



APPENDICES

Appendix I
Surrender of funds during 2013-14
(Reference: Paragraph 2.1.1.1, Page 8)

Major Head	Name of Major Head	Budget provision (₹ in crore)	Amount surrendered (₹ in crore)	Percentage of surrender	Net Budget (₹ in crore)
		a	b	b/aX100	
2202	General Education	235.42	0.73	0.31	234.69
2210	Medical and Public Health	7.69	0.40	5.20	7.29
2217	Urban Development	284.30	261.42	91.95	22.88
2225	Welfare of SC/ST	114.33	13.16	11.51	101.17
2230	Labour and Employment	49.06	33.33	67.93	15.73
2235	Social Security and Welfare	1456.84	63.03	4.33	1393.81
2401	Crop Husbandry	11.30	0.00	0.00	11.30
2402	Soil and Water Conservation	0.04	0.00	0.00	0.04
2501	Special Programmes for Rural Development	122.41	15.60	12.74	106.81
2851	Village and Small Industries	0.05	0.00	0.00	0.05
		2281.44	387.67	16.99	1893.77

Appendix II
List of LSGIs which delayed sending AFS to DLFA
(Reference: Paragraph 2.5.1, Page 18)

Sl. No.	Name of LSGI	Year of audit	Due date	Date of sending	Delay in months
1	Kollam Corporation	2010-11	31.05.11	27.10.11	5
2	Shoranur Municipality	2010-11	31.05.11	29.10.11	5
3	Palakkad Municipality	2009-10	31.05.10	14.01.11	7
4	Kayamkulam Municipality	2009-10	31.07.10	03.12.11	16
5	Thiruvalla Municipality	2010-11	31.07.11	08.12.11	4
6	Thrissur District Panchayat	2008-09	31.07.09	16.11.09	4
7	Perumkadavila Block Panchayat	2010-11	31.07.11	30.08.11	1

Appendix III
List of LSGIs in which various deficiencies were observed in maintenance of cash book
(Reference: Paragraph 2.5.4, Page 18)

Name of LSGI	Daily Closing of Cash Book Not Certified	All transactions not recorded in the cash book	Erasure & overwriting in cashbook	Erasure & over writing in cash book not certified	Absence of daily closing of cash book	Absence of monthly closing of cash book	Monthly closing of cash book not certified	Monthly abstract not prepared	Non reconciliation of cash book balance with pass book	Physical verification of cash not done	Classification not recorded in Cash Book	Non maintenance of cash book by Implementing officers
Kulathoopuzha GP						1				1		
Kollam Corporation	1								1	1		
Panancheri GP		1			1		1			1	1	
Pangode GP						1	1		1	1		
Vakkom GP			1	1					1	1	1	
Clappana GP		1										
Kundara GP			1	1	1							
Ottasekharamangalam GP	1		1									
Athiyannoor BP			1	1				1		1	1	
Mararikulam South GP	1		1	1			1			1	1	
Nelliyampathy GP			1	1		1				1		
Neyyattinkara Municipality	1				1		1			1	1	
Perumkadavila BP	1		1	1	1	1	1	1		1	1	
Kollam DP									1			
Kanjiramkulam GP	1									1		
Kuttampuzha GP			1	1							1	
Adimali GP										1	1	
Thrissur DP			1	1			1			1	1	1
Chavara G P	1					1	1				1	
Kottathara G P			1	1					1	1		

Appendix III (Contd.....)

