

APPENDICES

Appendix-1.1.1
(Reference: Paragraph 1.1.9.1; Page 4)

Position regarding receipt of ATNs on the paragraphs included in the ARs

Audit Reports	Year	Department (s)	ATNs pending as of 31 st March 2016	Date of presentation in the State Legislature	Due date for receipt of ATNs
Civil/Social, General and Economic Sectors (Non-PSUs)	2000-01	Medical Health & Family Welfare	01	23.06.2003	22.09.2003
		Agriculture	01		
		Irrigation Department	01		
		Environment Department	01		
		Finance Department	01		
	2002-03	Transport	01	12.01.2005	11.04.2005
		Irrigation	01		
		Financial & Social Welfare	01		
	2003-04	Irrigation	01	05.10.2005	04.01.2006
		Panchayati Raj	01		
		Transport	02		
	2004-05	Medical Health & Family Welfare	01	19.04.2006	18.07.2006
		Transport	01		
		P.W.D	01		
	2005-06	Medical Deptt	01	27.06.2007	26.09.2007
		Food & Civil Supplies	01		
		Sports & Youth Welfare	01		
		Rural Development	01		
	2006-07	Pay Jal Deptt	01	07.03.2008	06.06.2008
		Transport	01		
		Information Department	02		
		Civil Aviation Department	01		
	2007-08	Urban Development	01	13.07.2009	12.10.2009
		Technical Education	01		
		Information & Public Relations	01		
	2008-09	Stamps & Registration	01	22.09.2010	21.12.2010
		Commercial Tax Department	01		
		Uttarakhand Pay Jal Nigam	02		
		Transport Department	01		
		Revenue Department	01		
		Rural Engineering Services	01		
		P.P.P	01		
		Rural development Department	01		
	2009-10	Commercial Tax Department	01	29.03.2011	28.06.2011
		Tourism	01		
		Rural Development Department	01		
		U.K Peyjal Nigam	02		
	2010-11	P.W.D	03	11.12.2012	10.03.2013
		U.K Peyjal Nigam	01		
		Police Dept.	01		
		Animal Husbandry	01		
		AYUSH	01		
	2011-12	Election Department	01	18.09.2013	17.12.2013
		Department Of Labour	01		
		Women Empowerment & Child Development	01		
		Social Welfare Department	02		
		Department of Sports	01		
Department Of Higher Education		01			

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		P.W.D	02			
		Horticulture Department	01			
		Commercial Tax Department	01			
		Rural development Department	01			
	2012-13		Medical Health & Family Welfare Department	02	27.11.2014	26.02.2015
			Department Of Irrigation	01		
			Home Department	01		
			Medical Education Department	02		
			Commercial Tax Department	02		
			P.W.D	01		
			Technical Education Department	01		
			Sports & Youth Welfare Department	01		
			Stamp & Registration Department	01		
			Rural development Department	02		
			Peyjal Department	01		
			2013-14			
	Dairy Development Department	01				
	Forest Department	01				
	Higher Education Department	01				
	Medical Education Department	01				
	Home Department	01				
	Medical, Health & Family Welfare Department	02				
	PWD	01				
	Chief Minister's Office	01				
	Peyjal Department	01				
	Sericulture Department	01				
	Social Welfare And Education Department	01				
	Urban Development Department	01				
Uttarakhand Renewal Energy Development Agency	01					
Commercial Tax Department	03					
Stamp And Registration Department	01					
Transport Department	01					
Geology And Mining Department	01					
Total			95			
State Finances	2000-01	Finance and Misc. Departments	All Chapters	23.06.2003	22.09.2003	
	2001-02	Finance and Misc. Departments	All Chapters	19.07.2004	18.10.2004	
	2002-03	Finance and Misc. Departments	All Chapters	12.01.2005	11.04.2005	
	2003-04	Finance and Misc. Departments	All Chapters	05.10.2005	04.01.2006	
	2004-05	Finance and Misc. Departments	All Chapters	19.04.2006	18.07.2006	
	2005-06	Finance and Misc. Departments	All Chapters	27.06.2007	26.09.2007	
	2006-07	Finance and Misc. Departments	All Chapters	07.03.2008	06.06.2008	
	2007-08	Finance and Misc. Departments	All Chapters	13.07.2009	12.10.2009	
	2008-09	Finance and Misc. Departments	All Chapters	22.09.2010	21.12.2010	
	2009-10	Finance and Misc. Departments	All Chapters	29.03.2011	28.06.2011	
	2010-11	Finance and Misc. Departments	All Chapters	11.12.2012	10.03.2013	
	2011-12	Finance and Misc. Departments	All Chapters	18.09.2013	17.12.2013	
Nainital District	2011-12	Miscellaneous Departments	All Chapters	18.09.2013	17.12.2013	
	2012-13	Miscellaneous Departments	All Chapters	27.11.2014	26.02.2015	

