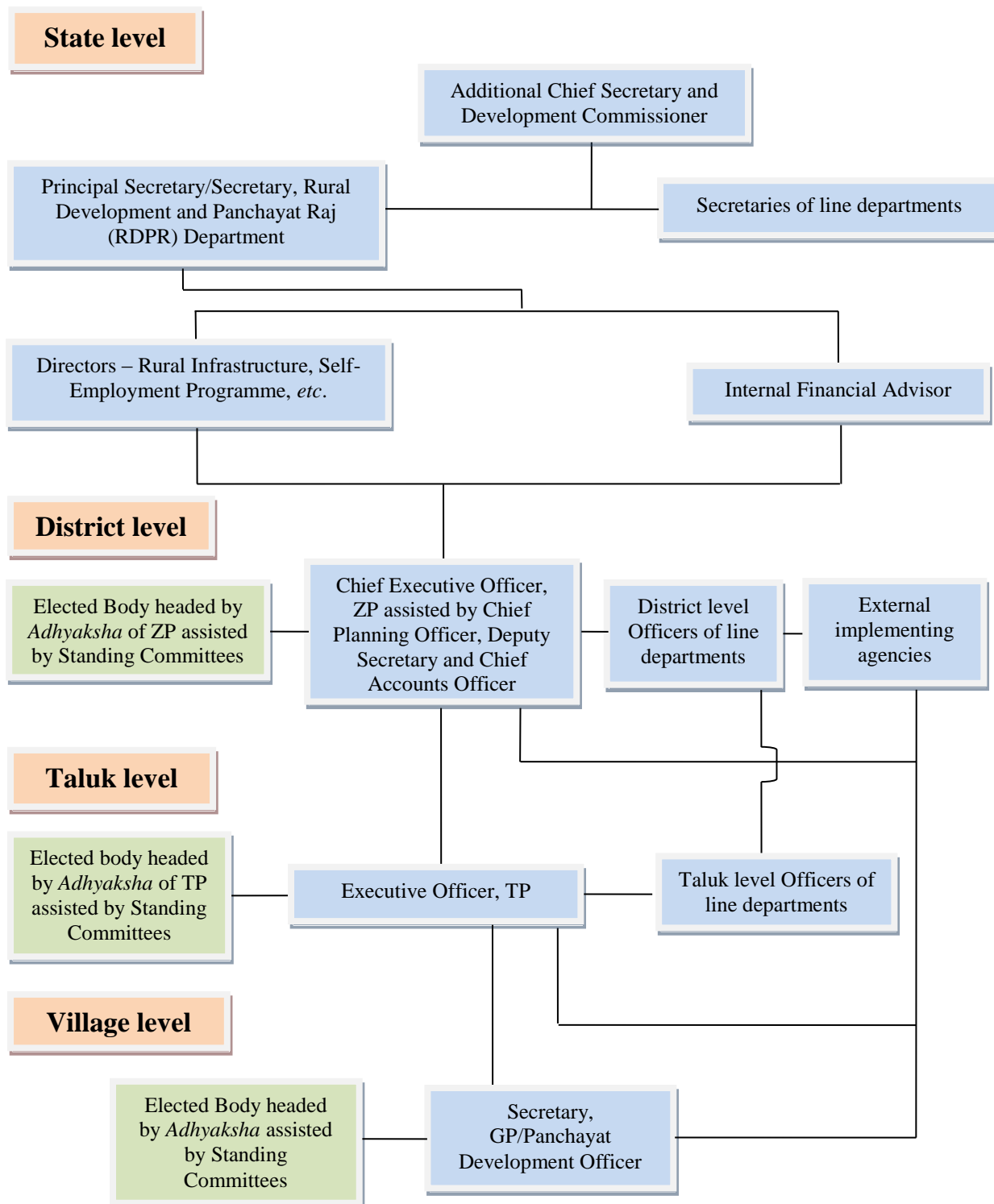


Appendix 1.1
(Reference: Paragraph 1.3/Page 1)
Organisational structure of Panchayat Raj Institutions



Appendix 1.2

(Reference: Paragraph 1.4.1/Page 2)

Statement showing fund details of flagship schemes

(₹ in crore)

Scheme	Opening balance	Releases	Total fund available	Expenditure with respect to total fund available (Percentage)
Mahatma Gandhi National Rural Employment Guarantee Scheme	180.85	1,723.55	1,904.40	1,824.90 (96)
National Rural Drinking Water Programme	179.31	1,964.05	2,143.36	1,764.41 (82)
Pradhan Mantri Gram Sadak Yojana	49.51	230.73	280.24	277.84 (99)
Swachh Bharat Mission	191.74	548.77	740.51	576.17 (78)
Suvarna Gramodaya Yojana	36.66	438.40	475.06	460.02 (97)

Source: Annual Report of RDPR (2015-16)

Appendix 1.3

(Reference: Paragraph 1.8/Page 5)

Statement showing Inspection Reports and Paragraphs outstanding as at the end of March 2016

