
Appendices

Appendix-1.1
(Reference to paragraph 1.5, Page 2)

Department-wise break-up of outstanding Inspection Reports and Paragraphs

Department	Number of IRs/Paragraphs pending as of 30 September 2017	
	IRs	Paragraphs
Backward Classes Welfare	84	692
Consumer Affairs, Food and Civil Supplies	26	154
Finance	12	94
General Administration	46	289
Health, Medical and Family Welfare	298	3059
Higher Education	651	3243
Home	121	699
Housing	7	29
Labour, Employment, Training and Factories	126	644
Law	43	142
Minorities Welfare	20	110
Municipal Administration and Urban Development	234	4194
Panchayat Raj and Rural Development	407	2911
Planning	15	171
Revenue	81	519
School Education	220	3025
Social Welfare	131	1222
Tribal Welfare	129	1491
Women, Children, Differently Abled and Senior Citizens	304	1431
Youth Advancement, Tourism and Culture	64	386
Total	3019	24505

Appendix-1.2
(Reference to paragraph 1.5.1, Page 2)
Position of Pending Explanatory Notes (as of 30 September 2017)

A. Exclusively pertaining to the State of Andhra Pradesh

Department	2012-13	2014-15	2015-16	Total
Backward Classes Welfare	Nil	1	Nil	1
Health, Medical and Family Welfare	Nil	Nil	2	2
Higher Education	Nil	Nil	3	3
Home	Nil	Nil	2	2
Minorities Welfare	Nil	1	Nil	1
Revenue	2	1	2	5
School Education	Nil	2	1	3
Social Welfare	Nil	1	Nil	1
Tribal Welfare	Nil	1	Nil	1
Women, Children, Differently Abled and Senior Citizens	Nil	1	1	2
Total	2	8	11	21

B. Pertaining to both the States of Andhra Pradesh and Telangana

Department	2006-07	2009-10	2010-11	2011-12	2012-13	2013-14	Total
Backward Classes Welfare	Nil	Nil	Nil	1	Nil	Nil	1
Finance	Nil	1	Nil	1	1	Nil	3
Higher Education	Nil	Nil	Nil	1	Nil	1	2
Home	1	Nil	Nil	1	1	1	4
Minorities Welfare	Nil	Nil	Nil	1	Nil	1	2
Rural Water Supply and Sanitation	Nil	Nil	Nil	1	Nil	Nil	1
School Education	Nil	Nil	Nil	Nil	2	2	4
Social Welfare	Nil	Nil	Nil	1	Nil	Nil	1
Tribal Welfare	Nil	Nil	1	1	Nil	1	3
Youth Advancement, Tourism and Culture	Nil	1	Nil	Nil	Nil	1	2
Total	1	2	1	8	4	7	23

Appendix–2.1
(Reference to paragraph 2.1.3.3, Page 10)
List of selected units

District	Division	Mandals	Gram Panchayats	Habitations	House holds
Andhra					
Guntur	Guntur	Krosuru	Bayyaravam	Bayyaravam	40
			Vutukuru	Vutukuru	40
	Narasaraopet	Gurazala	Budawada	Budawada	40
			Telukutla	Telukutla	40
West Godavari	Eluru	Denduluru	Denduluru	Alugulagudem	10
				Apparaopalem	10
				Denduluru	10
				Satyanarayanapuram	10
			Gangannagudem	Gangannagudem	40
		Pedapadu	Satyavolu	Kalingapeta	10
				Kothuru of Satyavolu	10
				Satyavolu	10
				Yelamanchalivaripakalu	10
		Eluru	Thotagudem	Thotagudem	40
	Kalakurru			Kalakurru	40
	Satrapadu			MRC Colony	40
	Satrapadu			Satrapadu	
	Kovvuru	<i>No mandal was selected in this division</i>			
Rayalaseema					
Chittoor	Tirupati	Satyavedu	Kalamanaidupet	Gandhipuram	20
				Kalamanaidupet	20
			Vanellore	Rallakuppam	40
				Ranganathpuram	
				Vanellore	
	Madanapalle	Kalakada	Gangapuram	Harijanawada	10
				Kathivaripalle	10
				Pasalapalle	10
				Thurupuvandlapalle	10
			Gudibanda	Eddulareddygaripalle	10
				Gudibandakothapalle	10
				KTA Gudibanda	10
				Papagaripalle	10
	Kadapa	Kadapa	Mydukur	Tuvvapalli	Ambedkar Nagar
Jandlavaram					10
Kattakindapalle					10
Tuvvapalli					10
Viswanathapuram				Kotha Seetharampuram	10
				Viswanathapuram HW	10
				Viswanathapuram Malawada	10
				Viswanathapuram	10
				Viswanathapuram	10
				Viswanathapuram	10
Pulivendula		Vempalli	Bakkannagaripalli	Bakkannagaripalli	40
			Vempalli	Chintalamadugupalli	40
				Pakkirpalli	
				Vempalli	

District	Division	Mandals	Gram Panchayats	Habitations	House holds
DDP District					
Anantapuramu	Anantapuramu	Uravakonda	Rayampalle	Rayampalle	40
			Vyasapuram	Vyasapuram	40
Tribal Area					
Vizianagaram	Vizianagaram	Makkuva	Dabbagadda	Madavalasa	10
				Mettvalasa	10
				Kottangi	10
				Viziarapuram	10
			Venkatabhypuram	Venkatabhypuram	40
		Bobbili	GongadaValasaa	Annammaidavalasa	40
				Gongadavalasa	
				Reddyavalasa	
		Jagannadhapuram	Jagannadhapuram	40	
		Vizianagaram (Projects)	Bondapalli	Chinatamarapalli	Chinatamarapalli
	Rudrapalem				20
	Maruwada Kothavalasa			Maruwada Kothavalasa	40
	S.Kota		Gopalapalli	Gopalapalli	40
			Peddakandepalli	Krishnamahantipuram Peddakandepalli	20 20