Appendix 3.1.1
(Reference: Paragraph 3.1.9.2; Page 119)

**Statement showing investments made by State Government in PSUs
whose accounts are in arrears**

(Figures in columns 4 & 6 to 8 are ₹in crore)

Sl. No.	Name of the Public Sector Undertaking	Year up to which accounts finalised	Paid up capital	Period of accounts pending finalisation	Investment made by State Government during the year of which accounts are in arrears		
					Equity	Loans	Grants
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
A	Working Government Companies						
	Uttarakhand Seed & Tarai Development Corporation Ltd.	2014-15	4.08	2015-16	-	-	-
	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	1993-94	0.50	1994-95	-	-	-
	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	1986-87	0.50	1987-88	-	-	-
	Uttarakhand Bahudeshia Vitta Evam Vikas Nigam Limited	2005-06	8.23	2006-07	0.40	-	4.16
	State Industrial Development Corporation of Uttarakhand Limited	2012-13	28.50	2013-14	-	100.00	-
	Uttarakhand State Infrastructure Development Corporation Limited	2013-14	4.00	2014-15	-	-	-
	Trans cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam limited)	1999-2000	1.63	2000-01	-	-	-
	Uttar Pradesh Digital Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	1996-97	0.35	1997-98	-	-	-
	Uttar Pradesh Hill Electronics Corporation Limited	1997-98	8.95	1998-99	-	-	-
	Kichha Sugar Company Limited	2013-14	17.99	2014-15	-	8.00	-
	Doiwala Sugar Company Limited	2014-15	6.00	2015-16	-	-	-
	Uttarakhand Project Development and Construction Corporation Limited	2011-12	0.05	2012-13	-	-	-
	Kumaon Mandal Vikas Nigam Limited	2005-06	13.42	2006-07	-	-	-
	Garhwal Mandal Vikas Nigam Limited	2005-06	5.76	2006-07	-	-	-
	Uttarakhand Purva Sainik Kalyan Udham Limited	2012-13	0.05	2013-14	-	-	-
	Total A (Working Government Companies)	-	-	-	0.40	108.00	4.16
B	Working Statutory corporations						
	Uttarakhand Pey Jal Sansadhan Vikas Evam Nirman Nigam	2013-14	2,083.78	2014-15	-	-	-
	Uttarakhand Parivahan Nigam	2014-15	79.74	2015-16	-	1.00	-
	Uttarakhand Forest Development Corporation	2010-11	-	2011-12	-	-	-
	Total B (Working Statutory Corporations)	-	-	-	0.00	1.00	0.00
	Grand Total (A + B)	-	-	-	0.40	109.00	4.16

Appendix 3.1.2

(Reference: Paragraph 3.1.1 & 3.1.12.1; Pages 113 and 120)

Summarised financial position and working results of Government companies and Statutory Corporations as per their latest finalised financial statements/accounts

(Figures in columns (5) to 12 are ₹ in crore)

