

प्रधान महालेखाकार (ले०&ह०) का
कार्यालय
बीरचंद पटेल पथ,
पटना, बिहार - 800001



SUPREME AUDIT INSTITUTION OF INDIA
लोकहितार्थं सत्यनिष्ठ
Dedicated to Truth in Public Interest

OFFICE OF THE PRINCIPAL
ACCOUNTANT GENERAL (A&E),
BIRCHAND PATEL PATH
PATNA, BIHAR - 800001

सं०. प्रशासन -I/(ले० एवं ह०)/ई-427380/C- 85

दिनांक : 31 . 07 .2026

Circular

Subject: Go-live of portal for CAG's 101 Innovation Ideas Initiative

In continuation to previous circular issued under F. No. Admin-/A&E/E-427380/26-27/C-65 dated 03-07-2026 and with reference to Headquarters Office email dated 21.07.2026 (**copy enclosed**) on the subject cited above, all officers/officials of this office are hereby informed that the dedicated portal for submission and processing of entries under CAG's 101 Innovation Ideas Initiative is being made operational with effect from 21 July 2026. The portal can be accessed at <http://cag101.cag.gov.in>

Also, The Notification No. I/1530136/202663-PPG/CAG-101/2026 Dated 20.07.2026 regarding the go-live of the portal, along with the **User Guide** for registration and submission of entries, is enclosed for information and necessary action. Submissions under the Initiative may now be made through the portal in accordance with the notified guidelines and timelines.

This issues with the approval of Competent Authority.

हस्ता/

वरिष्ठ उप महालेखाकार (प्रशा०)

प्रति सूचनार्थ प्रेषित :-

- i. प्रधान महालेखाकार के सचिव
- ii. वरिष्ठ उप महालेखाकार (प्रशा० एवं जीई) का सचिवालय
- iii. वरिष्ठ उप महालेखाकार (पेंशन एवं फंड) का सचिवालय
- iv. उप महालेखाकार (लेखा, कार्य एवं वीएलसी) का सचिवालय
- v. वरिष्ठ लेखा अधिकारी – TM/GM/ WM-I/PEN-I को सूचनार्थ व आवश्यक कार्रवाई हेतु ।
- vi. सभी सहायक लेखा अधिकारी / प्रशासन स्कन्ध को सूचनार्थ व आवश्यक कार्रवाई हेतु ।
- vii. सूचना पट / सोशल मीडिया ग्रुप ।

Digitally signed by
Deepak Kumar
Date: 29-07-2026
16:20:40

वरिष्ठ लेखा अधिकारी /प्रशासन -I

प्रधान महालेखाकार (ले०&ई०) का
कार्यालय
बीरचंद पटेल पथ,
पटना, बिहार - 800001



SUPREME AUDIT INSTITUTION OF INDIA
लोकहितार्थं सत्यमिच्छा
Dedicated to Truth in Public Interest

OFFICE OF THE PRINCIPAL
ACCOUNTANT GENERAL (A&E),
BIRCHAND PATEL PATH
PATNA, BIHAR - 800001

No. Admin-I/A&E/E-427380/26-27/ ⁶⁵
Date:- 03-07-2026

परिपत्र

Subject: Circulation of the Concept Paper for the "CAG 101 Innovation Ideas Initiative" along with Submission Form

Reference is invited to Headquarters Office email dated 18.06.2026 (copy enclosed) on the subject cited above regarding circulation of the Concept Paper for the "CAG 101 Innovation Ideas Initiative" among the officers/officials of this office for needful action.

This issues with the approval of Competent Authority.

Digitally signed by
Deepak Kumar
Date: 03-07-2026
14:52:15

वरिष्ठ लेखा अधिकारी /प्रशासन-I

प्रतिलिपि:-

1. प्रधान महालेखाकार (ले० एवं हक०) का सचिवालय
2. वरिष्ठ उप महालेखाकार (प्रशासन एवं जी० ई०) सचिवालय
3. वरिष्ठ उप महालेखाकार (पेंशन एवं निधि) सचिवालय
4. उप महालेखाकार (लेखा एवं कार्य) सचिवालय
5. समस्त अधिकारी एवं कर्मचारी
6. सूचना पट

वरिष्ठ लेखा अधिकारी /प्रशासन-I

Fwd: [Cag-iaad] CAG 101 Innovation Ideas Initiative – Circulation of Concept Paper and Submission Form – reg.

AG AE Bihar Patna <agaebihar@cag.gov.in>

Thu, 18 Jun 2026 5:45:04 PM +0530

To "Record section"<record.bih.ae@cag.gov.in>

Cc "Santosh Kumar CAG"<kumars@cag.gov.in>,"Omnkar K"<omnkar@cag.gov.in>,"Lokesh DataI"<lokeshdatal@cag.gov.in>,"Devendra Pratap Srivastava"<srivastavadp@cag.gov.in>,"Kundan Kumar"<kundankumar.bih.ae@cag.gov.in>

**O/o the Principal Accountant General (A&E), Bihar, Patna
Veerchand Patel Path, R. Block
Patna-800001**

===== Forwarded message =====

From: PPG Wing <ppgwing.cag@cag.gov.in>

To: "cag-iaad"<cag-iaad@lsmgr.nic.in>,"cag-all-users"<cag-all-users@lsmgr.nic.in>

Cc: "Vikas Choudhary"<aao5ppg@cag.gov.in>

Date: Thu, 18 Jun 2026 17:00:16 +0530

Subject: [Cag-iaad] CAG 101 Innovation Ideas Initiative – Circulation of Concept Paper and Submission Form – reg.

===== Forwarded message =====

Professional Practices Group

Respected Madam/Sir,

The Competent Authority has approved the Concept Paper for the '**CAG 101 Innovation Ideas Initiative**', envisaged as a flagship pan-IA&AD platform to bring together the ideas, experience and creativity of officers and officials across the Department. The initiative seeks to identify practical and scalable solutions in the areas of audit, accounts, administration, stakeholder engagement, outreach and institutional impact.

2. The initiative provides a structured mechanism for submission, evaluation, mentoring and showcasing of promising ideas, tools, methodologies, process improvements, digital solutions, dashboards and other institutional innovations. It is intended to encourage development of solutions that can improve efficiency, transparency, timeliness and effectiveness in the working of SAI India.

3. The **Concept Paper** (*copy enclosed*) outlines the objectives, thematic areas, governance structure, evaluation criteria, rollout cycle and indicative timelines for implementation of the initiative. The Submission Form is also enclosed as **Appendix-I**.

4. All field offices and functional wings at Headquarters are requested to kindly circulate the enclosed documents widely among officers and officials for information, awareness and familiarisation with the broad framework of the initiative. This may enable officers and officials to reflect on possible ideas/proposals in advance, so that they may be suitably prepared once the submission portal is opened.
5. Detailed instructions regarding submission of proposals, opening of the portal and the submission process will be communicated separately.

**Regards,
Deputy Director,
Professional Practices Group,
O/o CAG of India**

CAG-IAAD mailing list -- cag-iaad@lsmgr.nic.in
To unsubscribe send an email to cag-iaad-leave@lsmgr.nic.in

2 Attachment(s)

CAG 101 Innovative Ideas Initi...
428.5 KB

CAG 101 Innovative Ideas Initi...
340.6 KB

C O N C E P T P A P E R

The CAG 101

Innovation Ideas Initiative

*A Flagship Institutional Platform for Innovation,
Mentorship and Institutional Transformation*

DOCUMENT
Concept Paper

EDITION
FY 2026–27

ISSUED BY
PPG Wing, O/o CAG

C O N T E N T S

I	Background and Rationale
II	Objectives
III	Thematic Areas for Submissions
IV	Governance Structure and Evaluation Criteria
V	Digital Platform and Workflow Management
VI	Annual Rollout Cycle
VII	Process Flow at a Glance
VIII	Outreach, Communication and Knowledge Dissemination
IX	Timelines for Rollout in FY 2026

I. BACKGROUND AND RATIONALE

In view of India's ambitious developmental aspirations and the role of the Comptroller and Auditor General of India (SAI India) as the Chair of Knowledge Sharing Committee of Working Group of Information Technology Audit (WGITA) at International Organization of Supreme Audit Institutions (INTOSAI), there is an opportunity for SAI India to demonstrate leadership in public audit and accounting innovation, as well as in development of new tools, methodologies, and knowledge products to strengthen efficiency, transparency, and institutional impact.