Appendix–2.2
(Reference to paragraph 2.1.4, Page 11)

Responsibilities assigned to State and District level agencies

Name of the Institution	Responsibilities assigned
State Water and Sanitation Mission (SWSM)	State Water and Sanitation Mission (SWSM) is responsible for: <ul style="list-style-type: none"> • Policy guidance, convergence of water supply and sanitation activities; • Coordination with various State Government Departments and other partners; integrating communication and capacity development programme; • Monitoring, evaluation and management of water supply and sanitation projects; • Accounting and audit of Programme and Support Fund.
State Level Scheme Sanctioning Committee (SLSSC)	State Level Scheme Sanctioning Committee (SLSSC) is responsible for: <ul style="list-style-type: none"> • Approval to Annual Action Plan of all support activities to be undertaken by SWSM; • To review progress, completion and commissioning of the scheme approved earlier and sanctioning of new schemes.
State Technical Agency (STA)	State Technical Agency (STA) is responsible for: <ul style="list-style-type: none"> • Planning and designing of scientifically sound and cost effective rural water supply schemes; • Assistance in preparation of action plan, Evaluation and scrutiny of major water supply schemes, feedback on planning and implementation.
District Water and Sanitation Mission (DWSM)	District Water and Sanitation Mission (DWSM) is responsible for: <ul style="list-style-type: none"> • Formulation, management and monitoring of projects and progress on drinking water; • Scrutiny and approval of the schemes submitted by the Block Panchayat/gram panchayat and forwarding them to SLSSC; • Selection of agencies and NGOs and enter into agreements for social mobilisation, capacity development, communication, project management and supervision; • Sensitising the public representatives, officials and the general public; • Engaging institutions for imparting training for capacity development.
Gram Panchayat/Village Water and Sanitation Committee (GPWSC/VWSC)	Gram Panchayat/Village Water and Sanitation Committee (GPWSC/VWSC) is responsible for: <ul style="list-style-type: none"> • Planning, designing, and implementing all in-village drinking water and sanitation activities; • Providing inputs for Village Water Security Plan; • Organising community contributions towards capital costs, both in cash and kind (land, labour or materials), if any; • Opening and managing bank account for depositing community cash contributions, O&M funds and management of project funds; • Commissioning and takeover of completed in-village water supply and sanitation works; • Collection of funds through a tariff, charges and deposit system for O&M of water supply and sanitation works.

Appendix–2.3
(Reference to paragraph 2.1.6, Page 14)

Allocation criteria prescribed in NRDWP guidelines

Criteria used for weightage calculation	Weightage
Rural population as per census	40
Rural SC and ST population as per census	10
States under Desert Development Programme, Drought Prone Areas Programme, Hill Area Development Programme and special category hill States in terms of rural areas	40
Rural population managing rural drinking water supply schemes weighted by a Management Development Index	10
Total	100

Components of NRDWP	Distribution of NRDWP allocation (in per cent)		Centre - State sharing pattern
	Pre-revised (2012-13)	Revised (2013-17)	
Coverage	45	47	50:50
Water Quality	20	20	50:50
Operation and Maintenance	10	15	50:50
Sustainability	20	10	100:0
Support	5	5	100:0
Water Quality Monitoring and Surveillances	---	3	100:0

Appendix–2.4
(Reference to paragraph 2.1.6.1, Page 15)

Cut imposed as penalty by GoI

(₹ in crore)

Year	Component	Cut imposed	Reasons for imposing of cut
2012-13	Coverage, Water Quality, Sustainability and O&M	12.35	Release of less state share
2014-15	Coverage, Water Quality, Sustainability and O&M	29.15	Excess expenditure on O&M in the previous year
2014-15	Support	1.98	Excess opening balance as on 1.4.2014
2014-15	WQM&S	1.72	Excess opening balance as on 1.4.2014
2014-15	DDP areas	1.27	Late submission of proposal
2016-17	Support component	1.43	Release of less State share
2016-17	WQMS	1.06	Release of less State share
	Total	48.96	

Appendix-2.5
(Reference to paragraph 2.1.6.3, Page 15)

Statement showing the delayed release of funds by the State Government to SWSM

(₹ in lakh)

Year	Date of sanction of GoI share	Amount (GoI)	Amount (State share)	Date of funds to be released to SWSM by State along with State share	Actual date of release	Delay in number of days	Interest amount to be transferred @12% column(3+4)* 12%* column(7)/365 days
1	2	3	4	5	6	7	8
2015-16	16.6.15	7428.04	NA	2.7.15	22.7.15	20	48.84
			566.80	2.7.15	8.3.16	248	46.21
	16.6.15	645.92	0	2.7.15	22.7.15	20	4.25
			430.61	2.7.15	8.3.16	249	35.25
	3.7.15	1074.20		18.7.15	22.8.15	34	12.00
			1074.20	18.7.15	29.10.15	102	36.02
	16.7.15	36.78	0	1.8.15	22.8.15	12	0.15
	11.9.15	883.37 (100% DDP component)	NA	26.9.15	5.2.16	131	38.04
	11.9.15	32.54 (100% DDP component)	NA	26.9.15	2.5.16	217	2.32
	12.1.16	1184.35	1184.35	27.1.16	8.3.16	29	22.58
	2.2.16	473.85	473.85	17.2.16	2.5.16	73	22.74
	10.2.16	445.03 (100% DDP component)	NA	25.2.16	2.5.16	65	9.51
	16.2.16	1064.20 (100% DDP component)	NA	3.3.16	2.5.16	59	20.64
	16.2.16	1648.45	1648.45	3.3.16	2.5.16	59	63.95
	24.2.16	650.77	433.85	11.3.16	2.5.16	51	18.18
28.3.16	1437.00	1437.00	12.4.16	2.5.16	19	17.95	
2016-17	12.4.16	3169.10	3169.10	27.4.16	12.7.16	75	156.28
	20.5.16	4157.92 (3369.42+788.50 DDP component)	3369.42	4.6.16	12.7.16	37	91.57
	5.12.16	5807.40	5807.40	20.12.16	17.1.17	27	103.10
	9.1.17	788.5	0	24.1.17	6.3.17	40	10.37
	11.1.17	10.84	10.84	26.1.17	6.3.17	38	0.27
	16.2.17	3002.19 (1129.38 DDP)	1872.81	28.2.17	20.4.17	46	73.73
	17.2.17	320.04	320.04	28.2.17	20.4.17	46	9.68
	23.3.17	482.82	482.82	7.4.17	20.4.17	12	3.81
Total		34743.31	30783.78			1760	847.44