Sl. No.	Sector / name of the Company	Period of accounts	Year in which accounts finalized	Paid-up capital	Loans outstanding at the end of year	Accumulated profit(+)/ loss(-)	Turnover	Net profit (+/ loss (-)	Net impact of Audit comments	Capital employed	Return on capital employed	Percentage of return on capital employed	Manpower
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
A. WORKING GOVERNMENT COMPANIES													
AGRICULTURE AND ALLIED													
1.	Uttarakhand Seed & Tarai Development Corporation Ltd.	2014-15	2016-17	4.08	8.42	6.68	103.03	(-) 4.16	Non review	30.97	(-) 1.77	(-) 5.71%	328
Sector Wise Total		-	-	4.08	8.42	6.68	103.03	(-)4.16	-	30.97	(-) 1.77	(-) 5.71%	328
FINANCE													
2.	Garhwal Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Garhwal Mandal Vikas Nigam Limited)	1993-94	2012-13	0.50	2.85	(-) 0.63	0.54	0.07	(-)0.39	1.09	0.10	9.17%	01
3.	Kumaon Anusuchit Janjati Vikas Nigam Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	1986-87	2002-03	0.50	-	(-) 0.04	0.10	(-) 0.02	-	0.46	(-) 0.02	-	-
4.	Uttarakhand Bahudeshia Vitta Evam Vikas Nigam Limited	2005-06	2015-16	8.23	-	(-) 0.11	0.87	0.46	(-)0.04	50.37	0.47	0.93%	73
Sector Wise Total				9.23	2.85	(-) 0.78	1.51	0.51	(-) 0.43	51.92	0.55	1.05	74
INFRASTRUCTURE													
5.	State Industrial Development Corporation of Uttarakhand Limited	2012-13	2015-16	28.50	100.00	315.29	29.87	50.88	(-)0.02	419.59	51.37	12.24%	20
6.	Uttarakhand State Infrastructure Development Corporation Limited	2013-14	2015-16	4.00	5.00	(-) 1.12	27.59	3.00	(-)0.49	7.92	3.01	38%	89
Sector Wise Total				32.50	105.00	314.17	57.46	53.88	(-)0.51	427.51	54.38	12.72%	109
MANUFACTURE													
7.	Trans cables Limited (Subsidiary of Kumaon Mandal Vikas Nigam limited)	1999-2000	2002-03	1.63	2.75	(-) 5.80	2.80	(-) 0.84	-	2.90	(-) 0.84	-	-
8.	Uttar Pradesh Digitals Limited (Subsidiary of Kumaon Mandal Vikas Nigam Limited)	1996-97	1997-98	0.35	-	(-) 6.95	0.29	(-) 1.19	-	0.35	(-) 1.19	-	-
9.	Uttar Pradesh Hill Electronics Corporation Limited	1997-98	2011-12	8.95	-	(-) 1.17	1.61	(-) 0.31	-	4.99	(-) 0.31	-	16
10.	Doiwala Sugar Company Limited	2014-15	2016-17	6.00	143.18	(-) 249.14	98.54	(-) 49.88	(-)0.75	(-) 69.73	(-) 28.23	-	594
11.	Kichha Sugar Company Limited	2013-14	2015-16	17.99	130.42	(-)136.95	90.01	(-)34.95	(-)0.65	(-) 73.11	(-)13.94	-	547
12.	Uttarakhand Project Development and Construction Corporation Limited	2011-12	2014-15	0.05	-	0.01	0.07	0.01	-	0.05	0.01	20%	-
Sector wise total		-	-	34.97	276.35	(-)400.02	193.32	(-)87.16	(-)1.40	(-) 134.60	(-)44.50	-	1,157