The “CAG 101 Innovation Ideas Initiative” (or “CAG 101 Initiative”) is conceptualised to harness the collective intelligence of the IA&AD and create a structured institutional platform for generation, evaluation, mentoring, and implementation of innovative, impactful, and scalable ideas aligned with national priorities and the evolving needs of public sector auditing and accounting.

The need for such an initiative arises as SAI India is at the cusp of transformation. Emerging areas such as digital governance, AI-enabled systems, data-driven administration, real-time oversight, predictive analytics, and citizen-centric accountability require adoption of new tools, methodologies, and institutional processes. The initiative aims to encourage innovation and technology integration to ensure that SAI India remains relevant, future-ready, data-driven, and impactful in a rapidly evolving governance landscape.

THREE PILLARS OF MODERNISATION

The CAG 101 Initiative is envisaged as a flagship institutional initiative to modernise the manner in which audit and accounting functions are carried out, the manner in which internal administrative and institutional processes operate; and the manner in which institutional impact, stakeholder engagement, and knowledge dissemination are strengthened.

PILLAR I

Audit & Accounting

Improving methodologies in respect of functions (Auditing & Accounting)

PILLAR II

Administration

Improving internal administrative and institutional processes that enable SAI India to function.

PILLAR III

Outreach & Impact

Strengthening institutional impact, stakeholder engagement and knowledge dissemination.

The initiative will serve as a pan-IA&AD platform for ideation, collaboration, and institutional transformation by providing a structured mechanism for identification, evaluation, mentoring, showcasing, and scaling of high-impact innovations, tools, methodologies, and process improvements across offices of SAI India. The initiative is planned to be rolled out in phases.

Components of the First Phase

a	Annual flagship event as part of <i>Audit Diwas</i> celebrations and associated events for showcasing selected innovations, tools, methodologies, and institutional best practices.
b	Innovation-related sessions, panel discussions, and knowledge-sharing forums to deliberate upon transformation in audit, accounting, administration, technology integration, and public sector governance.
c	Annual competition under the CAG 101 Initiative for inviting innovation submissions from offices of SAI India and selection of ideas based on innovation value, institutional relevance, replicability, scalability, and demonstrable impact.
d	Constitution of structured evaluation and filtering mechanisms, along with mentorship and prototyping support, for objective assessment and refinement of shortlisted ideas.
e	Support for implementation, onboarding, and monitoring of selected innovations within the CAG ecosystem on a scale to improve efficiency, timeliness, outreach, institutional effectiveness, and knowledge sharing across the department and externally as well.
f	Documentation and dissemination of selected initiatives and institutional innovations through “The Catalysts” and other outreach platforms.

II. OBJECTIVES

The CAG 101 Initiative seeks to:

OBJECTIVE A

Generate innovative and scalable ideas across technology, process improvement, governance, analytics, audit design, accounting, administration, entitlement functions, stakeholder engagement, and citizen-centric outcomes through a structured institutional process.

OBJECTIVE B

Enhance institutional readiness for technology-enabled, remote, real-time, rapid, and risk-based audit and accounting functions.

OBJECTIVE C

Encourage development of innovative tools, methodologies, and administrative solutions that improve efficiency, transparency, accountability, and institutional effectiveness across offices of SAI India.

OBJECTIVE D

Promote innovations aligned with ease of doing business, ease of living, improved public service delivery, and better governance outcomes.

OBJECTIVE E

Develop mechanisms for improved monitoring, tracking, and assessment of audit observations, institutional impact, implementation progress, and stakeholder responsiveness.

OBJECTIVE F

Foster a culture of innovation, collaboration, experimentation, and knowledge-driven transformation within the IA&AD.

OBJECTIVE G

Identify, mentor, prototype, and support implementation of high-impact and replicable innovations with potential for institutional adoption and scaling.

OBJECTIVE H

Showcase selected innovations, initiatives, and best practices and disseminate them through institutional knowledge-sharing platforms including *The Catalysts*.

III. THEMATIC AREAS FOR SUBMISSIONS

Under the CAG 101 Initiative, individual officials/group of officials' teams across offices of SAI India shall be invited to submit innovative ideas, tools, methodologies, products, process improvements, digital systems, dashboards, platforms, and institutional solutions aligned with improving efficiency, strengthening oversight, modernising institutional processes, enhancing stakeholder engagement, and improving audit and accounting outcomes.

Submissions may relate to one or more of the following four thematic areas:

THEME A	Audit Planning, Risk Identification and Execution Methodologies	
	FOCUS	ILLUSTRATIVE EXAMPLES
	Innovations relating to audit planning, determination of risks, audit methodologies, field verification, execution of audits, oversight mechanisms, evidence systems, concurrent monitoring, audit quality enhancement, and reduction in audit timelines.	Technology-assisted verification mechanisms, mobile applications for field audits, geospatial, drone or Internet of Things (IoT)-based verification systems, automated audit quality review systems, concurrent monitoring and oversight, innovations in audit evidence management, dataset analytics for audit coverage, methodologies for real-time or risk-based audit execution.
THEME B	Business Process Reengineering: Audit, Accounts and Institutional Products and Outputs	
	FOCUS	ILLUSTRATIVE EXAMPLES
	Development of audit products, accounts-related products, dashboards, reporting systems, visualisation tools, repositories, institutional outputs, and other value-added products aimed at improving usability, dissemination, accessibility, institutional learning, and impact including improvement in timelines of the products.	Interactive dashboards, visual audit products; automated reporting systems, thematic compendiums, institutional repositories, digital reporting tools, accounts-related reporting products, visualisation systems, and other value-added institutional outputs.

THEME C	Institutional Process Improvements, Workforce and Capacity Building	
FOCUS	ILLUSTRATIVE EXAMPLES	
Innovations aimed at improving administrative processes, institutional coordination, office management, staff welfare, workforce management, training systems, and overall organisational efficiency.	Digital file movement systems, leave and establishment workflow systems, Human Resources and Key Performance Indicator (KPI) tracking systems, training and learning platforms; staff welfare initiatives, coordination mechanisms, administrative monitoring systems, automated correspondence systems, initiatives for improving institutional efficiency and workplace functioning.	

THEME D		Stakeholder Outreach, Collaboration and Public Engagement	
FOCUS		ILLUSTRATIVE EXAMPLES	
Strengthening stakeholder consultation, public interface, accessibility, communication, grievance handling, institutional outreach, collaboration, participative governance, and dissemination of institutional knowledge and best practices.		Multilingual and mobile-friendly portals, stakeholder consultation mechanisms, grievance management and reconciliation systems, public-facing dashboards, communication and outreach tools, collaboration platforms, repositories for sharing institutional best practices, knowledge-sharing systems for transparency and accessibility.	

Note: These may be at Idea Stage or established innovations/initiatives in form of Proof of Concept (PoC).

IV. GOVERNANCE STRUCTURE AND EVALUATION CRITERIA

The CAG 101 Initiative shall be implemented through a two-tier committee structure comprising three Filtering Committees for Union, State and Other formations respectively and a Empowered Committee.