Appendix–2.6 (a)
(Reference to paragraph 2.1.6.7, Page 17)

Details of variation in receipts

(₹ in crore)

Year	OB as per IMIS	OB as per CA report	Variation	Releases/ receipts as per CA Audit Report		Releases/ receipts as per IMIS		Variation		Interest and other receipts as per CA Audit report	Interest and other receipts as per IMIS	Variation
				Central	State	Central	State	Central	State			
2012-13	301.30	495.11	193.81	476.36	0.00	485.14	539.65	8.78	539.65	38.18	0.00	38.18
2013-14	113.62	319.48	205.86	595.35	100.00	631.52	804.06	36.17	704.06	25.67	0.00	25.67
2014-15	82.74	157.60	74.86	74.19	0.00	377.78	617.07	303.59	617.07	5.24	0.00	5.24
2015-16	33.44	71.02	37.58	81.16	1.38	170.05	561.93	88.89	560.55	2.09	0.00	2.09
2016-17	12.88	55.28	42.40	203.89	144.55	204.00	240.58	0.11	96.04	0.00	0.00	0.00

Source: Audited Accounts of Chartered Accountant and IMIS data

Appendix – 2.6 (b)
(Reference to paragraph 2.1.6.7, Page 17)

Details of variation in expenditure

(₹ in crore)

Year	Expenditure/payments as per CA Audit Report		Expenditure/payments as per IMIS		Variation		Closing balance as per CA Audit Report	Closing balance as per IMIS	Variation
	Central	State	Central	State	Central	State			
2012-13	689.52	0.00	672.82	523.96	16.70	523.96	319.48	113.62	205.86
2013-14	783.04	100.00	662.40	764.68	120.64	664.68	157.60	82.74	74.86
2014-15	92.86	0.00	427.08	600.96	334.22	600.96	80.00	33.44	46.56
2015-16	204.20	223.59	190.60	546.53	13.60	322.94	55.28	12.88	42.40
2016-17	148.60	144.55	157.38	234.94	8.78	90.39	110.57	59.51	51.06

Source: Audited Accounts of Chartered Accountant and IMIS data

Appendix–2.7
(Reference to paragraph 2.1.7.1(v), Page 20)

Coverage status of General, SC and ST Population under NRDWP

Category of Population	Covered with <40 LPCD	Covered with >=40 LPCD	Total
General	10570976	16737152	27308128
Scheduled Castes	2503642	4318272	6821914
Scheduled Tribes	1021167	1483534	2504701

Source: IMIS Data

Appendix–2.8
(Reference to paragraph 2.1.7.5(b)(iii), Page 25)

Status of water supply to habitations/ population in commissioned schemes

Name of the district	No. of CPWS schemes commissioned	No. of habitations covered	Population	Number of habitations for which water was not being supplied	Number of habitations for which water is being supplied in alternate days
Anantapuramu	66	2360	3076579	52	1043
Chittoor	6	283	125108	8	181
Guntur	66	330	969467	104	9
Vizianagaram	29	824	979392	1	17
West Godavari	27	453	546101	85	0
YSR	25	875	633393	150	0
Total	219	5125	6330040	400	1250

Source: Information furnished by the RWS&S Department

Appendix-2.9
(Reference to paragraph 2.1.7.6(b)(i), Page 27)

Details of seasonal and annual rainfall in the test-checked districts during 2014-16
(Figures in percentage)

Name of the district	Rainfall											
	2014				2015				2016			
	pre-monsoon	monsoon	post-monsoon	Annual	pre-monsoon	monsoon	post-monsoon	Annual	pre-monsoon	monsoon	post-monsoon	Annual
Anantapuramu	22	-34	-23	-24	86	3	39	24	17	-19	-83	-31
Chittoor	-23	-25	-43	-33	67	-6	135	58	7	-14	-47	-26
Guntur	-19	-24	-14	-22	-55	4	-52	-18	39	42	-65	11
Vizianagaram	27	-1	21	6	-39	22	-60	-6	26	19	-58	1
West Godavari	60	-19	-68	-26	-50	6	-33	-9	25	26	-79	-1
YSR	-48	-34	-46	-40	53	-4	115	41	-31	9	-64	-18

Source: Rainfall statistics of India Meteorological Department (Ministry of Earth Sciences)

Ranges of rainfall:

- 20% or more indicates excess rainfall
- 19% to +19% indicates normal rainfall
- 20% to -59% indicates deficient rainfall
- 60% to -99% indicates scanty rainfall
- 100% indicates no rain

Appendix-2.10
(Reference to paragraph 2.1.7.8(b)(ii), Page 32)
Details of test results in the selected habitations