Zilla Panchayat	More than 10 years (till 2005-06)		5 to 10 years (2006- 07 to 2010-11)		3 to 5 years (2011- 12 & 2012-13)		2013-14		2014-15		2015-16		Total	
	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras
Bagalkote	23	45	28	133	19	142	5	33	9	75	0	0	84	428
Ballari	81	206	34	229	10	101	7	51	7	72	0	0	139	659
Belagavi	145	340	42	190	11	36	8	53	8	71	0	0	214	690
Bengaluru Rural	15	21	39	128	10	59	0	0	4	25	0	0	68	233
Bengaluru Urban	101	132	184	616	16	89	5	34	5	39	0	0	311	910
Bidar	52	137	25	185	10	125	6	49	7	82	0	0	100	578
Chamarajanagar	6	20	27	87	14	68	0	0	8	43	0	0	55	218
Chikkaballapur	44	126	50	273	5	28	8	60	3	16	0	0	110	503
Chikkamagaluru	38	55	58	264	20	120	4	33	4	32	0	0	124	504
Chitradurga	13	35	41	259	18	104	1	12	4	29	0	0	77	439
Dakshina Kannada	23	35	23	72	18	92	5	39	6	56	0	0	75	294
Davanagere	27	35	19	41	21	78	4	16	5	25	0	0	76	195
Dharwar	89	178	78	227	7	47	7	80	3	18	0	0	184	550
Gadag	82	209	32	169	16	111	2	20	5	42	0	0	137	551
Hassan	32	46	36	149	17	94	8	105	4	36	0	0	97	430
Haveri	36	58	53	249	5	64	6	42	4	33	0	0	104	446
Kalaburagi	87	231	31	104	15	130	5	36	10	87	0	0	148	588
Kodagu	16	25	21	84	10	45	3	26	4	31	0	0	54	211
Kolar	96	267	59	282	18	113	6	41	0	0	0	0	179	703
Koppal	24	60	40	232	15	125	7	64	3	24	0	0	89	505
Mandya	81	171	39	166	15	90	2	17	10	62	0	0	147	506
Mysuru	5	21	64	253	19	96	7	19	3	13	0	0	98	402
Raichur	66	211	29	232	17	181	5	52	4	35	1	18	122	729
Ramanagara	53	124	41	133	6	52	5	48	8	76	0	0	113	433
Shivamogga	41	83	33	130	13	100	1	5	14	127	0	0	102	445
Tumakuru	43	72	72	378	5	36	5	45	6	49	0	0	131	580
Udupi	3	6	13	18	13	24	1	3	11	91	0	0	41	142
Uttar Kannada	99	262	48	214	26	151	14	117	1	5	0	0	188	749
Vijayapura	96	210	29	109	7	50	9	76	4	36	0	0	145	481
Yadgir	46	192	9	70	3	29	1	10	12	116	0	0	71	417
Total	1,563	3,613	1,297	5,676	399	2,580	147	1,186	176	1,446	1	18	3,583	14,519

Source: Inspection Reports

Appendix 2.1

(Reference: Paragraph 2.2.1.1/Page 8)

Statement showing amount under 'II PWD cheques' and 'II Forest cheques' under Major Head 8782 for the year 2015-16

(₹ in crore)

Sl. No.	Name of the District	PWD cheques	Forest cheques
1.	Bagalkote	12.02	0.05
2.	Ballari	9.81	(-) 0.66
3.	Belagavi	17.38	0.79
4.	Bengaluru Rural	(-) 7.05	(-) 0.42
5.	Bengaluru Urban	5.14	0.04
6.	Bidar	(-) 0.54	0.25
7.	Chamarajanagar	2.49	0.004
8.	Dharwar	36.26	2.77
9.	Gadag	3.68	(-) 0.05
10.	Haveri	0.02	0
11.	Kodagu	(-) 13.03	2.64
12.	Kolar	2.71	0.90
13.	Koppal	(-) 0.69	0.18
14.	Mandya	1.98	(-) 0.003
15.	Mysuru	21.67	3.30
16.	Raichur	(-) 27.32	0.20
17.	Uttara Kannada	(-) 10.15	(-) 2.55

Source: Annual Accounts of ZPs

Appendix 2.2

(Reference: Paragraph 2.2.1.1/Page 8)

Statement showing balances under Taluk Panchayat and Gram Panchayat suspense accounts for the year 2015-16

(₹ in crore)

Sl. No.	Name of the District	TP Suspense account	GP Suspense account
1.	Bengaluru Rural	19.50	(-) 7.05
2.	Bengaluru Urban	11.36	(-) 0.67
3.	Chamarajanagar	(-) 20.78	0.25
4.	Davanagere	(-) 0.84	0
5.	Dharwar	1.04	1.34
6.	Gadag	5.23	2.28
7.	Haveri	37.31	1.19
8.	Kodagu	0	0.33
9.	Kolar	(-) 0.22	0
10.	Koppal	59.83	0
11.	Mandya	1.99	(-) 7.22
12.	Mysuru	5.07	(-) 5.94
13.	Raichur	(-) 0.80	0.02

Source: Annual Accounts of ZPs

Appendix 3.1

(Reference: Paragraph 3.1.9.1/Page 26)

Status of households provided with individual connections

Year	Total HHs in the State	HHs provided with individual connection as at the beginning of the year (OB)	HHs covered during the year	Total HHs provided with individual connection at the end of the year
2012-13	Details not available			
2013-14				
2014-15	82,44,868	19,22,047	4,86,313	24,08,360
2015-16	81,81,636	23,95,478	6,28,203	30,23,681
2016-17	82,08,567	28,86,723	---	---

Source: IMIS (Format C-36)

HHs - Households

Appendix 3.2

(Reference: Paragraph 3.1.9.2/Page 26)

Statement showing slip back of habitations in four out of eight test-checked districts

District	Total number of habitations		Number of habitations with population coverage						Percentage of total habitations	
			2012-13			2015-16				
	2012-13	2015-16	0-25	25-50	Total	0-25	25-50	Total	2012-13	2015-16
Dakshina Kannada	3,582	3,583	73	436	509	81	1,565	1,646	14	46
Kalaburagi	1,269	1,288	52	184	236	269	548	817	19	63
Kolar	1,960	1,972	290	289	579	460	461	921	30	47
Shivamogga	4,801	4,880	267	1,478	1,745	163	1,782	1,945	36	40
Total	11,612	11,723	682	2,387	3,069	973	4,356	5,329	26	45

Source: IMIS

Appendix 3.3

(Reference: Paragraph 3.1.10.1/Page 28)

Status of sustainability works during 2012-13 to 2015-16

Year	Spillover works (OB)	New works taken up	Total	Works completed	Closing Balance
2012-13	916	1,795	2,711	1,207	1,504
2013-14	727	909	1,636	731	905
2014-15	372	1,318	1,690	302	1,388
2015-16	1,124	102	1,226	694	532
Total		4,124		2,934	

Source: IMIS (Format C-20)

Appendix 3.4

(Reference: Paragraph 3.1.10.3/Page 29)

Statement showing defective sustainability works

(₹ in lakh)

