

Annexure-1

(Referred to in paragraph 1.7)

Statement showing particulars of up to date paid up capital, loans outstanding and Manpower as on 31 March 2013 in respect of Government companies and Statutory corporations

(Figures in Col. 5(a) to 6(d) are ₹ in crore)

| Sl. No. | Sector and name of the Company | Name of the Department | Month and year of incorporation | Paid up capital [§] | | | | Loans [□] outstanding at the close of 2012-13 | | | | Debt Equity ratio for 2012-13 (previous year) | Manpower (No of employees as on 31 March 2013) |
|----------|--|-------------------------------------|---------------------------------|------------------------------|--------------------|---------------|---------------|--|--------------------|---------------|---------------|---|--|
| | | | | State Government | Central Government | Others | Total | State Government | Central Government | Others | Total | | |
| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
| A | Working Government companies | | | | | | | | | | | | |
| | AGRICULTURE AND ALLIED | | | | | | | | | | | | |
| 1 | Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited | Sugar Industry and Cane Development | 27.08.1975 | 0.15 | - | 0.10 | 0.25 | - | - | 2.48 | 2.48 | 9.92:1 (-) | 10 |
| 2 | Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited | Sugar Industry and Cane Development | 27.08.1975 | 0.51 | - | 0.15 | 0.66 | - | - | - | - | - | 7 |
| 3 | Uttar Pradesh Beej Vikas Nigam | Agriculture | 15.02.2002 | 1.25 | - | 0.67 | 1.92 | - | - | - | - | - | 370 |
| 4 | Uttar Pradesh Bhumi Sudhar Nigam | Agriculture | 30.03.1978 | 1.50 | - | - | 1.50 | - | - | - | - | - | 917 |
| 5 | Uttar Pradesh Matsya Vikas Nigam Limited | Matsya Evam Pashudhan | 27.10.1979 | 1.07 | - | - | 1.07 | - | - | - | - | - | - |
| 6 | U.P. Projects Corporation Limited | Irrigation | 26.05.1976 | 5.40 | 1.00 | - | 6.40 | - | - | - | - | - | 619 |
| 7 | Uttar Pradesh State Agro Industrial Corporation Limited | Agriculture | 29.03.1967 | 59.01 | - | - | 59.01 | 5.00 | - | - | 5.00 | 0.08:1 (0.11:1) | 756 |
| | Sector wise total | | | 68.89 | 1.00 | 0.92 | 70.81 | 5.00 | - | 2.48 | 7.48 | 0.11:1 (0.09:1) | 2679 |
| | FINANCING | | | | | | | | | | | | |
| 8 | The Pradeshiya Industrial and Investment Corporation of U.P. Limited | Industrial Development | 29.03.1972 | 110.58 | - | 25.00 | 135.58 | 155.11 | - | 5.13 | 160.24 | 1.18:1 (1.14:1) | 220 |
| 9 | Uttar Pradesh Alpsankhyak Vitta Evam Vikas Nigam Limited | Alpsankhyak Kalyan Evam Waqf | 17.11.1984 | 30.00 | - | - | 30.00 | 7.52 | - | - | 7.52 | 0.25:1 (3.01:1) | 89 |
| 10 | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited | Pichhara Varg Kalyan | 26.04.1991 | 12.23 | - | - | 12.23 | - | - | 33.28 | 33.28 | 2.72:1 (3:1) | 17 |
| 11 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | Samaj Kalyan | 25.03.1975 | 123.25 | - | 107.18 | 230.43 | - | - | 67.89 | 67.89 | 0.29:1 (0.30:1) | 393 |
| 12 | Uttar Pradesh State Industrial Development Corporation Limited | Industrial Development | 29.03.1961 | 24.08 | - | - | 24.08 | 1.98 | - | - | 1.98 | 0.08:1 (0.08:1) | 599 |
| | Sector wise total | | | 300.14 | - | 132.18 | 432.32 | 164.61 | - | 106.30 | 270.91 | 0.63:1 (0.82:1) | 1318 |
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 13 | Uttar Pradesh Police Avas Nigam Limited | Home | 27.03.1987 | 3.00 | - | - | 3.00 | - | - | - | - | - | 151 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|--|------------|----------------|--------------|---------------|----------------|---------------|------|--------------|---------------|----------------------------|-------------|
| 14 | Uttar Pradesh Rajkiya Nirman Nigam Limited | Public Works Department | 01.05.1975 | 1.00 | - | - | 1.00 | - | - | - | - | - | 3165 |
| 15 | Uttar Pradesh Samaj Kalyan Nirman Nigam Limited | Samaj Kalyan | 25.06.1976 | 0.15 | - | - | 0.15 | - | - | - | - | - | 576 |
| 16 | Uttar Pradesh State Bridge Corporation Limited | Public Works Department | 09.01.1973 | 15.00 | - | - | 15.00 | - | - | - | - | Nil (0.08:1) | 5191 |
| | Sector wise total | | | 19.15 | - | - | 19.15 | - | - | - | - | Nil (0.07:1) | 9083 |
| | MANUFACTURE | | | | | | | | | | | | |
| 17 | Almora Magnesite Limited(619-B Company) | | 27.08.1971 | - | - | 2.00 | 2.00 | - | - | 0.12 | 0.12 | 0.06:1 (0.13:1) | 405 |
| 18 | Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | Electronics and Information Technology | 10.02.1979 | - | - | 7.22 | 7.22 | - | - | 2.63 | 2.63 | 0.36:1 (0.36:1) | 10 |
| 19 | Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | Electronics and Information Technology | 18.10.1974 | - | - | 57.93 | 57.93 | - | - | 9.70 | 9.70 | 0.17:1 (0.17:1) | - |
| 20 | Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation) | Electronics and Information Technology | 10.04.1977 | - | - | 4.07 | 4.07 | - | - | 5.67 | 5.67 | 1.39:1 (1.39:1) | 28 |
| 21 | Uttar Pradesh Drugs and Pharmaceuticals Limited | Health | - | 1.10 | - | - | 1.10 | - | - | - | - | - | 274 |
| 22 | Uttar Pradesh Electronics Corporation Limited | Electronics and Information Technology | 20.03.1974 | 91.54 | - | - | 91.54 | 113.16 | - | - | 113.16 | 1.24:1 (1.24:1) | 35 |
| 23 | Uttar Pradesh Rajya Chini Evam Ganna Vikas Nigam Limited | Sugar Industry and Cane Development | 16.05.2002 | 553.03 | - | 327.00 | 880.03 | - | - | - | - | - | 18 |
| 24 | Uttar Pradesh Small Industries Corporation Limited | Laghu Udhog | 01.06.1958 | 5.96 | - | - | 5.96 | 6.32 | - | 3.92 | 10.24 | 1.72:1 (1.72:1) | - |
| 25 | Uttar Pradesh State Handloom Corporation Limited | Hathkargha Evam Vastra Udyog | 09.01.1973 | 36.44 | 10.63 | - | 47.07 | 106.05 | - | 5.00 | 111.05 | 2.36:1 (2.36:1) | 308 |
| 26 | Uttar Pradesh State Leather Development and Marketing Corporation Limited | Niryat Protsahan | 12.02.1974 | 5.74 | - | - | 5.74 | 1.91 | - | - | 1.91 | 0.33:1 (0.33:1) | 2 |
| 27 | Uttar Pradesh State Spinning Company Limited | Hathkargha Evam Vastra Udyog | 20.08.1976 | 93.24 | - | - | 93.24 | 110.07 | - | 41.69 | 151.76 | 1.63:1 (0.70:1) | 990 |
| 28 | Uttar Pradesh State Sugar Corporation Limited | Sugar Industry and Cane Development | 26.03.1971 | 1103.72 | - | - | 1103.72 | - | - | - | - | - | 149 |
| 29 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | Hathkargha Evam Vastra Udyog | 20.08.1974 | 53.67 | - | - | 53.67 | 62.44 | - | - | 62.44 | 1.16:1 (0.92:1) | 7 |
| | Sector wise total | | | 1944.44 | 10.63 | 398.22 | 2353.29 | 399.95 | - | 68.73 | 468.68 | 0.20:1 (0.16:1) | 2226 |
| | POWER | | | | | | | | | | | | |
| 30 | Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 01.05.2003 | - | - | 3264.14 | 3264.14 | 77.98 | - | 1056.35 | 1134.33 | 0.35:1 (0.35:1) | 5243 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|---------|------------|-----------------|----------|-----------------|-----------------|---------------|----------|-----------------|-----------------|----------------------------|--------------|
| 31 | Kanpur Electricity Supply Company Limited | Energy | 21.07.1999 | - | - | 163.15 | 163.15 | 4.04 | - | 447.89 | 451.93 | 2.77:1 (1.70:1) | 1850 |
| 32 | Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 01.05.2003 | - | - | 3006.39 | 3006.39 | - | - | 1800.56 | 1800.56 | 0.60:1 (0.22:1) | 8155 |
| 33 | Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 01.05.2003 | - | - | 2477.59 | 2477.59 | - | - | 1961.04 | 1961.04 | 0.79:1 (0.38:1) | - |
| 34 | Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 01.05.2003 | - | - | 3084.67 | 3084.67 | - | - | 1855.68 | 1855.68 | 0.60:1 (0.03:1) | 16390 |
| 35 | Sonebhadra Power Generation Company Limited | Energy | 14.02.2007 | - | - | 0.07 | 0.07 | - | - | - | - | - | - |
| 36 | UCM Coal Company Limited | Energy | 16.02.2008 | - | - | 0.16 | 0.16 | - | - | 0.50 | 0.50 | 3.13:1 (3.13:1) | - |
| 37 | UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | Energy | 11.04.2000 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 38 | Uttar Pradesh Jal Vidyut Nigam Limited | Energy | 15.04.1985 | 433.13 | - | - | 433.13 | 64.65 | - | 85.21 | 149.86 | 0.35:1 (0.35:1) | 616 |
| 39 | Uttar Pradesh Power Corporation Limited | Energy | 30.11.1999 | 35690.23 | - | - | 35690.23 | - | - | 24508.96 | 24508.96 | 0.69:1 (0.51:1) | - |
| 40 | Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | Energy | 13.07.2006 | 4445.51 | - | 5.00 | 4450.51 | - | - | 6295.68 | 6295.68 | 1.41:1 (1.08:1) | 5852 |
| 41 | Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited | Energy | 22.08.1980 | 7723.11 | - | - | 7723.11 | - | - | 9930.96 | 9930.96 | 1.29:1 (1.27:1) | 8196 |
| 42 | Western U.P. Power Transmission Company | Energy | 11.09.2009 | - | - | - | - | - | - | - | - | - | - |
| 43 | South East U.P. Power Transmission Company | Energy | 11.09.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | 8196 |
| 44 | Jawahar Vidyut Utpadan Nigam Limited | Energy | 04.09.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | 1 |
| 45 | Yamuna Power Generation Corporation Limited | Energy | 20.04.2010 | - | - | - | - | - | - | - | - | - | - |
| | Sector wise total | | | 48291.98 | - | 12001.32 | 60293.30 | 146.67 | - | 47942.83 | 48089.50 | 0.80:1 (0.58:1) | 54499 |
| | SERVICE | | | | | | | | | | | | |
| 46 | Abhyaranya Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 47 | Adhyavasai Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 48 | Awadh Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 49 | Bithpur Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 50 | Braj Darshan Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 51 | Braj Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 52 | Bundelkhand Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|--|------------|--------------|-------------|-------------|--------------|--------------|------|------|--------------|------------------------|-------------|
| 53 | Ganga Saryu Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 54 | Garhmukteshwar Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 55 | Gyanodaya Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 56 | Hastinapur Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 57 | Hindon Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 58 | Madhyanchal Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 59 | Paanchal Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 60 | Pachimanchal Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 61 | Sangam Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 62 | Satyadarshan Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 63 | Shahjahanpur Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 64 | Siddhartha Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 65 | Taj Shilp Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 66 | Taj Virasat Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 67 | Triveni Paripath Paryatan Limited | Tourism | 20.02.2009 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 68 | Uttar Pradesh Development Systems Corporation Limited | Electronics and Information Technology | 15.03.1977 | 1.00 | - | - | 1.00 | - | - | - | - | - | 89 |
| 69 | Uttar Pradesh Export Corporation Limited | Niryat Protsahan | 20.01.1996 | 6.34 | 0.90 | - | 7.24 | 7.44 | - | - | 7.44 | 1.03:1 (1.03:1) | 142 |
| 70 | Uttar Pradesh Food and Essential Commodities Corporation Limited | Food and Civil Supplies | 22.10.1974 | 5.50 | - | - | 5.50 | 13.47 | - | - | 13.47 | 2.45:1 (2.45:1) | 768 |
| 71 | Uttar Pradesh State Tourism Development Corporation Limited | Tourism | 05.08.1974 | 18.60 | - | - | 18.60 | 1.57 | - | - | 1.57 | 0.08:1 (0.13:1) | 542 |
| | Sector wise total | | | 31.44 | 0.90 | 1.10 | 33.44 | 22.48 | - | - | 22.48 | 0.67:1 (0.69:1) | 1541 |
| | MISCELLANEOUS | | | | | | | | | | | | |
| 72 | Uttar Pradesh Mahila Kalyan Nigam Limited | Mahila Kalyan | 17.03.1988 | 4.71 | 0.48 | - | 5.19 | - | - | - | - | - | 22 |
| 73 | Uttar Pradesh Purva Sainik Kalyan Nigam Limited | Samaj Kalyan | 23.05.1989 | 0.43 | - | - | 0.43 | - | - | - | - | - | 131 |
| 74 | Uttar Pradesh Waqf Vikas Nigam Limited | Waqf Evam Alpsankhyak | 27.04.1987 | 7.50 | - | - | 7.50 | -- | -- | - | - | - | 24 |
| 75 | Lucknow City Transport Services Limited | Transport | 01.02.2010 | - | - | - | - | - | - | - | - | - | - |
| 76 | Meerut City Transport Services Limited | Transport | 23.06.2010 | - | - | - | - | - | - | - | - | - | - |
| 77 | Allahabad City Transport Services Limited | Transport | 27.04.2010 | - | - | - | - | - | - | - | - | - | - |
| 78 | Agra Mathura City Transport Services Limited | Transport | 08.07.2010 | - | - | - | - | - | - | - | - | - | - |
| 79 | Kanpur City Transport Services Limited | Transport | 28.04.2010 | - | - | - | - | - | - | - | - | - | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|----------|---|-------------------------------------|------------|-----------------|--------------|-----------------|-----------------|----------------|----------|-----------------|-----------------|------------------------|--------------|
| 80 | Varanasi City Transport Services Limited | Transport | 15.06.2010 | | | | - | | | | | - | |
| | Sector wise total | | | 12.64 | 0.48 | - | 13.12 | - | - | - | - | - | 177 |
| | Total A (All sector wise working Government companies) | | | 50668.68 | 13.01 | 12533.74 | 63215.43 | 738.71 | - | 48120.34 | 48859.05 | 0.77:1 (0.57:1) | 71523 |
| B | Working Statutory Corporations | | | | | | | | | | | | |
| | AGRICULTURE & ALLIED | | | | | | | | | | | | |
| 1 | Uttar Pradesh State Warehousing Corporation | Co-operative | 19.03.1958 | 7.79 | 5.58 | - | 13.37 | - | - | - | - | - | 1160 |
| | Sector wise total | | | 7.79 | 5.58 | - | 13.37 | - | - | - | - | - | 1160 |
| | FINANCING | | | | | | | | | | | | |
| 2 | Uttar Pradesh Financial Corporation | Industrial Development | 01.11.1954 | 114.51 | - | 64.78 | 179.29 | 271.43 | - | 376.59 | 648.02 | 3.61:1 (3.61:1) | 697 |
| | Sector wise total | | | 114.51 | - | 64.78 | 179.29 | 271.43 | - | 376.59 | 648.02 | 3.61:1 (3.61:1) | 697 |
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 3 | Uttar Pradesh Avas Evam Vikas Parishad | Housing and Urban Planning | 03.04.1966 | - | - | - | - | - | - | - | - | - | 4388 |
| 4 | Uttar Pradesh Jal Nigam | Urban Development | 06.06.1975 | - | - | - | - | 98.69 | - | - | 98.69 | - | - |
| | Sector wise total | | | - | - | - | - | 98.69 | - | - | 98.69 | - | 4388 |
| | SERVICE | | | | | | | | | | | | |
| 5 | Uttar Pradesh State Road Transport Corporation | Transport | 01.06.1972 | 354.63 | 60.01 | - | 414.64 | - | - | 253.83 | 253.83 | 0.61:1 (0.66:1) | - |
| 6 | Uttar Pradesh Government Employees Welfare Corporation | Food and Civil Supplies | 05.05.1965 | - | - | - | - | 9.51 | - | - | 9.51 | - | 889 |
| | Sector Wise total | | | 354.63 | 60.01 | - | 414.64 | 9.51 | - | 253.83 | 263.34 | 0.64:1 (0.68:1) | 889 |
| | Miscellaneous | | | | | | | | | | | | |
| 7 | Uttar Pradesh Forest Corporation** | Forest | 25.11.1974 | - | - | - | - | - | - | - | - | - | 2360 |
| | Sector Wise total | | | - | - | - | - | - | - | - | - | - | 2360 |
| | Total B (All Sector wise working Statutory corporations) | | | 476.93 | 65.59 | 64.78 | 607.30 | 379.63 | - | 630.42 | 1010.05 | 1.66:1 (1.73:1) | 9494 |
| | Total (A+B) | | | 51145.61 | 78.60 | 12598.52 | 63822.73 | 1118.34 | - | 48750.76 | 49869.10 | 0.78:1 (0.58:1) | 81017 |
| C | Non working Companies | | | | | | | | | | | | |
| | AGRICULTURE & ALLIED | | | | | | | | | | | | |
| 1 | Command Area Poultry Development Corporation Limited (619-B company) | Matsya Evam Pashudhan | | - | - | 0.24 | 0.24 | | | | | - | - |
| 2 | Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited | Sugar Industry and Cane Development | 27.08.1975 | 0.23 | - | 0.08 | 0.31 | 1.69 | - | | 1.69 | 5.45:1 (5.45:1) | 19 |
| 3 | Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikas Nigam Limited | Sugar Industry and Cane Development | 27.08.1975 | 0.38 | - | 0.33 | 0.71 | 6.55 | - | - | 6.55 | 9.23:1 (9.23:1) | - |
| 4 | Uttar Pradesh Pashudhan Udyog Nigam Limited | Matsya Evam Pashudhan | 05.03.1975 | 2.10 | 0.63 | - | 2.73 | 0.71 | - | - | 0.71 | 0.26:1 (0.26:1) | - |
| 5 | Uttar Pradesh Poultry and Livestock Specialties Limited | Matsya Evam Pashudhan | 07.12.1974 | 1.66 | 1.28 | - | 2.94 | 1.10 | - | - | 1.10 | 0.37:1 (0.37:1) | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|---|--|------------|---------------|-------------|-------------|---------------|---------------|------|-------------|---------------|----------------------------|------------|
| 6 | Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited | Food Processing | 06.04.1977 | 6.41 | - | 0.64 | 7.05 | 1.22 | - | - | 1.22 | 0.17:1 (0.17:1) | 330 |
| | Sector wise Total | | | 10.78 | 1.91 | 1.29 | 13.98 | 11.27 | - | - | 11.27 | 0.81:1 (0.81:1) | 349 |
| | FINANCING | | | | | | | | | | | | |
| 7 | Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | Electronics and Information Technology | 05.01.1988 | - | - | 1.06 | 1.06 | - | - | 4.15 | 4.15 | 3.92:1 (3.92:1) | - |
| 8 | Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited | Panchayati Raj | 24.04.1973 | 0.78 | - | 0.66 | 1.44 | - | - | - | - | - | 52 |
| | Sector Wise Total | | | 0.78 | - | 1.72 | 2.50 | - | - | 4.15 | 4.15 | 1.66:1 (1.66:1) | 52 |
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 9 | Uttar Pradesh Cement Corporation Limited | Industrial Development | 19.03.1972 | 66.28 | - | - | 66.28 | 124.77 | - | - | 124.77 | 1.88:1 (1.88:1) | - |
| 10 | Uttar Pradesh State Mineral Development Corporation Limited | Industrial Development | 23.03.1974 | 59.43 | - | - | 59.43 | 18.24 | - | 1.50 | 19.74 | 0.33:1 (0.33:1) | - |
| 11 | Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | Industrial Development | 05.12.1985 | - | - | 0.08 | 0.08 | - | - | 0.84 | 0.84 | 10.50:1 (10.50:1) | - |
| | Sector wise Total | | | 125.71 | - | 0.08 | 125.79 | 143.01 | - | 2.34 | 145.35 | 1.16:1 (1.16:1) | - |
| | MANUFACTURE | | | | | | | | | | | | |
| 12 | Auto Tractors Limited | Industrial Development | 28.12.1972 | 5.63 | - | 1.87 | 7.50 | 0.38 | - | - | 0.38 | 0.05:1 (0.05:1) | - |
| 13 | Bhadoli Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | Hathkargha Evam Vastra Udyog | 14.06.1976 | - | - | 3.76 | 3.76 | - | - | - | - | - | - |
| 14 | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | Sugar Industry and Cane Development | 18.04.1975 | - | - | 81.38 | 81.38 | - | 4.00 | 15.65 | 19.65 | 0.24:1 (0.24:1) | 10 |
| 15 | Continental Float Glass Limited | Industrial Development | 12.04.1985 | - | - | 46.24 | 46.24 | - | - | 138.85 | 138.85 | 3:1 (3:1) | - |
| 16 | Electronics and Computers (India) Limited (619-B Company) | | | | - | | - | - | - | - | - | - | - |
| 17 | Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | Sugar Industry and Cane Development | 30.05.1986 | - | - | 147.72 | 147.72 | - | - | 10.92 | 10.92 | 0.07:1 (0.07:1) | 18 |
| 18 | Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | Electronics and Information Technology | 31.03.1978 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 19 | Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | Sugar Industry and Cane Development | 18.04.1975 | - | - | 34.04 | 34.04 | - | - | 7.69 | 7.69 | 0.23:1 (0.23:1) | 80 |
| 20 | The Indian Turpentine and Rosin Company Limited | Industrial Development | 22.02.1974 | 0.19 | - | 0.03 | 0.22 | 5.33 | - | 1.88 | 7.21 | 32.77:1 (32.77:1) | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|--------------------------------|------------|---------------|-------------|---------------|---------------|--------------|-------------|---------------|---------------|------------------------|------------|
| 21 | Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | Laghu Udyog | 18.06.1972 | - | - | 0.05 | 0.05 | - | - | - | - | - | - |
| 22 | Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | Industrial Development | 23.04.1979 | - | - | 6.59 | 6.59 | 11.02 | - | - | 11.02 | 1.67:1 (1.67:1) | - |
| 23 | Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | Industrial Development | 01.01.1975 | 0.09 | - | 1.93 | 2.02 | 5.55 | - | 11.49 | 17.04 | 8.44:1 (8.44:1) | 259 |
| 24 | Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | Laghu Udyog | 28.06.1972 | - | - | 0.02 | 0.02 | - | - | - | - | - | - |
| 25 | Uttar Pradesh State Brassware Corporation Limited | Niryat Protsahan | 12.02.1974 | 5.28 | 0.10 | - | 5.38 | 1.94 | - | - | 1.94 | 0.36:1 (0.36:1) | - |
| 26 | Uttar Pradesh State Textile Corporation Limited | Hathkargha Evam Vastra Udyog | 02.12.1969 | 197.10 | - | - | 197.10 | 7.15 | - | - | 7.15 | 0.04:1 (0.49:1) | - |
| 27 | Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | Industrial Development | 14.01.1976 | - | - | 1.83 | 1.83 | - | - | - | - | - | - |
| | Sector Wise Total | | | 208.29 | 0.10 | 325.51 | 533.90 | 31.37 | 4.00 | 186.48 | 221.85 | 0.42:1 (0.58:1) | 367 |
| | SERVICE SECTOR | | | | | | | | | | | | |
| 28 | Agra Mandal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 31.03.1976 | 1.00 | - | - | 1.00 | 0.05 | - | - | 0.05 | 0.05:1 (0.05:1) | - |
| 29 | Allahabad Mandal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 31.03.1976 | 0.67 | - | - | 0.67 | 0.66 | - | - | 0.66 | 0.99:1 (0.99:1) | - |
| 30 | Bareilly Mandal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 31.03.1976 | 1.25 | - | - | 1.25 | - | - | - | - | - | - |
| 31 | Gorakhpur Mandal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 31.03.1976 | 0.94 | - | 0.32 | 1.26 | 0.88 | - | - | 0.88 | 0.70:1 (0.70:1) | - |
| 32 | Lucknow Mandaliya Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 31.03.1976 | 0.70 | - | - | 0.70 | 0.86 | - | - | 0.86 | 1.23:1 (1.23:1) | - |
| 33 | Meerut Mandal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 31.03.1976 | 1.00 | - | - | 1.00 | - | - | - | - | - | - |
| 34 | Moradabad Mandal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 30.03.1978 | 0.25 | - | - | 0.25 | 0.65 | - | - | 0.65 | 2.60:1 (2.60:1) | - |
| 35 | Tarai Anusuchit Janjati Vikas Nigam Limited | Samaj Kalyan | 2.08.1975 | 0.45 | - | - | 0.45 | 1.25 | - | - | 1.25 | 2.78:1 (2.78:1) | - |
| 36 | Uttar Pradesh Bundelkhand Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 30.03.1971 | 1.23 | - | - | 1.23 | 0.05 | - | - | 0.05 | 0.04:1 (0.04:1) | 1 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | 6(a) | 6(b) | 6(c) | 6(d) | (7) | (8) |
|-----|--|--------------------------------|------------|-----------------|--------------|-----------------|-----------------|----------------|-------------|-----------------|-----------------|--------------------|--------------|
| 37 | Uttar Pradesh Chalchitra Nigam Limited | Tax and Institutional Finance | 10.09.1975 | 8.18 | - | - | 8.18 | 2.47 | - | - | 2.47 | 0.30:1 (0.30:1) | 1 |
| 38 | Uttar Pradesh Poorvanchal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 30.03.1971 | 1.30 | - | - | 1.30 | 0.35 | - | - | 0.35 | 0.27:1 (0.27:1) | - |
| 39 | Varanasi Mandal Vikas Nigam Limited | Bhumi Vikas Evam Jal Sansadhan | 31.03.1976 | 0.70 | - | - | 0.70 | 0.30 | - | - | 0.30 | 0.43:1 (0.43:1) | - |
| | Sector wise Total | | | 17.67 | - | 0.32 | 17.99 | 7.52 | - | - | 7.52 | 0.42:1 (0.41:1) | 2 |
| | Total C (All sector wise non working companies) | | | 363.23 | 2.01 | 328.92 | 694.16 | 193.17 | 4.00 | 192.97 | 390.14 | 0.56:1 (0.69:1) | 770 |
| | Grand Total (A+B+C) | | | 51508.84 | 80.61 | 12927.44 | 64516.89 | 1311.51 | 4.00 | 48943.73 | 50259.24 | 0.78:1 (0.58:1) | 81787 |