Name of the Mandal	Name of the habitation	Source/ location/ source type	Test results							
			Chloride, mg/l Acceptable limit: 250 Permissible limit in the absence of alternate source: 1000		Total Dissolved Solids (TDS), mg/l Acceptable limit: 500 Permissible limit in the absence of alternate source: 2000		Alkalinity, mg/l Acceptable limit: 200 Permissible limit in the absence of alternate source: 600		Total Hardness (as CaCo3), mg/l Acceptable limit: 200 Permissible limit in the absence of alternate source: 600	
			Test result	Remarks given by the laboratory	Test result	Remarks given by the laboratory	Test result	Remarks given by the laboratory	Test result	Remarks given by the laboratory
Anantapuramu										
Uravakonda	Vysapuram	Hand pump at S.S.Palli	260	potable	720	potable	220	potable	200	potable
	Rayampalli	Hand pump at Harijanwada	280	potable	860	potable	320	potable	240	potable
Guntur										
Krosuru	Bayyaravam	Hand Pump at Gandhi Mandapam	404	potable	1228	potable	480	potable	700	potable
	Bayyaravam	Hand Pump at K. Venkateswarlu house	588	potable	1937	potable	470	potable	430	potable
Vizianagaram										
Makkuva	Viziarapuram	ITDA	Nil	Nil	1015	potable	380	potable	400	potable
	Meddavalasa	ST locality	Nil	Nil	912	potable	350	potable	390	potable
West Godavari										
Eluru	Kalakarru	MPP school, Piped Water Supply (PWS)	288	potable	1262	potable	252	potable	378	potable
Pedapadu	Thotagudem	Main Village, PWS	338	potable	1472	potable	300	potable	444	potable
YSR										
Mydukur	Tuvvapalli	Hand pump at Ambedkar Nagar	420	potable	1006	potable	276	potable	312	potable
	Viswanathapuram	Hand pump at Kotha Seetharamapuram	460	potable	1373	potable	344	potable	496	potable

Note: mg/l: milligrams per unit volume of litre

Appendix-2.11
(Reference to paragraph 2.1.7.9(a)(iii), Page 38)

Details of schemes stopped mid-way

Sl. No	Description of works	Date of abandoning of the work	Expenditure incurred (₹ in crore)
1	Name of the work: Providing CPWS scheme to Chintalapudi mandal in West Godavari district. Administrative Sanction: August 2012 Estimated cost: ₹10 crore Agreement date: December 2013 Scheduled date of completion: December 2014 EoAT : May 2017	December 2016	5.77
2	Name of the work: 'Strengthening of bund and protection works for the SS Tank of CPWS Scheme to Prathikollalanka and other habitations' of West Godavari district. Administrative sanction: November 2013 Estimated cost: ₹25 lakh Agreement date: April 2015 Scheduled date of completion: October 2015	March 2016	0.18
3	Name of the work: 'Providing Single Village Scheme to Neerukonda (v) of Mangalagiri (M)' of Guntur district. Administrative sanction: April 2011 Estimated cost: ₹23 lakh Agreement date: November 2011 Scheduled date of completion: May 2012	April 2013	0.10
4	Name of the work: 'Augmentation of Single Village Scheme to Krishnayapalem (v) of Mangalagiri (M)' of Guntur district Administrative Sanction: July 2012 Estimated cost: ₹23 lakh Agreement date: April 2013 Scheduled date of completion: October 2013	August 2013	0.05
5	Name of the work: Providing Single Village Scheme to Kuragallu (v) of Mangalagiri (M) of Guntur district Administrative Sanction: April 2011 Estimated cost: ₹23 lakh Agreement date: November 2011 Scheduled date of completion: May 2012	April 2013	0.07
6	Name of the work: CPWS scheme to Pachipenta and other habitations (Phase I) of Saluru (ST) constituency in Vizianagaram district Administrative Sanction: July 2012 Estimated cost: ₹20 crore Agreement date: December 2013 Scheduled date of completion: June 2015 EoAT: December 2016	December 2016	14.12
7	Name of the work: CPWS scheme to Pachipenta and other habitations in Saluru (ST) constituency in Vizianagaram district (Phase-II). Administrative Sanction: February 2013 Estimated cost: ₹13 crore Agreement date: February 2014 Scheduled date of completion: August 2015 EoAT: December 2016	December 2016	6.31
Total			26.96

Appendix-2.12
(Reference to paragraph 2.1.7.9(b)(i), Page 38)

Details of schemes awarded on single tender basis in the first call

(₹ in lakh)

Sl. No.	Description of the work	Year of sanction	Estimated cost	Contract value	Excess percentage
1	Special repairs to the CPWS Scheme from Kadiri to N.P. Kunta (via) Gandlapenta in Anantapuramu district	August 2015	90.05	94.50	(+) 4.94
2	CPWS scheme to Kamavarapukota (M) of West Godavari district	July 2012	467.65	484.02	(+) 3.50

Source: Records of RWS&S Department

Appendix-2.13
(Reference to paragraph 2.1.8(i), Page 39)

Details showing the inconsistencies between IMIS and Physical data

Nature of the item	Audit observation
Piped Water Supply schemes and hand pumps	In the selected GPs of test-checked Guntur and West Godavari districts, as per IMIS there were 75 PWS schemes (Guntur-33 and West Godavari-42) and 108 hand pumps (Guntur-81 and West Godavari-27) as of June 2017. However, as per the information furnished by the State Government, there were 49 PWS (Guntur-32 and West Godavari-17) and 92 hand pumps (Guntur-69 and West Godavari-23). Thus, there was a difference of 26 PWS and 16 hand pumps.
Functioning of schemes	As of August 2017, it was mentioned in IMIS that there were 3,47,995 schemes existing in the State and all were functional. However, in the test-checked districts, audit noticed that 7,136 schemes (Anantapuramu- 5,400, Chittoor- 922 and Guntur- 814) were not functioning out of 49,322 schemes due to depletion of ground water, drying up of source, condemnation of bore wells and repairs to motors.
Schools and Anganwadis	In Vizianagaram district, during 2012-17, coverage of schools as per IMIS data was 526, however, as per physical record it was 394. Further, coverage of anganwadis as per IMIS data was 8, however, as per physical data it was 243.
Surface water bodies	As per IMIS data, no surface water bodies were available in the test-checked districts. However, as per DWSM records, there were 371 (Anantapuramu-3, Guntur- 367 and West Godavari-1) surface water bodies in the test-checked districts.