District	Name of the work	Year of sanction	Expenditure	Observation
Haveri	Percolation Tank at Dumminahal, Byadgi Taluk	2013-14	18.96	Vegetation in large scale was found grown all over the pitching of the bund due to non-maintenance.
Kalaburagi	Check dam at Sonna, Gour B GP, Afzalpur Taluk	2013-14	4.87	Portion of the structure had been damaged on one side rendering the structure not usable.
	Construction of Percolation Tank at Kakkarsavalaga, Afzalpur Taluk	2013-14	NA	The structure was fully damaged.
Mysuru	Check dam near Koodlapura village, Nanjangud Taluk	2012-13	4.96	Pitching stones on the downstream side of the structure were missing for more than half the structure. Large scale vegetation was found grown on the slope side of the structure.
	Check dam to Kulumehosuru village, K.R. Nagar Taluk	2014-15	4.11	Cracks seen in the revetment wall on both sides of the structure due to inadequate compaction of soil embankment and consequent collapse of stone masonry work.
Raichur	Check dam at J. Venkatapur Panchayat village and habitation	2012-13	11.72	Part of the wall had collapsed.
	Check dam at Gabbur Village, Devadurga Taluk	2013-14	4.92	Portion of the structure had been damaged in the middle rendering the structure not usable.
Shivamogga	Pickup across Mandalamanehalli at Survey Number 46 of Ulavi Village, Soraba Taluk	2011-12	28.05	Downstream side of the main wall was damaged with falling out of concrete.
	Check dam across Gourihalla Ambaragoppa GP, Shikaripura Taluk	2014-15	4.97	Though the structure was constructed with six vents, provision to arrest water was made only for four vents and thus water was not stored.
	Check dam across Thippajivadduhalla near Chikkajamburu village, Shikaripura Taluk	2014-15	4.97	Pitching stone was found loose and vegetation had grown on the pitching. Also the structure at the bottom of downstream side was damaged.
Total			87.53	

Source: Records furnished by the department and joint physical verification

Appendix 3.5

(Reference: Paragraph 3.1.11.2/Page 31)

Deficiencies in functioning of district/taluk laboratories noticed during joint physical verification

Parameter	District laboratories	Taluk laboratories
Provided with adequate manpower	No	No
Attendance register since inception not maintained	2	None
Academic qualification of the staff deployed not on record	--	13
Details of training provided to staff for conducting tests for all parameters not on record	4	18
Laboratories not possessing detailed list of all the sources	4	17
Whether laboratories obtained the list of water sources to be tested from the EE/AEE concerned	No	No
Whether laboratories collecting samples from all the sources under their jurisdiction, on rotation	No	No
Whether water samples tested in the laboratory authenticated by GP authorities	No	No
Total parameters required to be tested for ensuring potability	16	16
Whether laboratories conducted test for complete set of parameters	No	No
Whether laboratories conducting tests for physical and chemical parameters	Yes	Yes
Whether laboratories conducting tests for bacteriological parameters like MPN counts, E-Coli and Faecal Coliform	No	No
Number of parameters actually being tested	6 to 14	10 to 14
Whether laboratories maintained comprehensive details of tests conducted	Partial	No
Whether laboratories were properly equipped	Yes	Yes
Whether all the equipment put to use	No	No
Whether all the required equipment in the laboratory in good working condition	No	No
Whether laboratories submitted the test results and monthly progress reports to EE/AEE concerned	No	5 out of 18
Whether laboratories maintained inventory of chemicals/reagents	No	No
Whether laboratories conducting tests for Arsenic	No	No
Whether test results exhibited for Arsenic, as tested	--	4 of 18
Whether laboratories provided with UPS and internet facility	No	No
Whether laboratories provided with fire extinguisher	No	No

Source: Joint physical verification

Appendix 3.6

(Reference: Paragraph 3.1.11.4/Page 34)

Status of water purification units in test-checked districts and State

	Total units sanctioned	Entrustment through tendering	Direct entrustment to KRIDL	Direct entrustment to Co-operatives	Total installed	Total commissioned
State	9,519	4,340	4,207	972	6,907 (73)	5,941 (62)
Dakshina Kannada	147	70	77	0	24 (16)	16 (11)
Haveri	298	43	185	70	238 (80)	197 (66)
Kalaburagi	258	221	4	33	161(62)	127 (49)
Kolar	505	444	17	44	334 (66)	326 (65)
Mysuru	218	30	138	50	81 (37)	76 (35)
Raichur	405	366	9	30	275 (68)	235 (58)
Shivamogga	164	108	11	45	85 (52)	71 (43)
Vijayapura	442	74	348	20	420 (95)	339 (77)
Total	2,437	1,356	789	292	1,618 (66)	1,387 (57)

Figures in parentheses denote percentage of the total units sanctioned

Source: Progress report (as on 22.11.2016) furnished by the department

Appendix 3.7

(Reference: Paragraph 3.1.11.7/Page 35)

Details of conditions and their compliance

Required conditions	Compliance status
A committee had to be constituted at the district level to inspect the specifications and proper installation of WP units	Not constituted in any of the districts
All the equipment of WP units were to be subjected to inspection by department/Third Party	Not inspected
Collect water samples from the WP units and obtain test reports, once in every two months, from State level laboratory	Not complied with
The selected firm had to analyse the raw water samples from their own testing laboratory before designing the treatment system	Not complied with
Quality test results of the raw water had to be submitted to department/division for approval	Not complied with
Only the manufacturer of the plants or consortium of Manufacturer and Authorised Agent were eligible for participating in the tender	Tender awarded disregarding the condition
Unit had to be installed in only quality affected habitations and particularly where no other alternate source was available	Units installed invariably without regard to contamination

Source: Records/replies furnished by the divisions

Appendix 3.8

(Reference: Paragraph 3.1.12.1/Page 35)

Component-wise allocation, release and expenditure under NRDWP during the period 2012-13 to 2015-16

(₹ in crore)

Component	GoI/ GoK	2012-13			2013-14			2014-15			2015-16		
		A	R	E	A	R	E	A	R	E	A	R	E
Coverage and Quality	GoI	449.86	424.01	486.92	445.76	485.95	522.12	279.05	279.05	334.85	160.35	144.04	119.83
	GoK	745.45	1,007.68	921.91	916.08	896.04	892.23	1,069.02	981.34	1,029.37	1,731.27	1,664.77	1,385.34
Excess release by GoK		-	583.67	-	-	410.09	-	-	702.29	-	-	1,520.73	-
O&M	GoI	89.97	84.80	54.53	89.15	97.19	124.47	55.81	55.81	49.60	32.07	28.81	34.27
	GoK	12.19	18.13	18.25	64.83	11.91	11.91	14.43	14.43	5.16	21.20	21.20	12.63
Sub Total (1)		1,297.47	1,534.62	1,481.61	1,515.82	1,491.09	1,550.73	1,418.31	1,330.63	1,418.98	1,944.89	1,858.82	1,552.07
Sustainability	GoI	59.98	56.53	76.56	59.43	64.79	50.92	37.21	37.21	24.14	21.38	19.21	47.43
Support	GoI	34.08	-	3.87	31.32	15.66	5.58	29.17	13.19	15.88	13.47	12.46	30.77
WQMSP	GoI	20.45	2.72	1.22	18.79	9.40	9.40	17.50	16.31	21.64	8.08	7.47	4.94
Sub Total (2)		114.51	59.25	81.65	109.54	89.85	65.90	83.88	66.71	61.66	42.93	39.14	83.14
Total	GoI	654.34	568.06	623.10	644.45	672.99	712.49	418.74	401.57	446.11	235.35	211.99	237.24
	GoK	757.64	1,025.81	940.16	980.91	907.95	904.14	1,083.45	995.77	1,034.53	1,752.47	1,685.97	1,397.97
Grand Total		1,411.98	1,593.87	1,563.26	1,625.36	1,580.94	1,616.63	1,502.19	1,397.34	1,480.64	1,987.82	1,897.96	1,635.21

