



APPENDICES

Appendix 1.1

Details of major State and district sector schemes implemented by PRIs

(Reference: Paragraph 1.4.6/ Page 6)

(₹ in crore)

Scheme	Project Details of the Scheme/Project	Opening balance	Allocation	Total	Expenditure
Grama Swaraj Yojane	The Scheme was introduced to give special emphasis to improve the service delivery by the Grama Panchayats	--	88.00	88.00	66.31
Suvarna Gramodaya yojane	Aims at developing vibrant village communities by adopting an intensive and integrated approach to rural development in thousand villages every year	81.85	700.00	781.85	445.31
Mukhya Mantri Grameena Raste Abhivrudhi Yojana	The Scheme under National Bank for Agriculture and Rural Development assisted Rural Infrastructure Development Fund was implemented for rural roads improvement and road connectivity	14.60	148.27	162.87	132.83

Source: Annual Report of RDPR and Scheme guidelines

Appendix 1.2

Statement showing balances under Major heads of account 8670 and 8782
for the year 2011-12

(Reference: Paragraph 1.10.6/Page 14)

(₹ in crore)

Sl. No.	Name of the District	Balances under 8782 cash remittances and adjustments between officers rendering accounts to the same accounts officers		Balances under 8670 cheques and bills -103 Departmental cheques	
		102 - Public works Remittance II - cheques	103 - Forest Remittance II - cheques	Social Welfare Cheques	Backward classes and Minorities
1.	Bagalkot	12.02	0.05	(-) 8.81	0.00
2.	Bangalore (Rural)	(-) 7.05	(-) 0.42	(-) 1.58	(-) 0.74
3.	Bangalore (Urban)	5.12	0.04	5.03	(-) 0.34
4.	Belgaum	17.38	0.79	0.00	0.00
5.	Bellary	9.81	(-) 0.66	(-) 1.38	(-) 3.99
6.	Bidar	(-) 0.54	0.25	0.30	0.00
7.	Bijapur	6.82	0.00	0.01	0.00
8.	Chamarajanagar	2.49	0.00	0.11	0.05
9.	Chitradurga	0.00	0.01	0.00	0.00
10.	Haveri	0.02	0.00	0.78	0.26
11.	Kodagu	(-) 13.03	2.64	0.04	0.03
12.	Kolar	2.71	0.90	(-) 8.58	(-) 0.08
13.	Koppal	(-) 0.69	0.18	(-) 0.09	0.05
14.	Mandya	1.98	0.00	0.08	0.00
15.	Mysore	21.67	3.30	0.69	(-) 0.41
16.	Raichur	(-) 25.19	0.20	1.96	0.15
17.	Tumkur	26.02	6.34	(-) 0.90	4.48
18.	Udupi	0.03	0.00	0.00	0.00
19.	Uttara Kannada	(-) 10.15	(-) 2.55	0.12	2.83

Source: SARs of ZPs

Appendix 1.3

Statement showing balances under Taluk Panchayat and Gram Panchayat Suspense for the year 2011-12

(Reference: Paragraph 1.10.6/Page 14)

(₹ in crore)

Sl. No.	Name of the ZP	TP Suspense	GP Suspense
1	Bangalore (Rural)	19.50	(-)7.05
2	Bangalore (Urban)	11.36	(-)0.67
3	Bidar	4.86	1.27
4	Chamarajanagar	(-)20.78	0.25
5	Davanagere	0.84	0
6	Dharwad	1.04	1.34
7	Gadag	5.23	2.28
8	Hassan	9.18	0.03
9	Haveri	37.31	1.19
10	Kodagu	0	0.33
11	Kolar	(-)0.22	0
12	Koppal	59.83	0
13	Mandya	1.99	(-)7.22
14	Mysore	5.07	0
15	Raichur	(-)79.70	0.01
16	Tumkur	0.04	0

Source: Annual accounts of ZPs

Appendix 1.4

Statement of outstanding IRs and Paragraphs as at the end of March 2012

(Reference: Paragraph 1.13/Page 17)