Governance Structure at a Glance



a. Empowered Committee — Responsibilities

► Overall implementation and oversight of the CAG 101 Initiative.
► Review and periodic refinement of the scheme / framework document.
► Issuing broad guidance regarding thematic areas, evaluation parameters, implementation approach, and institutional priorities.
► Final recommendation and approval of the shortlisted initiatives based on recommendations of the Filtering Committees.
► Ensuring alignment of shortlisted initiatives with institutional priorities, innovation value, scalability, replicability, feasibility, and potential impact.
► Guiding institutional onboarding, implementation support, mentoring approach, and showcasing of selected initiatives.
► Overseeing dissemination and integration of selected initiatives during Audit Diwas and associated events and related institutional platforms.
► The Empowered Committee, if they find it suitable, may also identify the submissions (other than those recommended to be awarded) which can be supported through financial support for conversion to Proof of Concept and/ or scaled up. The submissions recommended for award and accepted to be awarded will be treated as recommended for financial support with or without specific mention in the recommendations. The financial support would be provided through the existing provisions and mechanism of Delegations of Administrative and Financial Powers applicable to IA&AD. The quantum of financial support will also be determined as a part of the delegation and not covered by the recommendations.
► Identification of suitable projects for National e-governance Awards from the 101 CAGs Innovations Initiative being considered by the Empowered Committee, which meet the prescribed

criteria of those awards and onboarding of these by the concerned officials in collaboration with the IS Wing.

b. Filtering Committees — Responsibilities

▸ Scrutiny and evaluation of submissions received from respective formations.
▸ Assessment of innovation value, institutional relevance, technical soundness, usability, scalability, feasibility, and potential institutional impact of proposed initiatives.
▸ Evaluation of the extent to which the proposed initiatives improve efficiency, timelines, monitoring, stakeholder engagement, coverage, institutional effectiveness, and quality of institutional outputs.
▸ Finalisation and application of evaluation parameters and objective criteria for assessment of submissions.
▸ Undertaking a multi-stage evaluation process for shortlisting of submissions.
▸ Shortlisting approximately top 50 <i>per cent</i> submissions, limited to a maximum of 101 ideas, in the first stage of evaluation based on innovation value, scalability, relevance, feasibility, and potential impact.
▸ After completion of the first stage of shortlisting, PPG will assign each shortlisted team a mentor at the level of Principal Director / Accountant General to guide and support the team during the next stage of evaluation.
▸ The mentors shall assist the teams in refining the proposed solutions, strengthening conceptual clarity, improving presentation and implementation approach, refining scope and scalability aspects, and guiding the teams prior to the next stage of evaluation.
▸ The mentors may also facilitate institutional coordination and provide domain guidance, implementation perspectives, and wherever required, support access to relevant datasets, systems, and subject matter inputs for further refinement.
▸ Carrying out further evaluation of shortlisted submissions in the subsequent stage and recommending a maximum of 10 submissions each in their domain to the Empowered Committee for final consideration.
▸ Seeking demonstrations, presentations, clarifications, prototypes, or additional documentation from participants wherever required during the evaluation process.
▸ Recommending suitable submissions to the Empowered Committee for showcasing, institutional onboarding, implementation support, and further development.

c. Role of PPG:

PPG shall provide further assistance to the Committees in matters requiring coordination among different Wings or between different Filtering Committees. In cases where a proposal relating to the Union side or other formations is submitted by an official/s in a State office to a Filtering Committee assigned for processing State-side initiatives, the concerned Committee may examine and recommend to PPG the transfer of the proposal to the appropriate committee. Thereafter, if considered appropriate, PPG shall formally transfer the proposal to the relevant Committee for further processing.

d. Evaluation Criteria

The Filtering Committees and Empowered Committee shall broadly evaluate submissions on the basis of the following criteria:

Evaluation Criteria	Weight	Assessment Focus
Innovation and Originality	25 %	Assessment of the novelty, uniqueness, and innovativeness of the proposed initiative or solution.
Institutional Relevance	25 %	Assessment of the extent to which the initiative addresses institutional needs, challenges, priorities, or process improvements within SAI India.
Feasibility and Scalability	25 %	Assessment of practicality of implementation, operational viability, manpower and resource requirements, and long-term sustainability.
Potential Impact	25 %	Assessment of the likely impact on efficiency, timelines, quality, monitoring, outreach, or institutional effectiveness.

V. DIGITAL PLATFORM AND WORKFLOW MANAGEMENT

The CAG 101 Initiative — including submission, evaluation, mentoring, monitoring, communication, and dissemination processes — shall be managed through a dedicated digital portal/ workflow platform developed for the initiative.

Core Functions of the Digital Portal

01 Submissions
Inviting and receiving submissions from the officials/teams of officials through the offices of IA&AD.
02 Evaluation Workflow
Management of evaluation workflows by the Filtering Committees and Empowered Committee.
03 Mentor Allocation
Digital allocation and coordination of mentors for shortlisted teams.

04	Documentation
Submission of presentations, prototypes, videos, supporting documents, and implementation plans by participants.	
05	Communication
Communication of timelines, evaluation stages, clarifications, and feedback to participants.	
06	Progress Monitoring
Monitoring progress of shortlisted initiatives through various stages of evaluation and mentoring.	
07	Repository & Dashboards
Hosting dashboards, repositories, compendiums, and institutional outputs relating to the initiative.	
08	Showcasing
Dissemination and showcasing of selected initiatives during Audit Diwas and associated events and related institutional platforms.	

ADDITIONAL CAPABILITIES

The portal may also include features relating to workflow tracking, role-based access, digital repositories, evaluation interfaces, communication modules, analytics dashboards, and other technology-enabled systems required for effective implementation and monitoring of the initiative.

VI. ANNUAL ROLLOUT CYCLE

a. Pre-launch Outreach and Orientation

Prior to commencement of the initiative, PPG Wing shall undertake pre-launch outreach, orientation sessions, workshops, and communication activities to familiarise participants with the objectives and scope of the initiative, thematic areas for submission; submission methodology and timelines, evaluation stages and assessment parameters, broad expectations relating to innovation value, scalability, feasibility, institutional relevance, impact, and usage of the digital portal / workflow platform for submission and evaluation processes.

Illustrative outreach activities may include workshops, webinars, digital communication campaigns, guidance notes, Frequently Asked Questions (FAQs), and dissemination of illustrative use-cases and institutional problem statements.

b. Office-level Call for Submissions through Digital Portal

Following the pre-launch stage, submissions shall be invited from all offices / formations of IA&AD through the designated digital portal. The portal shall facilitate submission of proposals, presentations, videos, prototypes, and supporting documents, categorisation of submissions under thematic areas, digital tracking of submissions and evaluation stages, and communication between participants, mentors, and evaluation committees.

Submissions may broadly include the description of the problem / opportunity identified; the proposed solution / initiative / product, expected institutional impact and scalability, implementation approach and indicative resource requirements, and the relevance of the proposal to institutional priorities and thematic areas. The submission of a proposal involving officials across offices will be determined/decided by the involved officials in respect of the office from which the proposal is to be

submitted. One of the officer/official should be from the office from which the proposal is being submitted.

c. Round-I Evaluation by Filtering Committees

The Filtering Committees shall undertake the first stage of evaluation of submissions received from respective formations. The Round-I evaluation shall broadly assess innovation value and originality; institutional relevance and usability, feasibility and scalability, potential institutional impact, clarity of problem identification and proposed solution, and potential for adoption across offices / formations of SAI India.

Based on the evaluation, the Filtering Committees shall shortlist approximately top 50 *per cent* submissions, limited to a maximum of 101 ideas, for progression to the next stage. The Committees may seek presentations, demonstrations, clarifications, or additional supporting material from participants wherever required.

d. Mentor Allocation and Solution Refinement Stage

Following Round-I shortlisting, each shortlisted team shall be assigned a mentor at the level of Principal Director / Accountant General or equivalent officer, by the PPG. The mentors shall guide and support the shortlisted teams in refining and strengthening the proposed solution; improving conceptual clarity and implementation approach, refining scope, scalability, and usability aspects, strengthening institutional applicability and impact; improving presentations, prototypes, and supporting documentation, and preparing the shortlisted teams for the subsequent stage of evaluation.

The mentors may also facilitate institutional coordination and provide guidance relating to implementation perspectives, domain-specific issues, datasets, systems, or operational considerations wherever required.

e. Round-II Evaluation by Filtering Committees

Following the mentor-guided refinement stage, the Filtering Committees shall undertake the second stage of evaluation of shortlisted submissions. The Round-II evaluation shall focus on maturity and refinement of the proposed initiative, practicality and implementation readiness, scalability and sustainability, manpower and resource intensity, expected value-for-money and institutional outcomes, prototype readiness, wherever applicable, and overall potential for institutional adoption and replication.