Note: 1. Above includes 619-B companies at Sl. No. A-17, C-1 and C-16.

2. Companies at Sl. No. A-46 to A-67 are subsidiaries of Uttar Pradesh State Tourism Development Corporation Limited.

\$ Paid up capital includes share application money.

* Loans outstanding at the close of 2012-13 represent long term loans only.

** The audit of Accounts for the period 1999-2000 to 2007-08 was conducted by Local Audit and Audit for the year 2008-09 was entrusted to this Office as per order of the Uttar Pradesh Forest Corporation dated 31 July 2010 after doing necessary amendments in the Uttar Pradesh Forest Corporation Act, 1974.

Annexure-2
(Referred to in paragraph 1.10)

Statement showing grants and subsidy received/receivable, guarantees received, waiver of dues, loans written off and loans converted in to equity during the year and guarantee commitment at the end of March 2013

(Figures in column 3(a) to 6 (d) are ₹ in crore)

| Sl. No. | Sector and name of the company | Equity / loans received out of budget during the year | | Grants and subsidy received during the year | | | | Guarantees received during the year and commitment at the end of the year | | Waiver of dues during the year | | | |
|----------|--|---|--------------|---|------------------|--------|---------------|---|-------------------------|--------------------------------|-----------------------------|----------------------------------|-------|
| | | Equity | Loans | Central Government | State Government | Others | Total | Received | Commitment [@] | Loan repayment written off | Loans converted into equity | Interest / penal interest waived | Total |
| 1 | 2 | 3(a) | 3(b) | 4(a) | 4(b) | 4(c) | 4(d) | 5(a) | 5(b) | 6(a) | 6(b) | 6(c) | 6(d) |
| A | Working Government companies | | | | | | | | | | | | |
| | AGRICULTURE AND ALLIED | | | | | | | | | | | | |
| 1 | Uttar Pradesh Bhumi Sudhar Nigam | - | - | - | 150.87 | - | 150.87 | - | - | - | - | - | - |
| | Sector wise total | - | - | - | 150.87 | - | 150.87 | - | - | - | - | - | - |
| | FINANCING | | | | | | | | | | | | |
| 2 | The Pradeshiya Industrial and Investment Corporation of U.P. Limited | - | - | - | - | - | - | 0.35 | 0.35 | - | - | - | - |
| 3 | Uttar Pradesh Alpsankhyak Vitta Evam Vikas Nigam Limited | - | - | - | 0.13 | - | 0.13 | - | - | - | - | - | - |
| 4 | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited | - | - | - | - | - | - | - | 22.65 | - | - | - | - |
| 5 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | 1.25 | - | - | 69.38 | - | 69.38 | - | - | - | - | - | - |
| | Sector wise total | 1.25 | - | - | 69.51 | - | 69.51 | 0.35 | 23.00 | - | - | - | - |
| | MANUFACTURE | | | | | | | | | | | | |
| 6 | Uttar Pradesh Electronics Corporation Limited. | - | - | - | 1.35 | - | 1.35 | - | - | - | - | - | - |
| 7 | Uttar Pradesh State Spinning Company Limited | - | 11.45 | - | - | - | - | - | - | - | - | - | - |
| 8 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | - | 13.30 | - | - | - | - | - | - | - | - | - | - |
| | Sector Wise total | - | 24.75 | - | 1.35 | - | 1.35 | - | - | - | - | - | - |
| | POWER | | | | | | | | | | | | |
| 9 | Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | - | - | - | - | - | - | - | 4.00 | - | - | - | - |
| 10 | Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | - | - | - | 597.28 | - | 597.28 | - | 4.35 | - | - | - | - |
| 11 | Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | - | - | - | 1855.37 | - | 1855.37 | - | 4.35 | - | - | - | - |
| 12 | Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | - | - | - | 1000.54 | - | 1000.54 | - | 4.68 | - | - | - | - |

| 1 | 2 | 3(a) | 3(b) | 4(a) | 4(b) | 4(c) | 4(d) | 5(a) | 5(b) | 6(a) | 6(b) | 6(c) | 6(d) |
|----|--|----------------|--------------|----------|----------------|----------|----------------|---------------|----------------|----------|--------------|---------------|---------------|
| 13 | Uttar Pradesh Jal Vidyut Nigam Limited | 1.39 | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 8.00 | - | - | - | - | - | - | - | - | - | - | - |
| 15 | Uttar Pradesh Rajya Vidyut Utapadan Nigam Limited | 800.97 | - | - | - | - | - | 668.00 | 9514.18 | - | 64.38 | 425.44 | 489.82 |
| 16 | Uttar Pradesh Power Corporation Limited | 2175.79 | - | - | - | - | - | 145.00 | 145.00 | - | - | - | - |
| | Sector wise total | 2986.15 | - | - | 3453.19 | - | 3453.19 | 813.00 | 9676.56 | - | 64.38 | 425.44 | 489.82 |
| | SERVICE | | | | | | | | | | | | |
| 17 | Uttar Pradesh Development Systems Corporation Limited | - | - | - | 2.00 | - | 2.00 | - | - | - | - | - | - |
| 18 | Uttar Pradesh Food & Essential Commodities Corporation Limited | - | - | - | - | - | - | 35.00 | 35.00 | - | - | - | - |
| 19 | Uttar Pradesh State Tourism Development Corporation Limited | - | - | - | 2.49 | - | 2.49 | - | - | - | - | - | - |
| | Sector wise total | - | - | - | 4.49 | - | 4.49 | 35.00 | 35.00 | - | - | - | - |
| | Total A (All sector wise working Government companies) | 2987.40 | 24.75 | - | 3679.41 | - | 3679.41 | 848.35 | 9734.56 | - | 64.38 | 425.44 | 489.82 |
| B | Working Statutory Corporations | | | | | | | | | | | | |
| | INFRASTRUCTURE | | | | | | | | | | | | |
| 1 | Uttar Pradesh Jal Nigam | - | - | - | 425.43 | - | 425.43 | - | - | - | - | - | - |
| | Sector wise total | - | - | - | 425.43 | - | 425.43 | - | - | - | - | - | - |
| | Total B (all sector wise statutory corporations) | - | - | - | 425.43 | - | 425.43 | - | - | - | - | - | - |
| | Total (A+B) | 2987.40 | 24.75 | - | 4104.84 | - | 4104.84 | 848.35 | 9734.56 | - | 64.38 | 425.44 | 489.82 |
| C | Non working Companies | | | | | | | | | | | | |
| | MANUFACTURE | | | | | | | | | | | | |
| 1 | Uttar Pradesh State Textile Corporation Limited | - | 0.43 | - | - | - | - | - | - | - | - | - | - |
| | Sector Wise Total | - | 0.43 | - | - | - | - | - | - | - | - | - | - |
| | SERVICE SECTOR | | | | | | | | | | | | |
| 2 | Uttar Pradesh Bundelkhand Vikas Nigam Limited | - | - | - | 0.11 | - | 0.11 | - | - | - | - | - | - |
| | Sector wise Total | - | - | - | 0.11 | - | 0.11 | - | - | - | - | - | - |
| | Total C (All sector wise non working companies) | - | 0.43 | - | 0.11 | - | 0.11 | - | - | - | - | - | - |
| | Grand Total (A+B+C) | 2987.40 | 25.18 | - | 4104.95 | - | 4104.95 | 848.35 | 9734.56 | - | 64.38 | 425.44 | 489.82 |

@ Figures indicate total guarantee outstanding at the end of the year.

Annexure -3

(Referred to in paragraph 1.13, 1.14, 1.20, 1.23, 1.27 and 1.32)

Summarised financial results of Government companies and Statutory corporations for the latest year for which accounts were finalised

(Figures in columns 5(a) to 11 are ₹ in crore)

