

**Table of contents**

Particulars	Reference to	
	Paragraph (s)	Page (s)
<i>Preface</i>		v
<b>Overview</b>		vii-x
<b>Chapter 1 Introduction</b>		
About this Report	1.1	1
Budget Profile	1.2	1
Application of Resources of the State Government	1.3	2
Persistent Savings	1.4	2
Grants-in-aid from Government of India	1.5	3
Planning and conduct of audit	1.6	3-4
Lack of responsiveness of Government to Inspection Reports	1.7	4
Government response to significant audit observations (paragraphs/reviews)	1.8	4-5
Follow up on Audit Reports	1.9	5
Recoveries at the instance of Audit	1.10	6
Status of placement of Separate Audit Reports of Autonomous Bodies in the State Assembly	1.11	6
<b>Chapter 2 Performance Audit</b>		
<b>Department of Environment</b>		
Performance Audit on Implementation of Environment Rules and Laws by Uttar Pradesh Pollution Control Board	2.1	7-46
<b>Department of Tourism</b>		
Audit on Up-gradation and extension of facilities in State Tourism Circuits	2.2	47-60
<b>Chapter 3 Compliance Audit</b>		
<b>Housing and Urban Planning Department</b>		
Surcharge on sale of plots not levied	3.1	61
Short levy of City Development Charges	3.2	61-63
Avoidable payment of interest	3.3	63-64
<b>Information Technology and Electronics Department</b>		
Avoidable loss of interest	3.4	64-65

<b>Appendices</b>			
<b>Number</b>	<b>Description</b>	<b>Paragraph (s)</b>	<b>Page (s)</b>
<b>1.1</b>	Statement showing details of outstanding Inspection Reports and paragraphs	1.7	67
<b>1.2</b>	Statement showing details of outstanding Separate Audit Report to be presented in State Assembly	1.11	68
<b>2.1</b>	Role of the Board as per Water Act, Air Act and EP Act	2.1.1	69
<b>2.2</b>	Organisational Chart of UPPCB	2.1.2	70
<b>2.3</b>	Statement showing details of testing facilities available in the Board's Central laboratory	2.1.7.3	71
<b>2.4</b>	Statement showing details of testing facilities available in the Board's Regional laboratory	2.1.7.3	72
<b>2.5 (a)</b>	Statement showing list of vital infrastructure and other equipments/instruments missing at regional laboratories for accreditation	2.1.7.3	73-74
<b>2.5 (b)</b>	Statement showing list of specific equipment required for hazardous waste analysis as per Appendix-D (b) of guidelines for recognition of environmental laboratories under the EP Act not available in regional laboratories	2.1.7.3	75
<b>2.6</b>	Statement showing difference in Bank Balance as per Bank Statement/Pass Book and Cash Book	2.1.8.3	76
<b>2.7</b>	Statement showing actual expenditure against budgeted in respect of pollution control measures	2.1.8.5	77-78
<b>2.8 (a)</b>	Statement showing core quality parameters of water	2.1.9.1	79
<b>2.8 (b)</b>	Statement showing level of pollution in the major rivers/lakes/ponds of Uttar Pradesh during the period from 2013 to 2015	2.1.9.1	80-81
<b>2.9 (a)</b>	Statement showing level of pollution in River Ganga during 2011-2015 (Average Value)	2.1.9.1	82
<b>2.9 (b)</b>	Statement showing level of pollution in River Gomti at Lucknow during 2011-2015 (Average Value)	2.1.9.1	83
<b>2.10 (a)</b>	Status of PM <sub>10</sub> (yearly average value in mcg/cum) in ambient air of major cities of Uttar Pradesh during the period 2011-15	2.1.9.2	84
<b>2.10 (b)</b>	Statement showing detail of fly ash generated and utilised by thermal power stations during the period 2011-12 to 2015-16	2.1.9.2	85-86
<b>2.11</b>	Present Status of Common Bio Medical Waste Treatment Facilities in Uttar Pradesh	2.1.9.5	87-88
<b>2.12</b>	Status of E-waste Recycling/Collection/Generation units in the state of U.P.	2.1.9.7	89-90
<b>2.13</b>	Statement showing cases of delays in issue of consent	2.1.10.1	91
<b>2.14</b>	Statement showing number of inspections to be carried out on the basis of category/size of the industry	2.1.10.2	92
<b>2.15</b>	Statement showing shortage of targets fixed	2.1.10.2	93
<b>2.16</b>	Statement showing achievement of targets set by UPPCB HQ for sample collection and analysis of Industrial Effluent	2.1.10.2	94
<b>2.17</b>	Statement showing achievement of targets set by UPPCB HQ for sample collection and analysis of Surface water	2.1.10.2	95
<b>2.18</b>	Statement showing achievement of targets set by HQ for sample collection and analysis of Industrial Emission	2.1.10.2	96
<b>2.19</b>	Internal Control mechanism of the Board	2.1.10.3	97
<b>2.20</b>	Statement showing shortage of manpower	2.1.10.3	98
<b>2.21</b>	Nature of work under selected schemes	2.2.1	99-101

<b>2.22</b>	Budget Details for the period from 2011-12 to 2015-16	2.2.3	102
<b>2.23</b>	Circuit wise Expenditure incurred in the State during 2011-12 to 2015-16	2.2.3	103
<b>2.24</b>	Circuit wise Expenditure of the selected schemes	2.2.3	104-105
<b>2.25</b>	Details of schemes directly forwarded to Ministry of Tourism, Government of India	2.2.3.1	106
<b>2.26</b>	Statement showing status of completed schemes	2.2.4.1	107
<b>2.27</b>	Blockade of Fund released by GoUP for Centrally funded schemes under execution	2.2.4.2	108-109
<b>2.28</b>	Lapse of Central Financial Assistance due to delay in execution of work	2.2.4.2	110-111
<b>2.29</b>	Centrally funded schemes not being implemented due to site/land not finalised	2.2.4.2	112
<b>2.30</b>	Detail of Centrally funded schemes in which funds kept idle by GoUP and Executing Agencies	2.2.4.2	113-114
<b>2.31</b>	Details of schemes funded by GoUP running without any prefixed timeline	2.2.4.3	115
<b>2.32</b>	Loss of interest due to keeping of fund idle by Executing Agencies in State funded schemes	2.2.4.3	116
<b>2.33</b>	Statement showing award of work to Executing Agencies which were not notified	2.2.4.4	117
<b>2.34</b>	Commencement of work without Technical Sanction	2.2.4.4	118
<b>2.35</b>	Detail of Labour Cess not deposited by Executing Agency	2.2.4.4	119
<b>3.1</b>	Statement showing not levy of surcharge	3.1	120-122
<b>3.2</b>	Statement showing Loss of Interest on Government Fund	3.4	123