Name of LSGI	Daily Closing of Cash Book Not Certified	All transactions not recorded in the cash book	Erasure & overwriting in cashbook	Erasure & over writing in cash book not certified	Absence of daily closing of cash book	Absence of monthly closing of cash book	Monthly closing of cash book not certified	Monthly abstract not prepared	Non reconciliation of cash book balance with pass book	Physical verification of cash not done	Classification not recorded in Cash Book	Non maintenance of cash book by Implementing officers
Devikulam B P	1		1						1		1	
Kunnathukal GP			1		1					1	1	
Kozhencherry GP	1								1	1	1	
Mallappalli GP	1		1	1					1	1	1	
Kallara GP	1					1	1			1	1	
Chirayinkeezhu BP			1	1		1			1	1		
Elankunnapuzha GP	1			1						1		
Chelambra GP	1		1	1								
Thalakkad GP	1		1	1					1		1	
Kavannur GP							1		1	1	1	
Shoranur Municipality	1						1					
Vallikkunnu GP	1		1	1		1	1		1	1	1	
Malappuram BP			1	1		1	1		1	1	1	
Kayamkulam Municipality	1	1							1	1		
Mulakkulam GP	1		1	1			1			1		
Thirurangadi GP									1			1
Perinthalmanna Municipality	1			1			1		1	1	1	
Njeezhoor GP	1			1			1			1		
Varkala BP			1							1		
Vakathanam GP	1						1			1	1	
Chokade BP												1
Kunnamkulam Municipality	1		1	1			1					

Appendix III (Concl.)

Name of LSGI	Daily Closing of Cash Book Not Certified	All transactions not recorded in the cash book	Erasure & overwriting in cashbook	Erasure & over writing in cash book not certified	Absence of daily closing of cash book	Absence of monthly closing of cash book	Monthly closing of cash book not certified	Monthly abstract not prepared	Non reconciliation of cash book balance with pass book	Physical verification of cash not done	Classification not recorded in Cash Book	Non maintenance of cash book by Implementing officers
Ulliyeri GP			1	1					1			
Pudukkode GP												1
Ottappalam Municipality	1					1	1			1		
Irinjalakkuda Municipality						1			1	1		
Cherpu BP	1		1	1		1				1		
Vengoor GP			1						1			
Chakkittappara GP	1				1	1				1	1	
Pooyappally GP	1								1		1	
Changroth GP										1	1	
Pothanikkad GP	1		1	1								
Karthikappally GP	1		1	1						1		
Thachampara GP				1					1	1		
Kuttoor GP	1									1		

Appendix IV
Selected Units
(Reference: Paragraph 3.1.5, Page 23)

Panchayats (40)	Kannur District	Mayyil, Muzhappilangad, Mangattidom, Pariyaram, Chirakkal, Kolacheri, Ancharakkandi, Peringalam
	Kozhikode District	Feroke, Mukkam, Narikkuni, Ulliyeri, Thiruvambadi, Thikkodi, Kuttiadi, Kunnummal
	Ernakulam District	Amballur, Keezhmadu, Alangad, Kumbalam, Nedumbassery, Kuzhuppilly, Koovappady, Paipra
	Thrissur District	Kattur, Puthur, Athirappally, Kuzhur, Adat, Chelakkara, Vallathol Nagar, Erumappetty
	Thiruvananthapuram District	Vamanapuram, Mangalapuram, Thirupuram, Pothencode, Kallara, Parassala, Tholicode, Chirayinkeezhu
Municipalities (20)		Varkala, Neyyattinkara, Nileshwaram, Punalur, Vaikom, Pala, Kottakkal, Manjeri, Kayamkulam, Karunagappally, Thiruvalla, Ottappalam, Chittur Thathamangalam, Mattannur, Koothuparamba, Vadakara, Thrikkakkara, Chalakkudy, Kodungallur, Guruvayur
Municipal Corporation (1)		Thrissur

Appendix V
Categories of assesseees escaped assessment of profession tax
(Reference: Paragraph 3.1.9.1, Pages 31, 32)