| Sr. No. | Sector & Name of the Company | Period of accounts | Year in which finalised | Net profit(+) / Loss(-) | | | | Turn over | Impact of accounts Comments [#] | Paid up capital | Accumulated Profit (+)/ Loss | Capital employed [@] | Return on capital employed ^{\$} | Percentage return on capital employed |
|----------|--|--------------------|-------------------------|---|--------------|--------------|-------------------|----------------|--|-----------------|------------------------------|-------------------------------|--|---------------------------------------|
| | | | | Net profit/ loss before interest and Depreciation | Interest | Depreciation | Net Profit / Loss | | | | | | | |
| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
| A | Working Government Companies | | | | | | | | | | | | | |
| | AGRICULTURE AND ALLIED | | | | | | | | | | | | | |
| 1 | Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited | 2012-13 | 2013-14 | 0.07 | - | - | 0.07 | 0.20 | - | 0.23 | -0.73 | 1.93 | 0.07 | 3.63 |
| 2 | Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited | 2012-13 | 2012-13 | -0.08 | - | - | -0.08 | 0.03 | - | 0.64 | 0.75 | 1.44 | -0.08 | - |
| 3 | Uttar Pradesh Beej Vikas Nigam | 2009-10 | 2012-13 | 29.64 | 3.25 | - | 26.39 | 287.46 | - | 6.92 | 79.75 | 89.67 | 29.64 | 33.05 |
| 4 | Uttar Pradesh Bhumi Sudhar Nigam | 2008-09 | 2012-13 | -0.03 | - | 0.10 | -0.13 | 1.86 | (DL) 34.27 | 1.50 | 0.23 | 23.59 | -0.13 | - |
| 5 | Uttar Pradesh Matsya Vikas Nigam Limited | 2008-09 | 2013-14 | 0.71 | - | 0.08 | 0.63 | 2.77 | (DP) 0.73 | 1.07 | 1.02 | 6.46 | 0.63 | 9.75 |
| 6 | U.P. Projects Corporation Limited | 2010-11 | 2012-13 | 8.83 | - | 0.40 | 8.43 | 912.70 | (DP) 1.23 | 6.40 | 36.05 | 42.45 | 8.43 | 19.86 |
| 7 | Uttar Pradesh State Agro Industrial Corporation Limited | 2007-08 | 2010-11 | 14.13 | 8.79 | 0.10 | 5.24 | 383.90 | - | 40.00 | -51.68 | 73.68 | 14.03 | 19.04 |
| | Sector wise total | | | 53.27 | 12.04 | 0.68 | 40.55 | 1588.92 | - | 56.76 | 65.39 | 239.22 | 52.59 | 21.98 |
| | FINANCING | | | | | | | | | | | | | |
| 8 | The Pradeshiya Industrial and Investment Corporation of U.P. Limited | 2011-12 | 2012-13 | 17.68 | 6.25 | 0.89 | 10.54 | 8.55 | (DP) 8.46 | 135.58 | -353.40 | 204.94 | 16.79 | 8.19 |
| 9 | Uttar Pradesh Alpsankhyak Vitta Evam Vikas Nigam Limited | 1995-96 | 2010-11 | 0.70 | 0.45 | 0.01 | 0.24 | 1.14 | (DP) 5.29 | 14.23 | 0.12 | 20.94 | 0.69 | 3.30 |
| 10 | Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited | 2010-11 | 2013-14 | 2.13 | 2.11 | 0.01 | 0.01 | 2.55 | - | 12.23 | 7.18 | 65.93 | 2.12 | 3.21 |
| 11 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | 2009-10 | 2012-13 | 15.58 | 2.07 | 0.10 | 13.41 | 23.09 | (DP) 2.40 | 202.12 | 70.38 | 338.79 | 15.48 | 4.57 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|---------|---------|---------------|--------------|-------------|---------------|----------------|------------|---------------|----------------|---------------|---------------|--------------|
| 12 | Uttar Pradesh State Industrial Development Corporation Limited | 2009-10 | 2012-13 | 68.08 | - | 6.43 | 61.65 | 103.16 | (DP) 1.52 | 24.08 | 0.01 | 244.36 | 61.65 | 25.23 |
| | Sector wise total | | | 104.17 | 10.88 | 7.44 | 85.85 | 138.49 | - | 388.24 | -275.71 | 874.96 | 96.73 | 11.06 |
| | INFRASTRUCTURE | | | | | | | | | | | | | |
| 13 | Uttar Pradesh Police Avs Nigam Limited | 2011-12 | 2013-14 | 0.25 | - | 0.11 | 0.14 | 73.45 | (DP) 4.61 | 3.00 | 13.24 | 16.24 | 0.14 | 0.86 |
| 14 | Uttar Pradesh Rajkiya Nirman Nigam Limited | 2010-11 | 2012-13 | 237.81 | 0.42 | 4.90 | 232.49 | 3680.72 | (DP) 26.73 | 1.00 | 567.58 | 568.59 | 232.91 | 40.96 |
| 15 | Uttar Pradesh Samaj Kalyan Nirman Nigam Limited | 2011-12 | 2011-12 | 17.34 | - | 1.28 | 16.06 | 453.02 | - | 0.15 | 60.10 | 60.25 | 16.06 | 26.66 |
| 16 | Uttar Pradesh State Bridge Corporation Limited | 2010-11 | 2012-13 | 31.86 | 1.14 | 3.28 | 27.44 | 764.99 | (DP) 27.87 | 15.00 | 85.21 | 122.84 | 28.58 | 23.27 |
| | Sector wise total | | | 287.26 | 1.56 | 9.57 | 276.13 | 4972.18 | - | 19.15 | 726.13 | 767.92 | 277.69 | 36.16 |
| | MANUFACTURE | | | | | | | | | | | | | |
| 17 | Almora Magnesite Limited (619-B Company) | 2012-13 | 2013-14 | 0.46 | 0.07 | 0.29 | 0.10 | 27.36 | - | 2.00 | 1.77 | 3.89 | 0.17 | 4.37 |
| 18 | Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | 2012-13 | 2013-14 | 0.49 | 0.02 | 0.46 | 0.01 | 1.67 | (DP) 2.10 | 7.22 | 4.25 | 14.12 | 0.03 | 0.21 |
| 19 | Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | 1995-96 | 1997-98 | -1.99 | 28.06 | 2.07 | -32.12 | 97.15 | - | 53.16 | -196.73 | 52.06 | -4.06 | - |
| 20 | Uptron Powertronics Limited (Subsidiary of Uttar Pradesh Electronics Corporation) | 2012-13 | 2013-14 | 0.62 | 0.01 | 0.41 | 0.20 | 20.07 | (DP) 0.18 | 4.07 | -5.99 | 7.25 | 0.21 | 2.90 |
| 21 | Uttar Pradesh Drugs and Pharmaceuticals Limited | 2009-10 | 2012-13 | -8.13 | 0.26 | 0.14 | -8.53 | 0.33 | - | 1.10 | -26.59 | -14.02 | -8.27 | - |
| 22 | Uttar Pradesh Electronics Corporation Limited | 2011-12 | 2012-13 | 1.48 | - | 0.08 | 1.40 | 24.89 | - | 91.54 | 1.92 | 206.72 | 1.40 | 0.68 |
| 23 | Uttar Pradesh Rajya Chini Evam Ganna Vikas Nigam Limited | 2010-11 | 2013-14 | 71.17 | 0.05 | 0.21 | 70.91 | 18.68 | (DP) 0.28 | 880.13 | -791.93 | 89.08 | 70.96 | 79.66 |
| 24 | Uttar Pradesh Small Industries Corporation Limited | 2003-04 | 2011-12 | 1.02 | 0.54 | 0.84 | -0.36 | 15.75 | (DL) 6.67 | 5.96 | -17.06 | 8.96 | 0.18 | 2.01 |
| 25 | Uttar Pradesh State Handloom Corporation Limited | 1996-97 | 2010-11 | -7.88 | 1.38 | 0.42 | -9.68 | 29.18 | (DP) 0.01 | 24.38 | -47.83 | 31.59 | -8.30 | - |
| 26 | Uttar Pradesh State Leather Development and Marketing Corporation Limited | 2000-01 | 2002-03 | 0.42 | 0.05 | 0.11 | 0.26 | 3.60 | - | 573.94 | -6.85 | 4.81 | 0.31 | 6.44 |
| 27 | Uttar Pradesh State Spinning Company Limited | 2011-12 | 2013-14 | -34.90 | 2.02 | 1.20 | -38.12 | 80.15 | (IL) 5.48 | 93.24 | -206.62 | 30.08 | -36.10 | - |
| 28 | Uttar Pradesh State Sugar Corporation Limited | 2009-10 | 2011-12 | 23.41 | 18.77 | 3.68 | 0.96 | 488.64 | (DP) 59.85 | 1103.71 | -248.08 | 401.73 | 19.73 | 4.91 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|---------|---------|--------------|--------------|--------------|---------------|---------------|------------|----------------|-----------------|---------------|--------------|-------------|
| 29 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | 2011-12 | 2013-14 | -14.57 | 3.01 | 0.24 | -17.82 | 13.90 | (DL) 2.67 | 31.91 | -172.35 | -19.85 | -14.81 | - |
| | Sector wise total | | | 31.60 | 54.24 | 10.15 | -32.79 | 821.37 | - | 2872.36 | -1712.09 | 816.42 | 21.45 | 2.63 |
| | POWER | | | | | | | | | | | | | |
| 30 | Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2011-12 | 2013-14 | -2546.36 | 143.25 | 150.27 | -2839.88 | 3458.55 | - | 2621.94 | -10298.10 | -5718.08 | -2696.63 | - |
| 31 | Kanpur Electricity Supply Company Limited | 2011-12 | 2012-13 | -356.51 | 11.21 | 16.12 | -383.84 | 1016.76 | (IL) 0.81 | 163.15 | -2102.00 | -1729.08 | -372.63 | - |
| 32 | Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2011-12 | 2013-14 | -1500.86 | 143.44 | 120.54 | -1764.84 | 3186.97 | (IL) 31.79 | 2780.44 | -6437.38 | -1581.55 | -1621.40 | - |
| 33 | Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2011-12 | 2012-13 | -1669.82 | 145.21 | 176.57 | -1991.60 | 6423.63 | (IL) 33.5 | 2102.24 | -6279.57 | -2032.85 | -1846.39 | - |
| 34 | Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2011-12 | 2013-14 | -1983.49 | 139.05 | 121.50 | -2244.04 | 3422.01 | (IL) 1.95 | 460.75 | -8482.92 | -3690.63 | -2104.99 | - |
| 35 | Sonebhadra Power Generation Company Limited | 2010-11 | 2013-14 | -2.42 | - | - | -2.42 | - | - | 0.07 | -2.97 | -0.02 | -2.42 | - |
| 36 | UCM Coal Company Limited | 2011-12 | 2013-14 | - | - | - | - | - | - | 1.60 | - | 0.83 | - | - |
| 37 | UPSIDC Power Company Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 2011-12 | 2013-14 | -0.02 | - | - | -0.02 | 0.01 | - | 0.05 | -0.22 | -0.17 | -0.02 | - |
| 38 | Uttar Pradesh Jal Vidyut Nigam Limited | 2010-11 | 2012-13 | 32.03 | 22.53 | 8.74 | 0.76 | 68.74 | (IP) 5.92 | 431.75 | -273.22 | 872.49 | 23.29 | 2.67 |
| 39 | Uttar Pradesh Power Corporation Limited | 2011-12 | 2013-14 | -336.27 | 2383.67 | 1.91 | -2721.85 | 26614.07 | - | 33514.44 | -29710.60 | 21335.67 | -338.18 | - |
| 40 | Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2010-11 | 2013-14 | 599.28 | 275.50 | 326.20 | -2.42 | 869.95 | (IL) 0.39 | 4033.46 | -1036.24 | 6869.60 | 273.08 | 3.98 |
| 41 | Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited | 2010-11 | 2012-13 | 576.42 | 283.81 | 166.23 | 126.38 | 4752.30 | - | 6302.01 | -451.95 | 1494.67 | 410.19 | 27.44 |
| 42 | Western U.P. Power Transmission Company Limited | 2011-12 | 2013-14 | -0.65 | - | - | -0.65 | 0.15 | - | 0.05 | -4.89 | -4.84 | -0.65 | - |
| 43 | South East U.P. Power Transmission Company Limited | 2009-10 | 2012-13 | -3.66 | - | - | -3.66 | - | - | 0.05 | -3.66 | -3.61 | -3.66 | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|------------------------|---------|-----------------|----------------|----------------|------------------|-----------------|----------|-----------------|------------------|-----------------|-----------------|----------|
| 44 | Jawahar Vidyut Utpadan Nigam Limited | 2009-10 | 2011-12 | -1.23 | - | - | -1.23 | - | - | 0.05 | -1.23 | -1.18 | -1.23 | - |
| 45 | Yamuna Power Generation Corporation Limited (Incorporated w.e.f. 20-04-10) | Accounts not finalised | | | | | | | | | | | | |
| | Sector wise total | | | -7193.56 | 3547.67 | 1088.08 | -11829.31 | 49813.14 | - | 52412.05 | -65084.95 | 15811.25 | -8281.64 | - |
| | SERVICE | | | | | | | | | | | | | |
| 46 | Abhyaranya Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 47 | Adhyavasai Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 48 | Awadh Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 49 | Bithpur Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 50 | Braj Darshan Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 51 | Braj Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 52 | Bundelkhand Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 53 | Ganga Saryu Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 54 | Garhmukteshwar Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 55 | Gyanodaya Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 56 | Hastinapur Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 57 | Hindon Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 58 | Madhyanchal Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 59 | Paanchal Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 60 | Pachimanchal Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 61 | Sangam Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 62 | Satyadarshan Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 63 | Shahjahanpur Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 64 | Siddhartha Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 65 | Taj Shilp Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 66 | Taj Virasat Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |
| 67 | Triveni Paripath Paryatan Limited | 2011-12 | 2012-13 | - | - | - | - | - | - | 0.05 | - | 0.05 | - | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|----------|--|------------------------|---------|-----------------|----------------|----------------|------------------|-----------------|-------------|-----------------|------------------|-----------------|-----------------|--------------|
| 68 | Uttar Pradesh Development Systems Corporation Limited | 2010-11 | 2012-13 | 1.42 | 0.93 | 0.04 | 0.45 | 4.93 | (DP) 0.19 | 1.00 | - | 1.45 | 1.38 | 95.17 |
| 69 | Uttar Pradesh Export Corporation Limited | 2005-06 | 2011-12 | 0.08 | 0.02 | 0.07 | -0.01 | 6.47 | - | 7.24 | 21.92 | 0.61 | 0.01 | 1.64 |
| 70 | Uttar Pradesh Food and Essential Commodities Corporation Limited | 2004-05 | 2012-13 | 18.78 | 2.68 | 0.18 | 15.92 | 762.56 | (DP) 1.86 | 5.50 | 21.42 | 117.63 | 18.60 | 15.81 |
| 71 | Uttar Pradesh State Tourism Development Corporation Limited | 2011-12 | 2012-13 | 3.27 | 0.02 | 1.87 | 1.38 | 28.47 | - | 18.60 | -11.94 | 8.26 | 1.40 | 16.95 |
| | Sector wise total | | | 23.55 | 3.65 | 2.16 | 17.74 | 802.43 | - | 33.44 | 31.40 | 129.05 | 21.39 | 16.57 |
| | MISCELLANEOUS | | | | | | | | | | | | | |
| 72 | Uttar Pradesh Mahila Kalyan Nigam Limited | 2010-11 | 2011-12 | 0.97 | - | 0.06 | 0.91 | 2.11 | (DP) 0.63 | 5.19 | -0.52 | 8.45 | 0.91 | 10.77 |
| 73 | Uttar Pradesh Purva Sainik Kalyan Nigam Limited | 2009-10 | 2012-13 | 9.01 | - | 0.18 | 8.83 | 100.34 | (DP) 100.13 | 1.00 | 55.17 | 55.60 | 8.83 | 15.88 |
| 74 | Uttar Pradesh Waqf Vikas Nigam Limited | 1998-99 | 2007-08 | 0.01 | - | 0.01 | - | 0.28 | (IL) 0.002 | 3.50 | 0.02 | 2.11 | - | - |
| 75 | Lucknow City Transport Services Limited | Accounts not finalised | | | | | | | | | | | | - |
| 76 | Meerut City Transport Services Limited | 2010-11 | 2012-13 | - | - | - | - | - | (IL) 0.09 | 0.05 | - | 0.05 | 0.00 | - |
| 77 | Allahabad City Transport Services Limited | Accounts not finalised | | | | | | | | | | | | |
| 78 | Agra Mathura City Transport Services Limited | Accounts not finalised | | | | | | | | | | | | |
| 79 | Kanpur City Transport Services Limited (Incorporated w.e.f. 28-04-10) | Accounts not finalised | | | | | | | | | | | | |
| 80 | Varanasi City Transport Services Limited (Incorporated w.e.f.15-06-10) | Accounts not finalised | | | | | | | | | | | | |
| | Sector wise total | | | 9.99 | - | 0.25 | 9.74 | 102.73 | - | 9.74 | 54.67 | 66.21 | 9.74 | 14.71 |
| | Total A (All sector wise working Government companies) | | | -6683.72 | 3630.04 | 1118.33 | -11432.09 | 58239.26 | | 55791.74 | -66195.16 | 18705.03 | -7802.05 | - |
| | Working Statutory corporations | | | | | | | | | | | | | |
| B | AGRICULTURE & ALLIED | | | | | | | | | | | | | |
| 1 | Uttar Pradesh State Warehousing Corporation | 2010-11 | 2013-14 | 27.45 | 0.08 | 9.07 | 18.30 | 212.41 | (DP) 17.47 | 11.17 | 286.43 | 299.80 | 18.38 | 6.10 |
| | Sector wise total | | | 27.45 | 0.08 | 9.07 | 18.30 | 212.41 | - | 11.17 | 286.43 | 299.80 | 18.38 | 6.10 |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|----------|--|----------------------------|---------|-----------------|----------------|----------------|------------------|-----------------|------------|-----------------|------------------|-----------------|-----------------|-------------|
| | FINANCE | | | | | | | | | | | | | |
| 2 | Uttar Pradesh Financial Corporation | 2011-12 | 2013-14 | 17.53 | 0.01 | - | 17.52 | 21.71 | (DP) 13.68 | 179.28 | -915.76 | 969.80 | 17.53 | 1.81 |
| | Sector wise total | | | 17.53 | 0.01 | - | 17.52 | 21.71 | - | 179.28 | -915.76 | 969.80 | 17.53 | 1.81 |
| | INFRASTRUCTURE | | | | | | | | | | | | | |
| 3 | Uttar Pradesh Avas Evam Vikas Parishad | 2011-12 | 2012-13 | 453.75 | 0.39 | 22.31 | 431.05 | 397.40 | (DP) 0.30 | - | 3719.70 | 3810.09 | 431.44 | 11.32 |
| 4 | Uttar Pradesh Jal Nigam | 2010-11 | 2012-13 | 60.05 | 39.70 | 0.25 | 20.10 | 655.51 | - | - | -63.52 | 9877.45 | 59.80 | 0.61 |
| | Sector wise total | | | 513.80 | 40.09 | 22.56 | 451.15 | 1052.91 | - | - | 3656.18 | 13687.54 | 491.24 | 3.59 |
| | SERVICE | | | | | | | | | | | | | |
| 5 | Uttar Pradesh State Road Transport Corporation | 2011-12 | 2012-13 | 23.28 | 35.73 | 22.12 | -34.57 | 2291.66 | (DL) 79.60 | 408.64 | -1038.42 | -313.15 | 1.16 | - |
| 6 | Uttar Pradesh Government Employees Welfare Corporation | 2010-11 | 2012-13 | 12.08 | 0.86 | 0.06 | 11.16 | 274.70 | - | - | 7.10 | 38.85 | 12.02 | 30.94 |
| | Sector wise total | | | 35.36 | 36.59 | 22.18 | -23.41 | 2566.36 | - | 408.64 | -1031.32 | -274.30 | 13.18 | - |
| | MISCELLANEOUS | | | | | | | | | | | | | |
| 7 | Uttar Pradesh Forest Corporation* | 2011-12 | 2012-13 | 126.73 | - | 0.65 | 126.08 | 339.91 | (DP) 6.60 | 1298.29 | 1298.29 | 1311.79 | 126.08 | 9.61 |
| | Sector wise total | | | 126.73 | - | 0.65 | 126.08 | 339.91 | - | 1298.29 | 1298.29 | 1311.79 | 126.08 | 9.61 |
| | Total B (All sector wise working Statutory corporations) | | | 720.87 | 76.77 | 54.46 | 589.64 | 4193.30 | - | 1897.38 | 3293.82 | 15994.63 | 666.41 | 4.17 |
| | Grand Total (A + B) | | | -5962.95 | 3706.81 | 1172.79 | -10842.45 | 62432.56 | | 57689.12 | -62901.34 | 34699.66 | -7135.64 | - |
| C | Non working Government companies | | | | | | | | | | | | | |
| | AGRICULTURE AND ALLIED | | | | | | | | | | | | | |
| 1 | Command Area Poultry Development Corporation Limited (619-B company) | 1994-95 | - | 0.02 | - | 0.01 | 0.01 | 0.96 | - | 0.24 | - | - | 0.01 | - |
| 2 | Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited | 2002-03 (UL from 01-07-03) | 2004-05 | -0.14 | 0.04 | - | -0.18 | 0.04 | - | 0.31 | -0.55 | 1.53 | -0.14 | - |
| 3 | Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikas Nigam Limited | 2006-07 (UL from 01-07-03) | 2008-09 | 0.06 | 1.10 | 0.01 | -1.05 | 0.11 | - | 0.71 | -8.01 | 3.31 | 0.05 | 1.51 |
| 4 | Uttar Pradesh Pashudhan Udyog Nigam Limited | 2008-09 | 2012-13 | 0.99 | 0.11 | 0.01 | 0.87 | 0.87 | 1.20 | 2.73 | 7.70 | 3.30 | 0.98 | 29.70 |
| 5 | Uttar Pradesh Poultry and Livestock Specialties Limited | 2011-12 | 2012-13 | -0.02 | 0.16 | - | -0.18 | 0.01 | 0.17 | 0.50 | -3.83 | 0.21 | -0.02 | - |
| 6 | Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited | 1984-85 | 1994-95 | -0.51 | 0.15 | 0.01 | -0.67 | 0.27 | - | 1.90 | -2.55 | 80.72 | -0.52 | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|---|---------------------------------|---------|---------------|--------------|-------------|---------------|---------------|----------|---------------|----------------|----------------|---|-------------|
| | Sector wise total | | | 0.40 | 1.56 | 0.04 | -1.20 | 2.26 | - | 6.39 | -7.24 | 89.07 | 0.36 | 0.40 |
| | FINANCE | | | | | | | | | | | | | |
| 7 | Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | 1997-98 | 1998-99 | 0.37 | 0.54 | 0.23 | -0.40 | 1.29 | - | 1.05 | -0.40 | 5.34 | 0.14 | 2.62 |
| 8 | Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited | 1994-95 | 2012-13 | -0.09 | 0.03 | - | -0.12 | 0.36 | - | 1.47 | -0.36 | 1.16 | -0.09 | - |
| | Sector wise total | | | 0.28 | 0.57 | 0.23 | -0.52 | 1.65 | - | 2.52 | -0.76 | 6.50 | 0.05 | 0.31 |
| | INFRASTRUCTURE | | | | | | | | | | | | | |
| 9 | Uttar Pradesh Cement Corporation Limited | 1995-96 (UL from 08-02-1999) | 1996-97 | -20.07 | 24.84 | 2.84 | -47.75 | 113.01 | - | 68.28 | -425.99 | -239.80 | -22.91 | - |
| 10 | Uttar Pradesh State Mineral Development Corporation Limited | 2011-12 | 2013-14 | 1.33 | 1.55 | 0.05 | -0.27 | 1.76 | - | 59.43 | -77.36 | 0.31 | 1.28 | 412.90 |
| 11 | Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | 1987-88 (UL from 28-11-2002) | 1995-96 | -0.11 | 0.01 | - | -0.12 | - | - | - | -0.11 | 0.01 | -0.11 | - |
| | Sector wise total | | | -18.85 | 26.40 | 2.89 | -48.14 | 114.77 | - | 127.71 | -503.46 | -239.48 | -21.74 | - |
| | MANUFACTURE SECTOR | | | | | | | | | | | | | |
| 12 | Auto Tractors Limited | 1991-92 (UL from 14-02-2003) | 1995-96 | 0.37 | 0.26 | - | 0.11 | 6.31 | - | 7.50 | - | 11.14 | 0.37 | 3.32 |
| 13 | Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | 1994-95 (UL from 20-02-96) | | 0.85 | 2.51 | - | -1.66 | 0.27 | - | 3.76 | -11.95 | -0.49 | 0.85 | - |
| 14 | Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 2011-12 | 2012-13 | -0.66 | 0.75 | 0.14 | -1.55 | 0.02 | - | 81.38 | -96.81 | 11.59 | -0.80 | - |
| 15 | Continental Float Glass Limited | 1997-98 (UL from 01-04-2002) | 2002-03 | - | - | - | - | - | - | 46.24 | - | 83.87 | Company went into Liquidation (since inception) | - |
| 16 | Electronics and Computers (India) Limited (619-B Company) | (UL from 14-07-1981) | - | - | - | - | - | - | - | - | - | - | - | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|--|------------------------------|---------|---------------|--------------|-------------|---------------|--------------|----------|---------------|-----------------|----------------|---------------|----------|
| 17 | Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 2011-12 | 2012-13 | -3.64 | 0.13 | 0.07 | -3.84 | - | - | 8.95 | -153.17 | -5.36 | -3.71 | - |
| 18 | Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited) | (UL from 10-06-1996) | - | - | - | - | - | 0.05 | - | -- | - | - | - | - |
| 19 | Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited) | 2010-11 | 2012-13 | -5.81 | - | 0.09 | -5.90 | 0.05 | - | 239.38 | -245.18 | 12.82 | -5.90 | - |
| 20 | The Indian Turpentine and Rosin Company Limited | 2010-11 | 2012-13 | -0.49 | 0.10 | 0.01 | -0.60 | 0.03 | - | 0.22 | -32.93 | -25.54 | -0.50 | - |
| 21 | Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | 1975-76 (UL from 19-04-1996) | | -0.01 | 0.01 | - | -0.02 | - | - | 0.05 | - | 0.12 | -0.01 | - |
| 22 | Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited) | 1992-93 (UL from 19-02-94) | - | -0.15 | 5.67 | 0.36 | -6.18 | 2.26 | - | 6.58 | -35.32 | -18.45 | -0.51 | - |
| 23 | Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 2001-02 | 2005-06 | -0.26 | 0.02 | 0.01 | -0.29 | 0.16 | - | 1.93 | -38.75 | 0.35 | -0.27 | - |
| 24 | Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited) | 1974-75 (UL from 11/2003) | 1984-85 | -0.01 | - | - | -0.01 | 0.04 | - | 0.01 | 0.01 | -0.34 | -0.01 | - |
| 25 | Uttar Pradesh State Brassware Corporation Limited | 1997-98 | 2007-08 | 2.52 | 0.12 | 0.01 | 2.39 | 0.53 | - | 5.38 | -6.04 | 3.59 | 2.51 | 69.92 |
| 26 | Uttar Pradesh State Textile Corporation Limited | 2011-12 | 2013-14 | -18.42 | 6.77 | 0.29 | -25.48 | - | - | 197.10 | -491.01 | -322.80 | -18.71 | - |
| 27 | Uttar Pradesh Tyre and Tubes Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited) | 1992-93 (UL from 09-01-1996) | - | 2.10 | 4.27 | - | -2.17 | 1.38 | - | 1.83 | -9.96 | -4.06 | 2.10 | - |
| | Sector wise total | | | -23.61 | 20.61 | 0.98 | -45.20 | 11.10 | - | 600.31 | -1121.11 | -253.56 | -24.59 | - |
| | SERVICE SECTOR | | | | | | | | | | | | | |
| 28 | Agra Mandal Vikas Nigam Limited | 1988-89 | 2007-08 | -0.08 | - | 0.01 | -0.09 | 3.91 | - | 1.00 | -0.35 | 0.92 | -0.09 | - |
| 29 | Allahabad Mandal Vikas Nigam Limited | 1983-84 | 1992-93 | -0.03 | 0.01 | 0.07 | -0.11 | 2.74 | - | 0.55 | -0.11 | 0.99 | -0.10 | - |

| (1) | (2) | (3) | (4) | 5(a) | 5(b) | 5(c) | 5(d) | (6) | (7) | (8) | (9) | (10) | (11) | (12) |
|-----|---|---------|---------|-----------------|----------------|----------------|------------------|-----------------|-----------|-----------------|------------------|-----------------|-----------------|----------|
| 30 | Bareilly Mandal Vikas Nigam Limited | 1988-89 | 2011-12 | -0.22 | 0.12 | 0.05 | -0.39 | 3.33 | - | 1.00 | -1.52 | 4.63 | -0.27 | - |
| 31 | Gorakhpur Mandal Vikas Nigam Limited | 1987-88 | 2011-12 | -0.15 | 0.01 | 0.03 | -0.19 | 1.30 | - | 1.26 | -1.52 | 1.32 | -0.18 | - |
| 32 | Lucknow Mandaliya Vikas Nigam Limited | 1981-82 | 1992-93 | 0.54 | - | 0.53 | 0.01 | 1.70 | - | 0.50 | 1.49 | 0.61 | 0.01 | 1.64 |
| 33 | Meerut Mandal Vikas Nigam Limited | 2008-09 | 2010-11 | -0.03 | - | - | -0.03 | - | - | 1.00 | -1.50 | -0.01 | -0.03 | - |
| 34 | Moradabad Mandal Vikas Nigam Limited | 1991-92 | 2011-12 | -0.08 | 0.11 | - | -0.19 | 0.85 | - | 0.25 | -0.78 | 0.12 | -0.08 | - |
| 35 | Tarai Anusuchit Janjati Vikas Nigam Limited | 1982-83 | 1990-91 | -0.04 | - | - | -0.04 | 0.01 | - | 0.25 | - | 0.70 | -0.04 | - |
| 36 | Uttar Pradesh Bundelkhand Vikas Nigam Limited | 2008-09 | 2010-11 | 0.25 | - | - | 0.25 | 0.20 | - | 1.23 | -1.57 | -0.29 | 0.25 | - |
| 37 | Uttar Pradesh Chalchitra Nigam Limited | 2009-10 | 2011-12 | 0.03 | 0.40 | 0.01 | -0.38 | 0.12 | (IL) 0.14 | 8.18 | -14.80 | -4.14 | 0.02 | - |
| 38 | Uttar Pradesh Poorvanchal Vikas Nigam Limited | 1987-88 | 1994-95 | -0.11 | - | 0.03 | -0.14 | 1.30 | - | 1.15 | -1.08 | 0.19 | -0.14 | - |
| 39 | Varanasi Mandal Vikas Nigam Limited | 1987-88 | 1993-94 | -0.02 | - | 0.01 | -0.03 | 1.47 | - | 0.70 | -0.26 | 0.88 | -0.03 | - |
| | Sector wise total | | | 0.06 | 0.65 | 0.74 | -1.33 | 16.93 | - | 17.07 | -22.00 | 5.92 | -0.68 | - |
| | Total C (All sector wise non working Government Companies) | | | -41.72 | 49.79 | 4.88 | -96.39 | 146.71 | - | 754.00 | -1654.57 | -391.55 | -46.60 | - |
| | Grand Total (A + B + C) | | | -6004.57 | 3756.60 | 1177.67 | -10938.84 | 62579.27 | - | 58443.12 | -64555.91 | 34308.11 | -7182.34 | - |

Note: IL indicates increase in loss, DL indicates decrease in loss, IP indicates increase in profit and DP indicates decrease in profit.

Impact of accounts comments include the net impact of comments of Statutory Auditor and CAG

@ Capital employed (except finance companies/corporations) in respect of 61 PSUs whose accounts were received during 1 Oct 2012 to 30 September 2013 represent shareholders fund plus long term borrowings. Capital employed in respect of remaining PSUs (except finance companies/corporations) represents net fixed assets (including capital work in progress) plus working capital. In case of financial companies/corporations, the capital employed is worked out as a mean of aggregate of the opening and closing balances of paid up capital, free reserves, bonds, deposits and borrowings including refinance.

\$ Return on capital employed has been worked out by adding profit and interest charged to profit and loss account

* The audit of Accounts for the period 1999-2000 to 2007-08 was conducted by Local Audit and Audit for the year 2008-09 was entrusted to this Office as per order of the Uttar Pradesh Forest Corporation dated 31 July 2010 after doing necessary amendments in the Uttar Pradesh Forest Corporation Act, 1974.

Annexure-4
(Referred to in paragraph 1.13)
Statement showing financial position of Statutory corporations
Working Statutory corporations
1. Uttar Pradesh State Road Transport Corporation

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|--|------------------------------|------------------------------|------------------------------|
| A. Liabilities | | | |
| Capital (including capital loan and equity capital) | 369.13 | 369.13 | 408.64 |
| Borrowings: | | | |
| Government: | | | |
| Central | - | - | |
| State | | | |
| Others | 258.13 | 243.09 | 290.78 |
| Funds | 8.35 | 8.69 | 25.85 |
| Trade dues and other current liabilities (including provisions) | 906.34 | 1012.24 | 1112.95 |
| Uttar Pradesh and Uttaranchal State Road Transport Corporation reorganisation settlement account | 26.41 | 26.41 | 26.41 |
| Total A | 1568.36 | 1659.56 | 1864.63 |
| B. Assets | | | |
| Gross Block | 1162.46 | 1189.61 | 1194.58 |
| Less: Depreciation | 711.67 | 730.85 | 752.97 |
| Net fixed assets | 450.79 | 458.76 | 441.61 |
| Capital work in progress (including cost of chassis) | 46.41 | 13.13 | - |
| Investments | - | - | 13.33 |
| Current Assets, Loans and Advances | 203.60 | 252.84 | 371.27 |
| Accumulated Losses | 867.56 | 934.83 | 1038.42 |
| Total B | 1568.36 | 1659.83 | 1864.63 |
| C. Capital employed | (-)205.54¹ | (-)287.51¹ | (-)313.15² |

2. Uttar Pradesh Financial Corporation (₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|----------------|----------------|----------------|
| A. Liabilities | | | |
| Paid-up capital | 179.28 | 179.28 | 179.28 |
| Share application money | - | - | - |
| Reserve fund and other reserves and surplus | 19.36 | 19.25 | 19.13 |
| Borrowings: | | | |
| (i) Bonds and debentures | 217.32 | 167.16 | 103.09 |
| (ii) Fixed deposits | 0.03 | 0.009 | 0.009 |
| (iii) Industrial Development Bank of India and Small Industries Development Bank of India | 374.94 | 374.84 | 372.84 |
| (iv) Reserve Bank of India | | | |
| (v) Loans in lieu of share capital: | | | |
| (a) State Government | 228.25 | 269.27 | 292.99 |
| (b) National Handicapped Finance and Development Corporation | 0.53 | 0.43 | 0.38 |
| (vi) Others (including State Govt.) | - | - | 0 |
| Other Liabilities and Provisions | 407.38 | 390.67 | 374.66 |
| Total A | 1427.09 | 1400.91 | 1342.38 |
| B. Assets | | | |
| Cash and Bank balances | 9.49 | 26.41 | 41.65 |
| Investments | 15.10 | 15.10 | 0.10 |
| Loans and Advances | 414.88 | 387.76 | 362.55 |
| Net Fixed Assets | 10.42 | 10.08 | 9.76 |
| Other Assets | 25.85 | 28.57 | 12.56 |
| Miscellaneous Expenditure | - | - | - |
| Profit and Loss Account | 951.35 | 932.99 | 915.76 |
| Total B | 1427.09 | 1400.91 | 1342.38 |
| C. Capital Employed³ | 1008.23 | 995.65 | 969.80 |

¹ Capital employed represent net fixed assets (including capital work-in-progress) plus working capital.

