.	EDD Kotdwar	96,189	1,97,993
4.	EDD(R) Roorkee	86,821	4,25,868
5.	EDD Bhagwanpur	00	00
6.	EDD Jwalapur	00	00
7.	EDD (R) Haldwani	71,254	1,78,740
8.	EDD Kashipur	51,444	2,63,576
9.	EDD, Almora	63,734	81,670
10.	EDD Rudrapur	1,00,021	5,79,673
11.	EDD Sitarganj	84,850	2,13,247
12.	EDD Pithoragarh	67,053	78,363

Note: Division at serial number 5 and 6 are not in existence in the year 2016-17.

2017-18

Sl. No.	Name of division	Consumer	Load (KW)
1.	EDD Dehradun (South)	82,392	2,42,859
2.	EDD Tehri	86,317	1,47,248
3.	EDD Kotdwar	98,063	2,02,757
4.	EDD(R) Roorkee	51,799	2,17,458
5.	EDD Bhagwanpur	40,140	2,39,629
6.	EDD Jwalapur	77,449	3,83,704
7.	EDD (R) Haldwani	73,057	1,95,380
8.	EDD Kashipur	55,106	3,00,314
9.	EDD, Almora	65,527	84,160
10.	EDD Rudrapur	1,12,942	6,13,367
11.	EDD Sitarganj	94,725	2,51,236
12.	EDD Pithoragarh	69,741	87,632

2018-19

Sl. No.	Name of division	Consumer	Load(KW)
1.	EDD Dehradun (South)	86,532	1,76,611
2.	EDD Tehri	92,474	1,53,653
3.	EDD Kotdwar	1,02,453	2,16,566
4.	EDD Roorkee (Rural)	56,352	2,19,996
5.	EDD Bhagwanpur	46,134	2,61,264
6.	EDD Jwalapur	86,839	2,60,172
7.	EDD Haldwani (Rural)	81,314	2,12,152
8.	EDD Kashipur	55,141	3,07,266
9.	EDD, Almora	69,758	88,614
10.	EDD Rudrapur	1,28,625	6,67,724
11.	EDD Sitarganj	55,256	1,87,573
12.	EDD Pithoragarh	75,609	93,722

Appendix-3.4.15
(Reference: Paragraph 3.4.5.4 (d); Page 89)

Statement showing Lack of skilled Manpower at divisions as on September 2019

Name of Division EDD New Tehri			
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	5	6
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	11	6
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	0	16
7.	Technician Grade I (L)	0	1
8.	Technician Grade II (E)	61	18
9.	Technician Grade II (L)	41	13
10.	Draftsman	1	0
Total (A)		119	60
Name of Division EDD Pithoragarh			
Departmental			
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	6	3
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	14	4
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	0	3
7.	Technician Grade I (L)	0	2
8.	Technician Grade II (E)	50	9
9.	Technician Grade II (L)	40	3
10.	Draftsman	1	0
Total (B)		111	24
Name of Division EDD Almora			
Departmental			
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	4	3
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	9	4
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	6	7
7.	Technician Grade I (L)	6	6
8.	Technician Grade II (E)	39	21
9.	Technician Grade II (L)	34	8
10.	Draftsman	1	0
Total (C)		99	49
Name of Division EDD Sitarganj			
Departmental			
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	5	3
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	13	3
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	0	0
7.	Technician Grade I (L)	0	0
8.	Technician Grade II (E)	30	4
9.	Technician Grade II (L)	28	2
10.	Draftsman	0	0
Total (D)		76	12

Name of Division EDD Haldwani (Rural)		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	4	4
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	10	8
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	0	9
7.	Technician Grade I (L)	0	1
8.	Technician Grade II (E)	19	11
9.	Technician Grade II (L)	25	13
10.	Draftsman	1	0
Total (E)		59	46
Name of Division EDD Rudrapur		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	5	6
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	13	6
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	0	4
7.	Technician Grade I (L)	0	4
8.	Technician Grade II (E)	30	14
9.	Technician Grade II (L)	28	9
10.	Draftsman	1	0
Total (F)		77	43
Name of Division EDD Kashipur		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	5	2
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	13	2
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	1
6.	Technician Grade I (E)	9	7
7.	Technician Grade I (L)	8	5
8.	Technician Grade II (E)	25	6
9.	Technician Grade II (L)	24	5
10.	Draftsman	1	0
Total (G)		85	28
Name of Division EDD Kotdwar		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	6	5
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	15	8
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	10	4
7.	Technician Grade I (L)	10	6
8.	Technician Grade II (E)	30	16
9.	Technician Grade II (L)	38	13
10.	Draftsman	1	0
Total (H)		110	52
Name of Division EDD Jwalapur(Haridwar)		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	4	5
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	13	7
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	8	7
7.	Technician Grade I (L)	8	6