ZP	More than 10 years (till 2001-02)		05 years to 10 years (upto 2002-07)		03 to 05 years (2007-2009)		2009-10		2010-11		2011-12		Total	
	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras	IRs	Paras
Bagalkot	12	25	11	21	15	39	5	46	8	80	0	0	51	211
Bangalore (Urban)	39	48	67	85	67	149	94	337	22	144	0	0	289	763
Bangalore (Rural)	3	4	12	17	19	47	15	55	6	32	0	0	55	155
Belgaum	147	409	57	202	19	65	23	184	13	130	1	6	260	996
Bellary	67	142	34	120	23	164	7	57	10	100	0	0	141	583
Bidar	39	100	24	87	14	127	7	81	5	35	0	0	89	430
Bijapur	77	171	31	89	22	80	4	25	5	25	0	0	139	390
Chamaraja Nagar	2	2	11	31	28	96	12	48	5	29	0	0	58	206
Chickmagalur	18	21	21	40	18	47	24	143	16	93	0	0	97	344
Chikkaballapur	21	40	23	86	22	98	13	75	18	128	0	0	97	427
Chitradurga	9	13	4	22	6	14	22	128	12	117	0	0	53	294
Dakshina Kannada	14	20	12	28	11	29	5	26	7	40	0	0	49	143
Davanagere	28	48	23	45	19	52	9	27	12	60	0	0	91	232
Dharwad	50	100	51	116	45	125	16	93	22	158	2	11	186	603
Gadag	62	154	35	104	19	109	8	35	9	96	0	0	133	498
Gulbarga	65	170	31	98	16	55	7	40	10	74	0	0	129	437
Hassan	28	47	32	55	19	87	17	89	12	85	0	0	108	363
Haveri	21	37	21	34	24	66	13	80	17	148	0	0	96	365
Kodagu	11	16	9	20	10	50	7	58	8	54	0	0	45	198
Kolar	51	98	47	181	21	90	23	147	15	65	0	0	157	581
Koppal	12	26	15	46	18	104	10	62	8	70	7	38	70	346
Mandya	46	66	35	117	21	58	8	31	12	99	0	0	122	371
Mysore	3	8	7	28	36	131	16	91	16	99	0	0	78	357
Raichur	50	132	23	94	15	133	8	66	5	46	1	14	102	485
Ramanagara	31	68	24	60	14	29	19	73	6	38	0	0	94	268
Shimoga	23	32	22	57	16	41	6	27	13	98	0	0	80	255
Tumkur	29	39	40	70	33	140	41	193	19	100	7	66	169	608
Udupi	5	8	4	17	13	47	10	43	8	55	0	0	40	170
Uttara Kannada	92	252	26	81	25	101	11	80	13	84	1	7	168	605
Yadgir	31	113	15	79	5	40	2	17	2	16	0	0	55	265
Total	1,086	2,409	767	2,130	633	2,413	462	2,457	334	2,398	19	142	3,301	11,949

Source: Progress Reports of Inspection Reports

Appendix 2.1
Statement showing the extra expenditure incurred on surface dressing
(Reference: Paragraph 2.3/Page 44)