² Capital employed represents shareholders fund plus long term borrowings.

³ Capital employed represents the mean of the aggregate of opening and closing balances of paid-up capital, seed money, debentures, reserves (other than those which have been funded specifically and backed by Investment outside), bonds, deposits and borrowings (including refinance).

3. Uttar Pradesh State Warehousing Corporation

| Particulars | 2008-09 | 2009-10 | 2010-11 | (₹ in crore) |
|---|---------------------------|---------------------------|---------------------------|---------------------------|
| A. Liabilities | | | | |
| Paid up capital ⁴ | 13.37 | 13.37 | 13.37 | 13.37 |
| Reserves and surplus | 252.31 | 299.43 | 299.43 | 286.43 |
| Subsidy | - | - | - | - |
| Borrowings: | | | | |
| Government | - | - | - | - |
| Others | 21.05 | - | - | - |
| Deferred tax liability | - | - | - | 16.62 |
| Trade Dues and Current Liabilities (including provisions) | 56.72 | 74.59 | 91.51 | 91.51 |
| Total A | 343.45 | 387.39 | 387.39 | 407.93 |
| B. Assets | | | | |
| Gross Block | 295.37 | 274.34 | 274.34 | 292.68 |
| Less Depreciation | 77.81 | 52.80 | 52.80 | 64.31 |
| Net Fixed Assets | 217.56 | 221.54 | 221.54 | 228.37 |
| Capital work-in-progress | (-12.02) | (-10.82) | (-10.82) | 3.64 |
| Current Assets, Loans and Advances | 127.91 | 166.67 | 166.67 | 175.92 |
| Profit and Loss Account | - | - | - | - |
| Total B | 343.45 | 387.39 | 387.39 | 407.93 |
| C. Capital Employed | 286.73⁵ | 312.80⁵ | 312.80⁵ | 299.80⁶ |

4. Uttar Pradesh Forest Corporation

| Particulars | 2009-10 | 2010-11 | 2011-12 | (₹ in crore) |
|---|----------------------------|----------------------------|----------------------------|----------------------------|
| A. Liabilities | | | | |
| Reserve and Surplus | 1052.23 | 1173.95 | 1298.29 | 1298.29 |
| Borrowings | 14.87 | 15.75 | 13.50 | 13.50 |
| Current Liabilities (including provisions) | 141.74 | 189.00 | 187.34 | 187.34 |
| Other Liabilities | - | - | - | - |
| Total A | 1208.84 | 1378.70 | 1499.13 | 1499.13 |
| B. Assets | | | | |
| Net Fixed Assets | 16.44 | 17.59 | 19.07 | 19.07 |
| Current Assets, Loans and Advances | 1138.38 | 1307.13 | 1426.12 | 1426.12 |
| Accumulated loss | - | - | - | - |
| Uttaranchal Forest Development Corporation, Dehradun. (Net assets under its possession) | 53.77 | 53.77 | 53.77 | 53.77 |
| Miscellaneous Expenditure | 0.25 | 0.21 | 0.17 | 0.17 |
| Total B | 1208.84 | 1378.70 | 1499.13 | 1499.13 |
| C. Capital employed | 1013.08⁵ | 1135.72⁵ | 1311.79⁵ | 1311.79⁶ |

5. Uttar Pradesh Avas Evam Vikas Parishad

| Particulars | 2009-10 | 2010-11 | 2011-12 | (₹ in crore) |
|--|----------------------------|----------------------------|----------------------------|----------------------------|
| A. Liabilities | | | | |
| Parishad Fund | 2916.12 | 3275.04 | 3719.70 | 3719.70 |
| Surplus | - | - | - | - |
| Borrowings | - | - | - | 90.39 |
| Deposits | 121.13 | 137.64 | 121.26 | 121.26 |
| Reserve for maintenance of unsold property | - | - | - | - |
| Current Liabilities (including Registration Fee) | 3242.65 | 3379.60 | 3692.93 | 3692.93 |
| Excess of assets over liabilities | - | - | - | - |
| Total A | 6279.90 | 6792.28 | 7624.28 | 7624.28 |
| B. Assets | | | | |
| Net Fixed Assets | 31.96 | 30.31 | 27.29 | 27.29 |
| Investments | 1753.91 | 2151.55 | 2037.36 | 2037.36 |
| Current Assets, Loans and Advances | 4494.03 | 4610.42 | 5550.63 | 5550.63 |
| Total B | 6279.90 | 6792.28 | 7624.28 | 7624.28 |
| C. Capital employed | 1283.34⁵ | 1261.13⁵ | 3810.09⁶ | 3810.09⁶ |

⁴ Including share capital pending allotment ₹ 2.20 crore.

⁵ Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

⁶ Capital employed represents shareholders fund plus long term borrowings.

6. Uttar Pradesh Jal Nigam

(₹ in crore)

| Particulars | 2008-09 | 2009-10 | 2010-11 ⁷ |
|--|----------------------------|----------------------------|----------------------------|
| A. Liabilities | | | |
| Borrowings | | | |
| Loans fund: | | | |
| (i) From LIC | - | - | - |
| (ii) From UP Government | 393.14 | 509.54 | 524.02 |
| (iii) From Banks | - | - | - |
| Grants from Government | 6150.13 | 7626.65 | 9420.49 |
| Deposits | - | - | - |
| Current Liabilities: | | | |
| Centage on material unconsumed | 73.67 | 109.96 | 120.22 |
| Other liabilities | 4952.03 | 4541.27 | 5963.42 |
| Deposits (deposit received for project) | 3088.47 | 4132.16 | 5066.94 |
| Provision for gratuity | 6.50 | 6.50 | 6.50 |
| Project transferred from LSGED to Jal Nigam | 9.47 | 9.49 | 9.44 |
| Total A | 14673.41 | 16935.57 | 21111.03 |
| B. Assets | | | |
| Gross Block | 23.49 | 23.51 | 23.50 |
| Less: Depreciation | 9.20 | 9.53 | 9.79 |
| Net Fixed Assets | 14.29 | 13.98 | 13.71 |
| Investments | - | - | - |
| PF Invested | 144.19 | 143.31 | 142.85 |
| Project: | | | |
| (i) Material | 725.74 | 862.56 | 927.53 |
| (ii) Work in progress | 6329.45 | 7851.64 | 10313.50 |
| (iii) Completed rural water project maintained by Uttar Pradesh Jal Nigam | 735.04 | 823.17 | 804.03 |
| (iv) Rural water work project cost of LSGED transferred to Uttar Pradesh Jal Nigam | 9.08 | 9.08 | 9.08 |
| Current Assets | 5824.90 | 6131.37 | 7551.58 |
| Loans and advances | 806.28 | 1015.07 | 1281.69 |
| Deficit | 84.44 | 85.39 | 67.06 |
| Total B | 14673.41 | 16935.57 | 21111.03 |
| C. Capital employed | 6321.53⁸ | 7913.99⁸ | 9877.45⁹ |

⁷ Finalisation of Separate Audit Report under process.

⁸ Capital employed represents net fixed assets (including capital work-in-progress) plus working capital.

⁹ Capital employed represents shareholders fund plus long term borrowings.

Annexure-5
(Referred to in paragraph 1.13)
Statement showing working results of Statutory corporations
A. Working Statutory corporations

1. Uttar Pradesh State Road Transport Corporation

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|----------------------------------|-----------|-----------|-----------|
| Operating | | | |
| (a) Revenue | 1602.22 | 2038.56 | 2291.66 |
| (b) Expenditure | 1684.71 | 2092.45 | 2322.82 |
| (c) Surplus (+)/Deficit (-) | (-)82.49 | (-)53.89 | (-)31.16 |
| Non operating | | | |
| (a) Revenue | 54.79 | 35.84 | 32.32 |
| (b) Expenditure | 24.27 | 20.44 | 35.73 |
| (c) Surplus (+)/Deficit (-) | 30.52 | 15.40 | (-)3.41 |
| Total | | | |
| (a) Revenue | 1657.01 | 2074.40 | 2323.98 |
| (b) Expenditure | 1708.98 | 2112.89 | 2358.55 |
| (c) Net Profit (+)/Loss (-) | (-)51.97 | (-)38.49 | (-)34.57 |
| Interest on Capital and Loans | 24.27 | 20.44 | 35.73 |
| Total return on Capital employed | (-)27.70 | (-)18.05 | 1.16 |

(₹ in crore)

2. Uttar Pradesh Financial Corporation

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|--------------|--------------|--------------|
| 1. Income | | | |
| (a) Interest on loans | 14.61 | 20.93 | 21.71 |
| (b) Other Income | 1.68 | 7.36 | 2.81 |
| (c) Interest Provision written back | - | - | - |
| (d) NPA Provision written back | 6.51 | 18.58 | 16.67 |
| (e) Depreciation investment written back | - | - | - |
| Total 1 | 22.80 | 46.87 | 41.19 |
| 2. Expenses | | | |
| (a) Interest on long term loan | 0.48 | 0.05 | 0.01 |
| (b) Provision for non performing assets | 1.44 | 0.003 | - |
| (c) Other expenses | 20.52 | 28.47 | 23.66 |
| (d) Loss on sale of fixed assets | - | - | - |
| Total 2 | 22.44 | 28.52 | 23.67 |
| 3. Profit (+)/Loss (-) before tax (1-2) | 0.36 | 18.35 | 17.52 |
| 4. Other appropriations | - | - | - |
| 5. Amount available for dividend ¹ | - | - | - |
| 6. Dividend paid/payable | - | - | - |
| 7. Total return on capital employed | 0.84 | 18.40 | 17.53 |
| 8. Percentage of return on capital employed | 0.08 | 1.85 | 1.81 |

(₹ in crore)

3. Uttar Pradesh State Warehousing Corporation

(₹ in crore)

| Particulars | 2008-09 | 2009-10 | 2010-11 |
|---|---------------|---------------|---------------|
| 1. Income: | | | |
| (a) Warehousing charges | 177.50 | 214.37 | 162.43 |
| (b) Other Income | 4.16 | 4.35 | 7.56 |
| Total 1 | 181.66 | 218.72 | 169.99 |
| 2. Expenses: | | | |
| (a) Establishment charges | 44.14 | 46.86 | 63.74 |
| (b) Interest | 1.90 | 0.49 | 0.08 |
| (c) Other expenses | 95.80 | 106.95 | 87.87 |
| Total 2 | 141.84 | 154.30 | 151.69 |
| 3. Profit (+)/Loss (-) before tax (1-2) | 39.82 | 64.42 | 18.30 |
| 4. Appropriations: | | | |
| (i) Payment of income tax | 10.12 | 28.41 | 8.33 |
| (ii) Provision for tax: | | | |
| (a) Income tax | - | - | - |
| (b) Dividend tax | 0.28 | 0.28 | 0.28 |

¹ Represents profit of current year available for dividend after considering the specific reserves and provision for taxation.

| Particulars | 2008-09 | 2009-10 | 2010-11 |
|--|---------|---------|---------|
| (iii) Profit after tax (Amount available for dividend) | 29.42 | 35.73 | 9.69 |
| (iv) Dividend proposed for the year | 1.67 | 1.67 | 1.68 |
| (v) Other appropriations | 27.75 | 34.06 | 7.20 |
| 5. Profit transferred to Balance Sheet | - | - | 0.81 |
| Total return on capital employed | 41.72 | 64.91 | 18.38 |
| Percentage of return on capital employed | 14.55 | 20.75 | 6.10 |

4. Uttar Pradesh Forest Corporation

(₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|--|---------------|---------------|---------------|
| 1. Income: | | | |
| Sales | 283.16 | 329.90 | 339.91 |
| Other Income | 57.06 | 69.62 | .67.85 |
| Closing Stock | 123.36 | 136.62 | 131.04 |
| Total 1 | 463.58 | 536.14 | 538.80 |
| 2. Expenditure: | | | |
| Purchases | 120.14 | 119.01 | 100.66 |
| Other Expenses | 122.22 | 168.60 | 175.44 |
| Opening Stock | 105.55 | 123.36 | 136.62 |
| Total 2 | 347.91 | 410.97 | 412.72 |
| Net Profit | 115.67 | 125.17 | 126.08 |
| Total return on capital employed | 115.67 | 125.17 | 126.08 |
| Percentage of return on capital employed | 11.42 | 11.02 | 9.61 |

5. Uttar Pradesh Avas Evam Vikas Parishad

(₹ in crore)

| Particulars | 2009-10 | 2010-11 | 2011-12 |
|---|---------------|---------------|---------------|
| 1. Income: | | | |
| (a) Income from property | 508.44 | 397.40 | 461.67 |
| (b) Other Income | 326.33 | 395.12 | 533.60 |
| Total 1 | 834.77 | 792.52 | 995.27 |
| 2. Expenditure: | | | |
| (a) Cost of property sold | 332.62 | 211.37 | 336.20 |
| (b) Establishment | 119.95 | 180.44 | 185.84 |
| (c) Interest | - | - | 0.39 |
| (d) Other expenses | 43.74 | 41.91 | 41.79 |
| Total 2 | 496.31 | 433.72 | 564.22 |
| 3. Excess of income over expenditure | 338.46 | 358.80 | 431.05 |
| 4. Total return on capital employed | 338.46 | 358.80 | 431.44 |
| 5. Percentage of total return on capital employed | 26.37 | 28.45 | 11.32 |

6. Uttar Pradesh Jal Nigam

(₹ in crore)

| Particulars | 2008-09 | 2009-10 | 2010-11 ² |
|---|---------------|---------------|----------------------|
| 1. Income: | | | |
| Centage | 164.34 | 229.10 | 311.18 |
| Survey and project fee | 4.20 | - | 17.81 |
| Receipt from consumers for scheme maintained by Uttar Pradesh Jal Nigam | 23.60 | 25.28 | 26.55 |
| Other income | 19.18 | 22.16 | 31.85 |
| Income from financing activities | 43.64 | 30.17 | 31.98 |
| Revenue grant: | | | |
| (i) From UP Government for maintenance | 153.28 | 134.91 | 132.78 |
| (ii) From Government for HRD | | | |
| Income of C&DS | 69.90 | 92.35 | 100.21 |
| Income of Nalkoop wing | 2.91 | 2.36 | 3.14 |
| Interest | - | - | - |
| Grant | - | - | - |
| Others | - | - | - |
| Total 1 | 481.05 | 536.33 | 655.50 |
| 2. Expenditure | | | |
| Establishment charges/operating expenses | 237.59 | 235.37 | 275.52 |

² Finalisation of Separate Audit Report under process.

| Particulars | 2008-09 | 2009-10 | 2010-11 ³ |
|----------------------------------|---------------|---------------|----------------------|
| Expenditure on maintenance | 122.34 | 169.31 | 228.29 |
| Interest | 21.29 | 40.16 | 39.70 |
| Other expenses | - | - | - |
| Depreciation | 0.31 | 0.35 | 0.25 |
| Expenditure of C&DS | 31.38 | 39.28 | 90.01 |
| Expenditure of Nalkoop Nigam | 1.60 | 1.35 | 1.63 |
| Grant to Jal Sansthan | - | - | - |
| Grant to Irrigation | - | - | - |
| Total 2 | 414.51 | 485.82 | 635.40 |
| Deficit (-)/Surplus (+) | 66.54 | 50.51 | 20.10 |
| Total return on capital employed | 87.83 | 90.67 | 59.80 |

Source: Latest finalised accounts of the PSUs

³ Finalisation of Separate Audit Report under process.

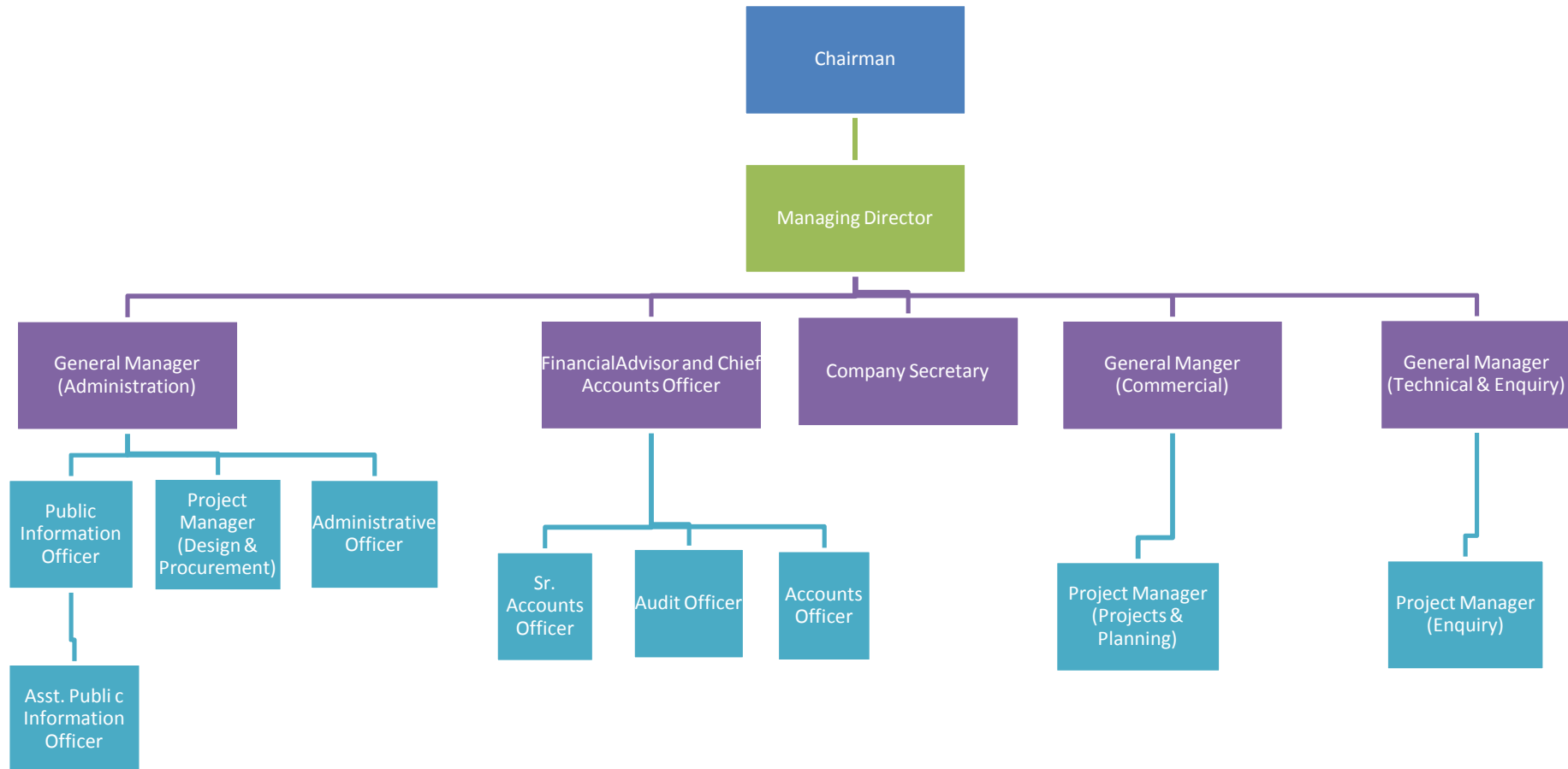
Annexure-6
(Referred to in paragraph 1.21)

Statement showing investment made during the year by the Government in the form of equity, loans, grants/subsidies to the working Government companies / Statutory corporations which had arrears in finalisation of accounts

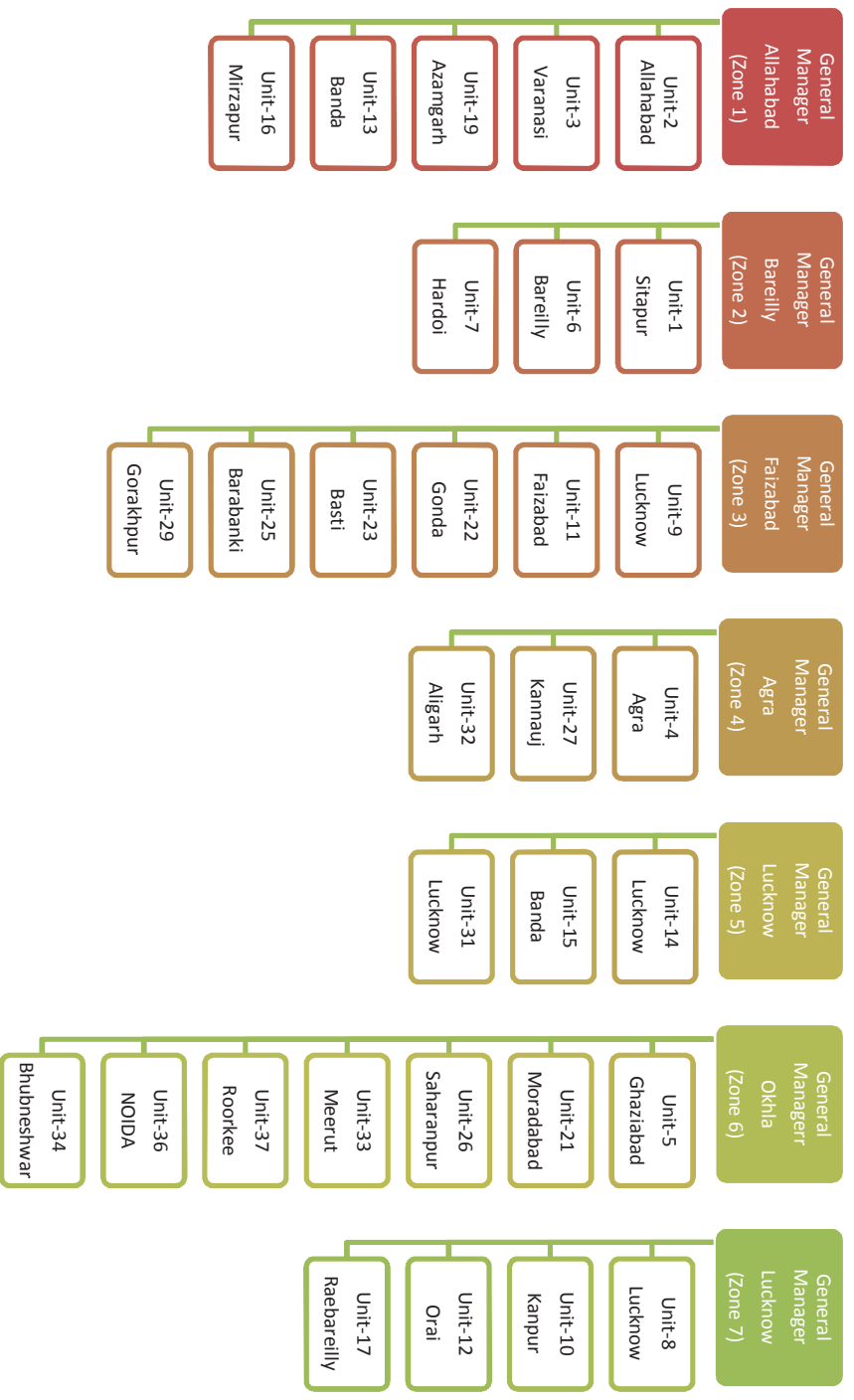
(₹ in crore)

| Sl. No. | Name of company/corporation | Year up to which accounts finalised | Paid up capital as per latest finalised accounts | Investment made during the year by the State Government | | | | |
|--|--|-------------------------------------|--|---|--------------|---------------|----------------|----------|
| | | | | Equity | Loans | Grants | Subsidies | |
| A. Working Government Companies | | | | | | | | |
| 1 | Uttar Pradesh Bhumii Sudhar Nigam | 2008-09 | 1.50 | - | - | 150.87 | - | - |
| 2 | Uttar Pradesh Alpsankhyak Vita Evam Vikas Nigam Limited | 1995-96 | 14.23 | - | - | - | 0.13 | - |
| 3 | Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited | 2009-10 | 202.12 | 1.25 | - | - | 69.38 | - |
| 4 | Uttar Pradesh Electronics Corporation Limited | 2011-12 | 91.54 | - | - | 1.35 | - | - |
| 5 | Uttar Pradesh State Spinning Company Ltd. | 2011-12 | 93.24 | - | 11.45 | - | - | - |
| 6 | Uttar Pradesh State Yarn Company Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited) | 2011-12 | 31.91 | - | 13.30 | - | - | - |
| 7 | Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2011-12 | 2780.44 | - | - | - | 597.28 | - |
| 8 | Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2011-12 | 2102.24 | - | - | 4.35 | 1851.02 | - |
| 9 | Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2011-12 | 460.75 | - | - | 0.82 | 999.72 | - |
| 10 | Uttar Pradesh Jal Vidyut Nigam Limited | 2010-11 | 431.75 | 1.39 | - | - | - | - |
| 11 | Uttar Pradesh Power Transmission Corporation Limited (Subsidiary of Uttar Pradesh Power Corporation Limited) | 2010-11 | 4033.46 | 8.00 | - | - | - | - |
| 12 | Uttar Pradesh Power Corporation Limited | 2011-12 | 33514.44 | 2175.79 | - | - | - | - |
| 13 | Uttar Pradesh Rajya Vidyut Utrapadan Nigam Limited | 2010-11 | 6302.01 | 800.97 | - | - | - | - |
| 14 | Uttar Pradesh Development Systems Corporation Limited | 2010-11 | 1.00 | - | - | 2.00 | - | - |
| 15 | Uttar Pradesh State Tourism Development Corporation Limited | 2011-12 | 18.60 | - | - | 2.49 | - | - |
| | Total A | | 50079.23 | 2987.40 | 24.75 | 161.88 | 3517.53 | |
| B. Working Statutory Corporations | | | | | | | | |
| 1 | Uttar Pradesh Jal Nigam | 2010-11 | - | - | - | 425.43 | - | - |
| | Total B | | - | - | - | 425.43 | - | - |
| | Grand Total (A+B) | | 50079.23 | 2987.40 | 24.75 | 587.31 | 3517.53 | |