Appendix-2.14
(Reference to paragraph 2.2.3.3, Page 43)
List of sampled Mandals and schools

ANANTAPURAMU		EAST GODAVARI		SRIKAKULAM	
GARLADINNE (Mandal)		RAMACHANDARA PURAM (Mandal)		JULUMURU (Mandal)	
1	ZPHS, Garladinne	1	MPUPS, Adivarapu Peta, Ramachandrapuram	1	MPPS, Goleyaputti
2	KGBV, Garladinne	2	SSC Bose MPL Primary School, RK Moga, Ramachandrapuram	2	MPPS, Domalapalli
3	MPPS, K Thanda	3	MPL Girls High School, A. Savaram, Ramachandrapuram	3	MPPS, Velusoda
4	MPPS, Kallur R.S.	4	MPL High School, Ratnampeta, Ramachandrapuram	4	MPPS, Krishnapuram
5	MPPS, Kalluru Agraharam	5	MPPS, Mamidigunta	5	MPPS, Ramakrishnapuram
6	MPPS, Jambuladinne Kottala		AIDED	6	MPUPS, Marrivalasa
	AIDED	6	SSS Vadapalli Aided Primary School, Rajababu Nagar		AIDED
7	C.S.I Aided Primary School, Kallur	7	SPVR Aided High School, Draksharamam	7	UPS (Aided), Makivalasa
8	C.S.I. Aided Elementary School, Muntimadugu	8	Bapu Aided Primary School, Vadrevu Vari Street	8	PS (Aided), Ramayyavalasa
9	N.E.R. Aided High School, MPR Dam	9	Childrens Aided UPS, Bradipeta		VANGARA (Mandal)
10	N.E.R Aided Primary School, MPR Dam		RAYAVARAM (Mandal)	9	ZPHS, Koppara
	RAPTADU (Mandal)	10	MPPS (Main), Pasalapudi	10	MPPS, M.S.Puram
11	ZPHS, Hampapuram	11	MPPS (Main), Vedurupaka	11	MPPS, Voni Agraharam
12	MPPS, Gandlaparthi	12	MPPS (BP), Venturu	12	MPPS, Sreeharipuram
13	MPPS, Gondireddypalle	13	MPPS (ST), Someswaram	13	MPPS, Maruvada
14	MPUPS, Bommeparthi	14	MPPS (Main), Venturu		AIDED
15	MPPS, Krishnapuram	15	ZPHS, Pasalapudi	14	AE School, Magguru
	AIDED		AIDED	15	CBMAE School, Kinjangi
16	L.R.G. High School, Raptadu	16	SSS Child Aided UPS, Chelluru		VEERAGATTAM (Mandal)
17	VK Aided High School, Raptadu		SAKHINETIPALLI (Mandal)	16	MPPS, Bitiwada
	YELLANURU (Rural)	17	MPPS, BVG, VV Meraka	17	MPUPS, Tudi
18	MPUPS, Singavaram	18	MPPS, Church Peta, Sakhinetipalli	18	GPS (TW), Sandimanuguda
19	MPPS, Mallagundla	19	MPPS, BV Kodapa	19	MPPS, Veeraghattam
20	ZPHS, Yellanur	20	MPPS, Kothalanka	20	MPPS, J. Gopalapuram
21	MPPS, Yellanur, Patha Peta	21	MPPS, Lingam Cheruvu, Mori		AIDED
22	MPPS, Lingareddypalli		AIDED	21	CBMAPS, Kambara
	AIDED	22	Lutheran Aided HS, Sakinetipalle Lanka	22	CBMAES, Veeraghattam
23	C.S.I. Aided Primary School, Yellanur	23	Vijayananda Aided PS, Mori, Padamatipalem	23	RCMAPS, Veeraghattam
24	LMS Aided Primary School, Vennapusapalli	24	Ratna Aided PS, Mori, Padamatigunta		SRIKAKULAM (Urban)
	ANANTAPURAMU (Urban)		RAJAMAHENDRAVARAM (Urban)	24	MES, Arasavalli
25	MPPS, Kurugunta	25	RCS Mills MPL Primary School, Police Quarters	25	MPPS, Challavanipeta
26	MGM UP School, Ambedkar Nagar	26	MPL Primary School, Kotilingala Peta	26	MES, Yembada Street
27	MPPS, Krishnamreddypalli	27	NVR MPL Primary School, Taditota	27	MPPS, Pukkallapeta
28	MPL Primary School, 1 st Ward	28	Sri Veeresalingam MPL Primary School, Innispeta		AIDED
	AIDED		AIDED	28	DMES, Konna street
29	GOS (Aided) Girls High School, Anantapuramu	29	APPM Aided PS (EM), Mallayyapeta	29	RCM, Gunapalem
30	C.S.I. Aided Primary School, New Town	30	Junior Basic Aided Primary School, Prakashnagar	30	DMHS, Konna street

Source: Statistical sampling

Appendix-2.15
(Reference to paragraph 2.2.4.4, Page 46)
Enrolment in test-checked Districts