Based on the Round-II evaluation, the Filtering Committees shall recommend a maximum of 10 submissions in each domain to the Empowered Committee for final consideration. The filtering committee will identify the recommended submissions eligible for consideration under National Awards for e-Governance (NAeG). PPG Wing will also be the nodal wing for monitoring progress and resolving constraints faced subsequently in case of identified submissions to be carried forward.

f. Final Consideration by Empowered Committee

The Empowered Committee shall undertake final consideration of the recommended by the Filtering Committees. The Committee may assess overall institutional significance; innovation value and

originality, scalability and replicability, implementation feasibility, institutional impact and sustainability, and alignment with priorities and long-term transformation goals of SAI India.

The Empowered Committee may also seek presentations, demonstrations, clarifications, or interactions with shortlisted teams before finalisation of selected initiatives. The Empowered Committee shall finalise and shortlist 5 initiatives for further showcasing and institutional onboarding. The Empowered Committee can recommend additional submissions to be provided financial and operational support. The Empowered Committee shall also recommend the submissions to be submitted for NAeG.

g. Decision on Recommendations

This will be done by the C&AG of India in a methodology to be decided at his/her discretion.

h. Showcase during *Audit Diwas* and associated events as well as Institutional Onboarding

The final shortlisted initiatives shall be showcased during *Audit Diwas* and associated events through presentations, demonstrations, panel discussions, digital showcases, or other institutional platforms.

Selected initiatives may also be featured in institutional compendiums and knowledge-sharing platforms, considered for wider institutional onboarding and implementation support, integrated with relevant institutional systems and workflows wherever feasible, and monitored for adoption, scalability, and long-term institutional impact through the digital platform / workflow system.

i. Onboarding of approved/accepted submissions in respect of NAeG

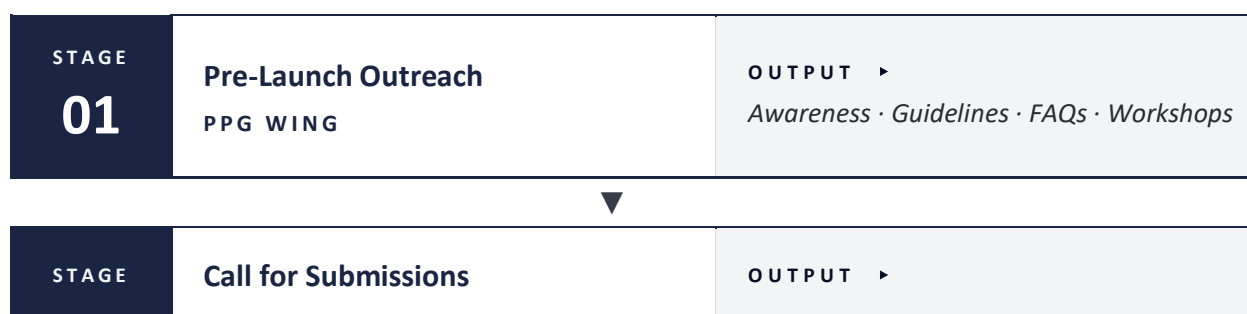
The list will be communicated to concerned officials, office and IS Wing. The concerned officials with the assistance of the office and IS wing will submit the identified submission on NAeG portal.

j. Follow-up by PPG Wing

Follow-up by PPG Wing will include communication to concerned offices/ officials and incorporation in The Catalysts.

VII. PROCESS FLOW AT A GLANCE

The figure below summarises the end-to-end rollout cycle of the CAG 101 Initiative — from pre-launch outreach to post-showcase dissemination — illustrating the principal stakeholders, decision points, and outcomes of each stage.



02	ALL OFFICES OF SAI INDIA	<i>Submissions via Digital Portal under the identified Thematic Areas</i>
▼		
STAGE 03	Round-I Evaluation FILTERING COMMITTEES	OUTPUT ► <i>Top 50 per cent shortlisted · Maximum 101 Ideas</i>
▼		
STAGE 04	Mentor Allocation & Refinement PPG + PD / AG-level MENTORS	OUTPUT ► <i>Refined solutions, prototypes & presentations</i>
▼		
STAGE 05	Round-II Evaluation FILTERING COMMITTEES	OUTPUT ► <i>A maximum of 10 submissions under each functional category (Union, States, Others) to be recommended</i>
▼		
STAGE 06	Final Selection EMPOWERED COMMITTEE	OUTPUT ► <i>Final five selected initiatives for showcase</i>
▼		
STAGE 07	National e Governance Awards	OUTPUT ► <i>Selected initiatives for submission, if any</i>
STAGE 08	Showcase AUDIT DIWAS PLATFORM and Catalysts of Good Practices	OUTPUT ► <i>Presentations · Demonstrations · The Catalysts</i>
▼		
STAGE 09	Onboarding & Dissemination PPG + IMPLEMENTING WINGS	OUTPUT ► <i>Adoption · Replication · Institutional Impact</i>

VIII. OUTREACH, COMMUNICATION AND KNOWLEDGE DISSEMINATION

a. Communication and Outreach

A structured communication and outreach approach shall be adopted to ensure participation, visibility, institutional learning, and dissemination of the CAG 101 Initiative across offices of SAI India.

PPG Wing, in coordination with the Social Media Wing, shall undertake communication and outreach activities through office communications and circulars, mass emailers; workshops, webinars, and orientation sessions, dissemination through the digital portal, digital creatives, posters, and awareness material, and periodic updates regarding timelines, evaluation stages, shortlisted initiatives, and showcase activities.

Objectives of the Communication Activities

Participation	Familiarisation	Collaboration	Dissemination
Encourage participation from offices / formations across SAI India.	Familiarise participants with thematic areas, timelines, and evaluation stages.	Promote cross-office learning and institutional collaboration.	Disseminate information relating to shortlisted initiatives and institutional outcomes.

b. Digital Portal and Knowledge Repository

The digital portal developed for the initiative shall also serve as a repository for submissions, shortlisted initiatives, institutional products, dashboards, compendiums, and knowledge-sharing material generated during various stages of the initiative.

The portal may host:

- ▶ Guidelines, templates, FAQs, and communication material.
- ▶ Shortlisted submissions and institutional products.
- ▶ Dashboards and monitoring systems.
- ▶ Compendiums and knowledge-sharing material.
- ▶ Presentations and showcase material.
- ▶ Updates relating to implementation, replication, and institutional adoption of selected initiatives.

c. Showcase and Dissemination of Initiatives

Shortlisted and selected initiatives shall be showcased during Audit Diwas and associated events through presentations, demonstrations, panel discussions, digital showcases, and institutional knowledge-sharing sessions.

Selected initiatives may also be:

- ▶ Featured in The Catalysts and other institutional publications.
- ▶ Disseminated across offices / formations for wider adoption and replication.

- ▶ Integrated with institutional learning and knowledge-sharing initiatives.
- ▶ Documented for reference, replication, and future institutional use.

The showcase and dissemination process shall aim to promote institutional learning, encourage innovation, and facilitate adoption of successful initiatives across SAI India.

d. Internal Engagement and Participation

PPG Wing may undertake periodic engagement activities during various stages of the initiative to encourage participation and sustain institutional involvement across offices / formations.

Such activities may include:

- ▶ Periodic communication and updates to offices / formations.
- ▶ Leadership messages and institutional guidance.
- ▶ Interaction sessions with mentors and shortlisted teams.
- ▶ Workshops and experience-sharing sessions.
- ▶ Recognition of shortlisted teams and participating offices during institutional events and showcase platforms.

Shortlisted and successful initiatives from previous editions, or exemplary initiatives identified during the current cycle, may also be periodically showcased through the portal, internal communications, workshops, or institutional platforms to facilitate cross-office learning and help offices identify replicable ideas and solutions.