Annexure-7
(Referred to in paragraph 2.1.1)
Organisation chart of U.P. Projects Corporation Ltd



Annexure-8
(Referred to in paragraph 2.1.1)
Chart showing distribution of units among Zones



Annexure-9
(Referred to in paragraph 2.6)
Statement showing works of various departments executed by the Company
during the period from 2007-08 to 2012-13

| Department/Organisation | Name of works |
|--|---|
| Irrigation Department | Construction of tube wells, approach roads, culverts, canal lining works, pump canals etc. |
| Health Department | Construction of Health Sub-Centres, Primary Health Centres, Community Health Centres, modernisation and renovation of Districts Hospitals |
| Home Department | Construction of Police Thanas, barracks and residential buildings |
| Revenue Department | Construction of Tehsil buildings |
| Education Department | Construction of Primary Schools, High Schools, Model Schools and Inter Colleges |
| Technical Education | Government Technical Institutes, Industrial Training Institutes |
| Panchayati Raj Department | Construction of Panchayat Gram Sachivalayas |
| Minority Department | Construction of Anganwadis |
| Works under schemes of Government of India | Integrated Housing and Slum Development Programme and Basic Services for Urban Poor |
| Employees State Insurance Corporation | Face Lifting/ Interior and New Staff Quarters at Noida and expansion Phase –II in ESI Hospital, Sector-24, Noida |

Annexure-10
(Referred to in paragraph 2.13)
Statement showing payment of consultants' fee without actual work in case of
Health Sub Centres, Gram Sachivalayas, Anganwadi Kendras and Dr. Bhim Rao
Ambedkar Community Centres

| Sl. No. | Name of the Architect | Name of the Unit | Name of work | Total Fee paid (₹) |
|---|-------------------------|-------------------|---|--------------------|
| Payment details of Health Sub Centres | | | | |
| 1 | Akriti Consultant | Unit-1, Sitapur | 18 Health sub-centres at Sitapur | 51806 |
| | Akriti Consultant | Unit-1, Sitapur | 18 Health sub-centres at Lakhimpur Kheri | 51806 |
| | Akriti Consultant | Unit-1, Sitapur | 29 ANM Centres (Health sub-centres) at Lakhimpur Kheri | 853336 |
| | Akriti Consultant | Unit-2, Allahabad | Health sub-centres at Pratapgarh | 64676 |
| | Akriti Consultant | Unit-3, Varanasi | 19 Health sub-centres at Jaunpur | 55326 |
| | Akriti Consultant | Unit-5, Ghaziabad | Health sub-centres at Bulandshahar | 157860 |
| | Akriti Consultant | Unit-5, Ghaziabad | 13 Health sub-centres | 35802 |
| | Akriti Consultant | Unit-11, Faizabad | Health sub-centres | 25851 |
| | Akriti Consultant | Unit-11, Faizabad | Health sub-centres | 50363 |
| 2 | Awas Consultant | Unit-2, Allahabad | Health sub-centres | 45865 |
| 3 | Innovation | Unit-14, Lucknow | Health sub-centres at Lakhimpur Kheri | 79782 |
| 4 | The Nirmana | Unit-3, Varanasi | 32 Health sub-centres at Ghazipur | 92336 |
| | The Nirmana | Unit-3, Varanasi | 9 Health sub-centres at Sonebhadra | 24457 |
| | The Nirmana | Unit-3, Varanasi | 28 Health sub-centres Varanasi | 79951 |
| | | | Total (A) | 901217 |
| Payment details of Gramin Sachivalaya Building | | | | |
| 5 | Design Centre | Unit-3, Varanasi | 39 Gramin Sachivalaya Buildings at Sonebhadra | 240070 |
| | Design Centre | Unit-3, Varanasi | 59 Gramin Sachivalaya Buildings at Jaunpur | 348275 |
| | Design Centre | Unit-3, Varanasi | 58 Gramin Sachivalaya Buildings at Chandauli | 310403 |
| | Design Centre | Unit-3, Varanasi | 94 Gramin Sachivalaya Buildings at Mirzapur | 537632 |
| 6 | Innovation | Unit-14, Lucknow | Gramin Sachivalaya Buildings at Unnao | 106594 |
| | | | Total (B) | 1542974 |
| Payments details of Anganwadi Kendra | | | | |
| 7 | Akriti Consultant | Unit-1, Sitapur | 83 Anganwadi Kendras at Lakhimpur Kheri | 89336 |
| 8 | Innovation | Unit-14, Lucknow | Anganwadi Kendras at Unnao | 21681 |
| 9 | Rajeev Kumar Associates | Unit-5, Ghaziabad | Anganwadi Kendras at Ghaziabad | 182830 |
| | | | Total (C) | 293847 |
| Payments details of Dr.Bhim Rao Ambedkar Community Centres | | | | |
| 10 | Akriti Consultant | Unit-1, Sitapur | 30 Dr. Bhim Rao Ambedkar Community Centres at Sitapur | 177012 |
| 11 | Design Cell | Unit-5, Ghaziabad | Dr. Bhim Rao Ambedkar Community Centres at Bulandshahar | 38346 |
| | V Design | Unit-11, Faizabad | Dr. Bhim Rao Ambedkar Community Centres | 144039 |
| | | | Total (D) | 359397 |
| | | | Grand Total | 3097435 |

Annexure-11
(Referred to in paragraph 2.17)

Statement showing excess payment to sub-contractors due to allowing higher rates than the rate of UPPWD SOR of concerned district

(₹ in lakh)

| (1) Name of the work | (2) Name of the unit which executed the work | (3) Total number of work executed | (4) Actual cost of individual work based on wrong SOR (including centage) | (5) Estimated cost of the work as per audit analysis based on applicable SOR (including centage) | (6) Amount by which estimates inflated (Col.5 – Col.4) | (7) Excess payment on execution of individual work <u>Col. 6 x 100</u> 112.50 | (8) Total excess payment (Col. 3 x Col. 7) |
|--|---|--------------------------------------|--|---|---|--|---|
| CHC, Ankola | Unit-4, Agra | 1 | 311.34 | 306.26 | 5.08 | 4.52 | 4.52 |
| CHC, Bichpuri | Unit-4, Agra | 1 | 311.34 | 305.45 | 5.89 | 5.23 | 5.23 |
| CHC, Fatehpur Sikri | Unit-4, Agra | 1 | 311.34 | 310.56 | 0.78 | 0.69 | 0.69 |
| Collectorate Residence, Agra | Unit-4, Agra | 1 | 283.19 | 274.71 | 8.48 | 7.54 | 7.54 |
| ITI, Gauri Bazar | Unit-29, Gorakhpur | 1 | 402.77 | 397.96 | 4.81 | 4.28 | 4.28 |
| Construction of Anganwadi Kendras at Ghaziabad | Unit-5, Ghaziabad | 210 | 2.95 | 2.42 | 0.53 | 0.47 | 98.70 |
| Construction of Anganwadi Kendras at Lucknow | Unit-14, Lucknow | 47 | 2.95 | 2.78 | 0.17 | 0.15 | 7.05 |
| Construction of Anganwadi Kendras at Ghaziabad | Unit-36, Noida | 170 | 2.95 | 2.41 | 0.54 | 0.48 | 81.60 |
| Construction of Anganwadi Kendras at Sitapur and Lucknow | Unit-1, Sitapur | 161 | 2.95 | 2.51 | 0.44 | 0.39 | 62.79 |
| Construction of Dr. Bhim Rao Ambedkar Community Centres at Bulandshahar | Unit-11, Faizabad | 15 | 16.39 | 15.25 | 1.14 | 1.01 | 15.15 |
| Construction of Dr. Bhim Rao Ambedkar Community Centres at Bulandshahar | Unit-5, Ghaziabad | 8 | 16.39 | 14.80 | 1.59 | 1.41 | 11.28 |
| Construction of Dr. Bhim Rao Ambedkar Community Centres at Sitapur and Lucknow | Unit-1, Sitapur | 46 | 16.39 | 15.56 | 0.83 | 0.74 | 34.04 |
| Construction of Gram Sachivalaya Buildings at Gorakhpur | Unit-29, Gorakhpur | 48 | 14.72 | 13.52 | 1.20 | 1.06 | 50.88 |
| Construction of Gram Sachivalaya Buildings at Varanasi | Unit-3, Varanasi | 295 | 14.72 | 14.01 | 0.71 | 0.63 | 185.85 |
| Construction of Health Sub Centres, Lucknow | Unit-14, Lucknow | 49 | 8.19 | 7.86 | 0.33 | 0.29 | 14.21 |
| Construction of Health sub-centres at Ghaziabad, Bulandshahar and Noida | Unit-36, Noida | 21 | 8.19 | 7.60 | 0.59 | 0.52 | 10.92 |
| Construction of minority Health sub-centres at Ghaziabad, Bulandshahar and Noida | Unit-36, Noida | 36 | 8.19 | 7.60 | 0.59 | 0.52 | 18.72 |
| Total | | | | | | | 613.45 |

Annexure-12
(Referred to in paragraph 2.28)
Statement showing Financial Position and Working results of the U.P. Projects Corporation Limited

Financial position

(₹ in crore)

| Particulars | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12* |
|--|----------------|----------------|----------------|----------------|----------------|
| A- Liabilities | | | | | |
| Share Capital | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 |
| Reserve and surplus | 18.41 | 49.43 | 68.35 | 36.35 | 45.77 |
| Current Liabilities & Provisions: | | | | | |
| (i) Sundry creditors | 59.71 | 72.80 | 84.10 | 109.60 | 73.24 |
| (ii) Advances against works | 1077.14 | 1248.66 | 1995.29 | 2237.25 | 2122.27 |
| (iii) Other liabilities | 23.18 | 34.62 | 80.02 | 193.04 | 228.98 |
| (iv) Provisions | 8.08 | 2.45 | 1.72 | 1.70 | 2.45 |
| Total-A | 1192.92 | 1414.36 | 2235.88 | 2584.34 | 2479.11 |
| B- Assets | | | | | |
| Fixed Assets | 1.85 | 2.00 | 2.29 | 3.03 | 2.89 |
| Work in progress(Capital) | 0.60 | 0.67 | 0.67 | 0.14 | 0.14 |
| Current Assets & Loans and Advances: | | | | | |
| (i) Works in progress | 626.44 | 890.29 | 1352.01 | 1780.37 | 1763.61 |
| (ii) Stock in trade | 25.78 | 22.55 | 22.04 | 25.90 | 23.23 |
| (iii) Sundry Debtors | 11.67 | 5.99 | 7.69 | 7.44 | 7.47 |
| (iv) Cash in hand and cash in transit | 11.96 | 4.63 | 1.32 | 0.06 | 2.11 |
| (v) Bank balance in scheduled Banks (incl. accrued interest on Fixed deposits) | 325.70 | 468.95 | 796.96 | 695.45 | 607.73 |
| (vi) Balance in P.L.A of Treasury | 171.60 | 4.64 | 4.64 | 4.64 | 4.64 |
| (vii) Advances recoverable | 17.06 | 14.38 | 48.00 | 67.07 | 62.20 |
| Miscellaneous expenditure | 0.26 | 0.26 | 0.26 | 0.25 | 0.25 |
| Unit Balance | - | - | - | - | 4.35 |
| Total-B | 1192.92 | 1414.36 | 2235.88 | 2584.34 | 2479.11 |
| Capital Employed | 24.55 | 55.57 | 74.49 | 42.50 | 51.92 |
| Net worth | 24.55 | 55.57 | 74.49 | 42.50 | 51.92 |

*Provisional figures

Note-1: Capital employed represents net fixed Assets (including capital work in progress) + working capital)

Note-2: Net worth represents paid up capital + Reserves and surplus – intangible assets

Working results

(₹ in crore)

| Particulars | 2007-08 | 2008-09 | 2009-10 | 2010-11 | 2011-12* |
|---|---------------|---------------|---------------|---------------|---------------|
| A- Income | | | | | |
| Value of work done | 354.13 | 528.43 | 733.99 | 912.70 | 586.61 |
| Interest received from bank | 13.13 | 26.22 | 3.72 | 3.94 | 3.73 |
| Income from sale of material | 0.28 | 0.27 | 0.03 | 0.03 | 0.01 |
| Other receipt | 1.03 | 2.73 | 0.52 | 0.43 | 0.48 |
| Total-A | 368.57 | 557.65 | 738.26 | 917.10 | 590.83 |
| B- Expenditure | | | | | |
| Material consumed | 132.38 | 118.04 | 223.07 | 138.06 | 101.30 |
| Labour charges and other direct expenses | 189.32 | 356.03 | 442.53 | 692.24 | 426.19 |
| Drawing, design, architect fee, and other charges | 3.55 | 5.70 | 10.41 | 9.70 | 6.10 |
| Electrification of tubewells | 0.77 | 6.52 | 3.49 | 3.30 | 1.88 |
| Other misc. expenses | 18.05 | 32.94 | 29.91 | 45.60 | 39.88 |
| Profit before income tax, dividend and prior period adjustments | 24.50 | 38.42 | 28.85 | 28.20 | 15.48 |
| Total-B | 368.57 | 557.65 | 738.26 | 917.10 | 590.83 |
| Profit before income tax, dividend and prior period adjustments | 24.50 | 38.42 | 28.85 | 28.20 | 15.48 |
| Add Adjustments | | | | | |
| Less- Provision for Income Tax during the year | 0.03 | 6.54 | 0.74 | - | - |
| Less – Prior period adjustments | 8.67 | 13.20 | 10.22 | 9.50 | 5.00 |
| Less- Proposed Dividend | 0.64 | 0.64 | 0.03 | 0.03 | 0.01 |
| Less- provision of tax on proposed dividend | 0.11 | 0.11 | 0.64 | 0.64 | 0.64 |
| Net Profit transferred to Balance Sheet | 15.11 | 31.01 | 18.59 | 17.93 | 9.73 |

*Provisional figures

Annexure-13
(Referred to in paragraph 2.34)
Statement showing non-refund of unspent funds to client departments

| (₹ in crore) | | | | | | | |
|----------------|--------------|-----------------|-------------------------|---------------|---------------------------------|-------------------------------|--|
| Department | No. of works | Sanctioned cost | Revised Sanctioned cost | Fund Received | Total cost including percentage | Unspent funds (Col.5 – Col.6) | Percentage of unspent funds received to funds received (Col. 7/Col. 5 x 100) |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| Agriculture | 4 | 1.33 | 1.33 | 1.33 | 1.31 | 0.02 | 1.50 |
| Education | 10 | 10.30 | 13.66 | 13.66 | 13.27 | 0.39 | 2.86 |
| Health | 23 | 17.60 | 19.43 | 19.43 | 19.12 | 0.31 | 1.60 |
| Home | 5 | 1.46 | 1.46 | 1.46 | 1.44 | 0.02 | 1.37 |
| ParivarKalyan | 9 | 0.74 | 0.74 | 0.74 | 0.68 | 0.06 | 8.11 |
| Panchayati Raj | 41 | 6.04 | 6.04 | 6.04 | 5.59 | 0.45 | 7.45 |
| Pashupalan | 11 | 1.68 | 1.68 | 1.68 | 1.50 | 0.18 | 10.71 |
| Revenue | 6 | 12.75 | 15.21 | 15.21 | 14.56 | 0.65 | 4.27 |
| Sports | 10 | 7.58 | 7.58 | 7.58 | 7.33 | 0.25 | 3.30 |
| Others | 10 | 6.62 | 6.62 | 6.62 | 6.31 | 0.31 | 4.68 |
| | 129 | 66.10 | 73.75 | 73.75 | 71.11 | 2.64 | 3.58 |

Annexure-14

(Referred to in paragraph 3.6)

Statement showing loss of interest due to delay in billing of energy supplied during peak hours to Hindalco Industries Limited

| Month and Year | Total energy sold to Hindalco | Energy adjusted from Banked Energy | Energy Billed | Energy supplied during peak hours ¹ | Energy charges recoverable from Hindalco for supply during peak hours as per HV-2 Rate ² (Col. 5 x Rates) | Delay in raising of bills (up to August 2013) (months) | Loss of interest at the rate of 1.25 per cent per month (Col.6xCol.7x 1.25 per cent) |
|----------------|-------------------------------|------------------------------------|---------------|--|---|--|---|
| | (kVAh) | (kVAh) | (kVAh) | Col. 2 x 5/24 (kVAh) | (in ₹) | | (in ₹) |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| 04/2009 | 5808000 | 5808000 | 0 | 1210000 | 4525400 | 52 | 2941510 |
| 05/2009 | 16191500 | 16191500 | 0 | 3373229 | 12615877 | 51 | 8042622 |
| 06/2009 | 15071000 | 15071000 | 0 | 3139792 | 11742821 | 50 | 7339263 |
| 07/2009 | 1200000 | 1200000 | 0 | 250000 | 935000 | 49 | 572688 |
| 08/2009 | 4169333 | 4169333 | 0 | 868611 | 3248605 | 48 | 1949163 |
| 09/2009 | 4209000 | 4209000 | 0 | 876875 | 3279513 | 47 | 1926714 |
| 10/2009 | 1137333 | 1137333 | 0 | 236944 | 886172 | 46 | 509549 |
| 11/2009 | 8336000 | 8336000 | 0 | 1736667 | 6495133 | 45 | 3653513 |
| 12/2009 | 23023667 | 23023667 | 0 | 4796597 | 17939274 | 44 | 9866601 |
| 01/2010 | 26140000 | 26140000 | 0 | 5445833 | 20367417 | 43 | 10947486 |
| 02/2010 | 8955000 | 8955000 | 0 | 1865625 | 6977438 | 42 | 3663155 |
| 03/2010 | 768667 | 768667 | 0 | 160139 | 598920 | 41 | 306946 |
| 04/2010 | 6741000 | 6741000 | 0 | 1404375 | 5252363 | 40 | 2626181 |
| 05/2010 | 4955667 | 4955667 | 0 | 1032431 | 4449776 | 39 | 2169266 |
| 06/2010 | 6538333 | 6538333 | 0 | 1362153 | 5870878 | 38 | 2788667 |
| 07/2010 | 3555333 | 3555333 | 0 | 740694 | 3192393 | 37 | 1476482 |
| 08/2010 | 4174667 | 4174667 | 0 | 869722 | 3748503 | 36 | 1686826 |
| 09/2010 | 4411333 | 4411333 | 0 | 919028 | 3961009 | 35 | 1732942 |
| 10/2010 | 4995667 | 4995667 | 0 | 1040764 | 4485693 | 34 | 1906419 |
| 11/2010 | 2065667 | 2065667 | 0 | 430347 | 1854797 | 33 | 765104 |

¹ In absence of reading of peak hours, the supply in peak hours has been worked out on the basis of proportion of peak hours i.e. five hours to total hours i.e. 24.
² ₹ 3.74 per kVAh up to April 2010, ₹ 4.31 per kVAh from May 2010 to October 2012 and ₹ 6.21 per kVAh from November 2012 and onwards.

| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
|--------------|------------------|------------------|----------|-----------------|------------------|-----|------------------|
| 12/2010 | 3410667 | 3410667 | 0 | 710556 | 3062495 | 32 | 1224998 |
| 01/2011 | 4990333 | 4990333 | 0 | 1039653 | 4480903 | 31 | 1736350 |
| 02/2011 | 25168667 | 25168667 | 0 | 5243472 | 22599366 | 30 | 8474762 |
| 03/2011 | 10408333 | 10408333 | 0 | 2168403 | 9345816 | 29 | 3387858 |
| 04/2011 | 2263333 | 2263333 | 0 | 471528 | 2032284 | 28 | 711300 |
| 05/2011 | 7639000 | 7639000 | 0 | 1591458 | 6859185 | 27 | 2314975 |
| 06/2011 | 5247667 | 5247667 | 0 | 1093264 | 4711968 | 26 | 1531389 |
| 07/2011 | 2618667 | 2618667 | 0 | 545556 | 2351345 | 25 | 734795 |
| 08/2011 | 26535000 | 26535000 | 0 | 5528125 | 23826219 | 24 | 7147866 |
| 09/2011 | 3720333 | 3720333 | 0 | 775069 | 3340549 | 23 | 960408 |
| 10/2011 | 7008667 | 7008667 | 0 | 1460139 | 6293199 | 22 | 1730630 |
| 11/2011 | 3573000 | 3573000 | 0 | 744375 | 3208256 | 21 | 842167 |
| 12/2011 | 2603667 | 2603667 | 0 | 542431 | 2337876 | 20 | 584469 |
| 01/2012 | 2358667 | 2358667 | 0 | 491389 | 2117886 | 19 | 502998 |
| 02/2012 | 7058667 | 7058667 | 0 | 1470556 | 6338095 | 18 | 1426071 |
| 03/2012 | 4322000 | 4322000 | 0 | 900417 | 3880796 | 17 | 824669 |
| 04/2012 | 7663667 | 7663667 | 0 | 1596597 | 6881334 | 16 | 1376267 |
| 05/2012 | 14025333 | 14025333 | 0 | 2921944 | 12593580 | 15 | 2361296 |
| 06/2012 | 11375333 | 11375333 | 0 | 2369861 | 10214101 | 14 | 1787468 |
| 07/2012 | 1218000 | 1218000 | 0 | 253750 | 1093663 | 13 | 177720 |
| 08/2012 | 6372333 | 6372333 | 0 | 1327569 | 5721824 | 12 | 858274 |
| 09/2012 | 2116667 | 2116667 | 0 | 440972 | 1900591 | 11 | 261331 |
| 10/2012 | 5545333 | 5545333 | 0 | 1155278 | 4979247 | 10 | 622406 |
| 11/2012 | 6560667 | 6560667 | 0 | 1366806 | 8487863 | 9 | 954885 |
| 12/2012 | 9313667 | 9313667 | 0 | 1940347 | 12049557 | 8 | 1204956 |
| 01/2013 | 4272333 | 4272333 | 0 | 890069 | 5527331 | 7 | 483641 |
| 02/2013 | 7387667 | 7387667 | 0 | 1539097 | 9557794 | 6 | 716835 |
| 03/2013 | 14416667 | 14416667 | 0 | 3003472 | 18651563 | 5 | 1165723 |
| Total | 361641502 | 361641502 | 0 | 75341980 | 326871665 | | 112977604 |

Annexure-15

(Referred to in paragraph 3.7)

Statement showing short billing of “Billable Demand Charges” to Divisional Railway Manager, North Central Railway, Allahabad

| Month and Year | 25 kV Supply | | | | | | | | 132 kV Supply | | | | | | | | Total short billing of demand charges |
|----------------|---------------------------------------|---|--|--|--|--------------------------------------|---------------------------------|--|--|---|---|---|---|---------------------------------------|----------------------------------|---|---------------------------------------|
| | Contracted Load at 25 kV Supply (kVA) | Minimum billable demand i.e. 75 per cent of Contracted Load at 25 kV Supply (kVA) | Actual recorded demand at 25 kV Supply (kVA) | Billable Demand at 25 kV Supply (kVA) (Col. 3 or Col. 4 whichever is more) | Actual billed demand at 25 kV Supply (kVA) | Excess/ Short billed demand at 25 kV | Demand charges per kVA at 25 kV | Excess/ Short billed demand charges at 25 kV | Contracted Load at 132 kV Supply (kVA) | Minimum billable demand i.e. 75 per cent of Contracted Load at 132kV Supply (kVA) | Actual recorded demand at 132 kV Supply (kVA) | Billable Demand at 132 kV Supply (kVA) (Col. 11 or Col. 12 whichever is more) | Actual billed demand at 132 kV Supply (kVA) | Excess/ Short billed demand at 132 kV | Demand charges per kVA at 132 kV | Excess/ Short billed demand charges at 132 kV | |
| | | | | | | (Col. 5 - Col. 6) | (Col. 7 x 8) | (Col. 13 - Col. 14) | | | | | | (Col. 15 x Col. 16) | | | |
| | | | | | | (in ₹) | (in ₹) | (in ₹) | | | | | | (in ₹) | | | |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | (11) | (12) | (13) | (14) | (15) | (16) | (17) | (18) |
| Apr-07 | 65000 | 48750 | 54304 | 54304 | 65000 | -10696 | 170 | -1818320 | 73000 | 54750 | 89504 | 89504 | 73000 | 16504 | 165 | 2097630 ¹ | 279310 |
| May-07 | 65000 | 48750 | 54439 | 54439 | 65000 | -10561 | 170 | -1795370 | 73000 | 54750 | 90336 | 90336 | 73000 | 17336 | 165 | 2860440 | 1065070 |
| Jun-07 | 65000 | 48750 | 51523 | 51523 | 65000 | -13477 | 170 | -2291090 | 73000 | 54750 | 81280 | 81280 | 73000 | 8280 | 165 | 1366200 | -924890 |
| Jul-07 | 65000 | 48750 | 55720 | 55720 | 65000 | -9280 | 170 | -1577600 | 73000 | 54750 | 101176 | 101176 | 73000 | 28176 | 165 | 4649040 | 3071440 |
| Aug-07 | 65000 | 48750 | 51015 | 51015 | 65000 | -13985 | 170 | -2377450 | 73000 | 54750 | 88496 | 88496 | 73000 | 15496 | 160 | 2479360 | 101910 |
| Sep-07 | 65000 | 48750 | 59163 | 59163 | 65000 | -5837 | 170 | -992290 | 73000 | 54750 | 85152 | 85152 | 73000 | 12152 | 160 | 1944320 | 952030 |
| Oct-07 | 65000 | 48750 | 54273 | 54273 | 65000 | -10727 | 170 | -1823590 | 73000 | 54750 | 90160 | 90160 | 73000 | 17160 | 160 | 2745600 | 922010 |
| Nov-07 | 65000 | 48750 | 53951 | 53951 | 65000 | -11049 | 180 | -1988820 | 73000 | 54750 | 84464 | 84464 | 73000 | 11464 | 170 | 1948880 | -39940 |
| Dec-07 | 65000 | 48750 | 55970 | 55970 | 65000 | -9030 | 180 | -1625400 | 73000 | 54750 | 90008 | 90008 | 73000 | 17008 | 170 | 2891360 | 1265960 |
| Jan-08 | 65000 | 48750 | 54485 | 54485 | 65000 | -10515 | 180 | -1892700 | 73000 | 54750 | 90040 | 90040 | 73000 | 17040 | 170 | 2896800 | 1004100 |
| Feb-08 | 65000 | 48750 | 57705 | 57705 | 65000 | -7295 | 180 | -1313100 | 73000 | 54750 | 92231 | 92231 | 73000 | 19231 | 170 | 3269270 | 1956170 |
| Mar-08 | 65000 | 48750 | 58557 | 58557 | 65000 | -6443 | 180 | -1159740 | 73000 | 54750 | 89120 | 89120 | 73000 | 16120 | 170 | 2740400 | 1580660 |
| Apr-08 | 65000 | 48750 | 55258 | 55258 | 65000 | -9742 | 200 | -821733 | 73000 | 54750 | 88248 | 88248 | 73000 | 15248 | 180 | 3377307 | 2555574 |
| May-08 | 65000 | 48750 | 55636 | 55636 | 65000 | -9364 | 200 | -1872800 | 73000 | 54750 | 90600 | 90600 | 73000 | 17600 | 180 | 3168000 | 1295200 |
| Jun-08 | 65000 | 48750 | 59715 | 59715 | 65000 | -5285 | 200 | -1057000 | 73000 | 54750 | 86864 | 86864 | 73000 | 13864 | 180 | 2495520 | 1438520 |
| Jul-08 | 65000 | 48750 | 51507 | 51507 | 65000 | -13493 | 200 | -2698600 | 60000 | 45000 | 78096 | 78096 | 60000 | 18096 | 180 | 917280 | -1781320 |
| Aug-08 | 65000 | 48750 | 58643 | 58643 | 65000 | -6357 | 200 | -1271400 | 60000 | 45000 | 68736 | 68736 | 60000 | 8736 | 180 | 715903 | -555497 |
| Sep-08 | 65000 | 48750 | 52916 | 52916 | 65000 | -12084 | 200 | -2416800 | 60000 | 45000 | 66376 | 66376 | 60000 | 6376 | 180 | 1147680 | -1269120 |
| Oct-08 | 65000 | 48750 | 53647 | 53647 | 65000 | -11353 | 200 | -2270600 | 60000 | 45000 | 68832 | 68832 | 60000 | 8832 | 180 | 1589760 | -680840 |
| Nov-08 | 65000 | 48750 | 53367 | 53367 | 65000 | -11633 | 200 | -2326600 | 60000 | 45000 | 67504 | 67504 | 60000 | 7504 | 180 | 1350720 | -975880 |
| Dec-08 | 65000 | 48750 | 60966 | 60966 | 65000 | -4034 | 200 | -806800 | 60000 | 45000 | 68192 | 68192 | 60000 | 8192 | 180 | 1474560 | 667760 |
| Jan-09 | 65000 | 48750 | 54162 | 54162 | 65000 | -10838 | 200 | -2167600 | 60000 | 45000 | 67760 | 67760 | 60000 | 7760 | 180 | 1396800 | -770800 |
| Feb-09 | 65000 | 48750 | 58234 | 58234 | 65000 | -6766 | 200 | -1353200 | 60000 | 45000 | 66832 | 66832 | 60000 | 6832 | 180 | 1229760 | -123440 |
| Mar-09 | 65000 | 48750 | 44280 | 44280 | 65000 | -16250 | 200 | -3250000 | 60000 | 45000 | 68944 | 68944 | 60000 | 8944 | 180 | 1609920 | -1640080 |
| Apr-09 | 65000 | 48750 | 54234 | 54234 | 65000 | -10766 | 200 | -2153200 | 60000 | 45000 | 71904 | 71904 | 60000 | 11904 | 180 | 2142720 | -10480 |
| May-09 | 65000 | 48750 | 59143 | 59143 | 65000 | -5857 | 200 | -1171400 | 60000 | 45000 | 89232 | 89232 | 60000 | 29232 | 180 | 5261760 | 4090360 |
| Jun-09 | 65000 | 48750 | 65473 | 65473 | 65000 | 473 | 200 | 94600 | 60000 | 45000 | 82944 | 82944 | 60000 | 22944 | 180 | 4129920 | 4224520 |
| Jul-09 | 65000 | 48750 | 56252 | 56252 | 65000 | -8748 | 200 | -1749600 | 60000 | 45000 | 75088 | 75088 | 60000 | 15088 | 180 | 2715840 | 966240 |
| Aug-09 | 65000 | 48750 | 56079 | 56079 | 65000 | -8921 | 200 | -1784200 | 60000 | 45000 | 70432 | 70432 | 60000 | 10432 | 180 | 1877760 | 93560 |
| Sep-09 | 65000 | 48750 | 54712 | 54712 | 65000 | -10288 | 200 | -2057600 | 60000 | 45000 | 77920 | 77920 | 60000 | 17920 | 180 | 3225600 | 1168000 |
| Oct-09 | 65000 | 48750 | 44054 | 44054 | 65000 | -16250 | 200 | -3250000 | 60000 | 45000 | 65872 | 65872 | 60000 | 5872 | 180 | 1056960 | -2193040 |

¹ This is the balance amount after adjusting the actual billed amount of demand charges.

| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | (11) | (12) | (13) | (14) | (15) | (16) | (17) | (18) |
|--------|-------|-------|-------|-------|-------|--------|-----|------------------|-------|-------|-------|-------|-------|-------|------|------------------|-----------------|
| Nov-09 | 65000 | 48750 | 54276 | 54276 | 65000 | -10724 | 200 | -2144800 | 60000 | 45000 | 59994 | 59994 | 60000 | -6 | 180 | -1080 | -2145880 |
| Dec-09 | 65000 | 48750 | 55983 | 55983 | 65000 | -9017 | 200 | -1803400 | 60000 | 45000 | 61832 | 61832 | 60000 | 1832 | 180 | 329760 | -1473640 |
| Jan-10 | 65000 | 48750 | 56553 | 56553 | 65000 | -8447 | 200 | -1689400 | 60000 | 45000 | 74864 | 74864 | 60000 | 14864 | 180 | 2675520 | 986120 |
| Feb-10 | 65000 | 48750 | 56965 | 56965 | 65000 | -8035 | 200 | -1607000 | 60000 | 45000 | 75424 | 75424 | 60000 | 15424 | 180 | 2776320 | 1169320 |
| Mar-10 | 65000 | 48750 | 57575 | 57575 | 65000 | -7425 | 200 | -1485000 | 60000 | 45000 | 64928 | 64928 | 60000 | 4928 | 180 | 887040 | -597960 |
| Apr-10 | 65000 | 48750 | 67624 | 67624 | 65000 | 2624 | 200 | 524800 | 60000 | 45000 | 80304 | 80304 | 60000 | 20304 | 180 | 3654720 | 4179520 |
| May-10 | 65000 | 48750 | 55755 | 55755 | 65000 | -9245 | 200 | -1849000 | 60000 | 45000 | 78792 | 78792 | 60000 | 18792 | 180 | 3382560 | 1533560 |
| Jun-10 | 65000 | 48750 | 57695 | 57695 | 48750 | 8945 | 200 | 1789000 | 60000 | 45000 | 74256 | 74256 | 45000 | 29256 | 180 | 5266080 | 7055080 |
| Jul-10 | 65000 | 48750 | 58613 | 58613 | 48750 | 9863 | 200 | 1972600 | 60000 | 45000 | 77768 | 77768 | 45000 | 32768 | 180 | 5898240 | 7870840 |
| Aug-10 | 65000 | 48750 | 65621 | 65621 | 48750 | 16871 | 200 | 3374200 | 60000 | 45000 | 79608 | 79608 | 45000 | 34608 | 180 | 6229440 | 9603640 |
| Sep-10 | 65000 | 48750 | 57746 | 57746 | 48750 | 8996 | 200 | 1799200 | 60000 | 45000 | 85056 | 85056 | 45000 | 40056 | 180 | 7210080 | 9009280 |
| Oct-10 | 65000 | 48750 | 58124 | 58124 | 48750 | 9374 | 200 | 1874800 | 60000 | 45000 | 72416 | 72416 | 45000 | 27416 | 180 | 4934880 | 6809680 |
| Nov-10 | 65000 | 48750 | 55621 | 55621 | 48750 | 6871 | 200 | 1374200 | 60000 | 45000 | 74739 | 74739 | 45000 | 29739 | 180 | 5353020 | 6727220 |
| Dec-10 | 65000 | 48750 | 54946 | 54946 | 48750 | 6196 | 200 | 1239200 | 60000 | 45000 | 76976 | 76976 | 45000 | 31976 | 180 | 5755680 | 6994880 |
| Jan-11 | 65000 | 48750 | 56431 | 56431 | 48750 | 7681 | 200 | 1536200 | 60000 | 45000 | 81952 | 81952 | 45000 | 36952 | 180 | 6651360 | 8187560 |
| | | | | | | | | -50134403 | | | | | | | | 133776690 | 83642287 |

Annexure-16
(Referred to in paragraph 3.7)

Statement showing non billing of “Excess Demand Charges” to Divisional Railway Manager, North Central Railway, Allahabad

| Month and Year | Contracted Load at 25 kV Supply (kVA) | Actual recorded demand at 25 kV Supply (kVA) | Contracted Load at 132 kV Supply (kVA) | Actual recorded demand at 132 kV Supply (kVA) | Total Contracted Load (kVA) | Total actual recorded demand (kVA) | Total Chargeable excess demand (kVA) (Col.7-Col.8) | Total charges for excess demand not billed ¹ (₹) |
|----------------|---------------------------------------|--|--|---|-----------------------------|------------------------------------|--|---|
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) |
| Apr-07 | 65000 | 54304 | 73000 | 89504 | 138000 | 143808 | 5808 | -292740 ² |
| May-07 | 65000 | 54439 | 73000 | 90336 | 138000 | 144775 | 6775 | 1117875 |
| Jun-07 | 65000 | 51523 | 73000 | 81280 | 138000 | 132803 | 0 | 0 |
| Jul-07 | 65000 | 55720 | 73000 | 101176 | 138000 | 156896 | 18896 | 6235680 |
| Aug-07 | 65000 | 51015 | 73000 | 88496 | 138000 | 139511 | 1511 | 241760 |
| Sep-07 | 65000 | 59163 | 73000 | 85152 | 138000 | 144315 | 6315 | 1010400 |
| Oct-07 | 65000 | 54273 | 73000 | 90160 | 138000 | 144433 | 6433 | 1029280 |
| Nov-07 | 65000 | 53951 | 73000 | 84464 | 138000 | 138415 | 415 | 70550 |
| Dec-07 | 65000 | 55970 | 73000 | 90008 | 138000 | 145978 | 7978 | 1356260 |
| Jan-08 | 65000 | 54485 | 73000 | 90040 | 138000 | 144525 | 6525 | 1109250 |
| Feb-08 | 65000 | 57705 | 73000 | 92231 | 138000 | 149936 | 11936 | 2029120 |
| Mar-08 | 65000 | 58557 | 73000 | 89120 | 138000 | 147677 | 9677 | 1645090 |
| Apr-08 | 65000 | 55258 | 73000 | 88248 | 138000 | 143506 | 5506 | 991080 |
| May-08 | 65000 | 55636 | 73000 | 90600 | 138000 | 146236 | 8236 | 1482480 |
| Jun-08 | 65000 | 59715 | 73000 | 86864 | 138000 | 146579 | 8579 | 1544220 |
| Jul-08 | 65000 | 51507 | 60000 | 78096 | 125000 | 129603 | 4603 | 828540 |
| Aug-08 | 65000 | 58643 | 60000 | 68736 | 125000 | 127379 | 2379 | 428220 |
| Sep-08 | 65000 | 52916 | 60000 | 66376 | 125000 | 119292 | 0 | 0 |
| Oct-08 | 65000 | 53647 | 60000 | 68832 | 125000 | 122479 | 0 | 0 |
| Nov-08 | 65000 | 53367 | 60000 | 67504 | 125000 | 120871 | 0 | 0 |
| Dec-08 | 65000 | 60966 | 60000 | 68192 | 125000 | 129158 | 4158 | 748440 |
| Jan-09 | 65000 | 54162 | 60000 | 67760 | 125000 | 121922 | 0 | 0 |
| Feb-09 | 65000 | 58234 | 60000 | 66832 | 125000 | 125066 | 66 | 11880 |
| Mar-09 | 65000 | 44280 | 60000 | 68944 | 125000 | 113224 | 0 | 0 |
| Apr-09 | 65000 | 54234 | 60000 | 71904 | 125000 | 126138 | 1138 | 204840 |
| May-09 | 65000 | 59143 | 60000 | 89232 | 125000 | 148375 | 23375 | 8415000 |
| Jun-09 | 65000 | 65473 | 60000 | 82944 | 125000 | 148417 | 23417 | 8449040 |

¹ At normal rates (as indicated in Col.8 and Col.16 of Annexure -15) if such excess demand does not exceed 10 per cent of the contracted load and at twice the normal rates if such excess demand exceeds the contracted load by more than 10 per cent.

² Excess demand charges of ₹12.51 lakh has already been charged in the bill for 132 kV supply.

| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) |
|--------------|-------|-------|-------|-------|--------|--------|---------------|-----------------|
| Jul-09 | 65000 | 56252 | 60000 | 75088 | 125000 | 131340 | 6340 | 1141200 |
| Aug-09 | 65000 | 56079 | 60000 | 70432 | 125000 | 126511 | 1511 | 271980 |
| Sep-09 | 65000 | 54712 | 60000 | 77920 | 125000 | 132632 | 7632 | 1373760 |
| Oct-09 | 65000 | 44054 | 60000 | 65872 | 125000 | 109926 | 0 | 0 |
| Nov-09 | 65000 | 54276 | 60000 | 59994 | 125000 | 114270 | 0 | 0 |
| Dec-09 | 65000 | 55983 | 60000 | 61832 | 125000 | 117815 | 0 | 0 |
| Jan-10 | 65000 | 56553 | 60000 | 74864 | 125000 | 131417 | 6417 | 1155060 |
| Feb-10 | 65000 | 56965 | 60000 | 75424 | 125000 | 132389 | 7389 | 1330020 |
| Mar-10 | 65000 | 57575 | 60000 | 64928 | 125000 | 122503 | 0 | 0 |
| Apr-10 | 65000 | 67624 | 60000 | 80304 | 125000 | 147928 | 22928 | 8359040 |
| May-10 | 65000 | 55755 | 60000 | 78792 | 125000 | 134547 | 9547 | 1718460 |
| Jun-10 | 65000 | 57695 | 60000 | 74256 | 125000 | 131951 | 6951 | 1251180 |
| Jul-10 | 65000 | 58613 | 60000 | 77768 | 125000 | 136381 | 11381 | 2048580 |
| Aug-10 | 65000 | 65621 | 60000 | 79608 | 125000 | 145229 | 20229 | 7307280 |
| Sep-10 | 65000 | 57746 | 60000 | 85056 | 125000 | 142802 | 17802 | 6408720 |
| Oct-10 | 65000 | 58124 | 60000 | 72416 | 125000 | 130540 | 5540 | 997200 |
| Nov-10 | 65000 | 55621 | 60000 | 74739 | 125000 | 130360 | 5360 | 964800 |
| Dec-10 | 65000 | 54946 | 60000 | 76976 | 125000 | 131922 | 6922 | 1245960 |
| Jan-11 | 65000 | 56431 | 60000 | 81952 | 125000 | 138383 | 13383 | 4817880 |
| Total | | | | | | | 313058 | 79047385 |

Annexure-17
(Referred to in paragraph 3.10)

Statement showing loss of revenue due to allowing rostering free power supply to consumers who have not opted for Protective Load

| Sl. No. | Name of the DISCOM | Name of the Division | Audited during | Name of Consumer | Contracted Load (kVA) | Supply Voltage through independent feeder | Loss of revenue based on 75 per cent of contracted load for 24 months i.e. April 2011 to March 2013 (in ₹) ¹ | Total of DISCOMs (in ₹) |
|--------------------|--|----------------------|--------------------------|-----------------------------------|--|---|---|-------------------------|
| 1 | Dakshinanchal Vidyut Vitran Nigam Ltd. | EDD, Rania | February 2013 | M/s Rajratan Smeletor | 1500 | 33 kV | 6052500 | |
| 2 | | | | M/s Kanpur Edibles | 5000 | 33 kV | 20175000 | |
| 3 | | | | M/s Premier Metcost | 7000 | 33 kV | 28245000 | 54472500 |
| 4 | Kanpur Electricity Supply Company | | January 2013 | Akzo Nobel India Ltd | 3000 | 33 kV | 12105000 | |
| 5 | | | | H.A.L. | 2900 | 33 kV | 11701500 | |
| 6 | | | | Kanpur Fertilizer & Cement Ltd. | 40500 | 132 kV | 148837500 | |
| 7 | | | | L.M.L. | 2500 | 132 kV | 9187500 | 181831500 |
| 8 | Purvanchal Vidyut Vitran Nigam Ltd. | EDD II, Gorakhpur | July 2013 | M/s Gallant Ispat Ltd. | 15000kVA upto 02/2012 | 132 kV | 24750000 | |
| | | | | | 5000kVA from 03/2012 | 132 kV | 10125000 | |
| 9 | | | | M/s Mahabeer Jute Mills | 2000 kVA upto 08/2011 | 33 kV | 1650000 | |
| | | | | | 2500 kVA from 09/2011 | 33 kV | 8025000 | |
| 10 | | | | M/s Goel Edibles Ltd | 1100 (new connection starts from 06/2011) | 33 kV | 4075500 ² | 48625500 |
| 11 | Paschimanchal Vidyut Vitran Nigam Ltd. | EUDD V, Ghaziabad | May 2012 | M/s Rathi Steel & Power Ltd. I | 8500 | 33 kV | 34297500 | |
| 12 | | | | M/s Rathi Steel & Power Ltd. II | 5000 | 33 kV | 20175000 | |
| 13 | | | | M/s D.V.S. Steel & Alloy (P) Ltd. | 3200 | 33 kV | 11184000 | |
| 14 | | | | | (disconnected from Jan 13) | | | |
| 15 | | | | M/s Rathi Super Steel Ltd. | 30000 kVA from 04/2012 | 132 kV | 56250000 ³ | |
| 16 | | | | M/s Shyam Forging | 3100 | 33 kV | 12508500 | 134415000 |
| 17 | Madhyanchal Vidyut Vitran Nigam Ltd. | EDD I, Unnao | August 2012 | M/s Indagro Food Ltd. | 4500 | 33 kV | 18157500 | |
| 18 | | | | M/s Jai Jagtamba Metal Alloys | 2800 | 33 kV | 11298000 | |
| 19 | | | | M/s Mirza International | 2000 | 33 kV | 8070000 | |
| 20 | | | | M/s Bajaj Kagaj Pvt. Ltd. | 2000 | 33 kV | 8070000 | |
| 21 | | EDD II, Unnao | M/s Global Smelters Ltd. | 10000 | 132 kV | 36750000 | | |
| | | | M/s R.H.L.Profiles | 2500 | 33 kV | 10087500 | | |
| 22 | | | M/s Mirza International | 2100 | 33 kV | 8473500 | 100906500 | |
| Grand Total | | | | | | | 520251000 | 520251000 |

¹ Demand Charges per kVA during April 2011 to October 2012 are ₹ 220 for 33 kV and ₹ 200 for 132 kV and during November 2012 to March 2013 are ₹ 240 for 33 kV and ₹ 220 for 132 kV.