Note: Coverage and Quality are merged and reflected in the IMIS.

A - Allocation; R – Releases; E - Expenditure

Source: IMIS report (D-13)

Appendix 3.9

(Reference: Paragraph 3.1.12.3/Page 38)

Transactions in KRWSSA 2010-2011 account since the beginning till end of March 2016

(₹ in crore)

Years	Input (Credit) Between 20th March to 31st March							Output (Debit)						
	MPA	NPA	SA	O&M A/c	DDP Area A/c	Interest	Total	MPA	NPA	SA	O&M A/c	DDP Area A/c	Tuma-kuru SA	Total
2010-11	73	0	0	0	0	0.01	73.01	0.00	0	0	0	0	0.00	0.00
2011-12	420	403	88	36	264	1.77	1,212.77	74.44	0	0	0	0	0.00	74.44
2012-13	0	953	88	86	324	20.10	1,471.10	0.00	1,103	198	96	334	0.00	1,731.00
2013-14	0	0	0	0	0	27.25	27.25	0.00	270	0	0	25	0.00	295.00
2014-15	0	0	0	0	0	20.90	20.90	159.00	0	10	0	0	49.45	218.45
2015-16	0	0	0	0	0	19.68	19.68	67.34	0	0	0	0	0.00	67.34
Total	493	1,356	176	122	588	89.71	2,824.71	300.78	1,373	208	96	359	49.45	2,386.23

MPA: Main Programme Fund account NPA: Normal Programme account SA: Sustainability account

Source: Bank pass sheets

Appendix 3.10

(Reference: Paragraph 3.1.12.3/Page 38)

Details of transactions in Andhra Bank account since the beginning till end of March 2016

(₹ in crore)

Year	Credits					Debits		
	Treasury	ZPs*	Central Assistance^	Interest	Total	ZPs	Dena Bank	Total
2010-11	90.42	0.00	0.00	0.00	90.42	0.00	0.00	0.00
2011-12	0.00	24.33	3.58	1.87	29.78	0.56	0.00	0.56
2012-13	0.00	4.81	0.00	7.45	12.26	0.03	5.00	5.03
2013-14	0.00	0.10	0.00	4.70	4.80	0.10	0.00	0.10
2014-15	0.00	0.00	0.00	5.31	5.31	0.04	0.00	0.04
2015-16	0.00	0.01	0.00	5.54	5.55	0.00	0.00	0.00
Total	90.42	29.25	3.58	24.87	148.12	0.73	5.00	5.73

* the unutilised balances lying with ZPs, under various components of NRDWP and also other WSS like Swajaldhara, etc., transferred to this account as per the instructions of the Government (May 2011)

^ the central assistance received under DDP

Source: Bank account pass sheets

Appendix 3.11

(Reference: Paragraph 3.1.12.6/Page 40)

Statement showing the variations between figures as per UCs, CA Reports and figures uploaded in IMIS

(₹ in crore)

Year	2012-13			2013-14			2014-15			2015-16		
Opening Balance	As per UC	As per CA report	As per IMIS	As per UC	As per CA report	As per IMIS	As per UC	As per CA report	As per IMIS	As per UC	As per CA report	As per IMIS
Central	216.04	-	213.14	299.26	299.26	256.64	237.76	-	237.76	87.64	179.31	179.31
State	268.29	-	268.29	85.77	85.77	-	3.81	-	-	**	57.11	-
Total	484.33	524.27	481.43	385.03	385.03	256.64	241.57	241.57	237.76	87.64	236.42	179.31
Releases												
Central	869.24	866.52	869.24	897.29	897.29	897.29	563.91	563.91	563.91	278.08	278.08	278.08
State	757.64	760.36	1,025.81	822.18	822.18	907.95	1,079.42	1,079.42	995.77	**	1,687.40	1,685.97
Interest+others	39.93	48.83		12.64	12.64		-	8.40		8.35	8.39	-
Total	1,666.81	1,675.71	1,895.05	1,732.11	1,732.11	1,805.24	1,643.33	1,651.73	1,559.68	286.43	1,973.87	1,964.05
Expenditure												
Central	874.78	-	874.78	929.04	-	929.04	622.37		622.37	291.97	374.53	366.68
State	940.16	-	940.16	904.14	-	904.14	1,034.52		1,034.52	**	1,484.60	1,397.97
Total	1,814.94	1,814.95	1,814.94	1,833.18	1,833.18	1,833.18	1,656.89	1,656.89	1,656.89	291.97	1,859.13	1,764.65
Closing Balance												
Central	250.43	-		280.15	-		179.30			82.10		
State	85.77	-	Not exhibited	3.81	-	Not exhibited	48.71		Not exhibited	**		Not exhibited
Total	336.20	385.03		283.96	283.96		228.01	236.41			351.16*	

** UC in respect of State share for the year 2015-16 not submitted (December 2016)

* includes grant in transit of ₹68.53 crore

Source: Records furnished by the department

Appendix 3.12

(Reference: Paragraph 3.1.12.7/Page 41)

Statement of ineligible expenditure incurred out of Support Activity Fund during the period 2012-13 to 2015-16

At the State level

(₹ in lakh)