PPG Wing may coordinate with Social Media Unit and other concerned wings for periodic dissemination of updates, showcase material, awareness content, teasers, communication creatives, and outreach material relating to the initiative through internal communication channels, emailers, portal updates, and other institutional dissemination mechanisms.

The submission form for the proposals are attached as **Appendix I**.

IX. TIMELINES FOR ROLLOUT IN FY 2026

The CAG 101 Initiative shall be implemented in a phased manner during FY 2026-27. The schedule below provides an indicative timeline for rollout of various stages of the initiative.

#	Phase	Key Activities	Timeline
01	Launch of Initiative and Portal Rollout	• Launch of CAG 101 Initiative • Launch of digital portal / workflow platform • Release of guidelines, thematic areas, templates, FAQs, and communication material • Launch of outreach and awareness campaign through workshops, webinars, emailers,	30 June – 15 July 2026 <i>2 weeks</i>

#	Phase	Key Activities	Timeline
		portal dissemination, and other communication channels	
02	Submission Stage	Opening of portal for submission of initiatives, products, solutions, and proposals by offices / formations of SAI India	16 July – 12 August 2026 <i>~4 weeks</i>
03	Round-I Evaluation by Filtering Committees	- Scrutiny and evaluation of submissions by Filtering Committees - Shortlisting of approximately top 50 <i>per cent</i> submissions, limited to a maximum of 101 ideas	13 August – 10 September 2026 <i>~4 weeks</i>
04	Mentor Allocation and Solution Refinement	- Allocation of mentors to shortlisted teams - Refinement of solutions, presentations, implementation approach, scalability aspects, and supporting documentation	11 September – 08 October 2026 <i>~4 weeks</i>
05	Round-II Evaluation by Filtering Committees	- Further evaluation of shortlisted submissions - Selection and recommendation to a maximum of 30 submissions to the Empowered Committee	09 October – 22 October 2026 <i>~2 weeks</i>
06	Final Consideration by Empowered Committee	- Final consideration and selection of five initiatives by the Empowered Committee - Finalisation of showcase and institutional onboarding recommendations - Identification of projects meeting the criteria for National e-Governance Awards, if any	23 October – 05 November 2026 <i>~2 weeks</i>
07	Showcase during Audit Diwas and associated events	- Showcase and presentations of shortlisted initiatives during Audit Diwas and associated events - Dissemination through institutional platforms and publications	16 – 17 November 2026 <i>2 days</i>

#	Phase	Key Activities	Timeline
08	Post-event Dissemination and Monitoring	• Dissemination of selected initiatives across formations • Monitoring of adoption, replication, and institutional impact through the digital platform • Selected initiatives may be undertaken as Proof of Concept (PoC) projects for subsequent deployment and scalable replication across offices/functional wings, with funding support from Headquarters • Featuring in The Catalysts	18 November 2026 onwards <i>Ongoing</i>

Note: The Filtering Committee for the Union stream shall support the Empowered Committee in the review of submissions and in the periodic refinement of the Scheme Framework and related documents, as may be required.

CAG 101 INNOVATION IDEAS INITIATIVE

Submission Form

Office of the Comptroller and Auditor General of India

SECTION 1 · YOUR DETAILS

Full Name*

Designation*

--	--

Office*

Official Email ID*

--	--

Mobile *

--

1.1 Is this a team submission? *

☐ Yes — I'm submitting on behalf of a team ☐ No — solo submission

1.2 Co-submitters (if any)

List each co-submitter's name, designation, and office. Add rows as needed.

Name	Designation	Office / Wing / State

SECTION 2 · YOUR INITIATIVE

2.1 Which thematic area does your initiative belong to? *

- ☐ A —Audit Planning, Risk Identification and Execution Methodologies
- ☐ B —Business Process Re-engineering: Audit, Accounts and Institutional Products and Outputs
- ☐ C —Institutional Process Improvements, Workforce and Capacity Building
- ☐ D —Stakeholder Outreach, Collaboration and Public Engagement

2.2 Title of your innovation *

Give it a clear, specific name that describes what the solution does. (Max 15 words)

e.g. AI-powered anomaly detection for state PSU procurement audits

2.3 What type of solution is this? *

- | | |
|---|---|
| ☐ Framework / Methodology | ☐ Application / Software Tool |
| ☐ Process Redesign | ☐ Dashboard / Visualisation Tool |
| ☐ Hardware / IoT Solution | ☐ AI or ML Model / LLM |
| ☐ Other (please describe in section 2.4) | |

2.4 Current stage of development *

- | | |
|---|--|
| ☐ Concept / Idea — not yet built | ☐ Early Prototype — basic version exists |
| ☐ Pilot Tested — tested in limited context | ☐ Partially Deployed — in use in one or few offices |
| ☐ Ready for Scale — proven and replicable | ☐ Other |

2.5 What problem are you solving? *

Describe the specific gap, inefficiency, or challenge in CAG's current methodologies, tools, or processes. Please explain the process, system, or workflow that has the gap this initiative is addressing. (Max 300 words)

Describe the pain point...

2.6 What is your proposed solution and how does it work? *

Tell us what it does, how it works, and what makes it different from what exists today at CAG organization. (Max 500 words)

<i>Describe the solution...</i>

2.7 Have you already piloted or tested this anywhere?

If yes — where, when, and what were the results? Real-world evidence strengthens your submission. (Max 150 words — optional)

<i>e.g. Piloted in O/o the AG, Karnataka from Jan–Mar 2026 — reduced audit prep time by ~30%...</i>

SECTION 3 · TECHNOLOGY DETAILS (OPTIONAL)

This section may be skipped if the initiative is not technology-centric (e.g. a process redesign, an administrative improvement, a methodology change). Fill it if the initiative involves software, a digital tool, AI/ML, automation, dashboards, IoT, or similar technology components.

3.1 What technology / tech stack does the solution use?

List the key languages, frameworks, platforms, models, or tools. (Max 100 words)

e.g. Python, FastAPI, PostgreSQL, GPT-4 via Azure OpenAI, LLMs, Power BI dashboards...

3.2 Is the technology proprietary, open-source, or a mix?

- | | |
|--|--|
| ☐ Fully open-source | ☐ Mostly open-source with some proprietary components |
| ☐ Fully proprietary / commercial licenses | ☐ Not yet decided |

3.3 How will the technology scale?

Can it handle larger data volumes, more users, or more offices without major rework? Note any technical bottlenecks. (Max 150 words)

Describe technical scalability...

3.4 Any data privacy, security, or governance considerations?

E.g. is sensitive audit data involved? Where will data reside? Any compliance angles. (Max 100 words)

Describe data/security considerations, if any...

SECTION 4 · SCALABILITY AND IMPACT

4.1 Who within the CAG organization will benefit, and where can this be used? *

Which offices, wings, audit types, or functions will benefit? Is this scalable across all 130+ offices, or specific to certain formations? (Max 150 words)

<i>Describe relevance and applicability...</i>

4.2 From the perspective of scalability, please describe if this solution: *

- ☐ Can be hosted/ deployed/ implemented centrally and be applicable across all CAG offices/ or certain formations
- ☐ Will need regional/ state-level/ formation-level customisations to be deployed
- ☐ Other (describe scalability potential)

<i>Describe scalability potential...</i>

4.2 What impact will your solution create? *

Fill in whichever rows apply. Rough estimates with clear logic are accepted.