² Amount charged for 22 months i.e. June 2011 to March 2013.

³ Amount charged for 12 months i.e. April 2012 to March 2013 because consumer was given supply through independent feeder from April 2012.

Annexure-18
(Referred to in paragraph 3.12.20)

| Sl. No. | Name of Consumer | Verified amount of energy bill (₹) | Amount pertains to the period | Date of payment received from the Government | Delay in payment of dues in days (in months) | LPS to be levied ¹ (₹) |
|--------------|----------------------------|------------------------------------|-------------------------------|--|--|-----------------------------------|
| 1 | Public Water Works (LMV-7) | 9455000 | 2006-07 | 01.05.2010 | 1132 (37) | 5208280 |
| 2 | Public Lighting (LMV-3) | 196428000 | 2007-08 | 07.05.2010 | 769 (25) | 73038926 |
| 3 | Public Water Works (LMV-7) | 133000 | 2004-05 | 10.05.2010 | 1865 (61) | 121340 |
| 4 | Public Water Works (LMV-7) | 6970000 | 2005-06 | 10.05.2010 | 1500 (49) | 5104332 |
| 5 | Public Water Works (LMV-7) | 45309000 | 2006-07 | 10.05.2010 | 1135 (37) | 25025464 |
| 6 | Public Water Works (LMV-7) | 50135000 | 2007-08 | 10.05.2010 | 769 (25) | 18641979 |
| 7 | State Tube Wells (LMV-8) | 1529035767 | 2008-09 | 15.04.2010 | 379 (12) | 274472393 |
| 8 | State Tube Wells (LMV-8) | 1214111000 | 2008-09 | 22.09.2009 | 174 (6) | 95199608 |
| 9 | State Tube Wells (LMV-8) | 778894515 | 2007-08 | 09.02.2009 | 314 (10) | 114849597 |
| 10 | State Tube Wells (LMV-8) | 118100935 | 2007-08 | 09.02.2009 | 314 (10) | 17414226 |
| 11 | State Tube Wells (LMV-8) | 80931840 | 2003-04 | 24.02.2009 | 1790 (59) | 70843076 |
| 12 | State Tube Wells (LMV-8) | 41029650 | 2003-04 | 02.03.2009 | 1796 (59) | 36036398 |
| 13 | Public Water Works (LMV-7) | 120072000 | 2003-04 | 09.03.2009 | 1803 (59) | 105873897 |
| 14 | Public Water Works (LMV-7) | 61713000 | 2007-08 | 09.02.2009 | 314 (10) | 9099709 |
| 15 | State Tube Wells (LMV-8) | 1226773050 | 2010-11 | 12.12.2011 | 255 (8) | 145196153 |
| 16 | State Tube Wells (LMV-8) | 156069122 | 2003-04 | 29.11.2011 | 2798 (92) | 214195249 |
| 17 | State Tube Wells (LMV-8) | 235325293 | 2004-05 | 01.12.2011 | 2435 (80) | 280843007 |
| 18 | State Tube Wells (LMV-8) | 90562086 | 2005-06 | 03.12.2011 | 2072 (68) | 91867172 |
| 19 | State Tube Wells (LMV-8) | 17656607 | 2006-07 | 05.12.2011 | 1709 (56) | 14750281 |
| 20 | State Tube Wells (LMV-8) | 1405532557 | 2008-09 | 13.10.2010 | 925 (30) | 630756802 |
| 21 | State Tube Wells (LMV-8) | 1277021000 | 2009-10 | 07.07.2010 | 462 (15) | 281504410 |
| 22 | State Tube Wells (LMV-8) | 1226773050 | 2010-11 | 12.12.2011 | 255 (8) | 145196153 |
| 23 | State Tube Wells (LMV-8) | 1253211000 | 2010-11 | 25.08.2011 | 146 (5) | 80960864 |
| 24 | State Tube Wells (LMV-8) | 1019079296 | 2011-12 | 04.12.2012 | 247 (8) | 116593839 |
| 25 | State Tube Wells (LMV-8) | 1664727000 | 2011-12 | 04.12.2012 | 247 (8) | 190463012 |
| Total | | | | | | 3043256168 |

¹ Calculated at the rate of 1.25 per cent per month up-to first three months and subsequently at the rate of 1.5 per cent per month.

Annexure-19
(Referred to in paragraph 3.13.4)
Statement showing details of projects

| (A) Projects Under MoU Route | | | | | | | |
|------------------------------|--|---|-------------|-------------------------|---|--|--|
| Sl. No | Name of Project | Developer | Date of MoU | Installed Capacity (MW) | Date of PPA | Scheduled Date of Commissioning | Actual Date of Commissioning |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |
| 1 | 5 Sugar Mills of M/s Bajaj –(1) Barkhera, Distt. Pilibhit (2) Khambarkhera, Distt. Lakhimpur (3) Maqsoodpur, Distt. Shahjahanpur (4) Kundarkhi, Distt. Gonda (5) Utarula, Distt. Balrampur | M/s Bajaj Hindustan Limited 450 (5 x 90) | 22.04.2010 | 450 | 10.12.10 | Barkhera: U-I: 22.04.2012 U-II: 22.08.2012 Khambarkhera: U-I : 22.04.2012 U-II: 22.08.2012 Maqsoodpur: U-I : 22.04.2012 U-II: 22.08.2012 Kundarkhi & Utraula: U-I : 22.04.2012 U-II: 22.08.2012 | 02.12.2011 24.03.2012 10.03.2012 15.12.2011 06.12.2011 28.03.2012 31.03.2012 21.04.2012 |
| 2 | Rosa Thermal Power Project | M/s Rosa Power Supply Company Limited (Promoter- Reliance Group) | 17.11.1993 | 600 | 12.12.06 | U-I :01.04.10 U-II:01.07.10 | U-I :12.03.2010 U-II:30.06.2010 |
| 3 | Rosa Thermal Power Project (Extension) | M/s Rosa Power Supply Company Limited (Promoter- Reliance Group) (2 x 300 MW) | 20.01.2012 | 600 | 11.09.09 and 19.11.11 (Supplementary) | U-I :31.03.12 U-II:31.07.12 | U-I :01.01.2012 U-II:31.03.2012 |
| 4 | Lalitpur Thermal Project, Distt. Lalitpur | M/s Bajaj Hindustan Limited and Consortium 1980 (3 x 660) | 22.04.2010 | 1980 | 10.12.10 | First Unit- 09/2014 Second Unit-12/2014 Third Unit-03/2016 | - |
| 5 | Bhognipur Thermal Project, Distt. Ramabai Nagar | M/s Himawat Power Private Limited 1320(2 x 660) | 25.08.2010 | 1320 | 14.12.10 | First Unit-10/2016 Second Unit-04/2017 | - |
| 6 | Bhognipur Thermal Project (Phase-2) Distt.-Ramabai Nagar | M/s LANCO Anpara Power Limited 1320 (2 x 660) | 04.10.2010 | 1320 | 14.12.2010 | First Unit-10/2016 Second Unit-04/2017 | - |
| 7 | Murka Thermal Project, near Bargarh Distt. Chittrakoot | M/s Creative Themolite Power Private Limited 600 (2 x 300) | 28.10.2010 | 600 | 31.12.2010 | First Unit -03/2015 Second Unit -07/2015 | - |
| 8 | Lalitpur, Second Phase Thermal Project, Distt. Lalitpur | M/s Bajaj Hindustan Limited 1980 (3 x 660) | 14.12.2010 | 1980 | 30.12.2010 | First Unit-10/2016 Second Unit-04/2017 Third Unit-10/2017 | - |
| 9 | Barabanki Thermal Project Distt. Barabanki | M/s Parikh Aluminex (1 x 250) | 14.12.2010 | 250 | 01.11.2011 | First Unit-04/2015 | - |
| 10 | Auraiya Thermal Project, Distt. Auraiya | M/s Unitech Machines Limited (1x 250) | 15.12.2010 | 250 | 31.12.2010 | First unit-03/2015 | - |
| 11 | Sandila Thermal Project | M/s Torrent Power Limited | 31.12.2010 | 1320 | 04.01.2011 | First Unit-11/2016 Second Unit-05/2017 | - |
| 12 | Mirzapur Thermal Project, Distt. Mirzapur | M/s Welspun Power Limited | 31.12.10 | 1320 | 04.01.2011 | First Unit-11/2016 Second Unit-05/2017 | - |
| | Total (A) | | | 11990 | 16 | | |

| (B) Projects under competitive Bidding Process | | | | | | |
|---|--|--|--------------------------------|-------------------------|--|-------------------------------------|
| Sl. No. | Name of Project | Developer | Installed Capacity (MW) | Month of PPA/LOI | Scheduled Date of Commissioning | Actual Date of Commissioning |
| 1 | Bara Power Project, Tehsil Bara, Distt. Allahabad | M/s Jai Prakash Power Ventures Private Limited (3 X 660) | 1980 | 03/2009 | Para featured in CAG's Audit Report 2011-12 | - |
| 2 | Karchhana Power Project, Tehsi Karchhana, Distt. Allahabad | M/s Jai Prakash Power Ventures Private Limited (2 X 660) | 1320 | 02/2009 | There is a stay on acquisition of land for the project by the Hon'ble High Court | - |
| | Total (B) | | 3300 | 2 | | - |
| | Total (A) + (B) | | 15290 | 18 | | - |

Annexure-20
(Referred to in paragraph 3.13.8)

Statement showing additional RoE due to additional capital cost (Stage-I)

(₹ in crore)

| Year | RoE as per approved UPERC by MYT | RoE calculated based on Ceiling Capital Cost | Additional RoE |
|---|---|---|-----------------------|
| 2009-10 | 3.90 | 3.47(2641.63/2 x 30 per cent x 16 per cent x 20/365) | 0.43 |
| 2010-11 | 124.50 | 110.95(2641.63/2 x 30 per cent x 15 per cent plus 2641.63/2 x 30 per cent x 16 per cent x 9/12) | 13.55 |
| 2011-12 | 147.09 | 126.80 (2641.63 x 30 per cent x 16 per cent) | 20.29 |
| 2012-13 | 149.41 | 126.80 (2641.63 x 30 per cent x 16 per cent) | 22.61 |
| Extra financial burden suffered up to 2012-13 | | | 56.88 |
| Extra financial burden for remaining period of projects = 22 x 22.61 | | | 497.42 |
| Total extra financial burden | | | 554.30 |

Annexure-21
(Referred to in paragraph 3.13.8)
Statement showing additional interest on loan due to additional capital cost

(₹ in crore)

| Stage I | | | | |
|---|--|--|-----------------|---|
| Year | Debt Portion (70 per cent) as per capital cost considered by UPERC for MYT | Debt Portion as per Ceiling Capital Cost (₹ 2641.63 crore) | Additional Debt | Additional Interest on Loan at the rate of 9.66 per cent (₹ in crore) |
| 2009-10 | 1037.51 | 924.57 ¹ | 112.94 | 0.6 (112.94 x 9.66 per cent x 20/365 |
| 2010-11 | 2075.03 | 1849.14 | 225.89 | 19.09 (112.94x9.66 per cent plus 112.94 x 9.66 per cent x 9/12) |
| 2011-12 | 2145.03 | 1849.14 | 295.89 | 28.58 (295.89 x 9.66 per cent) |
| 2012-13 | 2178.97 | 1849.14 | 329.83 | 31.86 (329.83 x 9.66 per cent) |
| Extra financial burden suffered up to 2012-13 | | | | 80.13 |
| Extra financial burden for remaining period of projects = 22 x 31.86 | | | | 700.92 |
| Total Extra financial burden | | | | 781.05 |

¹ ₹1849.14/2 (Unit-1 of Stage-I).

Annexure-22
(Referred to in paragraph 3.13.10)
Statement showing details of extra financial burden due to higher O&M expenses (Rosa Power Projects)

| Sl. No. | Year | O&M expenses | | Difference |
|-----------|--|-------------------|---------------|---------------------|
| | | as per regulation | as per DPR | |
| | Stage-I | | | |
| 1 | 2009-10 | 2.63 | 2.00 | 0.63 |
| 2 | 2010-11 | 88.86 | 67.57 | 21.29 |
| 3 | 2011-12 | 107.28 | 81.61 | 25.67 |
| 4 | 2012-13 | 113.46 | 86.28 | 27.18 |
| 5 | Extra financial burden suffered up to 2012-13 | 312.23 | 237.46 | 74.77 |
| 6 | Extra financial burden for remaining period of projects =22 x 27.18 | | | 597.96 |
| 7 | Total Stage-I (5+6) | | | 672.73 |
| | Stage-II | | | |
| 8 | 2011-12 | 13.41 | 10.20 | 3.21 |
| 9 | 2012-13 | 113.46 | 86.28 | 27.18 |
| 10 | Extra financial burden suffered up to 2012-13 | 126.87 | 96.48 | 30.39 |
| 11 | Extra financial burden for remaining period of projects =23 years and 9 months x 27.18 | | | 645.53 ¹ |
| 12 | Total Stage-II (10+11) | | | 675.92 |
| 13 | Total Extra financial burden suffered up to 2012-13 (Stage-I & II) (5+10) | | | 105.16 |
| 14 | Grand Total (Stage-I & II) (7+12) | | | 1348.65 |

Source: Multi-Year-Tariff order and D.P.R. (ROSA, Stage-I)

¹ 27.18 (on the basis of 2012-13) x 23 years and 9 months (remaining period of contract).

Annexure-23
(Referred to in paragraph 3.13.11)

Statement showing loss due to non-conduct of cost benefit analysis

| Stage -I | | | | | |
|---|------------------------------|---|---|---|--------------------------------------|
| Year | Capital Cost (₹ in crore) | RoE at the rate of 2 per cent (₹ in crore) | Additional sent out energy (MUs) (85 per cent -80 per cent PLF) | Additional payment at the rate of 25 paise/unit (₹ in crore) | Difference. (₹ in crore) (3-5) |
| (1) | (2) | (3) | (4) | (5) | (6) |
| 2011-12 | 3064.00 | 18.38 (3064 x 30 per cent x 2 per cent) | 239.16 (4065.52 ¹ -3826.36 ²) | 5.98 | 12.40 |
| 2012-13 | 3112.81 | 18.68 (3112.81 x 30 per cent x 2 per cent) | 239.16 (4065.52-3826.36) | 5.98 | 12.70 |
| Extra financial burden suffered up to 2012-13 | | | | | |
| Extra financial burden for remaining period of projects =22x12.70 | | | | | |
| 279.40 | | | | | |
| Total Extra financial burden (Stage-I) | | | | | |
| 304.50 | | | | | |
| Stage -II | | | | | |
| Extra financial burden suffered up to 2012-13 (12.70/12 months x 15 months) | | | | | |
| 15.88 | | | | | |
| Extra financial burden for remaining period of projects =23 years and 9 months x 12.70 | | | | | |
| 301.62 | | | | | |
| Total Extra financial burden (Stage-II) | | | | | |
| 317.50 | | | | | |
| Total Extra financial burden (Stage- I&II) | | | | | |
| 622.00 | | | | | |

¹ 85 per cent PLF = (600x1000x24x365-9 per cent) x 85 per cent = 4,065.52 MUs.

² 80 per cent PLF = (600x1000x24x365-9 per cent) x 80 per cent = 3,826.36 MUs.

Annexure-24
(Referred to in paragraph 3.13.20 & 3.13.21)
Statement showing extra financial burden due to discrepancies in the tariff petition filed by BEPL

(₹ in crore)

| Sl. No. | Particulars | Amount claimed and considered for determination of tariff | Correct amount which is based on information given by BEPL and attached with the petition | Difference |
|---|--|---|---|--------------------|
| (1) | (2) | (3) | (4) | (5) |
| 1. | Loan | 1798.85 | 1937.25 | 138.40 |
| 2 | Equity | 770.95 | 632.55 | 138.40 |
| 3 | RoE payable @ 16% (15.5% + 0.5 per cent) per annum | 150.33 ¹ | 101.21 | 49.12 |
| 4 | Interest on loan @ 14 per cent per annum. | 232.99 ² | 271.21 | (-)38.22 |
| 5 | Net additional financial burden per year | | | 10.90 |
| 6 | Net additional financial burden for 25 years due to consideration of incorrect amount of loan and equity | | | 272.50 |
| 7 | IDC | 293.28 | 186.13 ³ | 107.15 |
| Financial burden due to inclusion of excess Interest during construction (IDC) in Capital cost | | | | |
| 8 | RoE per year | | | 5.14 ⁴ |
| 9 | Interest on loan per year | | | 10.50 ⁵ |
| 10 | Additional financial burden per year | | | 15.64 |
| 11 | RoE and Interest on loan for 25 years | | | 391.00 |
| Total financial burden | | | | 663.50 |

1 These figures are worked out by BEPL as shown in the tariff petition and approved by UPERC. However, 16 per cent of ₹ 770.95 crore works out to ₹ 123.35 crore.

2 These figures are worked out by BEPL as shown in the tariff petition and approved by UPERC. However, 14 per cent of ₹ 1798.85 crore works out to ₹ 251.84 crore.

3 Khambarkhara ₹ 55.33 crore (claimed up to 25 August 2012) - ₹ 24.97 crore (excess claimed beyond COD-10/03.12) = ₹ 30.36 crore + Utratala ₹ 61.60 crore (claimed up to 25 August 2012) - ₹ 18.28 crore (excess claimed beyond COD-24 April 2012) = ₹ 43.32 crore + Kundarki ₹ 61.19 crore(claimed up to 25 August 2012) - ₹ 18.72 crore (excess claimed beyond COD-21 April 2012) = ₹ 42.47 crore + Barkhara ₹ 57.30 crore (claimed up to 25 August 2012) - ₹ 22.89 crore (excess claimed beyond COD-24 March 2012) = ₹ 34.41 + Magsoodpur ₹ 57.86 crore (claimed up to 25 August 2012) - ₹ 22.29 crore (excess claimed beyond COD-28 March 2012) = ₹ 35.57.

4 107.15 x 30 per cent x 16 per cent.

5 107.15 x 70 per cent x 14 per cent.

Annexure-25
(Referred to in paragraph 3.14)
Statement showing summarised position of short retrieval of GI pipe against rebored hand pumps

| Year | No. of Hand Pumps rebored | Quantity of GI pipe to be retrieved as per the unit estimate of Divisions (in mt.) | | | Actual Quantity of GI pipe retrieved (in mt.) | | | Quantity short retrieved (in mt.) | | | Replacement value of short retrieval of serviceable GI pipe | | Value of short retrieval of un-serviceable GI pipe | | |
|------------------------|---------------------------|--|----------------|----------------|---|----------------|-------------------------|-----------------------------------|----------------------------------|--------------------------|---|---------------------------------------|--|--|--|
| | | Serviceable | Un-serviceable | Total (3+4) | Serviceable | Un-serviceable | Total (Col. 6 + Col. 7) | Serviceable (Col. 3 – Col. 6) | Un-serviceable (Col. 4 – Col. 7) | Total (Col. 9 + Col. 10) | Average rate of new GI pipe per m (in ₹) | Amount (₹ in lakh) (Col. 9 x Col. 12) | Average rate of old GI pipe per MT (in ₹) | Weight of un-serviceable GI pipe short retrieved (in MT) | Amount (₹ in lakh) (Col. 14 x Col. 15) |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) | (10) | (11) | (12) | (13) | (14) | (15) | (16) |
| 2007-08 | 17416 | 197544 | 237972 | 435516 | 91985 | 13231 | 105216 | 105559 | 224741 | 330300 | 146.18 | 154.31 | 16662.91 | 606.801 | 101.11 |
| 2008-09 | 22548 | 252183 | 307503 | 559686 | 126891 | 14471 | 141362 | 125292 | 293032 | 418324 | 163.21 | 204.49 | 20000.00 | 791.186 | 158.24 |
| 2009-10 | 30364 | 332547 | 454098 | 786645 | 179039 | 20296 | 199335 | 153508 | 433802 | 587310 | 137.57 | 211.18 | 18862.81 | 1171.265 | 220.93 |
| 2010-11 | 26000 | 265485 | 408807 | 674292 | 151159 | 11128 | 162287 | 114326 | 397679 | 512005 | 162.80 | 186.12 | 21660.65 | 1073.733 | 232.58 |
| 2011-12 | 26450 | 302937 | 363762 | 666699 | 196752 | 12957 | 209709 | 106185 | 350805 | 456990 | 154.30 | 163.84 | 18322.01 | 947.174 | 173.54 |
| 2012-13 (Up to Dec 12) | 8355 | 95721 | 115221 | 210942 | 73531 | 5263 | 78794 | 22190 | 109958 | 132148 | 172.03 | 38.17 | 18322.01 | 296.887 | 54.40 |
| Total | 131133 | 1446417 | 1887363 | 3333780 | 819357 | 77346 | 896703 | 627060 | 1810017 | 2437077 | | 958.11 | | 4887.046 | 940.80 |

Annexure-26
(Referred to in paragraph 3.16.4)
Statement showing deficiencies in handing over notes of TTSPs

| | Particulars | Construction Division | Maintenance Division | Total |
|------------|---|------------------------------|-----------------------------|--------------|
| (A) | No. of handing over notes shown available by the Divisions in the contract bond wise statement | 686 | 946 | 1632 |
| (B) | Irregularities | | | |
| (i) | No. of unsigned handing over notes | 25 | 13 | 38 |
| (ii) | No. of handing over notes signed by persons other than the Gram Pradhan | 06 | 07 | 13 |
| (iii) | Documents ¹ other than handing over notes treated as handing over notes | 27 | 03 | 30 |
| (iv) | No. of handing over notes not annexed with the Statement | 76 | --- | 76 |
| (v) | No. of handing over notes wherein seal not impressed | --- | 27 | 27 |

¹ Assurance notes of Gram Pradhans to take over the TTSP after completion, Completion memos etc.