			(₹ in lakh)
Sl. No.	Name of the work	District	Expenditure on surface dressing
1.	Improvements to road from Mudhol Taluk package (seven works)	Bagalkot	33.92
2.	Improvements to road from Bommanagi NH13 approach road (2.00 to 9.50 kms)	Bagalkot	5.93
3.	Improvements to road from Hungund Thimmapura road (1.00 to 6.00 kms)	Bagalkot	8.62
4.	Improvements to road from Hungund Taluk package (three works)	Bagalkot	19.08
5.	Improvements to road from Jamakhandi package (two works)	Bagalkot	9.33
6.	Improvements to road from Mantur-Konnur road in Mudhol Taluk	Bagalkot	7.02
7.	Improvements to road from Ramanayakanahalli to Haralu village in Kudligi Taluk	Bellary	3.42
8.	Improvements to road from Sogi to Kenchammanahalli in Hadagalli Taluk	Bellary	6.52
9.	Improvements to road from Mallenahalli, Sollapura, Southanahalli, Chinnapura, Asandi road in Tarikere Taluk	Chickmagalur	6.85
10.	Improvements to road from Nagenahalli Phalaharaswamy Mutt via Muthinapura in Chickmagalur Taluk	Chickmagalur	7.87
11.	Improvements to road from Humnabad main road to Sonth village (1.50 to 3.50 kms)	Gulbarga	3.68
12.	Improvements to road from Gobbur (B) to Awralli village in Afzalpur Taluk	Gulbarga	6.25
13.	Improvements to Link road from Malkhed to Bijanalli village in Sedam Taluk	Gulbarga	7.20
14.	Improvements to road from Bidar Srirangapattan main road to Seethanoor approach road (0.00 to 2.40 kms)	Gulbarga	4.41
15.	Improvements to road from Bhimalli to Gola village	Gulbarga	6.98
16.	Improvements to road from Kodihalli-Hunasanahalli main road to Bandedoddi road (0.00 to 1.50 kms)	Ramanagara	2.54
17.	Improvements to road from Hunasanakodihalli road to Hunasanahalli road (0.00 to 1.50 Kms)	Ramanagara	2.79
Total			142.41

Source- Running Account (RA) bills

Appendix 3.1
Details of Tax & Non-Tax revenue of selected ULBs
(Reference: Paragraph 3.3.5.2/Page 54)

Name of the ULBs	2007-08			2008-09			2009-10			2010-11			2011-12			Grand Total
	Tax revenue	Non-tax revenue	Total	Tax revenue	Non-tax revenue	Total	Tax revenue	Non-tax revenue	Total	Tax revenue	Non-tax revenue	Total	Tax revenue	Non-tax revenue	Total	
Aurad TP	5.99	NA	5.99	7.25	NA	7.25	10.56	14.95	25.51	10.72	15.31	26.03	15.67	27.78	43.45	108.23
Bantwal TMC	45.06	109.79	154.85	62.99	114.24	177.23	68.13	365.55	433.68	79.21	310.24	389.45	95.82	467.38	563.20	1,718.41
Basavakalyana CMC	41.67	32.00	73.67	33.17	36.00	69.17	25.55	35.00	60.55	29.52	33.04	62.56	41.58	48.41	89.99	355.94
Belthangadi TP	14.18	16.47	30.65	16.22	21.10	37.32	21.21	78.93	100.14	27.36	101.44	128.80	32.35	72.18	104.53	401.44
Bhalki TMC	14.59	9.22	23.81	17.09	9.56	26.65	23.27	6.39	29.66	26.84	26.07	52.91	29.83	28.51	58.34	191.37
Bidar CMC	141.38	130.05	271.43	227.14	118.55	345.69	297.46	138.48	435.94	274.90	192.09	466.99	270.38	203.64	474.02	1,994.07
Chittaguppa TMC	3.73	14.37	18.10	4.64	11.92	16.56	5.01	7.20	12.21	6.23	11.04	17.27	9.99	21.91	31.90	96.04
Hassan CMC	423.32	94.88	518.20	426.32	112.09	538.41	526.14	130.99	657.13	732.95	100.66	833.61	523.54	143.09	666.63	3,213.98
Hunnabad TMC	18.57	21.88	40.45	30.90	16.19	47.09	33.56	38.43	71.99	27.76	22.83	50.59	29.62	28.18	57.80	267.92
Mangalore CC	746.18	2,495.56	3,241.74	768.46	3,393.11	4,161.57	2,367.62	2,826.09	5,193.71	2,374.18	3,585.87	5,960.05	2,337.61	5,122.36	7,459.97	26,017.04
Moodbidri TMC	55.93	125.69	181.62	67.93	109.90	177.83	86.23	161.06	247.29	120.68	184.21	304.89	131.43	188.96	320.39	1,232.02
Mulki TP	14.54	38.56	53.10	18.84	43.33	62.17	21.00	27.00	48.00	21.50	40.00	61.50	23.00	37.50	60.50	285.27
Puttur TMC	116.93	128.86	245.79	140.51	175.40	315.91	189.22	177.50	366.72	206.86	263.14	470.00	247.36	186.05	433.41	1,831.83
Sullia TP	43.20	49.46	92.66	53.49	102.00	155.49	63.72	101.14	164.86	70.47	223.44	293.91	69.64	254.05	323.69	1,030.61
Ullal TMC	35.05	154.39	189.44	43.05	156.57	199.62	46.30	289.00	335.30	49.48	922.21	971.69	70.72	100.19	170.91	1,866.96
Total	1,720.32	3,421.18	5,141.50	1,918.00	4,419.96	6,337.96	3,784.98	4,397.71	8,182.69	4,058.66	6,031.59	10,090.25	3,928.54	6,930.19	10,858.73	40,611.13