Year	Number of children attained the age of enrolment		Number of Children enrolled		Number of Dropout children		Dropout Percentage	
	PS	UPS	PS	UPS	PS	UPS	PS	UPS
Anantapuramu								
2010-11	338811	142339	324196	116045	1525	3606	0.47	3.11
2011-12	335001	139344	326007	121657	4090	4187	1.25	3.44
2012-13	332313	137563	325972	121148	910	4934	0.28	4.07
2013-14	351435	228414	330088	176555	555	1061	0.17	0.60
2014-15	370805	221372	316574	173843	220	434	0.07	0.25
2015-16	208117	235738	207912	233822	205	1916	0.10	0.82
2016-17	296685	172920	295901	170944	784	1968	0.26	1.15
Srikakulam								
2010-11	236117	99217	225766	79158	268	727	0.12	0.92
2011-12	233512	97129	216306	82170	3897	3382	1.80	4.12
2012-13	231639	95888	215739	81981	628	1388	0.29	1.69
2013-14	225611	149199	211187	123164	233	549	0.11	0.45
2014-15	233717	146006	201764	122357	213	427	0.11	0.35
2015-16	190463	122422	190132	121812	331	610	0.17	0.50
2016-17	182555	120721	181507	119627	1048	1094	0.58	0.91
East Godavari								
2010-11	456102	191641	403174	150037	1471	711	0.36	0.47
2011-12	451035	187608	402584	153895	2481	2564	0.62	1.67
2012-13	447416	185211	394505	154125	3909	3181	0.99	2.06
2013-14	408184	273929	388706	223551	1460	1967	0.38	0.88
2014-15	424313	267356	394517	229081	2523	2612	0.64	1.14
2015-16	394889	229370	394464	229081	425	289	0.11	0.13
2016-17	369548	225914	368261	224998	1287	916	0.35	0.41

Source: Educational statistics

PS: Primary schools, UPS: Upper Primary schools

Appendix-2.16**(Reference to paragraph 2.2.4.8, Page 50)****Dropout rates of children enrolled in test-checked Schools**

Year	Anantapuramu		Srikakulam		East Godavari	
	PS	UPS	PS	UPS	PS	UPS
2010-11	10.40	2.70	4.02	0.82	2.47	3.87
2011-12	4.03	2.53	9.09	2.25	4.24	1.67
2012-13	4.94	2.27	5.05	2.05	2.95	2.37
2013-14	6.75	3.85	4.81	1.64	2.79	3.86
2014-15	9.49	2.31	5.08	4.36	8.43	3.04
2015-16	4.74	2.00	4.73	2.31	4.30	3.84
2016-17	4.18	2.03	4.63	8.23	2.99	3.62

*Source: DPOs and test-checked schools***Appendix-2.17****(Reference to paragraph 2.2.4.11, Page 51)****Norms for Pupil-Teacher Ratio**

Admitted children	Number of teachers
a) For classes I to V	
Up to 60	02
Between 61 to 90	03
Between 91 to 120	04
Between 121 to 200	05
Above 150	05 plus one Head-teacher
Above 200	Pupil-Teacher Ratio (excluding Head-teacher) shall not exceed 40
b) For classes VI to VIII	
	At least one teacher per class so that there shall be at least one teacher each for – <ul style="list-style-type: none"> ➤ Science and Mathematics ➤ Social Studies ➤ Languages
For every 35 children	At least one teacher
Where admission of children is above 100	A full-time head-teacher Part-time instructors for – <ul style="list-style-type: none"> ➤ Art Education ➤ Health and Physical Education ➤ Work Education

Source: RTE Act

Appendix-2.18
(Reference to paragraph 2.2.6.2, Page 60)
Status of Inspections

District	Year	By DEO			By Deputy EO			By MEO		
		Inspections to be conducted	Inspections conducted	Per cent	Inspections to be conducted	Inspections conducted	Per cent	Inspections to be conducted	Inspections conducted	Per cent
Anantapuramu	2010-11	96	94	98	240	145	60	3780	1561	41
	2011-12	96	91	95	240	136	57	3780	1423	38
	2012-13	96	92	96	240	141	59	3780	1583	42
	2013-14	96	89	93	240	160	67	3780	1634	43
	2014-15	96	96	100	240	172	72	3780	1874	50
	2015-16	96	96	100	240	164	68	3780	1714	45
	2016-17	96	2	2	240	12	5	3780	154	4
East Godavari	2010-11	96	01	1	300	125	42	3600	1012	28
	2011-12	96	03	3	300	132	44	3600	1336	37
	2012-13	96	04	4	300	130	43	3600	1834	51
	2013-14	96	02	2	300	137	46	3600	1675	47
	2014-15	96	08	8	300	139	46	3600	1833	51
	2015-16	96	19	20	300	136	45	3600	1621	45
	2016-17	96	04	4	300	96	32	3600	2683	75
Srikakulam	2010-11	50	32	64	150	52	35	1900	1580	83
	2011-12	50	10	20	150	36	24	1900	1629	86
	2012-13	50	15	30	150	45	30	1900	1612	85
	2013-14	50	13	26	150	49	33	1900	1653	87
	2014-15	50	10	20	150	61	41	1900	1658	87
	2015-16	50	35	70	150	67	45	1900	1593	84
	2016-17	50	46	92	200	180	90	1930	1869	97

Source: DPOs and SSA

Appendix-2.19 A
(Reference to paragraph 2.2.7.2, Page 62)

Details of gaps identified in Teaching and Learning by the Department

Sl. No.	Gaps in teaching and learning noticed in AWP&B 2010-17	Action proposed to be taken by SSA in AWP&B 2017-18
1	No orientation to teachers on subject-wise, class-wise indicators ¹ .	There is a need for orientation to teachers at mandal/ block level on subject-wise, class-wise learning indicators at three stages (I-II), (II-III) and (VI-VIII) through face to face and teleconference trainings.
2	Need for software for LINDICS ² .	There is need of software regarding LINDICS where the teachers post the marks and grades and software do the remaining work.
3	There is no constructive activity material ³ to use effectively in classroom transition.	There is need to provide materials to teachers and students for /making innovative activities.
4	Classroom climate is rather disappointing due to minimum enhancement of pupil learning by the teacher.	Adoption of classroom strategies where children can speak fearlessly and ask questions, share and express the thoughts freely and learn collaboratively.
5	Adequate follow up taken on trainings to teachers	There is need to conduct workshops and provide trainings to teachers on posing of questions to assess the students.