1. Contractors				
Sl. No.	Name of LSGI	Total number of persons to be assessed	Amount (₹)	Period
Grama Panchayats				
1	Mayyil	110	275000	2009-14
2	Ulliyeri	39	97500	2009-14
3	Peringalam	53	132500	2009-14
4	Ancharakkandi	75	187500	2009-14
5	Muzhappilangad	53	132500	2009-14
6	Mangalapuram	17	42500	2009-14
7	Tholicode	37	92500	2009-14
8	Mangattidom	54	135000	2009-14
9	Pariyaram	32	80000	2009-14
10	Chirakkal	92	230000	2009-14
11	Narikkuni	40	100000	2009-14
12	Kallara	32	80000	2009-14
13	Kuzhur	38	95000	2009-14
14	Kolacheri	60	150000	2009-14
Total		732	1830000	
Municipalities				
1	Chittur Thathamangalam	82	205000	2009-14
2	Pala	49	122500	2009-14
3	Thiruvalla	192	480000	2009-14
4	Punalur	115	287500	2009-14
5	Kayamkulam	69	172500	2009-14
6	Vaikom	104	260000	2009-14
7	Neyyattinkara	21	52500	2009-14
8	Koothuparamba	37	92500	2009-14
9	Kodungallur	77	192500	2010-14
10	Manjeri	108	270000	2009-14
11	Kottakkal	4	10000	2009-14
12	Thrissur Corporation	314	785000	2009-14
13	Thrikkakkara	67	167500	2009-14
Total		1239	3097500	

Appendix V (Concl.)

2. Advocates				
Sl. No.	Name of LSGI	Total number of persons to be assessed	Amount (₹)	Period
1	Thrissur	221	552500	2013-14
2	Thiruvalla	42	105000	2013-14
3	Karunagappally	112	280000	2013-14
4	Punalur	56	140000	2013-14
5	Vadakara	132	330000	2013-14
6	Chalakkudy	50	125000	2013-14
7	Manjeri	12	30000	2013-14
8	Kodungallur	117	292500	2013-14
9	Neyyattinkara	59	147500	2013-14
Total		801	2002500	

3. Traders				
Sl. No.	Name of LSGI	Total number of persons to be assessed	Amount (₹)	Period
1	Koothuparamba	180	311220	2013-14
2	Manjeri	839	1444758	2013-14
3	Kottakkal	380	618640	2013-14
Total		1399	2374618	

4. Doctors				
Sl. No.	Name of LSGI	Total number of persons to be assessed	Amount (₹)	Period
1	Manjeri	9	22500	2013-14
2	Kottakkal	35	87500	2013-14
3	Mukkam	173	432500	2013-14
Total		217	542500	

Appendix VI
Non-receipt of rent from buildings
(Reference: Paragraph 3.1.12.2 (i), Page 36)

Sl. No.	Name of LSGI	Type of Building	No. of Rooms	Rent due for the period (in months)	Rate per month (₹)	Total amount due (₹)
1	Manjeri	Bus stand cum shopping complex	20	20	112690	2253800
2	Vaikom	Bus stand cum shopping complex	25	31	38366	1189346
3	Ottappalam	Market cum shopping complex	28	29	163900	4753100
			8	33	44800	1478400
4	Koothuparamba	Shopping complex	39	10	105500	1055000
5	Chalakkudy	Fish and Meat stall	2	28	2800	78400
6	Vallathol Nagar	Shopping Complex	18	52	36900	1918800
7	Mukkam	Agricultural Market	2	12	6000	72000
			3	19	4000	76000
Total			145			12874846

Appendix VII
Position of implementation of projects under UIDSSMT in Kerala as on
30 September 2014

(Reference: Paragraph 4.1.4.1, Page 43)

(₹ in crore)