To whom paid	Period	Amount
Sri Dakshayani Security Services (R)	April 2012 to March 2016	126.31
Megha Services Bureau	April 2015 to March 2016	5.07
Mookambika Travels	April 2015 to March 2016	4.20
Seshadripuram Service Station	April 2015 to March 2016	13.73
Toyota Ravindu Motors Private Limited	April 2015 to March 2016	2.36
Mandovi Motors Private Limited	April 2015 to March 2016	2.22
Chrome Motors	April 2015 to March 2016	0.43
Rent paid to KHB	September 2015 to January 2016	38.88
Total		193.20

In the test-checked districts

(₹ in lakh)

District	Period	Amount	Payment made towards
Dakshina Kannada	2014-16	7.01	Hiring of vehicle
Kalaburagi	2012-16	71.87	Hiring of vehicle, purchase of reagents and consumables for FTKs, outsourcing of water sample testing
Kolar	2014-16	11.42	Hiring of vehicle, rent for building
Mysuru	2014-16	12.85	Hiring of vehicle, rent for building
Shivamogga	2012-16	6.10	Hiring of vehicle, rent for building
Total		109.25	

Source: Records furnished by the department and divisions

Appendix 3.13

(Reference: Paragraph 3.3/Page 49)

Statement showing loss to the Government due to non-availing of the benefit of CED exemption on the pipes supplied for six water supply schemes in Mandya and Dakshina Kannada districts

(Amount in ₹)

Sl. No.	Name of the Water Supply Scheme (WSS)	Month of issue of exemption certificate	Class and diameter of pipes	Quantity (in rmt)	Rate [¥] inclusive of CED	Rate of CED including cess (in per cent)	Amount of CED recoverable
1.	WSS to KR Sagara and 5 other villages in Srirangapatana Taluk, Mandya	August 2009	K-9 Class, 300 mm	30	3,493	8.24	7,977
			K-9 Class, 200 mm	2,815	2,054		4,40,168
			K-7 Class, 200 mm	36	1,787		4,897
			K-7 Class, 150 mm	12	1,415		1,293
			K-7 Class, 100 mm	8	965		588
2.	WSS to K. Belluru and 33 other villages in Maddur Taluk, Mandya	August 2009	K-9 Class, 250 mm	1,700	2,759	8.24	3,57,059
3.	WSS to Hosahalli and 4 other villages in Srirangapatana Taluk, Mandya	March 2009	K-9 Class, 100 mm	7,014	1,084	8.24	5,78,808
4.	WSS to Bookanakere and 21 other villages, K.R. Pet Taluk, Mandya	November 2011	K-9 Class, 200 mm	3,425	2,054	10.30	6,56,935
			K-7 Class, 250 mm	800	2,357		1,76,081
5.	WSS to B.G. Pura and 56 other villages in Malavalli Taluk, Mandya	February 2009	K-7 Class, 200 mm	7,538	1,787	10.30	12,57,889
			K-7 Class, 250 mm	4,187	2,357		9,21,561
			K-7 Class, 300 mm	113	2,988		31,530
			K-7 Class, 400 mm	9,007	4,456		37,47,892
			K-9 Class, 400 mm	1,466	5,189		7,10,361
			K-9 Class, 400 mm	750	5,189		3,63,418
6.	WSS to Kinnigoli and 17 other villages, Dakshina Kannada	August 2010	K-7 Class, 200 mm	6,807	1,787	10.30	11,35,905
			K-7 Class, 250 mm	6,170	2,357		13,58,021
			K-9 Class, 250 mm	100	2,759		25,764
			K-9 Class, 300 mm	4,830	3,493		15,75,460
Total				56,893			1,34,47,420

Source: CED exemption certificates issued by the jurisdictional DCs and SR (2008-09) of Karnataka Urban Water Supply and Drainage Board, Bengaluru

[¥] In the absence of purchase invoices, the rates of pipes have been adopted as per the SR (2008-09) of Karnataka Urban Water Supply and Drainage Board, Bengaluru, which are inclusive of CED.

Appendix 3.14

(Reference: Paragraph 3.3/Page 49)

Statement showing loss to the Government due to non-availing of the benefit of CED exemption on the pipes supplied (excluding pipes less than 100 mm) for two water supply schemes in Chamarajanagar district

(₹ in lakh)

Sl. No.	Name of the Water Supply Scheme (WSS)	Month of issue of exemption certificate	Class of pipes	Approximate quantity required (in rmt)	Pipes supplied till December 2016			
					Quantity (in rmt)	Total CED payable	Amount of CED recovered from running account bills	CED recoverable
1.	WSS to 131 villages in Gundlupet Taluk, Chamarajanagar	September and October 2014	DI pipes	67,916	64,196.95	61.53	127.55	370.08
			HDPE pipes	89,360	87,126.56	93.03		
			MS pipes	98,526	96,702.23	343.07		
2.	WSS to 166 villages in Chamarajanagar Taluk, Chamarajanagar	September and October 2014	DI pipes	27,851	26,934.00	24.52	92.02	386.79
			HDPE pipes	1,86,470	1,51,610.00	139.79		
			MS pipes	1,20,357	1,05,259.00	314.50		
Total				5,90,480	5,31,828.74	976.44	219.57	756.87

DI: Ductile Iron; HDPE: High Density Polyethylene; MS: Mild Steel

Source: Information furnished (February 2017) by the EE, RDW&SD, Chamarajanagar

Appendix 3.15

(Reference: Paragraph 3.4/Page 50)

Statement showing short recovery of liquidated damages for rejuvenation works of tanks executed during 2011-12 to 2014-15 in PRED, Hassan

(Amount in ₹)