Source: AWP&B 2017-18

Appendix-2.19 B
(Reference to paragraph 2.2.7.3, Page 62)

Details of gaps identified in implementation of revision of curriculum

- The classroom teaching and learning process does not show the active engagement and participation of children in learning process.
- Teachers are averse towards trainings because of poor quality of training by resource persons.
- There is no effective academic supervision of classroom teaching and support to teachers from headmaster to DEO.
- Poor learning achievement levels of children as per many surveys conducted by SSA, SCERT and Pratham⁴. The children at primary level are weak in the basic competencies of literacy and numeracy. About 20 *per cent* to 30 *per cent* of children are unable to read and write at class VI level.
- The basic focus⁵ in early grades i.e., classes I and II is being missed for the development of basic literacy skills. The textbooks are not being used properly.

¹ listening and responding, fluent reading, comprehension and reflection, legible writing, appropriate vocabulary; conceptual understanding in mathematics and science; analysis, synthesis and evaluation in science, etc.

² Learning Indicators at Elementary Level

³ Magazines, post box, reading children literature and Children diary

⁴ PRATHAM is an innovative learning organisation created to improve the quality of education in India. As one of the largest non-governmental organisations in the country, PRATHAM focuses on high-quality, low-cost and replicable interventions to address gaps in the education system

⁵ Teachers has to focus on alphabets, numbers, simple words, names, common objects, reproduce the starting letter, listen to stories, interacting in English or home language, writing simple sentences, etc.

Appendix-3.1
(Reference to paragraphs 3.1.1 and 3.1.4, Pages 65 and 66)
Composition of Committees

Committee of Persons

Sl. No	Official	Designation
1	Nominated by Government	Chairman
2	Nominated by Government	Director
3	Nominated by Government	Director
4	Nominated by Government	Director
5	Director of Social Welfare, Andhra Pradesh, Hyderabad	Person
6	VC& Managing Director, APSCCFC Ltd., Hyderabad	Person-Convener
7	Commissioner for Corporation & Registrar of Cooperative Societies, AP, Hyderabad	Person
8	Director, National Commission for SCs & STs, GoI, Hyderabad	Person
9	Joint Secretary to Government of India, Ministry of Social Justice and Empowerment, New Delhi	Person
10	Chairman & Managing Director, NSFDC or his nominee, New Delhi	Person
11	Principal Secretary to Government, Finance Department or his nominee	Person
12	Deputy Secretary / Joint Secretary / Addl. Secretary, Social Welfare Department, (dealing with subject of APSCCFC Ltd., Hyderabad)	Person
13	Director of Ground Water, AP, Hyderabad	Person
14	Chairman & Managing Director, AP Transco, Hyderabad	Person
15	VC&MD, APSIDC, Hyderabad	Person
16	Commissioner and Director of Agriculture, AP, Hyderabad.	Person
17	Commissioner of Horticulture, AP, Hyderabad	Person
18	VC&MD, LIDCAP, AP, Hyderabad	Person
19	Chairman & Managing Director, NSKFDC, New Delhi	Person

District Monitoring Committee

Sl. No.	Official	Designation
1	District Collector	Chairman
2	Project Director, District Rural Development Authority	Convener
3	Executive Director, SC Corporation	Member
4	Joint Director, Agriculture	Member
5	Joint Director, Animal Husbandry	Member
6	General Manager, District Industries Centre	Member
7	Regional Transport Officer	Member
8	Project Director, District Water Management Agency	Member
9	Lead District Manager (Manager of lead bank)	Member
10	President, Zilla Samakhya/ Town Level Federation	Member

Screening-cum-Selection Committee

Sl. No	Category	Mandal Level Committee	Municipality Level Committee	Municipal Corporation Level Committee
A. Non official members				
1	President	Mandal Praja Parishad (MPP) President	Municipal Chairperson	Mayor
2	Members	1) Zilla Parishad Territorial Constituency (ZPTC) member, 2) Mandal Parishad Territorial Constituency (MPTC) members and 3) Sarpanch	Ward members	Corporators
3	Social Activists	3 members	4 members	4 members
B. Official members				
a) Representatives of the A.P Scheduled Caste Finance Co-operative Corporation Limited				
b) The managers of the bank branches having target				
C	Member Convener	Mandal Parishad Development Officers (MPDOs)	Municipal Commissioner	Commissioner of the Municipal Corporation

Note: The Non-Official members of the Screening-cum-Selection Committee at Serial Number 1 to 3 above shall consist of at least one member each from the SC, ST, BC, Minorities & Kapu communities, of which one should be a woman, in respect of the Mandals/Municipalities/Municipal Corporations, where there is a target for the SC/ST/BC/Minorities/Kapu Welfare Corporations respectively

Appendix-3.2

(Reference to paragraph 3.1.5, Page 68)

The details of physical, financial targets and achievements for the four test-checked districts under Bank Linked ES Schemes (as of July 2017)

(₹ in lakh)