Annexure-27
(Referred to in paragraph 3.20.1)

Statement showing paragraphs/Performance Audit for which replies were not received

| Sl. No. | Name of Department | 2007-08 | | 2008-09 | | 2009-10 | | 2010-11 | | 2011-12 | |
|--------------|--|-----------------------------------|--|-----------------------------------|--|-----------------------------------|--|-----------------------------------|--|-----------------------------------|--|
| | | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received | No. of paragraphs in Audit Report | No. of paragraphs for which reply not received |
| 1. | Energy (Power) | 17 | 10 | 13 | 12 | 7 | 5 | 4 | 4 | 9 | 9 |
| 2. | Transport | 2 | -- | 1 | 1 | -- | -- | 2 | 2 | -- | -- |
| 3. | Co-operative | 1 | -- | -- | -- | -- | -- | -- | -- | 1 | 1 |
| 4. | Samaj Kalyan | -- | -- | 2 | 1 | -- | -- | -- | -- | -- | -- |
| 5. | Agriculture | 1 | 1 | -- | -- | 1 | 1 | -- | -- | -- | -- |
| 6. | Vastra Udyog | -- | -- | -- | -- | 1 | 1 | -- | -- | -- | -- |
| 7. | Industrial Development | 1 | -- | 3 | 3 | -- | -- | 2 | 2 | 1 | 1 |
| 8. | Public Works | 3 | 3 | 1 | 1 | 2 | 2 | -- | -- | -- | -- |
| 9. | Small Industries | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| 10. | Sugar Industry and Cane Development | 1 | -- | -- | -- | -- | -- | 1 | -- | -- | -- |
| 11. | Urban Development | -- | -- | 1 | 1 | 2 | 1 | -- | -- | 3 ¹ | 3 ¹ |
| 12. | Housing and Urban Planning | 2 | 2 | 1 | -- | -- | -- | 2 ² | 2 ² | -- | -- |
| 13. | Irrigation | -- | -- | -- | -- | 1 | -- | 2 | 2 | 3 | 3 |
| 14. | Matsya Evam Pashudhan | -- | -- | 1 | 1 | -- | -- | -- | -- | -- | -- |
| 15. | Electronics and Information Technology | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| 16. | Public Enterprises | -- | -- | 2 ³ | -- | -- | -- | -- | -- | -- | -- |
| 17. | Food and civil supplies | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| 18. | Minerals and Mining | 5 | -- | 2 | 2 | 2 | 2 | 3 | 3 | -- | -- |
| 19. | Forest | -- | -- | -- | -- | -- | -- | 1 | 1 | -- | -- |
| Total | | 33 | 16 | 27 | 22 | 16 | 12 | 16 | 15 | 16 | 16 |

¹ This includes a para on Avoidable expenditure on procurement of cement on two PSUs under two different departments (Uttar Pradesh Jal Nigam: Urban Development Department and U.P. Projects Corporation Limited: Irrigation Department). As this para is included twice i.e. at Sl. No. 11 and 13, hence, it has been counted as only one para in total.

² This includes a para on Non-recovery of Trade Tax/VAT on two PSUs under two different departments (Uttar Pradesh Avam Vikas Parishad: Housing and Urban Planning Department and Uttar Pradesh State Industrial Development Corporation Limited: Minerals and Mining Department). As this para is included twice i.e. at Sl. No. 12 and 18, hence it has been counted as only one para in total.

³ This relates to 13 departments including departments of Niryat Protsahan, Tax and Institutional Finance, Forest, Panchayati Raj, Pichra Varg Kalyan and Tourism not appearing in column of name of department.

Annexure-28
(Referred to in paragraph 3.20.3)

Statement showing persistent irregularities pertaining to Government Companies appeared in the Reports of the Comptroller & Auditor General of India (Commercial) - Government of Uttar Pradesh

| Year of Audit Report | Paragraph No. | Money Value (₹ in crore) | Gist of Persistent Irregularities | Actionable points/action to be taken | Details of actions taken |
|----------------------------------|---------------|--------------------------|---|--|--|
| 1. Power Sector Companies | | | | | |
| 1997-98 | 3C.10.2(a) | 2.37 | Non-discontinuance of cheque facility after dishonour of cheques and non-disconnection of supply of electricity leading to accumulation of arrears. | Responsibility was required to be fixed on officials for not taking appropriate action. | Total dues against the consumer could not be recovered due to stay order of the court. The UPSEB/Company did not fix responsibility on any official for accumulation of dues. |
| 1998-99 | 3A.6.2.3 | 8.99 | -----do----- | -----do----- | Management's reply and further action were awaited. |
| | 3A.6.2.6 | 16.66 | -----do----- | -----do----- | -----do----- |
| 1999-2000 | 4A.14 | 11.45 | -----do----- | -----do----- | -----do----- |
| | 4A.17 | 0.99 | -----do----- | -----do----- | Management intimated the action taken for recovery of dues. Further action for recovery of balance amount of ₹ 0.99 crore was awaited. UPSEB did not fix responsibility on any official. |
| 2001-02 | 3A.10 | 0.55 | -----do----- | -----do----- | Management's reply and further action were awaited. |
| | 3A.12 | 0.18 | -----do----- | -----do----- | -----do----- |
| 2002-03 | 2.2.25 | 0.79 | -----do----- | -----do----- | -----do----- |
| 2003-04 | 2.3.16 | 16.10 | -----do----- | -----do----- | Management stated that action would be taken. |
| | 3.11 | 0.51 | -----do----- | -----do----- | Management stated that RC is pending in court. |
| 2005-06 | 4.17 | 0.46 | -----do----- | -----do----- | Management reply and further action is awaited. |
| 1997-98 | 3C.12.1 | 61.39 | Excessive damage of transformers in excess of norm of 2 per cent) resulting in extra financial burden on repair | Examination for ascertaining reasons of excessive damage and adherence of schedule of preventive maintenance were required. | As remedial measures, Management issued instructions from time to time to zonal offices to reduce excessive damage of transformers and intimated that UPSEB was increasing the capacity of existing transformers and establishing new sub-station. The details of impact of remedial measures leading to reduction in damage of transformers were awaited. |
| 1999-2000 | 3B.6.2 | 325.28 | -----do----- | -----do----- | -----do----- |
| 2002-03 | 2.2.21 | 0.43 | -----do----- | -----do----- | Management's reply and further action were awaited. |
| 1998-99 | 3A.5.17 | 3.17 | Short billing and irregular waiver of minimum consumption guarantee/ late payment surcharge. | Responsibility was required to be fixed in the cases of gross negligence on the part of official and where company sustained loss. | -----do----- |
| 1999-2000 | 4A.13(a) | 0.23 | -----do----- | -----do----- | Government had directed to adjust the amount of outstanding dues from the loan of State Government to UPPCL. Intimation regarding adjustment of dues of UPPCL with the Government loan was awaited. |
| | 4A.26 | 0.10 | -----do----- | -----do----- | Management's reply and further action were awaited. |
| 2001-02 | 3A.19 | 0.49 | -----do----- | -----do----- | No responsibility was fixed by the Management so far. |
| 2002-03 | 2.2.21 | 0.52 | -----do----- | -----do----- | Management's reply and further action were awaited. |

| Year of Audit Report | Paragraph No. | Money Value (₹ in crore) | Gist of Persistent Irregularities | Actionable points/action to be taken | Details of actions taken |
|----------------------|---------------|--------------------------|--|--|---|
| 2004-05 | 3.3 | 171.15 | -----do----- | -----do----- | No responsibility was fixed by the Management so far. |
| 2005-06 | 2.2.15 | 1.32 | -----do----- | -----do----- | Management stated that due to large number of consumers, billing in stipulated time is not possible. |
| 2003-04 | 3.9 | 8.22 | Irregular waiver of penalty for peak hour violation | Responsibility was required to be fixed in the cases of gross negligence on the part of official and where company sustained loss. | Management's reply and further action were awaited. |
| | 3.13 | 0.44 | -----do----- | -----do----- | -----do----- |
| | 3.18 | 0.18 | -----do----- | -----do----- | No responsibility was fixed by the Management so far. |
| 2004-05 | 3.10 | 0.36 | -----do----- | -----do----- | Management's reply and further action were awaited. |
| 2003-04 | 3.14 | 0.79 | Non-levy of penalty for peak hour violation/ non-application of rate for unrestricted supply | Responsibility was required to be fixed on officials for not taking appropriate action. | -----do----- |
| | 3.15 | 0.47 | -----do----- | -----do----- | -----do----- |
| | 3.16 | 1.24 | -----do----- | -----do----- | -----do----- |
| 2004-05 | 3.13 | 0.19 | -----do----- | -----do----- | -----do----- |
| 1998-99 | 3A.6.2.1 | 68.95 | Payment of monthly bills in instalments and waiver of late payment surcharge | Responsibility was required to be fixed on official violating the procedures of revenue collection. | Management replied that the instalment payment were allowed to consumers due to bad financial position of the consumers as a result of recession in the industry, after obtaining permission of competent authority/committee. UPPL was taking action for recovery of balance amount of dues from consumer. Outcome of the action was awaited |
| 2000-01 | 4A.22 | 2.80 | -----do----- | -----do----- | Management replied that the consumer was an important company of erstwhile KESA, decision taken by KESA had been adopted by the Corporation and recovery was made as per the decision of KESA. |
| 2003-04 | 3.12 | 0.27 | Short billing due to incorrect application of tariff. | Responsibility was required to be fixed on officials for not ensuring billing on the applicable tariff. | Management's reply and further action were awaited. |
| 2004-05 | 3.7 | 1.12 | -----do----- | -----do----- | Management's reply and further action were awaited. |
| 2005-06 | 4.25 | 0.10 | -----do----- | -----do----- | Management stated that bills of differential amount of ₹ 1.12 crore have been issued to the consumer. However, the recovery was awaited. |
| 2006-07 | 4.15 | 1.53 | -----do----- | -----do----- | Bills were raised by the division but recovery was awaited. |
| 2007-08 | 3.12 | 0.11 | -----do----- | -----do----- | The Management stated that the bill for difference amount has been raised. The recovery was however awaited. |
| | 3.17 | 0.81 | -----do----- | -----do----- | The Management stated that the bill for difference amount has been raised. The recovery was however awaited. |

| Year of Audit Report | Paragraph No. | Money Value (₹ in crore) | Gist of Persistent Irregularities | Actionable points/action to be taken | Details of actions taken |
|--|---------------|--------------------------|--|---|---|
| | 3.18 | 0.25 | -----do----- | -----do----- | The Management stated that the bill for difference amount has been raised. The recovery was however awaited. |
| 2008-09 | 4.17 | 0.12 | -----do----- | -----do----- | Management's reply was awaited. |
| | 4.9 | 7.43 | -----do----- | -----do----- | Management's reply was awaited. |
| 2008-09 | 2.1.21 | 134.39 | Excess consumption of coal. | The Management was required to strengthen the Internal control system to avoid such lapses in future. | Management stated that units were very old and quality of coal was poor leading to consumption of excess coal and efforts were being made to reduce the consumption. |
| 2009-10 | 2.2.34 | 1082.51 | -----do----- | -----do----- | Management stated that excess consumption of coal was due to poor quality of coal and non-completion of R&M activities. |
| | Total | 1935.41 | | | |
| 2. U.P. State Sugar Corporation Ltd. | | | | | |
| 1999-2000 | 4A.8 | 0.51 | Improper storage leading to damage of sugar and consequential loss | Remedial action was required to be taken to avoid recurrence of loss due to improper storage. | Management stated that sugar became wet due to unavoidable circumstances and no official was responsible for it. |
| 2000-01 | 4A.5 | 0.83 | -----do----- | -----do----- | Government/Management explained that Sugar Directorate did not issue release order according to stock and sugar became wet due to excessive carryover of stock for longer period. |
| 2002-03 | 3.1.6 | 1.19 | -----do----- | -----do----- | Management's reply was awaited |
| | Total | 2.53 | | | |
| Utтар Pradesh State Agro Industrial Corporation Limited | | | | | |
| 2001-02 | 2A.3.2.1 | 2.06 | Sub-standard procurement of GI pipes for hand pumps | Management was required to adhere the prescribed procedure and standard of quality in procurement of materials. | Management stated that clarification have been sought from suppliers and Bureau of Indian Standard after which necessary action would be taken. |
| 2009-10 | 2.1.10 | 3.26 | -----do----- | -----do----- | Management stated that orders to field units have been issued not to accept sub-standard supplies and from 2007-08 supply orders of more than 10 MT were being placed. |
| 2001-02 | 2A.3.3.1 | 0.69 | Excess cost on consumption of casing pipes. | The Management was required to prepare estimates of installation of hand pumps as per the norm. | Management stated that the matter was being investigated. |
| 2009-10 | 2.1.12 | 0.40 | -----do----- | -----do----- | Management stated that in future estimates for installation of hand pumps would be modified on written information of the Divisional Engineers. |
| 2001-02 | 2A.3.3.2 | 3.93 | Charging of excessive margin on installation of hand pumps. | The Management was required to strengthen the internal control system to avoid such lapses in future. | Management stated that cost estimates were approved by the Government. |

| Year of Audit Report | Paragraph No. | Money Value (₹ in crore) | Gist of Persistent Irregularities | Actionable points/action to be taken | Details of actions taken |
|--|---------------|--------------------------|--|--|---|
| 2009-10 | 2.1.13 | 5.73 | -----do----- | The Management was required to streamline the internal control mechanism to avoid such lapses in future | Management stated that the Company was preparing estimates as were being prepared by Uttar Pradesh Jal Nigam. |
| | Total | 16.07 | | | |
| U.P. Projects Corporation Limited | | | | | |
| 2001-02 | 2D.3.1 | 0.19 | Avoidable expenditure on procurement of cement | The Management was required to evolve a system of procuring material directly from manufacturers through rate contracts. | The Government stated that procurement rates of cement of the PSUs could not be compared. |
| 2011-12 | 3.3 | 0.57 | -----do----- | The Management was required to evolve a system of procuring material directly from manufacturers through rate contracts. | The Management stated that the Company mainly handles small projects situated mainly in rural areas and payment was made after supply of cement and there was no provision for storage. |
| | Total | 0.76 | | | |

Annexure-29
(Referred to in paragraph 3.20.3)
Statement showing persistent irregularities pertaining to Statutory corporations appeared in the
Reports of the Comptroller & Auditor General of India (Commercial), Government of Uttar
Pradesh

| Year of Audit Report | Paragraph No. | Money Value (₹ in crore) | Gist of Persistent Irregularities | Actionable points/action to be taken | Details of actions taken |
|---|---------------|--------------------------|---|---|--|
| 1. Uttar Pradesh Financial Corporation | | | | | |
| 1997-98 | 3A.7.2.1 | 2.39 | Faulty appraisal of proposal for sanction of loan where units were not viable from beginning leading to loss or non-recovery of the amount of loan. | Responsibility was required to be fixed on officials who appraised the proposal for sanction of loan besides strengthening of appraisal system and procedure. | Corporation could recover ₹ 36.32 lakh only from the Directors of the assisted unit and issued Personal Recovery Certificate (PRC) for recovery of balance amount. Responsibility was not fixed on any official. |
| | 3A.7.2.3 | 1.66 | -----do----- | -----do----- | Corporation could recover ₹ 28.53 lakh only from the Promoters. For recovery of balance amount PRC was issued. Responsibility was not fixed on any official. |
| 1999-2000 | 4B.2 | 1.30 | -----do----- | -----do----- | Corporation recovered ₹ 11.54 lakh by sale of assets. Corporation issued Recovery Certificate (RC)/ Personal recovery certificate (PRC) for recovery of dues against Directors and guarantors. Responsibility was not fixed on any official. |
| | 4B.7 | 1.39 | -----do----- | -----do----- | Corporation could recover ₹ 25.15 lakh only through sale of assets of assisted unit. PRC have been issued. Responsibility was not fixed on any official |
| 2002-03 | 3.2.2 | 11.68 | -----do----- | -----do----- | No recovery could be made. RC has been issued. |
| | 3.2.3 | 7.09 | -----do----- | -----do----- | Corporation recovered ₹ 44.13 lakh. PRC has been issued. |
| | 3.2.4 | 4.85 | -----do----- | -----do----- | Corporation approved OTS of ₹ 1.95 crore against which ₹ 1.45 crore had been deposited so far. |
| 2004-05 | 3.16 | 5.65 | -----do----- | -----do----- | Management's reply and further action were awaited. |
| 1997-98 | 3A.8.2.1 | 2.82 | Non-observance of pre-disbursement conditions leading to loss due to recovery of loans becoming impossible. | Responsibility was required to be fixed on officials who failed to ensure pre-disbursement conditions besides the strengthening of system and procedure for disbursement of loan. | Corporation could recover ₹ 75 lakh only under One Time Settlement (OTS) decision. |
| | 3A.8.2.2 | 1.75 | -----do----- | -----do----- | Corporation could recover ₹ 74.60 lakh (including ₹ 32.75 lakh against OTS of ₹ 51.10 lakh). Responsibility was not fixed on any official so far. |
| | 3A.8.2.3 | 1.36 | -----do----- | -----do----- | Corporation recovered ₹ 12 lakh through sale of assets. Corporation issued PRC and recovered ₹ 70.50 lakh from one promoter against PRC. Responsibility was not fixed on any official. |

| Year of Audit Report | Paragraph No. | Money Value (₹ in crore) | Gist of Persistent Irregularities | Actionable points/action to be taken | Details of actions taken |
|--|---------------|--------------------------|---|---|--|
| | 3A.8.2.4 | 2.14 | -----do----- | -----do----- | Corporation could not recover the dues. Responsibility was not fixed on any official so far. |
| 2003-04 | 3.21 | 2.21 | -----do----- | -----do----- | Corporation could not recover the dues and further action was awaited. Management's reply and further action were awaited. |
| 2004-05 | 3.15 | 13.59 | -----do----- | -----do----- | Corporation approved OTS of ₹ 62.74 lakh against which borrower deposited ₹ 31.30 lakh so far. |
| 1999-2000 | 4B.6 | 0.56 | Loss due to disbursement of loan on irregular legal documentation/forged documents. | Strengthening of fool proof verification/ independent checking of documents were required. | |
| 2000-01 | 4B.3 | 4.44 | -----do----- | -----do----- | Corporation could recover only nominal amount from the promoters. PRC has been issued. |
| | 4B.5 | 0.97 | -----do----- | -----do----- | Corporation could recover ₹ 28.80 lakh only. PRC was issued against promoters and guarantors. |
| | 4B.6 | 0.62 | -----do----- | -----do----- | Corporation could not recover any amount from the promoter. Further action was awaited. |
| 2002-03 | 3.2.6 | 4.50 | -----do----- | -----do----- | Corporation recovered ₹ 1.46 crore. RC has been issued. Management did not indicate any remedial action to avoid recurrence of such incidence. |
| 2003-04 | 3.22 | 2.06 | Loss due to delay in taking over possession of the unit. | Responsibility was required to be fixed on officials for delay in taking over the possession of the unit. | Management's reply and further action were awaited. |
| 2004-05 | 3.18 | 10.79 | -----do----- | -----do----- | -----do----- |
| 2005-06 | 4.30 | 11.64 | -----do----- | -----do----- | Possession was not taken to avoid huge security expenses. |
| | Total | 95.46 | | | |
| 2. Uttar Pradesh State Road Transport Corporation | | | | | |
| 1997-98 | 4B.2 | 0.32 | Avoidable payment of damages on belated deposit of EPF. | Timely payment of EPF was required to ensure incidence of damages on delayed deposits | Management's reply was awaited |
| 1998-99 | 4B.1 | 0.19 | -----do----- | -----do----- | Management intimated that the amount of damages was adjusted in the wake of stay order of the court. |
| 2000-01 | 4B.2 | 0.27 | -----do----- | -----do----- | Management informed that a work plan had been prepared for deposit of tax. Further action was awaited |
| | Total | 0.78 | | | |

Annexure-30
(Referred to in paragraph 3.20.4)

Statement showing the department-wise outstanding Inspection Reports

| Sl. No. | Name of Department | No. of PSUs | No. of outstanding IRs | No. of outstanding Paragraphs | Year from which paragraphs outstanding |
|----------------|--|--------------------|-------------------------------|--------------------------------------|---|
| 1. | Agriculture | 3 | 13 | 73 | 2006-07 |
| 2. | Matsya and Pashudhan | 1 | 7 | 32 | 2004-05 |
| 3. | Sugar Industry and Cane Development | 7 | 21 | 103 | 2005-06 |
| 4. | Irrigation | 1 | 8 | 76 | 2004-05 |
| 5. | Small Industries | 1 | 7 | 36 | 2004-05 |
| 6. | Industrial Development | 3 | 55 | 323 | 2004-05 |
| 7. | Export Promotion | 2 | 7 | 41 | 2004-05 |
| 8. | Hathkargha Evam Vastra Udyog | 2 | 9 | 27 | 2004-05 |
| 9. | Information Technology and Electronics | 4 | 20 | 54 | 2004-05 |
| 10. | Public Works | 2 | 378 | 1419 | 2004-05 |
| 11. | Samaj Kalyan | 3 | 13 | 48 | 2006-07 |
| 12. | Mahila Kalyan | 1 | 4 | 4 | 2003-04 |
| 13. | Home | 1 | 6 | 23 | 2006-07 |
| 14. | Food and Civil Supplies | 2 | 16 | 91 | 2003-04 |
| 15. | Tourism | 1 | 4 | 18 | 2006-07 |
| 16. | Waqf Evam Alpsankhyak | 2 | 10 | 45 | 2002-03 |
| 17. | Transport | 1 | 86 | 460 | 2004-05 |
| 18. | Co-operative | 1 | 8 | 63 | 2002-03 |
| 19. | Forest | 1 | 28 | 120 | 2004-05 |
| 20. | Energy | 17 | 1877 | 7800 | 2004-05 |
| 21. | Health | 1 | 3 | 12 | 2008-09 |
| 22. | Housing and Urban Planning | 1 | 202 | 704 | 2004-05 |
| 23. | Urban Development | 1 | 739 | 2728 | 2004-05 |
| 24. | Picchara Varg Kalyan | 1 | 1 | 6 | 2011-12 |
| | Total | 60 | 3522 | 14306 | |

Source: Progress register of AIRs.

Annexure-31

(Referred to in paragraph 3.20.4)

Statement showing the department-wise draft paragraphs/Performance Audit replies to which were awaited

| Sl. No. | Name of Department | No of draft paragraphs | Period of issue |
|---------|----------------------------|------------------------|--------------------------|
| 1. | Energy | 8 | May 2013 to August 2013 |
| 2. | Public Works | 6 ¹ | May 2013 to October 2013 |
| 3. | Housing and Urban Planning | 2 | June 2013 |
| 4. | Urban Development | 4 | May 2013 to July 2013 |
| 5. | Forest | 1 | May 2013 |
| | Total | 19 | |

¹ This includes a para on 'Short deposit of Building and other Construction Workers Welfare Cess' on four PSDs under three different departments (Uttar Pradesh Rajkiya Nirman Nigam Limited and Uttar Pradesh State Bridge Corporation Limited: Public Works Department; Uttar Pradesh Avas Evam Vikas Parishad: Housing and Urban Planning Department and Uttar Pradesh Jal Nigam: Urban Development Department). As this para is included thrice i.e. at SL.No.2, 3 and 4, hence, it has been counted as only one paragraph in total.