Sl. No.	Name of the Tank	Work order date	Agreement cost	Delay in completion (in days)	LD recoverable	LD recovered	Short recovery of LD
1	Hanuganallu Kenkere and Ugane Thuruvekere	15.12.2011	32,46,466	532	3,24,600	6,384	3,18,216
2	Gullenahally Urumundinakere, Seege GP	29.02.2012	16,41,816	249	1,64,200	1,056	1,63,144
3	Haralahalli Kajjinakatte	15.03.2012	17,06,874	58	99,000	855	98,145
4	Guddenahally Urumundinakere, Manachanahally GP	15.03.2012	16,72,797	59	98,700	855	97,845
5	Kalludevarahalli Vadakekatte, Seege GP	15.03.2012	15,98,636	345	1,59,900	4,164	1,55,736
6	Handinakere/Devanakatte, Manchanhally GP	15.03.2012	17,37,375	58	1,00,800	855	99,945
7	Kallahally Doddakere and Chathuranahally Urumundinakere	15.03.2012	33,75,979	345	3,37,600	4,164	3,33,436
8	Bittegowdanahally Avalikere, Thattekere GP	22.03.2012	17,24,075	53	91,400	624	90,776
9	Kandali Doddakere, Kandali GP	02.04.2012	17,03,685	458	1,70,400	5,484	1,64,916
10	Balenahally Urumundinakere, Salagame GP	16.04.2012	14,84,181	28	41,600	336	41,264
11	Kadadaravally Kudurekatte Tank, Seege GP	18.04.2012	16,48,629	451	1,64,900	5,472	1,59,428
12	Haralahally Urumundinakere, Aralhally GP	18.04.2012	17,00,054	451	1,70,000	5,424	1,64,576
13	Lakshmisagar Urumundinakere and Gavenahally Tank	01.05.2012	33,66,925	273	3,36,700	4,020	3,32,680
14	Bidarekere Doddakere, Shankaranahally GP	02.05.2012	17,16,522	344	1,71,700	4,152	1,67,548
15	Kadaga Halasinahally Katte , Salagame GP	24.12.2012	15,88,518	654	1,58,900	7,884	1,51,016
16	Devarayapattana Urumundinakere, Kandali GP	11.10.2013	17,98,877	351	1,79,900	4,752	1,75,148
Total					27,70,300	56,481	27,13,819

Source: Records of PRED, Hassan

Appendix 4.1

(Reference: Paragraph 4.3.6.1/Page 57)

Statement showing details of Cess collected and remitted to Government account in selected ULBs for the period 2011-12 to 2015-16

(₹ in crore)

Sl. No.	Name of ULBs	Opening balance				Collection				Remittance				Balance as on March 2016			
		Health	Library	Beggary	Total	Health	Library	Beggary	Total	Health	Library	Beggary	Total	Health	Library	Beggary	Total
1.	CC, Davanagere	8.01	-0.03	-0.01	7.97	1.57	0.63	0.31	2.51	0.04	0.70	0.30	1.04	9.54	-0.10	0	9.44
2.	HDMC	34.87	7.34	0.22	42.43	4.30	1.73	0.86	6.89	0	1.35	0.78	2.13	39.17	7.72	0.30	47.19
3.	CC, Shivamogga	11.10	1.01	0.49	12.60	1.37	0.55	0.27	2.19	0	0.45	0.25	0.70	12.47	1.11	0.51	14.09
4.	CMC, Chintamani	2.13	0.43	0.18	2.74	0.33	0.13	0.06	0.52	0	0.05	0	0.05	2.46	0.51	0.24	3.21
5.	CMC, Kanakapura	0.67	0.14	0.03	0.84	0.14	0.05	0.03	0.22	0	0.03	0.01	0.04	0.81	0.16	0.05	1.02
6.	CMC, Kolar	1.86	0.26	0.18	2.30	0.25	0.10	0.05	0.40	0	0	0	0	2.11	0.36	0.23	2.70
7.	CMC, Nanjangud	0.02	0.01	0	0.03	0.20	0.08	0.03	0.31	0.16	0.06	0.03	0.25	0.06	0.03	0	0.09
8.	CMC, Sindhanur	0.92	0.23	0.16	1.31	0.19	0.08	0.04	0.31	0.01	0.02	0.01	0.04	1.10	0.29	0.19	1.58
9.	CMC, Siruguppa	0.65	0.23	0.10	0.98	0.19	0.08	0.04	0.31	0	0	0.03	0.03	0.84	0.31	0.11	1.26
10.	TMC, Athani	0.14	0.02	0.01	0.17	0.10	0.04	0.02	0.16	0.12	0.05	0.01	0.18	0.12	0.01	0.02	0.15
11.	TMC, Bailahongal	0.40	0.15	0.08	0.63	0.09	0.04	0.02	0.15	0	0	0	0	0.49	0.19	0.10	0.78
12.	TMC, Mahalingapura	0.53	0.16	0.08	0.77	0.09	0.04	0.02	0.15	0	0.02	0.01	0.03	0.62	0.18	0.09	0.89
13.	TMC, Malur	0.83	0.20	0.14	1.17	0	0	0	0	0	0	0	0	0.83	0.20	0.14	1.17
14.	TMC, Savadatti	0.13	0.03	0.03	0.19	0.10	0.04	0.02	0.16	0.07	0.03	0.01	0.11	0.16	0.04	0.04	0.24
15.	TMC, Vijayapura	0	0	0	0	0.06	0.03	0.01	0.10	0	0	0	0	0.06	0.03	0.01	0.10
16.	TP, Hosadurga	0.37	0.16	0.01	0.54	0.10	0.04	0.02	0.16	0.02	0.04	0.02	0.08	0.45	0.16	0.01	0.62
17.	TP, Sullia	0.02	0.01	0	0.03	0.13	0.05	0.03	0.21	0.09	0.04	0.02	0.15	0.06	0.02	0.01	0.09
18.	TP, Yellapura	0.17	0.02	0.01	0.20	0.04	0.02	0.01	0.07	0.03	0.01	0	0.04	0.18	0.03	0.02	0.23
Total		62.82	10.37	1.71	74.90	9.25	3.73	1.84	14.82	0.54	2.85	1.48	4.87	71.53	11.25	2.07	84.85

Source: Records of ULBs

Details in respect of TP, Mudigere and TP, Yelandur were not available.

Appendix 6.1

(Reference: Paragraph 6.1.6/Page 67)

List of test-checked Urban Local Bodies (ULBs)

Sl. No.	Name of the ULB
City Corporations	
1	Hubballi-Dharwad
2	Kalaburagi
3	Mysuru
City Municipal Councils	
4	Bhadravathi
5	Bidar
6	Chikkamagaluru
7	Hassan
8	Ramanagara
9	Ullal
Town Municipal Councils	
10	Anekal
11	Belur
12	Bhalki
13	Devanahalli
14	Indi
15	Kumta
16	Kushtagi
17	Mahalingapura
18	Pavagada
19	Wadi
Town Panchayats	
20	Gubbi
21	Honnagara
22	Khanapura
23	Kushalnagara
24	Sullia

Appendix 6.2

(Reference: Paragraph 6.1.7/Pages 67, 68 and Paragraph 6.1.10/Page 71)

Statement showing the details of assessed and unassessed properties in test-checked ULBs in comparison with MRC database

(Amount in ₹)