Source: as furnished by ULBs

NA: Not available

Appendix 3.2

Role of the State Government with respect to ULBs

(Reference: Paragraph 3.6.1/Page 57)

Power	Nature of power and conditionality attached to its exercise
Power to frame Rules	The State Government may by notification in Gazette, frame rules for the KMC/KM Acts after approval by the State Legislature. (Section 421 of KMB Act and Section 323 of KM Act)
Power to dissolve ULBs	The State Government shall, by notification in the Gazette, dissolve the ULBs, if the ULBs fail to perform or default in the performance of any of the duties imposed on them, after giving reasonable opportunity. The order of dissolution of the ULBs shall be laid before both the houses of State Legislature with a statement of the reasons therefor. The State Government may appoint the Administrator during the period of dissolution of the ULBs. (Section 99 and 100 of KMC Act, Section 315 and 316 of KM Act)
Power to cancel and suspend a resolution or decision taken by ULBs	The State Government may cancel a resolution or decision taken by ULBs, if the State Government is of the opinion that it is not legally passed or in excess of the power conferred by KMC and KM Acts, any other law or likely to endanger human life, health, public safety or communal harmony or in violation of directions issued by Government (Section 98 of KMC Act and Section 306 of KM Act)
Power of appointment, cadre control and transfer	The Commissioners and Chief Officers of ULBs are Government Servants and other staff members in the ULBs are municipal employees. The State Government regulates the classification, method of recruitment, conditions of service, pay and allowance, discipline and conduct of staff and officers of ULBs. The State Government may at any time transfer the Officers from a ULB. The State Government shall lend the services of the Government officers and employees to ULBs as may be necessary for the implementation of any schemes, project or plan assigned to the ULBs. An appeal against any order of the ULBs imposing penalty on any officer or employee shall lie with the State Government.

Source: KMC Act and KM Act

Appendix 4.1

Financial position for the test-checked projects implemented by BBMP as of March 2012

(Reference: Paragraph 4.1.9.1/ Page 73)

(₹ in crore)

Project	Original project cost	Revised Project Cost	Share (Based on original project cost)			Releases			Expenditure	Release of GOI & GOK share by SLNA
			GOI	GOK	BBMP	GOI	GOK	BBMP		
Vrishabhavathi valley	228.26	420.89	79.89	34.24	114.13	59.92	25.68	72.21	186.51	85.60
Hebbal valley	184.74	269.98	64.66	27.71	92.37	48.49	20.78	49.64	122.87	69.28
Koramangala valley	111.49	128.58	39.02	16.72	55.75	29.27	12.54	20.80	72.70	41.81
Challaghatta valley	118.57	105.93	41.50	17.79	59.28	20.75	8.89	13.11	49.78	29.64
Underpass at Malleshwaram	12.45	12.45	4.36	1.87	6.22	3.92	2.30	11.45	15.74	6.23
Underpass at CNR Rao Road	22.61	22.61	7.91	3.39	11.31	3.16	2.49	8.49	11.49	5.65
Bridge over SWD at Gali Anjaneyaswamy temple	31.93	30.08	11.18	4.79	15.96	7.26	3.60	12.47	25.31	10.86
Upgradation work of sidewalk and asphaltting works of road surrounding MG Road	43.61	43.61	15.26	6.54	21.81	13.74	6.55	8.60	45.61	18.99
Redevelopment of 13 slums – 1524 DUs	50.88	52.86	22.98	27.90 (GOK & BBMP)		5.75	4.52	6.00	7.59 Ω	10.26
Upgradation work of sidewalk and asphaltting works of roads surrounding Koramangala	50.45	50.45	17.66	7.57	25.22	15.89	9.33	1.33	42.16	25.22
Total	854.99	1,137.44	304.42	148.52	402.05	208.15	96.68	204.10	579.76	303.54