Sl No	Name of towns/ Projects	Date of approval	Approved project cost	Total Receipts*	Total expenditure	Status of projects
1	Alappuzha/WSS	21 March 2007	91.94	97.92	89.01	In progress
2	Alappuzha/SWM	03 January 2008	4.23	2.33	1.75	In progress
3	Attingal/SWM	21 March 2007	3.06	2.35	2.06	In progress
4	Changanacherry/SWM	21 March 2007	3.90	2.20	1.34	In progress
5	Changanacherry/WSS	03 January 2008	3.92	3.92	3.18	In progress
6	Chavakkad/WSS	03 January 2008	19.01	19.01	35.84	In progress
7	Guruvayur/WSS	03 January 2008	31.44	30.66		
8	Chittur-Thathamangalam/WSS	03 January 2008	6.50	6.50	6.50	In progress
9	Kalpetta/WSS	03 January 2008	32.17	29.21	29.92	In progress
10	Koyilandy/SWM	03 January 2008	2.08	0.97	0.31	In progress
11	Malappuram/WSS	03 January 2008	19.76	19.76	17.87	Completed
12	Nedumangad/SWM	03 January 2008	2.29	1.07	0.15	In progress
13	Neyyattinkara/SWM	21 March 2007	3.49	1.66	0.39	In progress
14	North Paravur/SWM	03 January 2008	1.83	1.01	0.80	In progress
15	Ottappalam/WSS	03 January 2008	18.00	17.78	14.10	In progress
16	Pathanamthitta/SWM	21 March 2007	3.80	1.86	0.70	In progress
17	Payyannur/WSS	21 March 2007	40.19	45.29	44.71	In progress
18	Perinthalmanna/WSS	03 January 2008	8.11	15.56	15.69	Completed
19	Perinthalmanna/SWM	21 March 2007	5.22	2.88	2.52	In progress
20	Punalur /SWM	21 March 2007	4.82	2.60	1.58	In progress
21	Thalassery/WSS	03 January 2008	41.20	39.24	24.60	In progress
22	Thiruvalla/WSS	03 January 2008	6.28	6.28	6.28	In progress
23	Vadakara/WSS	03 January 2008	22.92	22.92	21.29	In progress
24	Aluva/SWM	03 January 2008	1.85	0.86	0	Dropped
25	Chalakkudy/Sewerage	21 March 2007	49.78	20.66	0	Dropped
Total			427.79	394.50	320.59	

* ACA+ Incentive + state share +Additional state allotment +ULB share

Appendix VIII
Components of BSUP
(Reference: Paragraph 4.2.1, Page 53)

- i. Integrated development of slums, i.e., housing and development of infrastructure projects in the slums in the identified cities.
- ii. Projects involving development/improvement/maintenance of basic services to the urban poor.
- iii. Slum improvement and rehabilitation projects.
- iv. Projects on water supply/sewerage/drainage, community toilets/baths, etc.
- v. Houses at affordable costs for slum dwellers/ urban poor/EWS/LIG categories.
- vi. Construction and improvements of drains/storm water drains.
- vii. Environmental improvement of slums and solid waste management.
- viii. Street lighting.
- ix. Civic amenities, like community halls, child care centers, etc.
- x. Operation and maintenance of assets created under this component.

Appendix IX
Receipt and utilisation of fund
(Reference: Paragraph 4.2.4.2, Page 56)

(₹ in lakh)

Name of Corporation	Phase	Project cost	Fund received					Expenditure
			GOI	State	ULB	Beneficiary Contribution	Total	
Kochi	I	2661.24	745.37	442.40	140.55	182.03	1510.35	1493.06
	II	10444.89	4237.95	2559.05	695.43	955.30	8447.73	8134.59
	III	459.98	92.69	51.15	36.39	62.15	242.38	254.75
Total		13566.11	5076.01	3052.60	872.37	1199.48	10200.46	9882.40
Thiruvananthapuram	I	529	201.31	25.16	46.21	7.55	280.23	303.48
	II	3729	2237.64	130.66	244.23	343.69	2956.22	2681.49
	III	12587	7551.86	398.56	506.27	627.22	9083.91	7848.32
	IV	3955	1558.84	131.80	321.69	89.36	2101.69	1616.81
Total		20800	11549.65	686.18	1118.40	1067.82	14422.05	12450.10
Grand total		34366.11	16625.66	3738.78	1990.77	2267.30	24622.51	22332.50