8.	Technician Grade II (E)	32	1
9.	Technician Grade II (L)	24	0
10.	Draftsman	1	0
<i>Total (I)</i>		90	26
Name of Division EDD Bhagwanpur		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	2	2
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	5	5
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	7	4
7.	Technician Grade I (L)	7	1
8.	Technician Grade II (E)	21	3
9.	Technician Grade II (L)	28	0
10.	Draftsman	1	0
<i>Total (J)</i>		71	15
Name of Division EDD Roorkee (Rural)		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	4	3
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	13	6
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	16	8
7.	Technician Grade I (L)	16	0
8.	Technician Grade II (E)	48	2
9.	Technician Grade II (L)	64	0
10.	Draftsman	1	0
<i>Total (K)</i>		162	19
Name of Division EDD South Dehradun		Departmental	
SI. No.	Post	Sanctioned Post	Men in Position
1.	Asstt. Engineer (E&M)	5	5
2.	Asstt. Engineer (Civil)	0	0
3.	Junior Engineer (E&M)	10	7
4.	Junior Engineer (Civil)	0	0
5.	Technician Assistant	0	0
6.	Technician Grade I (E)	6	5
7.	Technician Grade I (L)	6	3
8.	Technician Grade II (E)	26	9
9.	Technician Grade II (L)	30	8
10.	Draftsman	1	0
<i>Total (L)</i>		84	37
<i>Grand Total (A to L)</i>		1,143	411

Appendix-3.6.1
(Reference: Paragraph 3.6; Page 92)

List of contracts executed by PTCUL Divisions

(A) Executive Engineer, 132 KV, O & M, PTCUL, Kashipur

SL. No.	Agreement Number	Agreement Value (₹ in crore)	Executed Cost (₹ in crore)
1.	1743/CE (C&P)/PTCUL/SS-18/2016-17/LOA dated: 06.12.2016	1.09	1.09 (A)

(B) Executive Engineer, O & M, Civil Division, PTCUL, Roorkee

SL. No.	Agreement Number	Agreement Value (Currency in ₹)	Executed Cost (Currency in ₹)
1.	09/SE/(c)/DDN/PTCUL/2013-14 dated: 05.02.2014	11,84,493.60	13,50,679.00
2.	10/SE/(c)/DDN/PTCUL/2013-14 dated: 05.03.2014	12,15,384.30	13,62,512.00
3.	02/SE/(c)/DDN/PTCUL/2013-14 dated: 08.07.2013	11,96,947.96	13,29,349.00
4.	08/SE/(c)/DDN/PTCUL/2013-14 dated: 21.02.2014	27,80,896.80	34,38,171.00
5.	01/SE/(c)/DDN/PTCUL/2016-17 dated: 03.06.2016	8,60,560.00	4,96,160.00
6.	03/SE/(c)/DDN/PTCUL/2015-16 dated: 25.08.2015	9,61,631.83	12,01,763.00
7.	02/SE/(c)/DDN/PTCUL/2015-16 dated: 25.08.2015	19,31,632.07	24,12,903.00
8.	16/SE/(c)/DDN/PTCUL/2013-14 dated: 20.03.2016	10,37,725.58	9,56,076.00
9.	04/SE/(c)/DDN/PTCUL/2015-16 dated: 04.09.2015	15,34,270.87	17,05,883.00
10.	03/SE/(c)/DDN/PTCUL/2015-16 dated: 02.07.2015	17,55,600.00	19,24,489.00
11.	03/SE/(c)/DDN/PTCUL/2013-14 dated: 03.02.2014	27,57,964.33	43,83,445.00
12.	07/SE/(c)/DDN/PTCUL/2013-14 dated: 21.02.2014	11,44,514.80	15,26,777.00
13.	04/SE/(c)/DDN/PTCUL/2013-14 dated: 21.02.2014	12,82,216.53	15,59,965.00
14.	04/SE/(c)/DDN/PTCUL/2014-15 dated: 22.08.2014	25,41,804.16	31,89,750.00
15.	05/SE/(c)/DDN/PTCUL/2013-14 dated: 21.02.2014	17,75,959.89	24,15,321.00
16.	177/CE (c & p)/PTCUL/DDN/CIVIL/04/2013-14 dated: 15.02.2014	94,53,038.00	1,02,09,894.00
17.	03/SE/(c)/DDN/PTCUL/2014-15	9,23,843.35	9,56,846.00
Total (B)		3,43,38,484.07	4,04,19,983.00 (₹4.04 crore)

(C) Executive Engineer, 220 KV O & M, PTCUL, Haridwar

SL. No.	Agreement Number	Agreement Value	Executed Cost
1.	478/CE (C&P)/PTCUL/TL-26/2014-15 dated: 11.04.2016	₹ 7.99 crore	₹ 7.96 crore
2.	512/CE (C&P)/PTCUL/TL-20/2014-15/QV dated: 27.04.2016	₹ 3.18 crore	₹ 2.55 crore
3.	CE/GZR-10/2015-16 dated: 27.06.2015	₹ 58.25 lakh	₹ 60.32 lakh
4.	1207/CE (C&P)/PTCUL/SS-06/2015-16/LOA dated: 24.06.2015	₹ 1.40 crore	₹ 121.30 lakh
5.	CE/GZR-09/2014-15 dated: 10.07.2014	₹ 40.06 lakh	₹ 49.87 lakh
Total (C)			₹12.82 crore
Grand Total (A+B+C)			₹17.95 crore
Labour Cess (₹17.95 crore x 1/100)			₹17.95 lakh