Year	Targets		Released		Started		Percentage of units started out of the units for which subsidy was released
	Units	Subsidy	Units	Subsidy	Units	Subsidy	
Chittoor							
2014-15	3373	1985.80	2382	1588.50	1446	956.80	61
2015-16	3561	2283.80	2624	1729.00	1488	972.90	57
2016-17	4142	2853.60	1317	878.60	30	29.10	2
East Godavari							
2014-15	6188	2392.70	3007	2236.20	1810	1357.50	60
2015-16	4282	2747.20	2389	1788.10	593	470.10	25
2016-17	5053	3476.60	581	468.30	0	0	0
Guntur							
2014-15	3901	2498.60	3630	2415.10	3170	2100.80	87
2015-16	4339	2785.70	3897	2689.80	2679	1842.00	69
2016-17	5056	3476.90	3154	2281.60	0	0	0
Krishna							
2014-15	3273	2200.50	3084	2089.70	3027	2049.10	98
2015-16	3947	2533.40	3746	2510.90	3154	2124.40	84
2016-17	4598	3163.40	2958	2053.00	0	0	0

Source: Information supplied by Corporation

Appendix-3.3
(Reference to paragraphs 3.2.1 and 3.2.4.1, Page 75)
Details of the members of Committees

Committee of Persons

Sl. No.	Official	Designation
1	Principal Secretary to Government, Tribal Welfare	Chairman
2	Managing Director, TRICOR	Member
3	Deputy Secretary, Finance Department	Member
4	Joint/ Deputy Secretary to Government, Panchayat Raj & Rural Development Department	Member
5	Commissioner/Director of Agriculture	Member
6	Director of Animal Husbandry	Member
7	Commissioner for Corporation & Registrar of Cooperative Societies	Member
8	Commissioner of Industries	Member
9	Managing Director, Agro Industries	Member
10 to 15	Elected by the General body	Member

District Monitoring Committee

Sl. No.	Official	Designation
1	District Collector	Chairman
2	Project Director, District Rural Development Agency	Convenor
3	Executive Director, SC Corporation	Member
4	Executive Director, BC Corporation	Member
5	District Manager, Minority Corporation	Member
6	Assistant Director, Disabled Welfare	Member
7	Project Director, Women and Child Development	Member
8	District Tribal Welfare Officer	Member
9	Lead District Manager (Manager of lead bank)	Member
10	President, Zilla Samakhya/ Town Level Federation	Member

Screening-cum-Selection Committee

Sl. No	Category	Mandal Level Committee	Municipality Level Committee	Municipal Corporation Level Committee
A. Non Official Members				
1	President	MPP President	Municipal Chairperson	Mayor
2	Members	1) ZPTC member 2) MPTC members 3) Sarpanch	Ward member	Corporator
3	Social Activists	3 members	4 members	4 members
B. Official Members				
a) Representatives of the TRICOR				
b) The Managers of the Bank Branches having target				
C	Member Convenor	MPDO	Municipal Commissioner	Commissioner of the Municipal Corporation

Note: The Non-Official members of the Screening-cum-Selection Committee at Serial Number 1 to 3 above shall consist of at least one member each from the SC, ST, BC, Minorities & Kapu communities, of which one should be a woman, in respect of the Mandals/ Municipalities/ Municipal Corporations, where there is a target for the SC/ ST/ BC/ Minorities/ Kapu Welfare Corporations respectively.

Appendix-3.4

(Reference to paragraph 3.2.4.1, Page 75)

Details of number of beneficiaries applied, attended before the Screening cum Selection Committee and number of beneficiaries selected in test-checked districts

District	Number of candidates applied	Number of candidates attended the selection	Number of candidates selected and sanctioned/ subsidy released
2014-15			
Chittoor	2119	2115	515
East Godavari	2445	2445	556
SPS Nellore	3881	1569	1569
Visakhapatnam	4124	4124	2628
Total	12569	10253	5268
2015-16			
Chittoor	819	819	747
East Godavari	627	627	598
SPS Nellore	657	632	632
Visakhapatnam	713	713	433
Total	2816	2491	2410
2016-17*			
Chittoor	6002	1503	226
East Godavari	3847	3847	230
SPS Nellore	1738	718	713
Visakhapatnam	12934	12934	482
Total	24521	19002	1651
Grand Total	39906	31746	9329

Source: Information furnished by TRICOR/ District Offices

* under process (position as of 12 September 2017)

Appendix-3.5

(Reference to paragraph 3.2.4.9, Page 81)

Targets and achievements under Normal State Plan- Bank linked schemes

A. State Level

(₹ in crore)

Year	Target		Achievement	
	Physical	Financial	Physical (Percentage)	Financial (Percentage)
2014-15	15034	72.94	10386 (69)	57.59 (79)
2015-16	10059	72.45	6737 (67)	52.40 (72)
2016-17	7390	61.48	3875*	29.15*
Total	32483	206.87	20998	139.14

Source: Annual Action Plans and information furnished by TRICOR

* as of August 2017

B. Test-checked districts

(₹ in crore)

District	Target		Achievement	
	Units	Subsidy	Units	Subsidy
2014-15				
Chittoor	1150	4.77	515	2.69
East Godavari	1244	5.67	556	2.98
SPS Nellore	1840	7.71	1569	6.96
Visakhapatnam	2753	16.62	2628	16.33
Total	6987	34.77	5268	28.96
2015-16				
Chittoor	391	3.64	747 [#]	6.11
East Godavari	2630	9.46	598	4.60
SPS Nellore	816	6.41	632	5.49
Visakhapatnam	1496	13.91	433	3.06
Total	5333	33.44	2410	19.26
2016-17 (as of August 2017)				
Chittoor	388**	3.55	226	1.78
East Godavari	469**	3.93	230	1.84
SPS Nellore	834**	6.68	713	6.03
Visakhapatnam	1548**	13.88	482	3.64
Total	3239**	28.04	1651	13.29
Grand Total	15559	96.25	9329	61.51

Source: Annual Action Plans and information furnished by TRICOR

Excluding non-bank linked scheme i.e., Energisation Programme

** including approved additional targets

[#] The achievement was more as additional sanction was given based on the applications received from the MPDOs