Impact Dimension	Your Estimate / Metric	Basis / Assumption
Time Saved		<i>e.g. hours per audit cycle, per office, per year; faster report turnaround; automation efficiency gains</i>
Cost Reduction		<i>e.g. ₹ saved annually or % reduction</i>

Impact Dimension	Your Estimate / Metric	Basis / Assumption
Error Rate Improvement		<i>e.g. % reduction in manual errors</i>
Coverage Expanded		<i>e.g. % increase in data, schemes, entities, or transactions covered</i>
Citizen / Stakeholder Benefit		<i>e.g. ease of doing business; stronger assurance to PSEs; faster data to Finance Commission</i>
Qualitative/ Other Benefits		<i>e.g. additional cases/risks flagged; reduced manual follow-up; better traceability</i>

SECTION 5 · FINANCIAL SNAPSHOT (OPTIONAL)**Rough one-time / setup cost (₹)****Rough annual recurring cost (₹)***e.g. ₹5–10 lakh, or 'minimal'**e.g. ₹2 lakh/year, or 'negligible'***5.1 Any monetary savings or financial benefit or Return-on-Investment that can be estimated?***Describe expected financial benefit, if any...*

SECTION 6 · UPLOADS

Please submit ONE primary attachment that best demonstrates your initiative. Pick whichever format fits — a short demo video for software/tools, a workflow diagram for process initiatives, or a concept note with screenshots if neither applies. One supporting document is optional.

6.1 Primary submission — choose ONE format below *

#	Submission Type	Format & Notes
A	Demo video or screen-recording	Best for software tools, dashboards, prototypes. MP4 or URL (YouTube/Drive link). 5-10 min duration.
B	Process workflow / flow diagram	Best for process or administrative initiatives. PDF or PNG. Max 5 pages, ≤ 50 MB.
C	Concept note with screenshots	Use if neither video nor a workflow diagram fits. PDF. Max 5 pages, ≤ 50 MB.

6.2 Supporting document (optional)

One additional PDF if it adds substance — e.g. a one-pager, a sample report, or technical notes. PDF only, ≤ 10 MB. Skip if not needed.

SECTION 7 · DECLARATION**I / We hereby declare that:**

1. All information provided in this submission is accurate and complete to the best of my / our knowledge.
2. I / We consent to the PPG Wing sharing this submission with relevant evaluation teams.
3. I / We understand that the competent authority's decisions at each stage of evaluation are final.

Primary Submitter Name ***Date of Submission ****Full name**DD / MM / 2026***Signature**

AG AE Bihar Patna <agaebihar@cag.gov.in >

Tue, 21 Jul 2026 2:16:34 PM +0530

To "Record section"<record.bih.ae@cag.gov.in>

Cc "Santosh Kumar CAG"<kumars@cag.gov.in>,"Omnkar K"
<omnkar@cag.gov.in>,"Lokesh Datal"<lokeshdatal@cag.gov.in>,"Devendra
Pratap Srivastava"<srivastavadp@cag.gov.in>,"Kundan Kumar"
<kundankumar.bih.ae@cag.gov.in>

O/o the Principal Accountant General (A&E), Bihar, Patna
Veerchand Patel Path, R. Block
Patna-800001

===== Forwarded message =====

From: PPG Wing <ppgwing.cag@cag.gov.in>

To: "cag-dai"<cag-dai@lsmgr.nic.in>,"cag-adai"<cag-adai@lsmgr.nic.in>,"cag-dgpd-hq"<cag-dgpd-hq@lsmgr.nic.in>,"cag-all-offices"<cag-all-offices@lsmgr.nic.in>

Cc: "Vishal Bansal"<bansalv@cag.gov.in>,"Vishwanath Jadon"<jadonv@cag.gov.in>,"Jishnu J
Raju"<jishnur@cag.gov.in>,"Veena Ilan Govan Shrote"<sao3ppg@cag.gov.in>,"HARSHA
DHANWARIYA"<sao5ppg@cag.gov.in>,"Vikas Choudhary"<aao5ppg@cag.gov.in>,"Director
PPG"<dirppg@cag.gov.in>

Date: Tue, 21 Jul 2026 13:43:26 +0530

Subject: [Cag-all-offices] Go-live of portal for CAG's 101 Innovation Ideas Initiative – reg.

===== Forwarded message =====

Respected Sir/Madam,

The dedicated portal for submission and processing of entries under **CAG's 101 Innovation Ideas Initiative** is being made operational with effect from **21 July 2026**. The portal can be accessed at <http://cag101.cag.gov.in>.

The Notification No. I/1530136/202663-PPG/CAG-101/2026 Dated 20.07.2026 regarding the go-live of the portal, along with the **User Guide** for registration and submission of entries, is enclosed for information and necessary action please.

Submissions under the Initiative may now be made through the portal in accordance with the notified guidelines and timelines. All concerned may kindly be informed to facilitate submission of eligible entries.

Regards,

Professional Practices Group

O/o the C&AG of India
9, DDU Marg
New Delhi-110124

CAG-ALL-OFFICES mailing list -- cag-all-offices@lsmgr.nic.in
To unsubscribe send an email to cag-all-offices-leave@lsmgr.nic.in

2 Attachment(s)

CAG 101 Innovation Ideas Initi...
756.1 KB

Notification_1530136_21.7.20...
78.6 KB

भारत के नियंत्रक एवं महालेखा परीक्षक का कार्यालय
9, दीन दयाल उपाध्याय मार्ग,
नई दिल्ली-110124



SUPREME AUDIT INSTITUTION OF INDIA
लोकहितार्थं सत्यमिदम्
Dedicated to Truth in Public Interest

OFFICE OF THE COMPTROLLER &
AUDITOR GENERAL OF INDIA
9, DEENDAYAL UPADHYAYA MARG,
NEW DELHI - 110 124

Professional Practices Group

I/1530136/202663-PPG/CAG-101/2026.

20-07-2026

NOTIFICATION

Subject: Go-live of portal for CAG's 101 Innovation Ideas Initiative – reg.

1. The dedicated portal developed for the submission and processing of entries under CAG's 101 Innovation Ideas Initiative will be made operational with effect from 21.07.2026.
2. The portal may be accessed at <http://cag101.cag.gov.in>.
3. All field offices and functional wings at Headquarters are requested to bring the above to the notice of all concerned and facilitate the submission of eligible entries in accordance with the applicable guidelines and the timeline notified for the Initiative.
4. Users may register/login on the portal, complete the prescribed fields, upload the required supporting documents and submit their entries through the designated workflow. The acknowledgement generated upon successful submission may be retained for reference.
5. A guidance document for registration and submission is enclosed with this communication. Users are requested to refer to the guidance before proceeding with their entries.
6. For any clarification or assistance, users may write to ppgwing.cag@cag.gov.in.
7. All concerned are requested to take necessary action accordingly.

This issues with the approval of the Competent Authority.

VISHAL BANSAL

ADDITIONAL DEPUTY COMPTROLLER AND AUDITOR GENERAL

To,

1. **All DAIs/ADAs**
2. **Head of Offices in AI&AD**
3. **All DsG/PDs at Headquarters**
4. **Secretary to CAG of India**

OFFICE OF THE COMPTROLLER AND AUDITOR GENERAL OF INDIA

CAG 101 Innovation Ideas Initiative User Guide

Complete reference for submitters, evaluating committees, and PPG administrators
— covering every feature of the portal from registration to final selection.

Version 1.0

For official use only

CONTENTS

- | | | | |
|---|--------------------------------|----|-----------------------------------|
| 1 | Introduction | 10 | Filtering Committee — Evaluation |
| 2 | User Roles and Access | 11 | Clarification and Communication |
| 3 | Registration | 12 | Round-II — Recommending Committee |
| 4 | Logging In | 13 | Evaluation Scoring Framework |
| 5 | Submitter Dashboard | 14 | PPG — Shortlisting and Rankings |
| 6 | Creating a New Initiative | 15 | PPG — Final Consideration |
| 7 | Submission Status Tracking | 16 | PPG — Analytics and Reports |
| 8 | PPG Master Dashboard | 17 | PPG — Escalation Centre |
| 9 | PPG — Assignments and Accounts | 18 | PPG — Audit Log |

1

Introduction

The CAG 101 Innovation Ideas Initiative is a digital platform developed by · Data Analytics Cell · O/o PAG Audit-I, Prayagraj, Uttar Pradesh. It facilitates end-to-end submission, evaluation, and selection of innovative ideas across the Indian Audit and Accounts Department (IA&AD).

The portal manages the complete lifecycle of an innovation — from the moment a submitter registers, through two rounds of committee evaluation, to final selection and recommendation to the competent authority.