Source: As per BBMP's records and status report of SLNA Ω As of December 2012

Appendix 4.2

Details of 15 package works on remodelling of primary and secondary SWDs

(Reference: Paragraph 4.1.11.1/Page 77)

(₹ in crore)

Name of the Work	Packages	Estimate/ Tendered Amount	Administrative/ Technical Sanction	Work order/Stipulated month of completion	Expendi ture	Payment due to the Contractor of March 2012
Remodelling of primary and secondary drains of Vrishabhavathi valley	Vrishabhavathi Package I	31.62/ 42.41	10.03.06	30.03.06/ September 2007	8.66	2.49
	Vrishabhavathi Package II	23.15/ 28.92	10.03.06	22.04.06/ October 2007	8.99	1.19
	Vrishabhavathi Package III	24.67/ 31.62	10.03.06	30.03.06/ September 2007	25.00	3.28
	Vrishabhavathi Package IV	23.31/ 30.54	10.03.06	01.04.06/ September 2007	16.96	8.42
	Vrishabhavathi Package V	34.20/ 45.83	10.03.06	30.03.06/ November 2007	17.59	1.25
Remodelling of primary and secondary drains of Koramangala valley	Koramangala Package I	20.81/ 24.14	17.07.04/ 04.05.05	20.04.05/ September 2006	7.34	0.61
	Koramangala Package II	17.49/ 20.29	27.12.05/ 21.12.05	16.05.05/ November 2006	7.92	(-).1.67
	Koramangala Package III	29.48/ 34.20	11.07.05	10.06.05/ November 2006	8.31	0.45
Remodelling of primary and secondary drains of Challaghatta valley	Challaghatta Package I	15.33/ 19.16	26.12.05/ 19.11.05	01.07.05/ December 2006	6.89	0.29
	Challaghatta Package II	36.15/ 45.19	02.08.06	26.08.06/ December 2006	7.09	0.68
	Challaghatta Package III	19.50/ 24.38	21.12.05	21.01.06/ June 2007	7.54	0.58
Remodelling of primary and secondary drains of Hebbal valley	Hebbal Package I	19.02/ 24.35	10.03.06	30.03.06/ September 2007	3.35	0.24
	Hebbal Package II	37.15/ 60.77	10.03.06	30.03.06/ September 2007	37.72	2.09
	Hebbal Package III	26.55/ 39.68	10.03.06	23.03.06/ September 2007	12.74	0.65
	Hebbal Package IV	21.00/ 25.42	10.03.06	08.05.06/ November 2007	10.42	0.08
Total					186.52	20.63

Appendix 4.3

Works exempted under KTPP Act

(Reference: Paragraph 4.1.11.2/Page 79)