Sl. No.	ULB	No. of properties as per ULBs for the year 2015-16			No. of properties as per MRC database			Difference	Year of GIS
		Assessed	Un-assessed	Total	Assessed	Un-assessed	Total		
City Corporations									
1	Hubballi-Dharwad	2,42,623	1,534	2,44,157	3,00,128	1,19,310	4,19,438	(-)1,75,281	2005-06 and 2009-10
2	Kalaburagi	1,21,476	16,837	1,38,313	28,965	92,083	1,21,048	17,265	2005-06
3	Mysuru	1,68,643	77,294	2,45,937	1,33,609	39,124	1,72,733	73,204	2004-05
City Municipal Councils									
4	Bhadravathi	31,070	5,002	36,072	23,228	23,140	46,368	(-)10,296	2004-05
5	Bidar	56,379	33,915	90,294	17,243	33,915	51,158	39,136	2005-06
6	Chikkamagaluru	30,536	300	30,836	18,526	16,744	35,270	(-)4,434	2005-06
7	Hassan	28,196	10	28,206	12,312	12,623	24,935	3,271	2005-06
8	Ramanagara	27,678	256	27,934	21,524	-	21,524	6,410	2012-13
9	Ullal	12,547	-	12,547	20,704	9,550	30,254	(-)17,707	2004-05
Town Municipal Councils									
10	Anekal	8,980	-	8,980	9,781	3,316	13,097	(-)4,117	2012-13
11	Belur	7,054	55	7,109	5,426	1,619	7,045	64	2009-10
12	Bhalki	9,840	6,313	16,153	6,108	5,921	12,029	4,124	2005-06
13	Devanahalli	16,722	2,389	19,111	9,277	3,447	12,724	6,387	2009-10
14	Indi	12,938	-	12,938	4,483	6,983	11,466	1,472	2012-13
15	Kumta	10,195	-	10,195	5,915	1,911	7,826	2,369	2009-10
16	Kushtagi	14,993	379	15,372	6,670	4,310	10,980	4,392	2010-11
17	Mahalingapura	7,357	-	7,357	5,117	3,596	8,713	(-)1,356	2009-10
18	Pavagada	8,077	2,511	10,588	5,510	4,997	10,507	81	2012-13
19	Wadi	6,025	-	6,025	5,842	1,721	7,563	(-)1,538	2009-10
Town Panchayats									
20	Gubbi	6,351	-	6,351	5,028	1,861	6,889	(-)538	2008-09
21	Honnagara	5,823	-	5,823	4,107	1,292	5,399	424	2008-09
22	Khanapura	5,792	-	5,792	4,659	565	5,224	568	2009-10
23	Kushalnagara	3,398	416	3,814	1,761	1,966	3,727	87	2007-08
24	Sullia	5,204	-	5,204	4,183	657	4,840	364	2011-12
Total		8,47,897	1,47,211	9,95,108	6,60,106	3,90,651	10,50,757	(-)55,649	

Source: Records of ULBs and MRC database

Appendix 6.3

(Reference: Paragraph 6.1.8/Page 69)

Details of demand in test-checked ULBs during the period 2013-14 to 2015-16

(Amount in ₹)

Sl. No.	ULB	2013-14		2014-15		2015-16	
		No. of properties as per DCB register	Demand	No. of properties as per DCB register	Demand	No. of properties as per DCB register	Demand
City Corporations							
1.	Hubballi-Dharwad	2,30,414	31,40,93,000	2,37,273	31,72,34,000	2,42,623	38,65,40,000
2.	Kalaburagi	1,12,752	11,96,00,000	1,16,875	11,62,00,000	1,21,476	11,92,00,000
3.	Mysuru	1,60,681	69,84,81,277	1,63,302	71,34,24,675	1,68,643	93,47,15,884
City Municipal Councils							
4.	Bhadravathi	30,866	2,16,57,000	30,866	2,18,77,000	31,070	2,53,39,000
5.	Bidar	56,142	2,99,30,000	56,379	5,85,67,000	56,379	5,73,09,000
6.	Chikkamagaluru	28,111	4,48,60,000	29,668	7,70,50,000	30,536	6,01,42,000
7.	Hassan	27,821	6,32,69,123	28,040	9,07,16,789	28,196	12,50,37,087
8.	Ramanagara	22,252	1,70,00,000	25,658	1,85,00,000	27,678	1,97,00,000
9.	Ullal	11,792	97,34,174	12,242	1,05,13,177	12,547	1,43,64,540
Town Municipal Councils							
10.	Anekal	8,980	98,48,000	8,980	1,13,25,000	8,980	1,13,25,000
11.	Belur	6,776	79,36,000	6,847	80,41,000	7,054	82,35,000
12.	Bhalki	9,840	29,62,000	9,840	46,08,000	9,840	55,48,000
13.	Devanahalli	15,746	84,00,000	16,550	84,50,000	16,722	85,65,000
14.	Indi	11,679	36,11,217	12,416	41,27,140	12,938	46,43,712
15.	Kumta	7,746	61,18,000	9,945	70,34,000	10,195	75,24,000
16.	Kushtagi	12,542	35,00,000	13,306	40,46,000	14,993	65,05,000
17.	Mahalingapura	6,737	49,97,907	7,080	58,10,812	7,357	58,10,812
18.	Pavagada	7,169	40,80,000	7,691	65,87,000	8,077	81,25,000
19.	Wadi	6,025	92,62,000	6,025	1,13,84,000	6,025	1,28,48,000
Town Panchayats							
20.	Gubbi	5,908	45,23,000	6,155	55,87,600	6,351	56,37,600
21.	Honnavaara	5,823	52,18,000	5,823	64,72,000	5,823	62,11,000
22.	Khanapura	5,494	37,81,000	5,494	56,81,000	5,792	56,81,000
23.	Kushalnagara	3,209	60,96,000	3,372	77,67,000	3,398	75,65,000
24.	Sullia	4,983	63,01,072	5,101	74,60,077	5,204	93,62,927
Total		7,99,488	1,40,52,58,770	8,24,928	1,52,84,63,270	8,47,897	1,85,59,34,562

Source: Records of ULBs

Appendix 6.4

(Reference: Paragraph 6.1.18/Page 75)

Statement showing the non-remittance of various cesses as of March 2016
by test-checked ULBs

(Amount in ₹)