Sl. No.	Sector / name of the Company	Period of accounts	Year in which accounts finalized	Paid-up capital	Loans outstanding at the end of year	Accumulated profit(+)/ loss(-)	Turnover	Net profit (+)/ loss (-)	Net impact of Audit comments	Capital employed	Return on capital employed	Percentage of return on capital employed	Manpower
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
POWER													
13.	Uttarakhand Power Corporation Limited	2015-16	2016-17	1,086.90	1,022.82	(-) 2,050.72	4,667.68	(-) 95.63	(-)172.89	1,187.32	80.24	6.75%	3,573
14.	Uttarakhand Jal Vidhyut Nigam Limited	2015-16	2016-17	1,084.89	1,191.24	441.30	767.65	181.82	(-)33.11	3,110.94	288.07	9.25%	2,571
15.	Power Transmission Corporation of Uttarakhand Limited	2015-16	2016-17	421.05	510.88	66.91	309.83	69.34	(-)0.71	1,104.58	120.90	10.94%	799
Sector Wise Total		-	-	2,592.84	2,724.94	(-) 1,542.51	5,745.16	155.53	(-) 206.71	5,402.84	489.21	9.05%	6,943
SERVICE													
16.	Kumaon Mandal Vikas Nigam Limited	2005-06	2016-17	13.42	-	(-)0.67	100.49	(-)1.51	(-)1.31	14.21	(-)0.37	-	1,004
17.	Garhwal Mandal Vikas Nigam Limited	2005-06	2015-16	5.76	19.27	4.97	128.07	(-)1.38	(-)6.12	12.63	0.18	1.42%	1,600
Sector Wise Total		-	-	19.18	19.27	4.30	228.56	(-)2.89	(-)7.43	26.84	(-)0.19	-	2,604
MISCELLANEOUS													
18.	Uttarakhand Purva Sainik Kalyan Udham Limited	2012-13	2016-17	0.05	-	3.59	156.92	6.81	(-)0.18	35.95	6.81	18%	-
Sector Wise Total		-	-	0.05	-	3.59	156.92	6.81	(-)0.18	35.95	6.81	18%	-
Total A (All sector wise working Government companies)		-	-	2,692.85	3,136.83	(-) 1,614.57	6,485.96	122.52	(-)216.66	5,841.43	504.49	8.64%	11,215
B. Statutory corporations													
INFRASTRUCTURE													
1.	Uttarakhand Pey Jal Sansadhan Vikas Evam Nirman Nigam	2013-14	2013-14	2,050.06	77.10	(-) 145.97	67.91	(-) 23.87	(-)36.91	2,132.92	(-)15.31	-	2,728
Sector Wise Total				2,050.06	77.10	(-) 145.97	67.91	(-) 23.87	(-)36.91	2,132.92	(-)15.31	-	2,728
SERVICE													
2.	Uttarakhand Parivahan Nigam	2014-15	2015-16	79.74	2.67	(-)415.66	309.12	(-)34.94	(-)1.78	357.46	(-)34.34	-	4,104
Sector Wise Total				79.74	2.67	(-)415.66	309.12	(-)34.94	(-)1.78	357.46	(-)34.34	-	4,104
MISCELLANEOUS													
3.	Uttarakhand Forest Development Corporation	2010-11	2014-15	-	-	227.80	310.34	36.86	(-)20.64	227.80	36.86	16%	3,003
Sector Wise Total		-	-	-	-	227.80	310.34	36.86	(-)20.64	227.80	36.86	16%	3,003
Total B (All sector wise working statutory corporations)		-	-	2,129.80	79.77	(-)333.83	687.37	(-)21.95	(-)59.33	2,718.18	(-)12.79	(-) 0.47%	9,835
Grand Total (A+B)		-	-	4,822.65	3,216.60	(-)1,948.40	7,173.33	100.57	(-)275.99	8,559.61	491.70	5.74%	21,050

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Sl. No.	Sector / name of the Company	Period of accounts	Year in which accounts finalised	Paid-up capital	Loans outstanding at the end of year	Accumulated profit(+)/ loss(-)	Turnover	Net profit (+)/ loss (-)	Net impact of Audit comments	Capital employed	Return on capital employed	Percentage of return on capital employed	Manpower
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
C. Non working Government companies													
AGRICULTURE & ALLIED													
1.	UPAI Limited ¹	-	-	0.17	-	(-) 0.05	-	-	-	0.10	(-)0.01	-	-
Sector Wise Total		-	-	0.17	-	(-) 0.05	-	-	-	0.10	(-)0.01	-	-
MANUFACTURE													
2.	Kumtron Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	1989-90	1990-91	0.18	-	(-) 0.02	-	(-) 0.02	-	0.12	(-)0.02	-	-
3.	Uttar Pradesh Hill Phones Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation Limited)	-	-	-	-	-	-	-	-	-	-	-	-
4.	Uttar Pradesh Hill Quartz Limited (Subsidiary of Uttar Pradesh Hill Electronics Corporation limited)	-	-	-	-	-	-	-	-	-	-	-	-
Sector Wise Total		-	-	0.18	-	(-) 0.02	-	(-) 0.02	-	0.12	(-)0.02	-	-
Total C (All sector wise non working Government companies)		-	-	0.35	-	(-)0.07	-	(-)0.02	-	0.22	(-)0.03	-	-
Grand Total (A+B+C)		-	-	4,823.00	3,216.60	(-)1,948.47	7,173.33	100.55	(-)275.99	8,559.83	491.67	5.74%	21,050

@ Capital employed represents Shareholders fund and long term borrowings

¹ Company under liquidation since 31.03.1991.