Sl. No.	Name of the Work	Estimated cost (₹ in lakh)	Date of seeking exemption/ Date of issue of exemption order	Period of exemption/ Date of Commence ment/Work Order	Stipulated/ actual date of Completion	Delay in months
1	Construction of Bye-pass Storm water drain for primary drain K-200 of Koramangala valley upstream of Central Silk Board junction.	307.60	28.08.2007/ 26.06.2008	25.08.2007- 31.03.2008/ 26.06.2008	25.09.2008/ 17.09.2010	24
2	Construction of secondary storm water drain K-103 by providing pre-cast segment at Residency Road of Koramangala valley in Bangalore City.	39.15	23.12.2008/ 24.02.2009	Up to 30.11.2008/ 28.02.2009	28.04.2009/ 05.06.2009	2
3	Construction of Size stone masonry work in SWD (missing bits) on either side of railway bridge near Banaswadi railway station from Lingarajapuram to Kanakadasa layout in Hebbal Valley package III.	96.00	26.09.2008/ 31.10.2008	Up to 30.11.2008/ 02.12.2008	02.02.2009/ 29.08.2009	6
4	Construction of Bridge at Chainage 1965 in H-300 HBR layout near Chandrika Soap factory.	81.75	23.12.2008/ 24.02.2009	Upto 30.11.2008/ 03.12.2008	03.03.2009/ 19.08.2009	5
5	Reconstruction of damaged /dilapidated SSM retaining wall for primary drain H-300 from Chainage 2500 to 5000 meters (HBR layout to Outer Ring Road) in Hebbal package III.	91.00	26.09.2008/ 31.10.2008	Up to 30.11.2008/ 28.02.2009	27.04.2009/ 02.06.2009	2
6	Construction of RCC Box culvert to secondary drain H – 201 at Chainage 1585 mtrs for ISRO Main Road.	90.00	23.12.2008/ 24.02.2009	Up to 30.11.2008/ 23.11.2009	22.03.2010/ 17.06.2010	3
7	Construction of RCC retaining wall in Vrishabhavathi valley from Chainage 13230 to 13385 mtrs left side of the drain up to University junction.	99.50	23.12.2008/ 24.02.2009	Up to 30.11.2008/ 08.12.2009	07.03.2010/ 09.02.2010	Nil
8	Remodelling of side drain by the side of P&T office and Basaveshwara Choultry and hostel joining V – 116 secondary drain in Vrishabhavathi valley.	38.00	23.12.2008/ 24.02.2009	Up to 30.11.2008/ 28.02.2009	27.04.2010/ 25.04.2009	Nil
9	Reconstruction of RCC bridge across SWD V. Valley – 305 – Kakathiyanagar (Ittimadu).	60.00	26.09.2008/ 31.10.2008	Up to 30.11.2008/ 02.06.2009	01.09.2009/ July 2010	10

Appendix 4.4

**Statement showing the benefit accrued to the contractors due to fore closure of SWD package works
(Reference: Paragraph 4.1.11.3/Page 82)**

Valley/Package Numbers	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
		Overall estimated cost (Rupees in crore)	Overall tendered/negotiated amount (Rupees in crore)	Percentage of overall tender premium(Col.3 - Col. 2)/Col.2*100	Estimated cost of the executed items (Rupees in crore)	Tender amount of executed items (Rupees in crore)	Tender premium in respect of executed items (Col. 6-5)/Col. 5*100	Increase in per centage of tender premium compared to items executed(Col.7- Col.4)	Benefit accrued to the contractor due to fore closure{*col 8}/100 (Rupees in crore)
Vrishabhavathi Valley I		31.62	42.41	34.12	6.55	9.81	49.77	15.65	1.02
Vrishabhavathi Valley II		23.15	28.92	24.92	3.64	8.67	138.19	113.27	4.12
Vrishabhavathi Valley III		24.67	31.62	28.17	14.01	22.23	58.67	30.50	4.27
Vrishabhavathi Valley IV		23.31	30.54	31.02	8.29	13.13	58.38	27.36	2.27
Vrishabhavathi Valley V		34.20	45.83	34.01	13.27	18.19	37.08	3.07	0.41
Hebbal Valley I		19.02	24.35	28.02	0.80	1.62	102.05	74.03	0.59
Hebbal Valley II		37.15	60.77	63.58	17.98	33.21	84.71	21.13	3.80
Hebbal Valley III		26.55	39.68	49.45	5.25	12.91	145.90	96.45	5.06
Hebbal Valley IV		21.00	25.42	21.05	5.74	9.75	69.86	48.81	2.80
Challaghatta Valley I		15.33	19.16	24.98	3.49	4.97	42.41	17.43	0.61
Challaghatta Valley II		36.15	45.19	25.01	8.14	10.95	34.52	9.51	0.77
Challaghatta Valley III		19.50	24.38	25.03	2.86	4.77	66.78	41.75	1.19
Koramangala Valley I		20.81	24.14	16.00	5.00	6.99	39.80	23.80	1.19
Koramangala Valley II		17.49	20.29	16.01	4.55	10.15	123.08	107.07	4.87
Koramangala Valley III		29.48	34.20	16.01	3.64	7.19	97.53	81.52	2.97
Total									35.94