Key capabilities of the portal:

- Online submission with structured seven-section form
- Team-based submissions with multiple co-submitters
- Two-round evaluation by designated committees
- PPG-mediated clarification and communication workflow
- Automated scoring, ranking, and analytics
- Role-based access with full audit trail

2 User Roles and Access

The portal has four user roles. Each role sees only its own workspace — no cross-role data is accessible.

PPG MASTER

- Full visibility of all submissions
- Create and manage committee accounts
- Assign initiatives for evaluation
- Mediate all clarifications
- Shortlist, rank, and select initiatives
- Access analytics, reports, and audit log
- Monitor escalations and SLA breaches

SUBMITTER

- Self-register on the portal
- Create, draft, and submit initiatives
- Upload supporting documents
- Track submission status in real time
- Respond to clarification queries
- Submit multiple initiatives per account

FILTERING COMMITTEE (ROUND I)

- View only assigned initiatives
- Score on 4 criteria (100 marks total)
- Save draft evaluations
- Raise clarification requests via PPG
- Submit final evaluation scores

RECOMMENDING COMMITTEE (ROUND II)

- View only shortlisted initiatives assigned to them
- Score on 4 criteria (100 marks total)
- Save draft evaluations
- Raise clarification requests via PPG
- Submit final recommendations

Filtering Committee and Recommending Committee accounts are created and managed by PPG. Only Submitters register themselves.

3

Registration

Every submitter must complete a one-time registration before using the portal. This process takes less than two minutes.

Registration is a one-time process. The same account and credentials must be used for all future logins and all subsequent initiative submissions.

1

Open the Portal

Access the CAG 101 Portal using the designated web address provided by PPG.

2

Fill the Registration Form

The registration form is visible on the left side of the login page. Provide the following details:

- Full Name
- Designation
- Office / Unit
- Official Email ID (*@cag.gov.in only*)
- Mobile Number
- Password

3

Email and Password Rules

- Only **@cag.gov.in** email addresses are accepted. Any other domain will be rejected.
- Your email ID serves as your **login credential** — there is no separate username.
- Password must be minimum 8 characters, with at least one uppercase letter, one number, and one special character (e.g. @, #, \$, !).
- Save your password — it is required for every future login.

4

Submit Registration

Click **Register**. On success, a confirmation is shown. You may sign in immediately using your email ID and password.

4

Logging In

The Sign In form is on the right side of the portal's login page. Enter your official **@cag.gov.in** email ID and password, then click **Login**.

After login, you are directed to your role-specific dashboard automatically:

Role	Redirected to
Submitter	Submitter Dashboard
Filtering Committee	Evaluator Dashboard
Recommending Committee	Screening Dashboard
PPG	PPG Master Dashboard

Filtering Committee and Recommending Committee members receive their login credentials from PPG. They do not self-register.

5

Submitter Dashboard

The dashboard is the central workspace for submitters. It provides a real-time snapshot of all activity.

Summary Cards

Four summary cards are displayed at the top:

Card	What it shows
Total Initiatives	All initiatives created (drafts + submitted)
Draft	Initiatives saved but not yet submitted
Submitted	Initiatives that have entered the evaluation workflow
Pending Clarifications	Queries from the evaluation team awaiting your response

Recent Initiatives

The dashboard lists your most recently submitted initiatives with their current status and Project ID. Click any initiative to open the full detail view.

Actions Available

- **Start New Initiative** — opens the seven-section submission form
- **Edit Draft** — resume filling an incomplete submission
- **View Submitted Initiative** — read-only view of a submitted entry

- **Respond to Clarifications** — reply to queries raised during evaluation

6 Creating a New Initiative

Click **Start New Initiative** from the dashboard to open the submission form. The form is divided into seven sections. A progress indicator at the top shows which section is active.

Once an initiative is submitted, it **cannot be edited or withdrawn**. Complete all sections and review carefully before clicking Submit.

The Seven Sections

1 Your Details

Individual or team submission. Add co-submitters with their name, designation, and office if this is a team effort.

2 Your Initiative

Title (max 50 words), thematic area, solution type, development stage, category, problem statement, proposed solution, and pilot/testing details.

3 Technology Details

Tech stack, ownership type, scalability approach, and data privacy considerations. Mandatory only for technology-based solution types.

4 Scalability and Impact

Beneficiaries, deployment scope, time saved, cost reduction, error rate improvement, coverage expanded, citizen benefit, and other qualitative gains.

5 Financial Snapshot

One-time setup cost, annual recurring cost, and expected savings or ROI. Rough estimates with clear assumptions are accepted.

6 Uploads

One primary document (required for submission) and one optional supporting document.

7 Declaration

Checkbox declaration, primary submitter name, and date of submission. Mandatory to finalise.

Thematic Areas

In Section 2, select the one thematic area that best describes your initiative:

Code	Area	Covers
A	Intelligence	Data Analytics, Decision Support & Institutional Monitoring
B	Engagement	Stakeholder Outreach, Collaboration & Public Engagement
C	Assurance	Audit Planning, Risk Assessment & Quality Assurance
D	Deliverables	Audit Products, Reporting & Knowledge Management
E	Innovation	Emerging Technologies, R&D & Digital Transformation
F	Capability	Process Improvements, Training & Institutional Capacity

File Uploads (Section 6)

	Primary Document	Supporting Document
Required	Yes — for final submission	No — optional
Formats	PDF, DOCX, PPTX, PPT	PDF, DOCX, PNG, JPG, JPEG, MP4
Max Size	50 MB	10 MB
Submission Type	Demo video / screen-recording · Process workflow / flow diagram · Concept note with screenshots	

Saving a Draft

Click **Save Draft** at any time. A minimum of a title is required to save. Drafts can be edited as many times as needed before final submission. The initiative title is required to save a draft.

Final Submission

Once all sections are complete and the primary document is uploaded, click **Submit Final**. A confirmation dialog appears — confirm to proceed.

After successful submission, a unique **10-digit Project ID** is assigned to your initiative. This ID appears on your dashboard and should be noted for all future tracking and correspondence.



7

Submission Status Tracking

Every initiative moves through a defined set of stages. Submitters can track the current stage from the dashboard and from the initiative detail view.

Stage	What it means
Draft	Saved but not yet submitted. Can be edited.
Submitted	Submitted to PPG. Awaiting assignment to an evaluator.
Assigned	PPG has assigned the initiative to a Filtering Committee member.
Under Evaluation	Filtering Committee evaluation is in progress.
Awaiting R2	Round I complete. Shortlisted for Round II — awaiting assignment.
R2 In Progress	Recommending Committee evaluation is in progress.
Shortlisted	Selected by PPG for final consideration.
Final Pool	Placed in the Final Consideration pool for recommendation to the competent authority.

8

PPG Master Dashboard

The PPG dashboard is the central command and monitoring interface for the entire initiative. It provides a real-time view across all submissions, committees, and evaluation activity.

Dashboard Overview

- Total submissions received
- Submissions by status (Draft, Submitted, Under Evaluation, Evaluated, Finalised)
- Evaluation progress across both committees
- Pending clarifications requiring PPG action
- Escalations requiring immediate attention
- Quick links to all administrative modules

Navigation Modules (PPG)

Module	Purpose
Initiatives	View, filter, and manage all submitted initiatives
Evaluators	Create and manage committee accounts
Clarifications	Mediate all active clarification requests
Shortlist / Rankings	Shortlist, score, and rank initiatives
Final Consideration	Curate the final pool for recommendation
Analytics	Real-time reports and participation statistics
Escalation Centre	Items requiring immediate PPG attention
Audit Log	Complete tamper-proof activity log

9 PPG — Assignments and Accounts

Creating Committee Accounts

PPG creates all evaluator accounts through the **Evaluators** module. PPG can:

- Create Filtering Committee (Round I) accounts
- Create Recommending Committee (Round II) accounts
- Reset evaluator passwords
- Manage access and deactivate accounts

Credentials are shared confidentially with the respective committee members. Members cannot self-register.