Sl. No.	ULB	Balance as on 31.03.2016				Total
		Health	Library	Beggary	Urban Transport	
City Corporations						
1	Hubballi-Dharwad	0	4,02,18,261	32,23,866	73,27,455	5,07,69,582
2	Kalaburagi	8,12,89,846	6,24,780	3,02,697	1,04,616	8,23,21,939
3	Mysuru	60,49,18,000	8,75,09,000	5,44,08,000	1,23,39,000	75,91,74,000
City Municipal Councils						
4	Bhadravathi	1,36,72,000	0	0	0	1,36,72,000
5	Bidar	1,20,91,000	0	22,00,000	11,31,000	1,54,22,000
6	Chikkamagaluru	2,64,49,499	30,19,316	13,92,471	8,05,735	3,16,67,021
7	Hassan	4,38,85,121	28,87,385	34,89,435	9,74,702	5,12,36,643
8	Ramanagara	1,30,33,000	38,41,000	13,59,000	4,49,000	1,86,82,000
9	Ullal	69,12,878	9,14,798	5,40,913	3,03,519	86,72,108
Town Municipal Councils						
10	Anekal	97,53,754	23,28,206	20,22,137	2,54,256	1,43,58,353
11	Belur	65,97,345	21,49,228	3,46,834	1,01,488	91,94,895
12	Bhalki	25,26,000	39,000	3,27,800	70,700	29,63,500
13	Devanahalli	66,82,024	3,70,298	7,452	1,29,405	71,89,179
14	Indi	32,31,300	3,51,900	3,32,100	81,900	39,97,200
15	Kumta	8,05,413	2,42,165	54,007	74,455	11,76,040
16	Kushtagi	17,82,186	7,56,718	3,74,086	39,543	29,52,533
17	Mahalangapura	59,80,969	18,30,626	9,07,526	2,09,486	89,28,607
18	Wadi	47,74,135	15,44,627	7,31,828	0	70,50,590
Town Panchayats						
19	Gubbi	20,65,046	12,549	0	91,015	21,68,610
20	Honnagara	8,95,233	38,093	19,047	12,646	9,65,019
21	Khanapura	12,21,000	-28,000	-14,000	-7,000	11,72,000
22	Kushalnagara	10,88,000	4,16,000	2,20,000	1,62,000	18,86,000
23	Sullia	4,94,412	1,83,077	91,415	59,003	8,27,907
Total		85,01,48,161	14,92,49,027	7,23,36,614	2,47,13,924	1,09,64,47,726

Source: Information furnished by ULBs

Appendix 6.5

(Reference: Paragraph 6.1.22/Page 78)

Statement showing the details of persistent defaulters in test-checked ULBs

(₹ in lakh)

Sl. No.	Name of the ULBs	No. of properties	Amount due	Period	
				From	To
City Corporations					
1	Hubballi-Dharwad	32,832	3,013.59	2002-03	2015-16
2	Kalaburagi	451	160.58	2002-03	2015-16
3	Mysuru	23,626	1,738.74	2002-03	2015-16
City Municipal Councils					
4	Bidar	236	174.91	1969-70	2015-16
5	Chikkamagaluru	142	45.92	Period not indicated	
6	Hassan	103	1,084.94	2010-11	2015-16
7	Ramanagara	277	38.75	2012-13	2015-16
8	Ullal	53	4.08	2002-03	2015-16
Town Municipal Councils					
9	Anekal	23	1.83	2013-14	2015-16
10	Belur	71	16.67	2002-03	2015-16
11	Bhalki	22	15.57	1990-91	2015-16
12	Kumta	54	2.99	2005-06	2015-16
13	Mahalingapura	15	3.05	2013-14	2015-16
14	Wadi	7	8.24	2001-02	2015-16
Total		57,912	6,309.86		

Source: Information furnished by ULBs

Appendix 6.6

(Reference: Paragraph 6.1.24/Page 79)

Statement showing details of unlawful buildings

(Amount in ₹)

Sl. No.	Details of the property	Name of the ULB	Year	Penalty due	Tax collected*	Difference	Remarks
1	Venkatesh Hospital	TMC, Mahalingapura	2015-16	11,87,784	5,93,892	5,93,892	Buildings not as per approved plan.
2	S. S. Bar (Vittal K. Hirekodi)	TMC, Mahalingapura	2014-15	37,806	18,903	18,903	
3	Abhi Hospital	CMC, Ramanagara	2014-15	64,194	61,461	2,733	
4	B M Habitat Mall	CC, Mysuru	2015-16	12,70,322	10,60,329	2,09,993	Building not as per completion certificate.
Buildings in Agricultural land							
1	Angel High School, Bijapur Road	TMC, Indi					Buildings exist on non-converted lands, the conversion of which is yet to be done (August 2016). The details of buildings are not available with the TMCs. Hence, property tax could not be assessed.
2	ITI & School, Sindagi Road	TMC, Indi					
3	Hotel Amar International, Railway Station Road	TMC, Indi					
4	Kanni Complex, Railway Station Road	TMC, Indi					
5	Reliance Petrol Pump, Railway Station Road	TMC, Indi					
6	HP Petrol Pump, Railway Station Road	TMC, Indi					
7	KLE Polytechnic	TMC, Mahalingapura					

* The ULBs have treated the collection as tax considering the buildings as lawful.

Source: Records of ULBs and JPVs

Appendix 6.7

(Reference: Paragraph 6.8/Page 93)

Statement showing over-assessment of tax due to non-allowance of rebate on the cess component of property tax during the months of April from 2012-13 to 2015-16 (CC, Mangaluru)

(₹ in lakh)

Year	Tax collected during the month of April (95% of PT+24% of cess on PT)	PT payable (100%) before allowing rebate (column 2*100/119)	Cess amount (24% of column 3)	Total tax (PT+Cess) payable without rebate (column 3+column 4)	Net tax payable with rebate (95% of column 5)	Over-assessment of tax (column 2-column 6)
(1)	(2)	(3)	(4)	(5)	(6)	(7)
2012-13	759.35	638.11	153.15	791.26	751.70	7.65
2013-14	880.25	739.71	177.53	917.24	871.38	8.87
2014-15	867.33	728.85	174.92	903.77	858.58	8.75
2015-16	974.25	818.70	196.49	1,015.19	964.43	9.82
Total	3,481.18	2,925.37	702.09	3,627.46	3,446.09	35.09

Source: Information furnished by the CC, Mangaluru

PT: Property Tax