Assigning Initiatives for Evaluation

1 Open the Initiatives Module

View all submissions. Use filters to narrow down by office, formation, state, designation, thematic area, or status.

2 Select Initiatives

Select one or multiple initiatives using checkboxes for batch assignment, or assign individually.

3 Assign to Evaluator

Choose the Filtering Committee member to assign to. The initiative immediately becomes visible in that evaluator's queue.

10 Filtering Committee — Round I Evaluation

The Filtering Committee conducts the first round of evaluation. Members can only access initiatives that PPG has specifically assigned to them.

1 Log in and View Assignments

After login, the evaluator dashboard shows all initiatives assigned and their evaluation status (pending / scored).

2 Open an Initiative

Click an initiative to open the full detail view — all seven sections of the submission, all uploaded documents, and the scoring panel.

3 Score the Initiative

Assign marks on each of the four criteria. See §13 for the full scoring framework.

4 Save Draft or Submit

Save Draft — saves scores without finalising. Can be returned to and revised.

Submit Evaluation — scores become final. No further modification is permitted after submission.

5 Raise a Clarification (if needed)

If additional information is required from the submitter, raise a clarification request. It is routed to PPG first. See §11 for the full workflow.

Once evaluation is submitted, scores are locked. Results become immediately visible to PPG.

11 Clarification and Communication

All communication between the evaluation committees and submitters is routed through PPG. Direct contact between evaluators and submitters is not permitted.

How it works



Evaluator raises a clarification request

Written query submitted from the initiative detail view. Routed to PPG.



PPG reviews the request

PPG has three options: (A) respond directly to the evaluator, (B) forward the query to the submitter, or (C) reject the query — in which case it is never sent on and the evaluator is informed.



Submitter responds (if forwarded)

Submitter receives a notification and can view the query, provide a written response, and upload additional documents.



PPG shares the response with the evaluator

The evaluator can now view the submitter's answer. A complete audit trail is maintained.

Clarification Status Labels

Status	Meaning
Raised to PPG	Evaluator has submitted the query. Awaiting PPG action.
Sent to Submitter	PPG has forwarded the query to the submitter.
Answered	Submitter has responded. Awaiting PPG to route it to the evaluator.
Shared	PPG has shared the answer with the evaluator.
Rejected	PPG has declined the query. It was not forwarded.

12

Round-II — Recommending Committee

After Round I is complete, PPG reviews the scores and shortlists the top-performing initiatives for Round II.

Process

1

PPG Shortlists from Round I

PPG reviews the ranked list from Round I and promotes the top-N initiatives to Round II. These are now visible in the Recommending Committee queue.

2

PPG Assigns to Recommending Committee

Shortlisted initiatives are assigned to Recommending Committee members. Each member sees only their assigned subset.

3 Recommending Committee Evaluates

Members access their queue, review each shortlisted initiative in full, and assign scores using the same 4-criteria, 100-point framework as Round I.

4 Clarifications (if needed)

Members may raise clarification requests through the same PPG-mediated process as Round I.

5 Submit Final Evaluation

Scores are submitted. Results become available to PPG for final ranking and selection.

Screening Queue

Recommending Committee members have a dedicated **Queue** view showing all assigned shortlisted initiatives with their current scoring status — pending or scored. Members can search by initiative title or Project ID, and filter to show only pending or already-scored items.

13 Evaluation Scoring Framework

The same scoring framework applies to both the Filtering Committee (Round I) and the Recommending Committee (Round II).

Criterion	Maximum Marks	What to assess
Innovation	25	Originality, novelty, and creative thinking behind the solution
Impact	25	Tangible benefits — time saved, cost reduced, coverage expanded, citizen benefit
Implementation	25	Feasibility, readiness, pilot evidence, and ease of deployment
Scalability	25	Potential to scale across offices, states, or the whole of IA&AD
Total	100	

Scores from both rounds are used to compute final rankings. Round I scores feed the

shortlisting decision; Round II scores determine the final recommendation order.

14 PPG — Shortlisting and Rankings

Shortlisting after Round I

Once Round I evaluations are submitted, PPG can view the ranked list sorted by scores. PPG sets a cut-off (e.g. Top 50) and promotes those initiatives to Round II. The promoted initiatives are immediately available for assignment to the Recommending Committee.

Ranked List

The portal automatically compiles rankings using submitted scores. PPG can view:

- Overall top-ranked initiatives
- Category-wise rankings (Union / State / Other)
- Thematic area-wise rankings
- Office-wise participation and scores
- Top 3, Top 10, or any custom shortlist

Rankings are live and update as evaluations are submitted.

PPG Remarks

PPG can attach internal remarks to any initiative at any stage — separate from the clarification system. Remarks are PPG's own notes, visible within the portal for reference during selection decisions.

15 PPG — Final Consideration

After all evaluations are complete, PPG uses the **Final Consideration** module to curate the final pool of initiatives for recommendation to the competent authority.

- PPG manually picks initiatives from the ranked list into the Final Consideration pool
- Round I and Round II scores are displayed for reference
- PPG can add or remove initiatives from the pool freely — no additional scoring happens at this stage
- The Final Consideration pool forms the basis for the formal recommendation

The Final Consideration pool is a curation step, not a scoring step. It is the last action before recommendations are placed before the competent authority for approval.

16 PPG — Analytics and Reports

The Analytics module provides real-time statistics across the entire initiative. Available data includes:

- Total submissions received
- Office-wise and state-wise participation
- Thematic area-wise distribution of submissions
- Evaluation progress — evaluated vs. pending
- Status distribution across all initiatives
- Top contributing offices and officers
- Ranking dashboards for both rounds

Reports can be generated for Top 3, Top 10, or any custom list required for consideration by the competent authority.

17 PPG — Escalation Centre

The Escalation Centre flags items that require **immediate PPG attention**. It is accessible from the PPG dashboard and is updated in real time.

Escalation Type	What it means
Unassigned Submission	A submitted initiative has not yet been assigned to any evaluator
Filtering Committee Overdue	An evaluator has not submitted their evaluation within the 2-day SLA
Recommending Committee Overdue	A screening member has not submitted their evaluation within the 2-day SLA

Escalation Type	What it means
Clarification Unanswered	A clarification query is pending with no response from the submitter

PPG should review the Escalation Centre regularly to avoid delays in the evaluation timeline. Each escalation shows the initiative details and the responsible party so action can be taken promptly.

18

PPG — Audit Log

The Audit Log provides a complete, tamper-proof record of every action taken on the portal. It is accessible only to PPG.

The log records actions across all categories:

Category	Examples
User events	Registration, login, password reset
Initiative events	Draft created, submitted, status changed
Evaluation events	Score saved, evaluation submitted
Shortlist events	Initiative promoted to Round II, added to final pool
Clarification events	Query raised, forwarded, answered, shared, rejected
File events	Document uploaded
Evaluator events	Account created, assignment made

Each log entry records the action, the user who performed it, and the timestamp. PPG can filter by action type, user, and date range. The log is paginated in sets of 25 entries.

The Audit Log serves as the authoritative record for all portal activity and supports accountability at every stage of the initiative.





End-to-End Workflow Summary

- 1 — User Registration
- 2 — Initiative Creation
- 3 — Draft Saving
- 4 — Final Submission
- 5 — PPG Review and Assignment
- 6 — Round-I Evaluation
- 7 — Clarification and Communication
- 8 — Round-I Completion
- 9 — Round-II Assignment
- 10 — Round-II Evaluation
- 11 — Final Scoring
- 12 — Ranking and Selection
- 13 — Recommendation to Competent Authority
- 14 — Showcase and Recognition of Selected Initiatives

The portal provides a fully digital workflow for management of the CAG 101 Innovation Ideas Initiative from submission to final selection.

CAG 101 Innovation Ideas Initiative · User Guide Version 1.0

· O/o the Comptroller and Auditor General of India

Developed by: Data Analytics Cell · O/o PAG Audit-I, Prayagraj, Uttar Pradesh

For official use only.